## ETSI TS 122 129 V14.0.0 (2017-03)



Digital cellular telecommunications system (Phase 2+) (GSM); Universal Mobile Telecommunications System (UMTS); LTE;

Service aspects;

Handover requirements between UTRAN and GERAN or other radio systems

(3GPP TS 22.129 version 14.0.0 Release 14)



# Reference RTS/TSGS-0122129ve00 Keywords GSM,LTE,UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

The present document can be downloaded from: http://www.etsi.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx">https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</a>

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommiteeSupportStaff.aspx

#### Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2017.
All rights reserved.

**DECT**<sup>™</sup>, **PLUGTESTS**<sup>™</sup>, **UMTS**<sup>™</sup> and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**<sup>™</sup> and **LTE**<sup>™</sup> are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Foreword**

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

## Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

## Contents

Intelle	ectual Property Rights	2
Forew	vord	2
Moda	ıl verbs terminology	2
Forew	vord	5
1	Scope	<i>6</i>
1.1	Situations in which Service Requirements apply	
2	References	7
3	Definitions and Abbreviations	
3.1 3.2	Definitions	
3.2		
4	General Principles governing service continuity	
4.0	General Principles for service continuity	
4.1	Service Continuity Scenarios	
4.2	Service Continuity requirements	
4.2.1 4.2.2	Service continuity for CS	
4.2.2 4.2.3	Service continuity for GPRS	
4.2.3 4.3	General Operational Considerations.	
4.3.1	Coverage environment	
4.3.2	Inter PLMN Handover Issues	
4.3.3	Charging and Network Management	
4.3.4	Cost and efficiency	
4.3.5	Security	
4.4	Performance Requirements	
4.4.1	Temporary degradation of service caused by handover	10
5	Requirements for Handover	10
5.1	Handover due to UE Movement	
5.2	Handover due to negotiated service requirement	
5.3	Handover between Radio Access Modes	
5.4	Cell capacity	
5.5	Handover of a Multicall	
5.6	Access Rights	
5.7	Handover for shared networks	
	Requirements for Handover from UTRAN to GERAN	
6.1	Operational Requirements	
6.1.2	GERAN bands	
6.2 6.2.1	Performance Requirements	
6.2.2	Number of GERAN handover candidates to detect	
6.2.3	Probability of Connection Loss	
6.3	Specific Requirements for Individual Services from UTRAN to GERAN	
6.3.1	Speech	
6.3.2	Short Message Service	
6.3.3	Cell Broadcast	
6.3.4	USSD	
6.3.5	Facsimile	13
6.3.6	Data Bearer Services	13
6.3.6.1		
6.3.6.2		
6.3.7	Supplementary Services	
6.4	Requirements on multiple bearer services handover from UTRAN to GERAN	14
7	Requirements for Handover from GERAN to UTRAN	14

7.1	Operational Requirements	14
7.1.2	GERAN bands	14
7.2	Performance Requirements	14
7.3	Specific Requirements for Individual Service Handover from GERAN to UTRAN	14
7.3.1	Speech	14
7.3.2	Short Message Service	14
7.3.3	Cell Broadcast	14
7.3.4	USSD	15
7.3.5	Facsimile	
7.3.6	Data Bearer Services	
7.3.6.	1 Circuit Switched Data	15
7.3.6.2		
7.3.7	Supplementary services	
7.4	Requirements on multiple bearer services handover from GERAN to UTRAN	15
8	Cross Phase Compatibility for this release	15
8.1	Compatibility with Existing Specifications	
8.2	Compatibility with Future 3GPP specifications	16
8.2.1	Requirements for Service Capabilities	16
8.2.2	Inter PLMN Handover Issues	
8.2.3	Handover between Environments	16
8.3	Support of Multicall with Simultaneous Voice Calls	16
Anne	ex A (informative): Illustration of elements in inter-PLMN handover	18
Anne	ex B (informative): Open Points on Inter-Operator Handover	19
B.2	Selection of Target Cells for Handover	19
B.3	Network Information Exchange	19
B.4	Service Requirements	19
B.5	Billing, Accounting and Charging Requirements	
Anno		
	ex C (informative): Change history	
Histo	ry	22

## **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of this TS, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the specification;

## 1 Scope

The scope of this document includes service requirements for handover maintaining continuity of service to a wireless terminal as it moves between the radio coverage area, or "cells", associated with different base station sites. This functionality is called "handover". Handover can also occur due to change of radio resource providing a service without necessarily any change of the base stations involved. In particular, when the radio resources providing a service change from one of the UTRA radio access modes to the other (UTRA-FDD and UTRA-TDD), this is regarded as handover. Particular emphasis has been placed on the description of requirements for service continuity within UTRAN and between UTRAN and GERAN.

It is a key requirement to allow for dual or multi-mode terminals to handover traffic from UTRAN to GERAN and vice versa. This document describes the service requirements for intra- and inter- system handover.

The following subject areas are within the scope of these service requirements:

- User perceived performance that may be influenced by handover;
- Operational requirements relating to handover;
- Security requirements.

The requirements set forth in this document are service requirements, in that they fulfil the following:

- The requirements are independent of the implementation of the UTRAN;
- The extent to which the requirements are met are in principle verifiable using observables that are not internal to the UTRAN.

### 1.1 Situations in which Service Requirements apply

The service requirements in this document are as far as possible independent of the implementation. They therefore apply to situations where handover would occur regardless of how the radio access network is implemented. Situations envisaged are:

- Handover within UTRAN due to change of radio resource caused by UE movement between areas covered by different transmitters:
- Handover within UTRAN due to change of UTRA radio access mode;
- Handover due to change of radio system.

It is possible that handover (i.e. change of radio resource) will occur in other situations, for example the technical implementation of the UTRAN may necessitate it or O&M procedures initiated by the operator may force it. Requirements for these situations are not within the scope of this document, with the exception of two remarks:

- Where the technical implementation of the UTRAN necessitates handover as a matter of normal operation (i.e. not related to the above situations), then services shall in no way be degraded or adversely affected;
- The service requirements for handover occurring in situations such as O&M activity are outside the scope of this document.

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications"
- [2] 3GPP TS 22.278: "Service requirements for the Evolved Packet System (EPS)"

## 3 Definitions and Abbreviations

#### 3.1 Definitions

In addition to the following, abbreviations used in the present document are listed in TR 21.905 [1].

For the purposes of the present document, the following definitions apply:

**Connection mode** (**for a bearer service**): characterises the type of association between two endpoints as required by the bearer service for the transfer of information. A bearer service is either connection-oriented or connectionless. In a connection oriented mode, a logical association called *connection* needs to be established between the source and the destination entities before information can be exchanged between them. Connection oriented bearer services lifetime is the period of time between the establishment and the release of the connection.

Connectionless (for a bearer service): In a connectionless bearer, no connection is established beforehand between the source and the destination entities; the source and destination network addresses need to be specified in each message. Transferred information cannot be guaranteed of ordered delivery. Connectionless bearer services lifetime is reduced to the transport of one message.

**GERAN coverage**: an area where mobile cellular services are provided by a GERAN in accordance with GERAN specifications.

**UTRAN coverage**: an area where mobile cellular services are provided by a UTRAN in accordance with UTRAN specifications.

**Multi mode terminal**: UE that can obtain service from at least one UTRA radio access mode, and one or more different systems such as GERAN bands or possibly other radio systems such IMT-2000 family members.

**Handover**: The process in which the radio access network changes the radio transmitters or radio access mode or radio system used to provide the bearer services, while maintaining a defined bearer service QoS.

**Intra PLMN handover**: Handover within the same network, i.e. having the same MCC-MNC regardless of radio access system. Note: this includes the case of UTRAN <>GERAN handover where MCC-MNC are the same in both cases.

Inter PLMN handover: Handover between different PLMNs, i.e. having different MCC-MNC.

Inter system handover: Handover between networks using different radio systems, e.g. UTRAN – GERAN.

UTRA Radio access mode: the selected UTRA radio access mode i.e. UTRA-FDD; UTRA-TDD.

**Radio system**: the selected 2<sup>nd</sup> or 3<sup>rd</sup> generation radio access technology.

**Service Continuity**: The means for maintaining active services during changes in the coverage areas or their characteristics without, as far as possible, the user noticing. Note that Service Continuity can be achieved by handover, cell re-selection or other mechanisms.

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

UE User equipment

## 4 General Principles governing service continuity

### 4.0 General Principles for service continuity

The general principles for service continuity described in this specification refer to service continuity within the UTRAN, within the GERAN and between the UTRAN and GERAN. As a principle, the requirements on service continuity characteristics should be according to the target network on which the service is maintained.

Service requirements for seamless mobility between multiple heterogeneous access systems beyond only UTRAN and GERAN are contained within TS 22.278 [2].

## 4.1 Service Continuity Scenarios

Service continuity shall support the following scenarios:

- 1. Continuity of active CS services when moving within UTRAN, within GERAN and between UTRAN and GERAN coverage areas.
- 2. Continuity of active GPRS sessions when moving within UTRAN, within GERAN and between UTRAN and GERAN coverage areas.
- 3. Continuity of active IM Services when moving within UTRAN, within GERAN and between UTRAN and GERAN coverage areas (that support those IM services).

Service continuity is not applicable for any call or session using resources specific to the source domain that cannot be maintained using resources in the target domain.

## 4.2 Service Continuity requirements

For all scenarios, the specifications shall cover both service continuity within the same PLMN (intra-PLMN) and between PLMNs (inter-PLMN), including the case where the PLMNs involved are operated by different network operators.

It shall be possible for a user to roam between the different parts of a shared network without requiring any user intervention. The user experience while roaming in a shared network shall be comparable to the user experience while roaming in a non-shared network.

## 4.2.1 Service continuity for CS

The scenario numbers in this table refer to the scenarios in section 4.1.

		To CS services						
		UTRAN	GERAN					
From CS	UTRAN	Yes -	Yes -					
services		Scenario 1	Scenario 1					
	GERAN	Yes – Scenario 1	Yes – Scenario 1					

#### 4.2.2 Service continuity for GPRS

Service continuity of GPRS sessions (conversation, streaming, interactive and background) is required within the GERAN, within the UTRAN, and between the UTRAN and GERAN (scenario 2 in section 4.1 above).

Note:

Service continuity for conversational, streaming and interactive GPRS sessions is not applicable to and from GERAN Release 4 or earlier, due to the lack of support for the conversational, streaming and interactive GPRS within GERAN Release 4 or earlier. In these cases, although the QoS required may not be available in the target, the bearer shall be maintained as long as possible. If the QoS supported by GERAN is not acceptable, the user/application may terminate the session.

#### 4.2.3 Service continuity for IM Services

Service continuity of IM services is required within the GERAN, within the UTRAN, and between the UTRAN and GERAN (scenario 3 in section 4.1 above).

Note:

Service continuity of IM Services is not applicable to and from GERAN Release 4 or earlier as the IM Services service classes are not supported by GERAN Release 4 or earlier. In these cases, although the QoS required may not be available in the target, the bearer shall be maintained as long as possible. If the QoS supported by GERAN is not acceptable, the user/application may terminate the session.

## 4.3 General Operational Considerations

## 4.3.1 Coverage environment

Mechanisms defined to support service continuity between different radio systems or radio access modes should effectively cope with a number of coverage scenarios:

- Limited coverage in a 'sea' of coverage provided by another radio system or radio access mode, or vice versa;
- Selective operation at a geographical boundary, with extensive UTRAN coverage on one side and extensive coverage from another radio system on the other side;
- Geographically co-located areas of UTRAN coverage and another radio system.

However the specifications should impose no restrictions or assumptions on how an operator might deploy or operate the network in both GERAN and UTRAN.

#### 4.3.2 Inter PLMN Handover Issues

Handovers to support service continuity between PLMNs should remain an optional feature to implement. It is envisaged that handover would take place due to changing radio conditions caused e.g. by movement of the terminal causing it to leave the coverage area of a PLMN.

The following networks may be involved with an inter-PLMN handover procedure. These concepts are illustrated in Annex A:

- The user's *home network*, i.e. the operator where the user's subscription may be found;

- The user's *visited network* where the subscriber user is currently registered, i.e. the network where the subscriber user has performed the last successful update location procedure. As long as the subscriber user is roaming within the home network, home and visited network are identical;
- The user's *serving network* covering the cell that serves the subscriber. After successful completion of the update location update procedure, the serving network is identical with the visited network. After an inter-PLMN handover, the visited network is different from the serving network until a location update procedure has been successfully completed (excepted the case that the subscriber returns into the visited network);
- The *target network* covering candidate target cell(s) for inter-PLMN handover. The target network has overlapping radio coverage with the serving network but not necessarily with the visited network.

The minimum requirements for inter-PLMN HO are:

- Continuity of an active call across the handover procedure, where this would be possible for intra-PLMN handover:
- The decision whether the handover request is accepted must be taken by the target network.

#### 4.3.3 Charging and Network Management

Means shall be standardised which allow charging records to record the time of handover in the case of inter-PLMN operator handover. Charging records must be able to reflect the level of UTRA radio access, operation mode and network type after handover.

A capability to provide network management information relating to frequency of occurrence and type of handover should be defined.

#### 4.3.4 Cost and efficiency

The UTRAN specifications shall facilitate the cost-effective implementation both on the network and on the terminal side, of multi mode operation between GERAN and UTRAN. Impacts on networks based on earlier releases shall be minimised. Such handover shall not require user intervention.

#### 4.3.5 Security

Security requirements should embody the principle that handover shall not compromise the security of the network providing the new radio resources; the (possibly different) network providing the original radio resources; and the UE. The security mechanisms should also cater for appropriate authentication processes and meet the requirements of national administrations in terms of lawful interception.

## 4.4 Performance Requirements

## 4.4.1 Temporary degradation of service caused by handover

During intra UTRAN handover or handover from UTRAN to GERAN, degradation of service shall be no greater than during intra GERAN handover.

The duration of the discontinuity experienced by PS and CS real time services should be shorter than that in the handover of CS speech calls over GERAN.

## 5 Requirements for Handover

#### 5.1 Handover due to UE Movement

It should be possible to provide a technical implementation of handover such that there is no measurable impact on the quality of any service when handover due to UE movement occurs. This does not imply that all handovers will achieve

this ideal. However, the specifications shall define at least one UTRA radio access mode in which this is possible given the following:

- UE speed stays within limits for given service;
- UE stays constantly within UTRA coverage of a single UTRAN.

When there is handover to a new cell with different radio conditions and if a PS sessions is unable to maintain the required quality of service, the QoS of the sessions may be modified to lower quality to continue communication.

## 5.2 Handover due to negotiated service requirement

In order to allow the connection with the negotiated bearer- or teleservice to be established, means shall be defined, which makes it possible for the core network, to recommend to access network to handover the UE to another RAT better suited to support the desired bearer- or teleservice.

#### 5.3 Handover between Radio Access Modes

The specifications shall permit a technical implementation of handover between radio access modes, although there may be a temporary degradation of QoS on bearer services at the time of handover.

## 5.4 Cell capacity

Consideration must be given services such as multimedia, which may involve use of multiple bearers. Due for example to cell loading, it may happen that a target cell cannot support the combination of bearer services provided by the current serving cell. Although all bearer services may not be handed over, the handover to another cell should not be precluded.

#### 5.5 Handover of a Multicall

The handover event can trigger changes to individual calls in any multicall scenario.

It shall be possible to handover all the calls in a multicall configuration. If the target system is UTRAN and the target cell is not able to accommodate all the calls in a multicall configuration, then the calls that are handed over are selected according to operator preferences. If the target system is GERAN, then the call that is handed over shall be selected in following order:

- i The call of teleservice emergency call;
- ii The call of teleservice telephony;
- iii The call of any other type according to operator preferences.

Calls that cannot be handed over will be released.

A change in the availability of suitable radio resources may also occur for other reasons in addition to handover.

## 5.6 Access Rights

It shall be possible to prevent handover to cells to which the UE does not have access rights. In this case, a handover to allowed cells shall be attempted. For this release this requirement only applies for UTRAN to UTRAN and UTRAN to GERAN handover in both A/Gb and Iu mode.

#### 5.7 Handover for shared networks

Handover shall be supported within a shared network and between a shared network and a non-shared network.

The requirements that apply to inter PLMN handover between non-shared networks shall equally apply to inter PLMN handover between shared networks as well as between a shared and a non-shared network.

The network shall be able to make the decision on the most appropriate candidate for handover based on any combination of the following information:

- type of subscription (e.g. prepay / postpay)
- home network of the subscriber
- access rights
- connected core network

NOTE: Access rights describe which of the candidates for handover a subscriber is allowed to access. The access rights are granted by the home PLMN on a subscriber by subscriber basis.

## 6 Requirements for Handover from UTRAN to GERAN

## 6.1 Operational Requirements

#### 6.1.2 GERAN bands

The standard shall support handover to any combination of GERAN bands supported by the specifications.

#### 6.2 Performance Requirements

The following service principles apply to performance requirements:

- When the UE performs handover to GERAN then the service requirements of GERAN that relate to handover between different cells in different location areas is taken as the benchmark. It is not the intention to set more stringent service requirements for UTRAN to GERAN handover than are already commonly accepted for handover within GERAN.

#### 6.2.1 Detection Time of Potential GERAN Handover Candidates

Means shall be defined which allow the UE to achieve as good detection time performance as the GERAN benchmark: i.e. to behave in such a way as to detect potential handover candidates as quickly as a mobile performing an intra GERAN handover is required to do so.

#### 6.2.2 Number of GERAN handover candidates to detect

Means shall be available which allow UE to detect an equal number of GERAN handover candidates relative to the GERAN benchmark, i.e. to behave in such a way as to detect as many potential handover candidates as a mobile performing an intra GERAN handover is required to do so.

#### 6.2.3 Probability of Connection Loss

The service requirement is that it should be possible to hand over to GERAN from UTRAN with a probability of connection loss that fulfils the corresponding service requirement for intra GERAN handover.

## 6.3 Specific Requirements for Individual Services from UTRAN to GERAN

#### 6.3.1 Speech

Handover of a CS Speech teleservice (TS11) from UTRAN to GERANis required. This requirement also applies to emergency calls (TS12):

- Any call based on the default UTRAN speech codec (AMR) shall be mapped to the FR GSM speech codec. In the case the terminal and the GERAN network support AMR and /or EFR and/or HR, it shall be the operators choice to define the appropriate mapping.

Means shall be defined which make it possible to limit any temporary degradation on handover so it meets the performance specified by GERAN service requirements for speech handover.

#### 6.3.2 Short Message Service

There are no requirements related to handover for short message service.

#### 6.3.3 Cell Broadcast

There are no requirements related to handover for cell broadcast.

#### 6.3.4 USSD

The technical specifications shall provide means to ensure that any handover that occurs during a USSD interaction need no more affect the service than intra-GERAN handover.

#### 6.3.5 Facsimile

It is not required that a facsimile transmission that is active between UE and network at the time of handover from UTRAN to GERAN completes successfully.

#### 6.3.6 Data Bearer Services

#### 6.3.6.1 Circuit Switched Data

Specifications shall be defined to permit the possibility of handover of a UTRAN data bearer service to GERAN

Means shall be defined which make it possible to limit any temporary degradation on handover so it meets the performance specified by GERAN service requirements for data bearer service handover.

#### 6.3.6.2 Packet Switched Data

It is required to handover a user PS context between GERAN and UTRAN. Independently of the used air interface, the user shall stay connected to an external network (internet, intranet).

## 6.3.7 Supplementary Services

Control and use of Supplementary Services should not be effected by a handover to GERAN.

## 6.4 Requirements on multiple bearer services handover from UTRAN to GERAN

Consideration must be given to services that may involve multiple bearer services. The mapping between UTRAN bearer services and GERAN bearer services will depend upon many factors such as data rate, delay constraints, error rate etc. In the event certain UTRAN bearer services cannot be handed over to GERAN, the handover of some of the bearers to maintain the service should not be precluded.

In the case where user equipped with a dual mode terminal is in UTRAN coverage and has multiple PDP contexts activated (for instance to support multimedia) then it is preferable to handover one PDP context, rather than dropping all of them.

As a first priority only the PDP contexts which have an associated QoS that can be supported by the GERAN should be candidates for handover.

If there are still multiple PDP contexts as "handover candidates" then it shall be an operator choice which PDP context will be maintained. When roaming this decision shall be taken by the serving network. The operator may choose to either;

- a) Drop all of the PDP contexts.
- b) Choose one based upon criteria such as duration, amount of traffic transferred, etc.

In case of UTRAN to GERAN handover of a Multicall only one call can be handed over.

## 7 Requirements for Handover from GERAN to UTRAN

### 7.1 Operational Requirements

#### 7.1.2 GERAN bands

The standard shall support handover from any combination of GERAN bands supported by the GERAN specifications.

## 7.2 Performance Requirements

During handover from GERAN to UTRAN, temporary degradations shall be no greater than for intra-GERAN handovers.

## 7.3 Specific Requirements for Individual Service Handover from GFRAN to UTRAN

#### 7.3.1 Speech

AMR, EFR, FR and HR calls shall be mapped to the default UTRAN speech codec.

#### 7.3.2 Short Message Service

There are no requirements related to handover for short message service.

#### 7.3.3 Cell Broadcast

There are no requirements related to handover for cell broadcast.

#### 7.3.4 USSD

The technical specifications shall provide means to ensure that any handover that occurs during a USSD interaction need no more affect the service than intra-GERAN handover.

#### 7.3.5 Facsimile

It is not required that a facsimile transmission that is active between UE and network at the time of handover from GERAN to UTRAN completes successfully.

#### 7.3.6 Data Bearer Services

#### 7.3.6.1 Circuit Switched Data

Specifications shall be defined to permit the possibility of handover of a data bearer from GERAN to UTRAN.

Means shall be defined which make it possible to limit any temporary degradation on handover so it meets the performance specified by GERAN service requirements for circuit switched data handover.

#### 7.3.6.2 Packet Switched Data

It is required to handover a user context between GERAN and UTRAN. Independently of the used radio interface, the user shall stay connected to an external network (internet, intranet). Any change in the QoS shall be seen at the service access points as a network initiated renegotiation of QoS. If the supported QoS is not acceptable, the UE/user may terminate the connection/context.

Means shall be defined which make it possible to limit any temporary degradation on handover so it meets the performance specified by GERAN service requirements for packet switched data handover.

### 7.3.7 Supplementary services

Control and use of Supplementary Services should not be effected by a handover to UTRAN.

## 7.4 Requirements on multiple bearer services handover from GERAN to UTRAN

Consideration must be given to services that may involve the use of multiple bearer services. For example Class A GPRS terminals will be capable of simultaneously supporting more than one data bearer services. Means shall be defined to allow handover of several data bearer services from GERAN to UTRAN

## 8 Cross Phase Compatibility for this release

This section details the cross phase compatibility requirements relating to the service requirements in this document.

Note:

When a change is introduced which affects the 3GPP technical specifications, it is said to be 'backward compatible' if existing equipment can continue to operate and perform correctly with equipment that conforms to the new implementation.

## 8.1 Compatibility with Existing Specifications

Where the service and operational requirements in this document relate to a GERAN, compatibility is required with systems conforming to the R99 or later GERAN specifications.

## 8.2 Compatibility with Future 3GPP specifications

The specifications that define the technical implementation of this release should be developed in such a way that it is practical to add the requirements in this section in a backward compatible manner.

#### 8.2.1 Requirements for Service Capabilities

3GPP standardises service capabilities, not services. As part of the service capabilities it is envisaged that applications may wish to respond to events related to handover that either has occurred, is about to occur or could potentially occur. The service capabilities described in this section should be available at least to UE hosted applications.

The following list of uses is provided as an example and is not intended to be exhaustive:

- An application may wish to accept or reject offered QoS;
- An application may wish to cope to the effect that handover has on a service, for example facsimile retransmission;
- An application may wish to preferentially choose radio resources.

It is therefore required that the service capability set available to an application be able to provide an indication that handover has occurred or could occur with information about the type of handover and radio resources involved. The service capabilities should support QoS negotiation.

#### 8.2.2 Inter PLMN Handover Issues

The minimum requirements for inter-PLMN HO are:

- The ability to check with the home network whether the user is permitted to handover from the visited network to a target network.
- Invocation of the handover procedure only occurs if the target network provides the radio channel type required for the respective call;
- The avoidance of "network hopping", i.e. successive handover procedures between neighbouring networks for the same call;
- The possibility of user notification of inter-PLMN HO (e.g. possible tariff change) when it occurs.

#### 8.2.3 Handover between Environments

UMTS is expected to provide coverage in a number of environments including fixed and mobile as described in the table below. The technical specifications should not preclude the possibility of implementing these requirements in a backward compatible manner.

То	Terrestrial Cellular	Fixed/Cordless	Satellite
From			
Terrestrial Cellular	Yes (R99)	Yes	Yes
Fixed/Cordless	Yes	Yes	Yes
Satellite	Yes	Yes	No

## 8.3 Support of Multicall with Simultaneous Voice Calls

In the case where Multicall is used to support multiple voice calls a handover must be attempted for each bearer that is in use. In the case where not all bearers can be supported by the destination network the related voice calls shall be automatically put on hold. After the handover is completed, the subscriber shall be able to retrieve any held voice call by invoking the Call Hold service.

This requirement is dependent on the user subscribing to Call Hold.

This is only required if there is more than one simultaneous speech call and this is therefore not required for this release.

## Annex A (informative): Illustration of elements in inter-PLMN handover

Figure 1 illustrates the above definitions taking an example of European GSM networks. The subscriber's home network is France. The visited network where the subscriber is registered in a VLR is Germany. The signalling connection between HLR and VLR is indicated by dotted lines. The calls for the subscriber are controlled by the MSC collocated to the VLR where the subscriber is registered. This MSC is called "anchor MSC".

Handover to a different MSC may occur if the cell serving the subscriber after handover is not controlled by the anchor MSC. This MSC is called the "serving MSC". Even after the call has been handed over to a different MSC, the call control function remains in the anchor MSC. The signalling connection and circuit switched connection established between anchor MSC and serving MSC are indicated by a solid line.

When the French subscriber registered in a German network roams near the border to the Netherlands, inter-PLMN handover may occur. In this case a Dutch network is the *target network*. After handover, the anchor MSC located in a German network continues to control the call. The German network remains the *visited network* where the subscriber is registered. The subscriber's location information stored in the HLR remains unchanged. The signalling and circuit switched connections between the anchor MSC and the previously serving MSC in the German network will be released when the User Equipment (UE) is served by a cell within a Dutch network. The Dutch network becomes the *serving network*. From the Dutch network the subscriber may be handed over to a Belgian network.

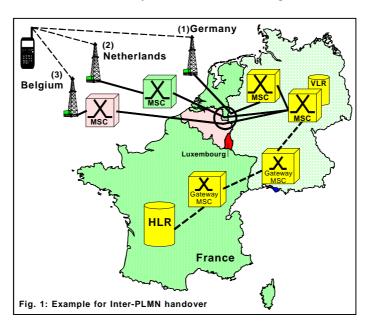


Figure 1: Example for inter-PLMN handover

## Annex B (informative): Open Points on Inter-Operator Handover

The requirements outlined below are likely to need further elaboration, although these may be outside the scope of service requirements.

## B.2 Selection of Target Cells for Handover

A mechanism is envisaged to support the selection of the target cell for handover. The target cell may be part of the serving network or part of another network.

## B.3 Network Information Exchange

A mechanism is envisaged to support the exchange of network information between different operators. Two categories of network information are identified:

- static information, for example, neighbour cell lists, interconnecting traffic and signalling links, etc.;
- dynamic information, for example real-time signalling information related to target cell selection, etc.

## B.4 Service Requirements

FFS.

[There is a need to identify which services can be maintained during handover and the interactions of services across network boundaries]

## B.5 Billing, Accounting and Charging Requirements

FFS.

# Annex C (informative): Change history

	Document history								
<version></version>	<date></date>	<milestone></milestone>							
0.0.1	29 Oct 98	First Draft for tdoc							
0.0.2	5 Jan 98	Evolved from tdoc 3 then 7 of SMG 1 handover ad hoc							
0.0.3	6 Jan 98	evolved from tdoc 20 of SMG1 handover ad hoc							
0.0.4	7 Jan 98	evolved from tdoc 35 of SMG1 handover adhoc							
0.0.5	8 Jan 98	Evolved from tdoc 45 of SMG1 handover adhoc							
1.0.0	27 January	To be presented as version 1.0.0 to SMG#28 for Approval							
1.1.0	5 Mar 99	- new requirment for inter-operator handover, as demanded by SMG							
		- removes text on security requirements by referencing 33.21							
		- editorial revisions							
1.2.0	22 Mar 99	1 Initial draft for email handover ad hoc 22-27 Mar.							
		-							
1.3.0	24 Mar 99	Clarify inter-operator handover requiremetn							
1.4.0	26 Mar 99	Proposed as draft version 2.0.0							
2.0.0	12 Apr 99	Draft version 2.0.0							
2.0.1	12 Apr 99	Editorially equivalent 2.0.0, with editorial comments removed							
2.0.2	16 April 99	Editorial review							
3.0.0									
3.1.0	October 1999	Inclusion of CRs at SA#5							
3.2.0	December 1999	Inclusion of CRs at SA#6							
3.3.0	June 2000	Inclusion of CRs at SA#8							
4.0.0	October 2000	Inclusion of CRs at SA#9							
4.1.0	January 2001	Inclusion of CRs at SA#10							
4.2.0	March 2001	Inclusion of CRs at SA#11							

	Change history										
TSG SA#	SA Doc.	SA1 Doc	Spec	CR	Rev	Rel	Cat	Subject/Comment	Old	New	WI
SP-05	SP-99436	S1-99500	22.129	002		R99	F	SMS handover requirement clarification	3.0.0	3.1.0	
SP-05	SP-99436	S1-99516	22.129	003		R99	D	Removal of out-of-date appendix	3.0.0	3.1.0	
SP-05	SP-99436	S1-99533	22.129	004		R99	D	Editorial improvements of definitions and alignment of terminology	3.0.0	3.1.0	

					С	hange	histo	orv			
TSG SA#	SA Doc.	SA1 Doc	Spec	CR	Rev	Rel		Subject/Comment	Old	New	WI
SP-05	SP-99436	S1-99540	22.129	005		R99	С	To elucidate 1-1 handover principle for R99	3.0.0	3.1.0	
SP-05	SP-99436	S1-99541	22.129	006		R99	D	Clarification of the scope of mandatory requirements	3.0.0	3.1.0	
SP-05	SP-99483	S1-99565	22.129	007	1	R99	С	Removes all non-R99 requirements, by changing them into Cross phase compatibility requirements which apply to R99.	3.0.0	3.1.0	
SP-06	SP-99553	S1-99877	22.129	800		R99	D	Editorial CR to 22.129	3.1.0	3.2.0	
SP-06	SP-99523	S1-991019	22.129	009		R99	D	3G/2G handover in the PS Domain	3.1.0	3.2.0	
SP-06	SP-99523	S1-991066	22.129	010		R99	В	Handover of a Multicall	3.1.0	3.2.0	
SP-06	SP-99521	S1-99868	22.129	011		R99	С	Performance requirements for real time services and requirements for handover between UMTS and GPRS	3.1.0	3.2.0	
SP-08	SP-000205	S1-000349	22.129	012		R99	F	Alignment of handover requirements for Multicall	3.2.0	3.3.0	
SP-09	SP-000426	S1-000574	22.129	013		R99	F	Removal of requirements for SoLSA support	3.3.0	3.4.0	
SP-09	SP-000389	S1-000613	22.129	014		R4	В	Bearer Modification without pre- notification	3.3.0	4.0.0	
SP-10	SP-000534	S1-000863	22.129	015		R99	F	Handover requirements	3.4.0	3.5.0	
SP-10	SP-000534		22.129	016		Rel-4	Α	Handover requirements	4.0.0	4.1.0	TEI4
SP-11	SP-010061		22.129	017		Rel-4	D	Editorial CR to correct references to releases	4.1.0	4.2.0	CORREC T
SP-12	SP-010264		22.129	018		Rel-4	F	Bearer modification without pre- modification	4.2.0	4.3.0	BMWPN
SP-12	SP-010245	S1-010353	22.129	019		Rel-4	F	Inter PLMN handover	4.2.0	4.3.0	Handover
SP-13	SP-010434	S1-010859	22.129	020	1	Rel-5	В	Release 5 IMS Service Continuity Requirements	4.3.0	5.0.0	IMS
SP-14	SP-010670	1289	22.129	025		Rel-5	Α	Multicall handover requirements	5.0.0	5.1.0	
SP-16	SP-020250	S1-021093	22.129	026		Rel-5	F	CR to TS 22.129 on access rights in connected mode	5.1.0	5.2.0	TEI
SP-19	SP-030035	S1-030271	22.129	027	-	Rel-6	В	Netshare CR to TS 22.129 on Requirements for Network Sharing in Rel-6	5.2.0	6.0.0	NTShar- CR
SP-22	SP-030704	S1-031300	22.129	028	4	Rel-6	В	Service based handover/assignment	6.0.0	6.1.0	TEI
SP-31	-	-	22.129	-	-	Rel-7		Rel-7 created as a result of applying CR 0029 from SA #31 to Rel-6 in order to create Rel-8	6.1.0	7.0.0	-
SP-31	SP-060208	-	22.129	0029	1	Rel-8	F	Introduction of reference to the AIPN Stage 1 in TS 22.129	6.1.0	8.0.0	AIPN
SP-38	SP-070855	S1-071839	22.129	0030	1	Rel-8	В	Introduction of reference to EPS Stage 1 in TS 22.129	8.0.0	8.1.0	AIPN-SAE
SP-46	-	-	-	-	-	-	-	Updated to Rel-9 by MCC	8.1.0	9.0.0	
2011-03	-	-	-	-	-	-	-	Update to Rel-10 version (MCC)	9.0.0	10.0.0	
2012-09	-	-	-	1-	-	-	-	Updated to Rel-11 by MCC	10.0.0	11.0.0	
2014-10	-	-	-	-	-	-	-	Update to Rel-12 version (MCC)	11.0.0	12.0.0	
2015-12	-	-	-	1-	-	-	-	Updated to Rel-13 by MCC	12.0.0	13.0.0	
2017-03	-	-	-	-	-	-	-	Updated to Rel-14 by MCC	13.0.0	14.0.0	

## History

Document history							
V14.0.0	March 2017	Publication					