

ETSI TS 123 380 V8.5.0 (2012-10)



Technical Specification

**Digital cellular telecommunications system (Phase 2+);
Universal Mobile Telecommunications System (UMTS);
LTE;
IMS Restoration Procedures
(3GPP TS 23.380 version 8.5.0 Release 8)**



Reference

RTS/TSGC-0423380v850

Keywords

GSM,LTE,UMTS

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from:

<http://www.etsi.org>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at

<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:

http://portal.etsi.org/chaicor/ETSI_support.asp

Copyright Notification

No part may be reproduced except as authorized by written permission.
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2012.
All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.
3GPP™ and **LTE™** are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.
GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://ipr.etsi.org>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Contents

Intellectual Property Rights	2
Foreword.....	2
Foreword.....	4
Introduction	4
1 Scope	5
2 References	5
3 Definitions, symbols and abbreviations	5
3.1 Definitions	5
3.2 Abbreviations	5
4 Restoration of Data in the S-CSCF	6
4.1 General	6
4.2 Registration Procedure	6
4.2.1 Introduction.....	6
4.2.2 S-CSCF Restoration after Failure	6
4.2.3 S-CSCF Restoration during Registration Process.....	6
4.3 UE Terminating Procedure.....	7
4.3.1 Introduction.....	7
4.3.2 S-CSCF Restoration after Restart	7
4.3.3 S-CSCF Restoration after Failure	7
4.4 UE Originating Procedure	7
4.4.1 Introduction.....	7
4.4.2 S-CSCF Restoration after Restart	8
4.4.3 S-CSCF Restoration after Failure	8
4.5 SIP-AS Originating Procedure	8
4.5.1 Introduction.....	8
4.5.2 S-CSCF Restoration after Restart	8
4.5.3 S-CSCF Restoration after Failure	9
4.6 S-CSCF Data Restoration Information Backup and Update Procedures	9
4.6.1 Introduction.....	9
4.6.2 Backup and Update of S-CSCF Restoration Information during Registration Process	9
4.6.3 Backup and Update of S-CSCF Restoration Information after UE's Subscription	9
Annex A (informative): Change history	11
History	12

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Introduction

Although network nodes in the IMS Core Network should have a very high availability, some maintenance downtime and occasional failures are unavoidable. Communication links although designed with robust protocols between the network elements are also subject to failures. This document specifies a set of standardized procedures for automatic restoration after loss or corruption of data reducing the impact of these problems in order to improve service to the users. The scenarios covered here for the IMS Domain are similar to those covered in 3GPP TS 23.007 [2] for the CS and PS Domains.

1 Scope

The present document specifies the procedures required in 3GPP IMS to handle a S-CSCF service interruption scenario with minimum impact to the service to the end user.

NOTE: IMS Restoration Procedures covering service interruption of other network elements are not defined in this version of the specification.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.007: "Restoration procedures".

[3] 3GPP TS 29.228: "IP Multimedia (IM) Subsystem Cx and Dx interfaces; Signalling flows and message contents".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Service Interruption: A period of time in which one or more network elements do not respond to requests and do not send any requests to the rest of the system.

S-CSCF Restoration Information: Information required for the S-CSCF to handle traffic for a registered user. This information is stored in HSS and if lost, retrieved by the S-CSCF.

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

LIR	Location Information Request
LIA	Location Information Answer
SAR	Server Assignment Request
SAA	Server Assignment Answer
UAR	User Authorization Request
UAA	User Authorization Answer

4 Restoration of Data in the S-CSCF

4.1 General

The following clauses describe the IMS Restoration Procedures for the S-CSCF service interruption in each of the scenarios where they apply.

4.2 Registration Procedure

4.2.1 Introduction

The following clauses specify the behaviour of HSS and S-CSCF if they support the IMS restoration feature.

4.2.2 S-CSCF Restoration after Failure

If the UE initiates a SIP REGISTER and the S-CSCF returned by the HSS during user registration status query procedure fails, the I-CSCF is unable to contact the S-CSCF. In this case, regardless of this registration is an initial registration, a re-registration or a de-registration, the I-CSCF shall send UAR with Authorization Type set to REGISTRATION_AND_CAPABILITIES to the HSS to explicitly request S-CSCF capabilities. After re-assignment of another S-CSCF according to the S-CSCF capabilities, the I-CSCF shall forward the REGISTER to the new S-CSCF. For registrations and re-registrations, S-CSCF shall proceed with the registration procedure as for initial registration, except for the clauses specified in 4.2.3.

For de-registrations, S-CSCF shall proceed as for user-initiated de-registration.

4.2.3 S-CSCF Restoration during Registration Process

During the registration procedure, the HSS shall send all the registered Private User Identities sharing the same Public User Identity which is being registered in the SAA, in addition to the basic user data to the S-CSCF. Then the S-CSCF compares the registered Private User Identities received from the HSS with the ones it stores. If there are any registered Private User Identities the S-CSCF does not have their registration data, the S-CSCF shall send SAR with Server Assignment Type set to NO_ASSIGNMENT to the HSS to retrieve the S-CSCF restoration information for the registered Public User Identity. If there are S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA to the S-CSCF. The result code shall be set to DIAMETER_SUCCESS.

If there are more than one group of S-CSCF restoration information related to the Public User Identity stored in the HSS, which may happen if the Public User Identity is shared by multiple Private User Identities, the HSS shall include all of the S-CSCF restoration information in the SAA. One group of S-CSCF restoration information corresponds to one Private User Identity.

If the S-CSCF receives an initial registration request for a Public User Identity that does not match any Public User Identity currently registered with the same Private User Identity as in the request at this S-CSCF, the S-CSCF shall check whether there is a reg-id parameter in the Contact header in the SIP REGISTER message and whether there is an "sos" SIP URI parameter in the SIP REGISTER message. Only when a reg-id parameter exists and an "sos" SIP URI parameter does not exist, the S-CSCF shall indicate to the HSS that the registration is related to a multiple registration.

If the HSS receives an SAR request with multiple registration indication, and the Public User Identity is stored as registered in the HSS, and there is restoration information related to the Private User Identity, the HSS shall not overwrite stored restoration information, instead, it shall send the stored S-CSCF restoration information together with the user profile in the SAA. The result code shall be set to DIAMETER_ERROR_IN_ASSIGNMENT_TYPE. The S-CSCF shall send a new SAR with Server-Assignment-Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE to update the restoration information in the HSS in accordance to the current registration event.

If the S-CSCF receives a user-initiated deregistration request for a Public User Identity that does not match any Public User Identity currently registered with the same Private User Identity as in the request at this S-CSCF, the S-CSCF shall check whether there is a reg-id parameter in the Contact header in the received SIP REGISTER message,

- if a reg-id parameter exists, the S-CSCF shall:
 - 1. Send SAR with Server-Assignment-Type set to NO_ASSIGNMENT to retrieve the S-CSCF restoration information associated with the Public User Identity. The Result-Code shall be set to DIAMETER SUCCESS.
 - 2. Compare the contact address(es) received in SAA with the contact address(es) in REGISTER request:
 - If they are not the same, the S-CSCF shall send SAR with Server-Assignment-Type set to RE_REGISTRATION to update the S-CSCF restoration information in HSS with the Contact address(es) still associated with the Public User Identity after the deregistration event.

Otherwise, the S-CSCF shall send SAR with Server-Assignment-Type set to USER_DEREGISTRATION.

4.3 UE Terminating Procedure

4.3.1 Introduction

The following clauses specify the behaviour of HSS, I-CSCF and S-CSCF if they support the IMS Restoration feature.

4.3.2 S-CSCF Restoration after Restart

The S-CSCF lost all user data if it restarts after a failure or it is unable to trust any data after it resumes operation, due to the fact that it may have lost profile updates from the HSS in the service interruption period. If such a S-CSCF receives a terminating service request from the I-CSCF, it sends an SAR to the HSS for unregistered service data. In this case, HSS and S-CSCF proceed as indicated in 3GPP TS 29.228 [3], except that

- if the Public User Identity is stored as registered in the HSS, and there are S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA. The result code shall be set to DIAMETER_ERROR_IN_ASSIGNMENT_TYPE. The S-CSCF shall trigger matched registered services for the Public User Identity.

If there are more than one group of S-CSCF restoration information related to the Public User Identity, which may happen if the Public User Identity is shared by multiple Private User Identities, the HSS shall include all of the S-CSCF restoration information in the SAA. One group of S-CSCF restoration information corresponds to one Private User Identity.

If the S-CSCF restoration information received includes the UE's subscription information, the S-CSCF shall construct a NOTIFY message according to the information and send it to the UE to trigger a new registration at anytime after normal processing of the terminating request.

4.3.3 S-CSCF Restoration after Failure

If the S-CSCF returned by the HSS during location query procedure fails, the I-CSCF is unable to contact the S-CSCF during terminating procedure. In this case, the I-CSCF shall send LIR to the HSS to explicitly request S-CSCF capabilities. If the HSS returns the S-CSCF capabilities to the I-CSCF, after re-selection of another S-CSCF according to the S-CSCF capabilities, the I-CSCF shall forward the service request to the new S-CSCF. The HSS and this new S-CSCF shall behave as described in clause 4.3.2, except that the HSS shall overwrite the S-CSCF name when receiving the SAR request, only if there is a previous explicit LIR request for S-CSCF capabilities.

4.4 UE Originating Procedure

4.4.1 Introduction

The following clauses specify the behaviour of HSS, S-CSCF and P-CSCF if they support the IMS Restoration feature.

4.4.2 S-CSCF Restoration after Restart

The S-CSCF lost all user data if it restarts after a failure or it is unable to trust any data after it resumes operation, due to the fact that it may have lost profile updates from the HSS in the service interruption period. If such a S-CSCF receives an originating request different from SIP REGISTER coming from the UE, the S-CSCF shall send SAR to the HSS with Server Assignment Type set to NO_ASSIGNMENT to restore the user data. If the S-CSCF name sent in the Server-Assignment-Request command and the previously assigned S-CSCF name stored in the HSS are different, which may happen if S-CSCF reassignment occurred during a terminating restoration before, the HSS shall not overwrite the S-CSCF name; instead it shall send a response to the S-CSCF with result code set to DIAMETER_UNABLE_TO_COMPLY, as specified in the 3GPP TS 29.228 [3]. If there are S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA to the S-CSCF. If the HSS returns an error DIAMETER_UNABLE_TO_COMPLY to the S-CSCF, the S-CSCF shall then return a specific error response to the UE to trigger a new registration.

If there are more than one group of S-CSCF restoration information related to the Public User Identity stored in the HSS, which may happen if the Public User Identity is shared by multiple Private User Identities, the HSS shall include all of the S-CSCF restoration information in the SAA. One group of S-CSCF restoration information corresponds to one Private User Identity.

If the S-CSCF receives SAA with the service profile of the user, the S-CSCF shall continue the originating service as normal.

If the S-CSCF receives SAA with S-CSCF restoration information and the S-CSCF restoration information includes the UE's subscription information, the S-CSCF shall construct a NOTIFY message according to the information and send it to the UE to trigger a new registration at anytime after normal processing of the originating request.

4.4.3 S-CSCF Restoration after Failure

If the UE initiates an originating service request different from SIP REGISTER and the P-CSCF is unable to contact the S-CSCF in the Route, the P-CSCF shall return a specific error response to the UE to trigger a new registration.

4.5 SIP-AS Originating Procedure

4.5.1 Introduction

The following clauses specify the behaviour of HSS, I-CSCF and S-CSCF if they support the IMS Restoration feature.

4.5.2 S-CSCF Restoration after Restart

The S-CSCF lost all user data if it restarts after a failure or it is unable to trust any data after it resumes operation, due to the fact that it may have lost profile updates from the HSS in the service interruption period. If such S-CSCF receives an originating request on behalf of a user (i.e. top-most route header in request contains "orig" parameter) coming from an AS, the S-CSCF shall send SAR to the HSS with Server Assignment Type set to UNREGISTERED_USER to inform the HSS that the user is unregistered. HSS and S-CSCF proceed as indicated in 3GPP TS 29.228 [3], except that:

- if the Public User Identity is stored as registered in the HSS, and there is S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the S-CSCF restoration information together with the user profile in the SAA. The result code shall be set to DIAMETER_ERROR_IN_ASSIGNMENT_TYPE. The S-CSCF shall trigger matched originating services for the Public User Identity. If the Public User Identity is stored as registered in the HSS, and there is no S-CSCF restoration information related to the Public User Identity stored in the HSS, the HSS shall send the user profile in the SAA and set the registration state for the Public Identity to unregistered. The result code shall be set to DIAMETER_SUCCESS. The S-CSCF shall trigger matched originating unregistered services for the Public User Identity.
- if the S-CSCF name sent in the Server-Assignment-Request command and the previously assigned S-CSCF name stored in the HSS are different, the HSS shall not overwrite the S-CSCF name. Result Code will be DIAMETER_IDENTITY_ALREADY_REGISTERED. The S-CSCF shall return a specific error response to AS. The AS shall resend the request to the I-CSCF.

NOTE: The address of the S-CSCF can be obtained by AS either by querying the HSS on the Sh interface or during third-party registration. It may happen that if AS is using third party registration and a reassignment occurred during a terminating request, AS will have the wrong S-CSCF name.

4.5.3 S-CSCF Restoration after Failure

If the application server sends the originating service request on behalf of the user to the S-CSCF, and the S-CSCF can not be contacted, after timeout, the application server shall resend the originating service request to the I-CSCF.

If the application server sends the originating service request directly to the I-CSCF, or resends the originating service request to the I-CSCF due to the S-CSCF can not be contacted, the I-CSCF shall behave as in section 4.3.3. The S-CSCF and HSS shall behave as in section 4.5.2, except that the HSS shall overwrite the S-CSCF name when receiving the SAR request, only if there is a previous explicit LIR request for S-CSCF capabilities.

4.6 S-CSCF Data Restoration Information Backup and Update Procedures

4.6.1 Introduction

The following clauses specify the behaviour of HSS and S-CSCF if they support the IMS Restoration feature.

4.6.2 Backup and Update of S-CSCF Restoration Information during Registration Process

The S-CSCF shall backup the following data in the HSS during the initial registration process.

- the list of SIP proxies in the path (normally it would be just the P-CSCF address)
- the Contact Information (Contact Addresses and Contact Header parameters)

This is done with an additional information element in the SAR requesting user information, in addition to the basic set of information required to handle traffic, as specified in the 3GPP TS 29.228 [3]. The information is associated with the Private User Identity and the Implicit Registration Set that is affected by the SAR request. The HSS shall store this information.

If any of the above data is changed, the S-CSCF shall update it in the HSS using SAR request with Server-Assignment-Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE, as specified in the 3GPP TS 29.228 [3].

4.6.3 Backup and Update of S-CSCF Restoration Information after UE's Subscription

If the S-CSCF receives the UE's subscription to notification of the reg-event for the first time, the S-CSCF shall send an SAR to the HSS to store the following UE's subscription information.

- Call-ID, From, To, Record-Route, Contact

To avoid frequent storing of the subscription information in the HSS, the CSeq should not be included in the S-CSCF restoration information. Instead, the CSCF shall ensure that subsequent notification after retrieving this data includes a sufficiently large Cseq value so that the UE is able to accept it.

This is done with Server Assignment Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE in the SAR, as specified in the 3GPP TS 29.228 [3]. The information is associated with the Private User Identity affected by the SAR request. The HSS shall store this information.

If any of the above data is changed, the S-CSCF shall update it in the HSS using SAR request with Server-Assignment-Type set to RE_REGISTRATION and the User Data Already Available parameter set to USER_DATA_ALREADY_AVAILABLE, as specified in the 3GPP TS 29.228 [3].

The S-CSCF shall send the registration data together with the subscription data as one S-CSCF restoration information. Each time the HSS receives the S-CSCF restoration information related to the same Private User Identity in the SAR with Server-Assignment-Type set to RE_REGISTRATION, the HSS shall overwrite the previous S-CSCF restoration information.

Annex A (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
	CT#41				V1.0.0 was approved in CT#41	1.0.0	8.0.0
2008-12	CT#42	CP-080698	0003	-	Re-selection of S-CSCF at de-registration	8.0.0	8.1.0
		CP-080698	0004	1	Subscription to registration information recover		
		CP-080963	0007	3	AS originating procedures		
		CP-080698	0008	-	Multiple contacts restoration at re-registration		
		CP-080698	0009	1	Multiple contacts restoration at de-registration		
2009-03	CT#43	CP-090026	0010	-	Multiple Registrations in De-Registration	8.1.0	8.2.0
			0011	-	Multiple Registrations in Registration		
2009-06	CT#44	CP090303	0019	-	Contact storage in reg event subscription	8.2.0	8.3.0
2011-06	CT#52	CP-110356	0031	-	Emergency Restoration	8.3.0	8.4.0
2012-09	CT#57	CP-120440	0038	1	Emergency registrations do not affect registration status	8.4.0	8.5.0

History

Document history		
V8.1.0	January 2009	Publication
V8.2.0	April 2009	Publication
V8.3.0	June 2009	Publication
V8.4.0	June 2011	Publication
V8.5.0	October 2012	Publication