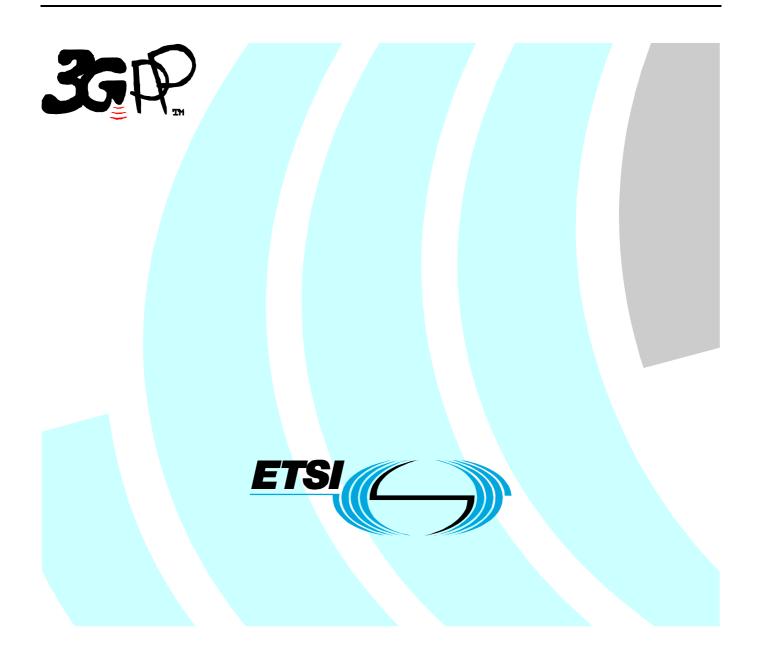
# ETSI TS 125 424 V9.0.1 (2011-04)

**Technical Specification** 

Universal Mobile Telecommunications System (UMTS); UTRAN lur interface data transport & transport signalling for Common Transport Channel data streams (3GPP TS 25.424 version 9.0.1 Release 9)



Reference RTS/TSGR-0325424v901

> Keywords UMTS

#### ETSI

#### 650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

Individual copies of the present document can be downloaded from: http://www.etsi.org

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <u>http://portal.etsi.org/tb/status/status.asp</u>

If you find errors in the present document, please send your comment to one of the following services: <u>http://portal.etsi.org/chaircor/ETSI\_support.asp</u>

#### **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

> © European Telecommunications Standards Institute 2011. All rights reserved.

**DECT<sup>TM</sup>**, **PLUGTESTS<sup>TM</sup>**, **UMTS<sup>TM</sup>**, **TIPHON**<sup>TM</sup>, the TIPHON logo and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

**3GPP**<sup>™</sup> is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

LTE<sup>™</sup> is a Trade Mark of ETSI currently being registered

for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

# Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

# Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <u>http://webapp.etsi.org/key/queryform.asp</u>.

# Contents

| Intellectual Property Rights  |
|---|
| Foreword2   |
| Foreword4   |
| 1 Scope   |
| 2 References  |
| 3Definitions and abbreviations  |
| 4 Data Link Layer   |
| 5I <sub>ur</sub> Data Transport for Common Transport Channel Data Streams   |
| 6 I <sub>ur</sub> Transport Signalling Application for Common Transport Channel Data Streams 9   6.1 Introduction 9   6.2 Transport Signalling in case of ATM option 9   6.3 Transport Signalling in case of IP Transport Option 10 |
| 7 Signalling Bearer for ALCAP on I <sub>ur</sub> Interface  |
| 8 Interworking between ATM and IP Transport Options10   |
| Annex A (informative): Change history   |

# Foreword

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

### 1 Scope

The present document shall provide a specification of the UTRAN RNC-RNC (Iur) interface Data Transport and Transport Signalling for Common Transport Channel data streams.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] ITU-T Recommendation I.361 (11/95): "B-ISDN ATM Layer Specification".
- [2] ITU-T Recommendation I.363.2 (11/2000): "B-ISDN ATM Adaptation Layer type 2".
- [3] ITU-T Recommendation I.366.1 (6/98): "Segmentation and Re-assembly Service Specific Convergence Sublayer for the AAL type 2".
- [4] New ITU-T Recommendation Q.2630.1 (12/99): "AAL Type 2 signalling protocol (Capability Set 1)".
- [5] ITU-T Recommendation E.191 (03/00): "B-ISDN addressing".
- [6] 3GPP TS 25.426: "UTRAN I<sub>ur</sub> and I<sub>ub</sub> Interface Data Transport & Transport Signalling for DCH Data Streams".
- [7] 3GPP TS 25.434: "UTRAN I<sub>ub</sub> Interface Data Transport & Transport Signalling for Common Transport Channel Data Streams".
- [8] ITU-T Recommendation Q.2630.2 (12/2000): "AAL Type 2 signalling protocol (Capability Set 2)".
- [9] ITU-T Recommendation X.213 (11/95): "Information Technology Open Systems Interconnection - Network Service Definition".
- [10] IETF STD 51, RFC 1661 (July 1994): "The Point-To-Point Protocol (PPP)".
- [11] IETF STD 51, RFC 1662 July 1994: "PPP in HDLC-like Framing".
- [12] IETF RFC 2507 (February 1999): "IP header compression".
- [13] IETF RFC 1990 "The PPP Multilink Protocol (MP)".
- [14] IETF RFC 2686 "The Multi-Class Extension to Multi-Link PPP".
- [15] IETF RFC 2509 (February 1999): "IP Header Compression over PPP".
- [16] IETF RFC 2460 "Internet Protocol, Version 6 (Ipv6) Specification".
- [17] IETF RFC 791 (1981): "Internet Protocol".
- [18] IETF RFC 2474 (December 1998): "Definition of the Differentiated Services Field (DS Field) in the IPv4 and IPv6 Headers".

- [19] IETF RFC 768 (8/1980): "User Datagram Protocol".
- [20] IETF RFC 3153 (1/2001): "PPP Multiplexing".
- [21] IETF RFC 2364 (1/2001): "PPP over AAL5".
- [22] IETF RFC 3031 (1/2001):"Multiprotocol Label Switching Architecture".
- [23] ITU-T Recommendation E.164 (5/97): " The international public telecommunication numbering plan ".

# 3 Definitions and abbreviations

# 3.1 Definitions

Common Transport Channels are defined as transport channels that are shared by several users i.e. RACH, FACH, DSCH [TDD], USCH [TDD] and HS-DSCH.

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

| AAL2      | ATM Adaptation Layer type 2                            |
|-----------|--|
| AAL5      | ATM Adaptation Layer type 5                            |
| AESA      | ATM End System Address                                 |
| ALCAP     | Access Link Control Application Part                   |
| ATM       | Asynchronous Transfer Mode                             |
| CPS       | Common Part Sublayer                                   |
| DiffServ  | Differentiated Services                                |
| DSCH      | Downlink Shared Channel                                |
| FACH      | Forward Access Channel                                 |
| HDLC      | High level Data Link Control                           |
| HS-DSCH   | High Speed Downlink Shared Channel                     |
| IP        | Internet Protocol                                      |
| IPv4      | Internet Protocol, version 4                           |
| IPv6      | Internet Protocol, version 6                           |
| IWF       | Interworking Function                                  |
| IWU       | Interworking Unit                                      |
| LC        | Link Characteristics                                   |
| ML/MC PPP | Multilink-Multiclass PPP                               |
| MPLS      | Multiprotocol Label Switching                          |
| MTP       | Message Transfer Part                                  |
| NNI       | Network-Node Interface                                 |
| NSAP      | Network Service Access Point                           |
| PPP       | Point-to-Point Protocol                                |
| PPPMux    | PPP Multiplexing                                       |
| РТ        | Path Type  |
| QoS       | Quality of Service                                     |
| RACH      | Random Access Channel                                  |
| SAAL      | Signalling ATM Adaptation Layer                        |
| SDU       | Service Data Unit                                      |
| SSCOP     | Service Specific Connection Oriented Protocol          |
| SSCF      | Service Specific Co-ordination Function                |
| SSCS      | Service Specific Convergence Sublayer                  |
| SSSAR     | Service Specific Segmentation and Re-assembly sublayer |
| STC       | Signalling Transport Converter                         |
| TNL       | Transport Network Layer                                |
| UDP       | User Datagram Protocol                                 |
| UNI       | User-Network Interface                                 |
|           | U SUI-INCLWOIR IIICHIACC                               |

USCH Uplink Shared Channel

# 3.3 Specification Notations

For the purposes of the present document, the following notations apply:

- [FDD] This tagging of a word indicates that the word preceding the tag "[FDD]" applies only to FDD. This tagging of a heading indicates that the heading preceding the tag "[FDD]" and the section following the heading applies only to FDD.
- [TDD] This tagging of a word indicates that the word preceding the tag "[TDD]" applies only to TDD, including 3.84Mcps TDD, 7.68Mcps TDD and 1.28Mcps TDD. This tagging of a heading indicates that the heading preceding the tag "[TDD]" and the section following the heading applies only to TDD, including 3.84Mcps TDD, 7.68Mcps TDD and 1.28Mcps TDD.
- [3.84Mcps TDD] This tagging of a word indicates that the word preceding the tag "[3.84Mcps TDD]" applies only to 3.84Mcps TDD. This tagging of a heading indicates that the heading preceding the tag "[3.84Mcps TDD]" and the section following the heading applies only to 3.84Mcps TDD.
- [1.28Mcps TDD] This tagging of a word indicates that the word preceding the tag "[1.28Mcps TDD]" applies only to 1.28Mcps TDD. This tagging of a heading indicates that the heading preceding the tag "[1.28Mcps TDD]" and the section following the heading applies only to 1.28Mcps TDD.
- [7.68Mcps TDD] This tagging of a word indicates that the word preceding the tag "[7.68Mcps TDD]" applies only to 7.68Mcps TDD. This tagging of a heading indicates that the heading preceding the tag "[7.68Mcps TDD]" and the section following the heading applies only to 7.68Mcps TDD.
- [FDD ...] This tagging indicates that the enclosed text following the "[FDD " applies only to FDD. Multiple sequential paragraphs applying only to FDD are enclosed separately to enable insertion of TDD specific (or common) paragraphs between the FDD specific paragraphs.
- [TDD ...] This tagging indicates that the enclosed text following the "[TDD " applies only to TDD including 3.84Mcps TDD, 7.68Mcps TDD and 1.28Mcps TDD. Multiple sequential paragraphs applying only to TDD are enclosed separately to enable insertion of FDD specific (or common) paragraphs between the TDD specific paragraphs.
- [3.84Mcps TDD ...] This tagging indicates that the enclosed text following the "[3.84Mcps TDD " applies only to 3.84Mcps TDD. Multiple sequential paragraphs applying only to 3.84Mcps TDD are enclosed separately to enable insertion of FDD and TDD specific (or common) paragraphs between the 3.84Mcps TDD specific paragraphs.
- [1.28Mcps TDD ...] This tagging indicates that the enclosed text following the "[1.28Mcps TDD " applies only to 1.28Mcps TDD. Multiple sequential paragraphs applying only to 1.28Mcps TDD are enclosed separately to enable insertion of FDD and TDD specific (or common) paragraphs between the 1.28Mcps TDD specific paragraphs.
- [7.68Mcps TDD ...] This tagging indicates that the enclosed text following the "[7.68Mcps TDD " applies only to 7.68Mcps TDD. Multiple sequential paragraphs applying only to 7.68Mcps TDD are enclosed separately to enable insertion of FDD and TDD specific (or common) paragraphs between the 7.68Mcps TDD specific paragraphs.

# 4 Data Link Layer

#### 4.1 ATM Transport Option

ATM shall be used in the transport network user plane and the transport network control plane according to ITU-T Recommendation I.361 [1]. The structure of the cell header used in the UTRAN Iur interface is the cell header format and encoding at NNI (see Figure 3/I.361 [1]).

### 4.2 IP Transport Option

A UTRAN Node supporting IP transport option shall support PPP protocol with HDLC framing (IETF RFC 1661 [10], IETF RFC 1662 [11]).

Note: This does not preclude the single implementation and use of any other data link layer protocols (e.g. PPPMux/AAL5/ATM (IETF RFC 3153 [20], IETF RFC 2364 [21]), PPP/AAL2/ATM, Ethernet, MPLS/ATM (IETF RFC 3031 [22]), etc.) fulfilling the UTRAN requirements toward the upper layers.

An RNC using IP transport option having interfaces connected via slow bandwidth PPP links like E1/T1/J1 shall also support IP Header Compression (IETF RFC 2507 [12]) and the PPP extensions ML/MC-PPP (IETF RFC 1990 [13]), (IETF RFC 2686 [14]). In this case, negotiation of header compression (IETF RFC 2507 [12]) over PPP shall be performed via IETF RFC 2509 [15].

# 5 I<sub>ur</sub> Data Transport for Common Transport Channel Data Streams

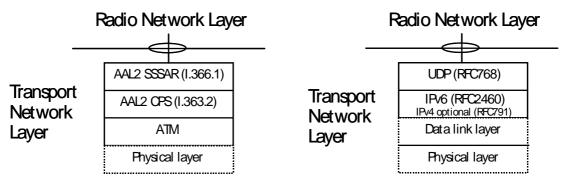
### 5.1 Introduction

This clause specifies the transport layers that support Common Channels (FACH, RACH, DSCH [TDD], USCH [TDD], HS-DSCH) Iur data streams.

There are two options for the transport layer of the Common Channels data streams in Iur and Iub:

- 1) ATM based Transport (ATM transport option)
- 2) IP based Transport (IP transport option)

The following figure shows the protocol stacks of the two options.



Protocol stack for ATM transport option

Protocol stack for IP transport option

#### Figure 1: Transport network layer for DCH data streams over lur and lub interfaces

### 5.2 ATM Transport Option

ATM (ITU-T Rec. I.361 [1]), AAL type 2 (ITU-T Recommendations I.363.2 [2] and ITU-T Rec. I.366.1 [3]) is used as the standard transport layer for RACH, FACH, USCH [TDD], DSCH [TDD] and HS-DSCH Iur data streams.

These AAL2 connections are established via the transport signalling protocol described in clause 5.

Figure 1 shows the protocol stack for the transport of RACH, FACH, USCH [TDD], DSCH [TDD] and HS-DSCH Iur data streams using the ATM Transport Option. Service Specific Segmentation and Re-assembly (SSSAR) is used for the segmentation and re-assembly of AAL2 SDUs (i.e. SSSAR is only considered from ITU-T Recommendation I.366.1 [3]).

# 5.3 IP Option

UDP (IETF RFC 2474 [18]) over IP shall be used as the transport for RACH, FACH, USCH [TDD], DSCH [TDD] and HS-DSCH data streams on Iur. The data link layer is as specified in subclause 4.2.

An IP UTRAN Node shall support IPv6 (IETF RFC 2460 [16]). The support of IPv4 (IETF RFC 791 [17]) is optional.

Note: This does not preclude single implementation of IPv4.

IP dual stack support is recommended for the potential transition period from IPv4 to IPv6 in the transport network.

The transport bearer is identified by the UDP port number and the IP address (source UDP port number, destination UDP port number, source IP address, destination IP address).

IP Differentiated Services code point marking (IETF RFC 2474 [18]) shall be supported. The mapping between traffic categories and Diffserv code points shall be configurable by O&M. Traffic categories are implementation-specific and may be determined from the application parameters.

# 6 I <sub>ur</sub> Transport Signalling Application for Common Transport Channel Data Streams

#### 6.1 Introduction

This clause specifies the transport signalling protocol(s) used to establish the user plane transport bearers. The protocol stack is shown in TS 25.426 [6].

### 6.2 Transport Signalling in case of ATM option

AAL2 signalling protocol Capability Set 2, ITU-T Recommendation Q.2630.2 [8], is the signalling protocol to control the AAL2 connections on Iur interfaces. ITU-T Rec. Q.2630.2 [8] adds new optional capabilities to ITU-T Rec. Q.2630.1 [4].

AAL2 transport layer addressing is based on embedded E.164 or other AESA variants of the NSAP addressing format ITU-T Rec. E.191 [5], ITU-T Rec. X.213 [9]. Native E.164 (ITU-T Rec. E.164 [23]) addressing shall not be used.

Binding ID provided by the radio network layer shall be copied in SUGR parameter of ESTABLISH.request primitive of ITU-T Rec. Q.2630.2 [8]. The binding identifier shall already be assigned and tied to a radio application procedure when the Establish Request message is received over the Iur interface in the Drift RNC.

User Plane Transport bearers are established by the ALCAP in Serving RNC, and in all normal cases released by the ALCAP in the RNC which established the AAL2 connection.

The Link Characteristics parameter (LC) shall be included in the Establish Request message and in the Modification Request message of AAL2 signalling protocol.

If there is an AAL2 switching function in the transport network layer of the interface, the Path Type parameter (PT) may be included in the Establish Request message of AAL2 signalling protocol for prioritisation at ATM level.

If the value in either the Maximum CPS-SDU Bit Rate or the Average CPS-SDU Bit Rate of the Link Characteristics(LC) in AAL 2 signalling messages as specified in reference ITU-T Rec. Q.2630.2 [8] is 2048 Kbit/s, it shall be interpreted as bit rate 2048 Kbit/s or higher.

NOTE: Separation of traffic (e.g. HS-DSCH) that is using this modified interpretation of Link Characteristics in ref. ITU-T Rec. Q.2630.2 [8] from other traffic is highly recommended. Otherwise the potential bursty nature of this specific traffic in combination with its unknown bit rate may decrease the QoS of all traffic within the same AAL type 2 path.

#### 6.3 Transport Signalling in case of IP Transport Option

An ALCAP protocol is not required in case both RNCs are using the IP transport option.

# 7 Signalling Bearer for ALCAP on I<sub>ur</sub> Interface

### 7.1 ATM Transport Option

The signalling bearer for the ALCAP on the Iur interface for common transport channels data streams is the same as the signalling bearer for the ALCAP on the Iur interface for DCH data streams, defined in TS 25.426 [6].

### 7.2 IP Transport Option

An ALCAP protocol is not required in case both RNCs are using the IP transport option.

# 8 Interworking between ATM and IP Transport Options

An RNC supporting IP transport option shall provide interworking to an RNC supporting only ATM transport option. The interworking alternatives are defined in TS 25.426 [6].

# Annex A (informative): Change history

| Date /<br>TSG | TSG Doc.  | CR | Rev | Subject/Comment  | New   |
|---------------|-----------|----|-----|--|-------|
| 12/2008       | -         | -  | -   | Creation of Rel-8 version based on v7.1.0                  | 8.0.0 |
| 12/2009       | -         | -  | -   | Creation of Rel-9 version based on v8.0.0                  | 9.0.0 |
| SP-49         | SP-100629 |    |     | Clarification on the use of References (TS 21.801 CR#0030) | 9.0.1 |

# History

| Document history |              |             |  |  |  |  |
|------------------|--------------|-------------|--|--|--|--|
| V9.0.0           | January 2010 | Publication |  |  |  |  |
| V9.0.1           | April 2011   | Publication |  |  |  |  |
|                  |              |             |  |  |  |  |
|                  |              |             |  |  |  |  |
|                  |              |             |  |  |  |  |