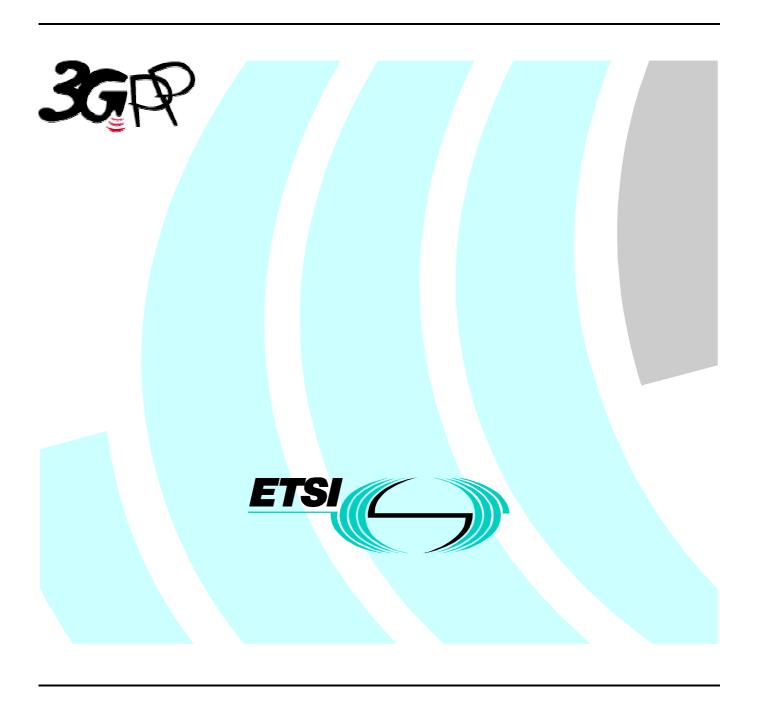
# ETSI TS 125 435 V3.5.0 (2000-12)

Technical Specification

Universal Mobile Telecommunications System (UMTS); UTRAN I<sub>ub</sub> Interface User Plane Protocols for Common Transport Channel Data Streams (3GPP TS 25.435 version 3.5.0 Release 1999)



Reference
RTS/TSGR-0325435UR4

Keywords
UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

Individual copies of the present document can be downloaded from: <u>http://www.etsi.org</u>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <a href="http://www.etsi.org/tb/status/">http://www.etsi.org/tb/status/</a>

If you find errors in the present document, send your comment to: editor@etsi.fr

#### Copyright Notification

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2000.

All rights reserved.

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://www.etsi.org/ipr).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Foreword**

This Technical Specification (TS) has been produced by the ETSI 3<sup>rd</sup> Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under www.etsi.org/key.

## Contents

Forev	word	5
1	Scope	6
2	References	6
3	Definitions and abbreviations.	6
3.1	Definitions	
3.2	Abbreviations	
4		
4	General aspects	
4.1 4.2	Common Transport Channel Data Stream User Plane Protocol Services	
4.2 4.3	Protocol Version	
5	Data Streams User Plane Procedures	
5.1	Data Transfer	
5.1.1	RACH Channels	
5.1.2	CPCH [FDD] Channels	
5.1.3	Secondary-CCPCH related transport Channels  Downlink Shared Channels	
5.1.4 5.1.5	[TDD — Uplink Shared Channels]	
5.1.5	Node Synchronisation	
5.3	DL Transport Channels Synchronisation	
5.4	DL Timing Adjustment	
5.5	[TDD – Dynamic PUSCH assignment]	
5.6	DSCH TFCI Signalling [FDD]	
5.7	Timing Advance [TDD]	13
6	Frame Structure and Coding	13
6.1	General	
6.2	Data frame structure	
6.2.1	RACH Channels	
6.2.2	CPCH [FDD] Channels	
6.2.3	FACH Channels	16
6.2.4	PCH Channels	17
6.2.5	Downlink Shared Channels	
6.2.6	Uplink Shared Channels [TDD]	
6.2.7	Coding of information elements in data frames	
6.2.7.		
6.2.7.2	<b>71</b>	20
6.2.7.3 6.2.7.4		
6.2.7.5	1	
6.2.7.6	- 10 1-	
6.2.7.		
6.2.7.8		
6.2.7.9		
6.2.7.		22
6.2.7.		
6.2.7.		
6.2.7.		
6.2.7.		
6.2.7.		
6.2.7.1 6.2.7.1	- 1	
6.2.7.1 6.2.7.1		
6.2.7.		
6.2.7.2	<u>*</u>	

6.3	Control frame structure	24
6.3.1	Introduction	24
6.3.2	Coding of information elements of the Control frame header	24
6.3.2.1	Frame CRC	24
6.3.2.2	Frame type (FT)	24
6.3.2.3	Control Frame Type	24
6.3.3	Payload structure and information elements	
6.3.3.1	Timing Adjustment	25
6.3.3.1		
6.3.3.1		
6.3.3.1		
6.3.3.1		
6.3.3.2	DL synchronisation	
6.3.3.2	· · · · · · · · · · · · · · · · · · ·	
6.3.3.2	·	
6.3.3.2		
6.3.3.3	UL Synchronisation	
6.3.3.3	·	
6.3.3.3	·	
6.3.3.3		
6.3.3.3		
6.3.3.4	DL Node Synchronisation	
6.3.3.4	•	
6.3.3.4	·	
6.3.3.4		
6.3.3.5	UL Node Synchronisation	
6.3.3.5		
6.3.3.5	·	
6.3.3.5		
6.3.3.5		
6.3.3.5		
6.3.3.6	[TDD – Dynamic PUSCH assignment]	
6.3.3.6	The state of the s	
6.3.3.6	•	
6.3.3.6		
6.3.3.6		
6.3.3.7	[FDD - DSCH TFCI signalling]	
6.3.3.7		
6.3.3.7	·	
6.3.3.7		31
6.3.3.8	[TDD - Timing Advance]	31
6.3.3.8		
6.3.3.8	•	
6.3.3.8	3 TA	32
6.3.3.8	4 Spare Extension	32
7	Frame protocol error handling	32
7.1	Error detection	
7.1.1	CRC Calculation	
7.1.1.1	Relation between input and output of the Cyclic Redundancy Check	
Annex	X A (informative): Change History	34

## **Foreword**

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document provides a description of the UTRAN RNC-Node B(Iub) interface user plane protocols for Common Transport Channel data streams as agreed within the TSG-RAN working group 3.

NOTE: By Common Transport Channel one must understand RACH, CPCH [FDD], FACH/PCH, DSCH and USCH.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- [1] 3GPP TS UMTS 25.301: "Radio Interface Protocol Architecture".
   [2] 3GPP TS 25.402: "Synchronisation in UTRAN, Stage 2".
- [3] 3GPP TS 25.302: "Services provided by the Physical Layer, Source WG2".
- [4] 3GPP TS 25.221: "Physical channels and mapping of transport channels to physical channels (TDD)".
- [5] 3GPP TS 25.211: "Physical channels and mapping of transport channels onto physical channels (FDD)".
- [6] 3GPP TS 25.433: "UTRAN lub interface NBAP signalling".
- [7] 3GPP TS 25.225: "Physical layer Measurements (TDD)".

## 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply.

**Transport Connection:** service provided by the transport layer and used by Frame Protocol for the delivery of FP PDU For other definitions, please refer to [2].

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

CFN Connection Frame Number
CPCH Common Packet Channel
CRC Cyclic Redundancy Checksum

CRCI CRC Indicator

DCH Dedicated Transport Channel

DL Downlink

DSCH Downlink Shared Channel

FP Frame Protocol FT Frame Type PC Power Control

PDSCH Physical Downlink Shared Channel PUSCH Physical Uplink Shared Channel

QE Quality Estimate
TB Transport Block
TBS Transport Block Set
TFI Transport Format Indicator

ToA Time of arrival

TTI Transmission Time Interval

UL Uplink

USCH Uplink Shared Channel

For other abbreviations, please refer to [2].

## 4 General aspects

# 4.1 Common Transport Channel Data Stream User Plane Protocol Services

Common transport channel provides the following services:

- Transport of TBS between the Node B and the CRNC for common transport channels.
- Support of transport channel synchronisation mechanism.
- Support of Node Synchronisation mechanism.

## 4.2 Services expected from data transport

The following services are expected from the transport layer:

- In sequence delivery of Frame Protocol PDUs.

#### 4.3 Protocol Version

This revision of the specification specifies version 1 of the protocols.

## 5 Data Streams User Plane Procedures

### 5.1 Data Transfer

#### 5.1.1 RACH Channels

Data Transfer procedure is used to transfer data received from Uu interface from Node B to CRNC. Data Transfer procedure consists of a transmission of Data Frame from Node B to CRNC.



Figure 1: RACH Data Transfer Procedure

#### 5.1.2 CPCH [FDD] Channels

Data Transfer procedure is used to transfer data received from Uu interface from Node B to CRNC. Data Transfer procedure consists of a transmission of Data Frame from Node B to CRNC.

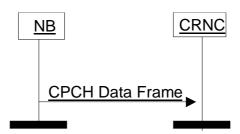


Figure 2: CPCH [FDD] Data Transfer Procedure

## 5.1.3 Secondary-CCPCH related transport Channels

For the FACH transport channel, a Data Transfer procedure is used to transfer data from CRNC to Node B. Data Transfer Procedure Consists of a transmission of Data Frame from CRNC to Node B.

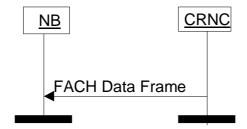


Figure 3: FACH Data Transfer Procedure

For the PCH transport channel, a Data Transfer procedure is used to transfer data from CRNC to Node B. Data Transfer Procedure Consists of a transmission of Data Frame from CRNC to Node B.



Figure 4: PCH Data Transfer Procedure

In this case the PCH Data Frame may also transport information related to the PICH channel.

If the Node B does not receive a valid FP frame in a TTI, it assumes that there is no data to be transmitted in that TTI for this transport channel. For the FACH and PCH transport channels, the TFS shall never define a Transport Block Size of zero bits.

If the Node B is aware of a TFI value corresponding to zero bits for this transport channel, this TFI is assumed. When combining the TFI's of the different transport channels, a valid TFCI might result and in this case data shall be transmitted on the Uu.

If the node B is not aware of a TFI value corresponding to zero bits for this transport channel or if combining the TFI corresponding to zero bits with other TFI's results in an unknown TFI combination, the handling as described in the following paragraph shall be applied.

At each frame, the Node B shall build the TFCI value of each secondary-CCPCH according to the TFIs of the transport channels multiplexed on this secondary-CCPCH and scheduled for that frame. [FDD — In case the Node B receives an unknown TFI combination, no pilot bits, TFCI bits or Data bits shall be transmitted.] [TDD — In case the Node B receives an unknown TFI combination, it shall apply DTX, i.e. suspend transmission on the corresponding S-CCPCH – except if this S-CCPCH provides the "beacon function", in which case the Node B shall maintain the physical layer transmission as specified in TS 25.221].

If the Node B does not receive a valid FP frame in a TTI or a frame without paging indication information, it assumes that no UE's have to be paged on the Uu in this TTI. In this case the default PICH bit pattern of all zeros shall be transmitted.

Data Frames sent on Iub for different transport channels multiplexed on one secondary-CCPCH might indicate different transmission power levels to be used in a certain Uu frame. Node-B shall determine the highest DL power level required for any of the transport channels multiplexed in a certain Uu frame and use this power level as the desired output level.

#### 5.1.4 Downlink Shared Channels

The Data Transfer procedure is used to transfer a DSCH data frame from the CRNC to a Node B.

If the Node B does not receive a valid DSCH data frame for transmission in a given TTI, it assumes that there is no data to be transmitted in that TTI for this transport channel. For the DSCH transport channel, the TFS shall never define a Transport Block Size of zero bits.

[FDD - The Node B shall use the header information in the DSCH data frame to determine which channelisation code(s) and power offset should be used in the PDSCH Uu frame associated to the specified CFN. The specified channelisation code(s) and power offset shall then be used for PDSCH transmission for as long as there is data to transmit or until a new DSCH data frame arrives that specifies that a different PDSCH channelisation code(s) and/or power offset should be used. This feature enables multiple DSCH's with different TTI to be supported].

[FDD - In the event that the DSCH FP header indicates that a multi-code PDSCH transmission is to be applied ('MC Info' value > 1) then the 'power offset' field indicates the power offset at which each individual code should be transmitted relative to the power of the TFCI bits of the downlink DPCCH directed to the same UE as the DSCH].

[FDD - The Node B may receive a DSCH data frame which contains a TFI value corresponding to there being no data to transmit, such a DSCH data frame will have no transport blocks. On receiving such a data frame the Node B shall

apply the specified channelisation code(s) and power offset as described above starting in the PDSCH Uu frame associated to the specified CFN. This feature enables multiple DSCH's with different TTI to be supported, the use of such a zero payload DSCH data frame solves the problem of how the Node B should determine what channelisation code(s) and power offset should be used in the event that transmission of a transport block set being transmitted with a short TTI comes to an end, whilst the transmission of a TBS with a long TTI continues].

[TDD - The Node B shall use the header information in the DSCH data frame to determine which PDSCH Set and power offset should be used in the PDSCH Uu frames associated to the specified CFN. The specified PDSCH Set and power offset shall then be used for DSCH transmission for as long as there is data to transmit or until a new DSCH data frame arrives that specifies that a different PDSCH Set and/or power offset should be used. This feature enables multiple DSCH's with different TTI to be supported].

[TDD - The Node B may receive a DSCH data frame which contains a TFI value corresponding to there being no data to transmit, such a DSCH data frame will have no transport blocks. On receiving such a data frame the Node B shall apply the specified PDSCH Set and power offset as described above starting in the PDSCH Uu frame associated to the specified CFN. This feature enables multiple DSCH's with different TTI to be supported, the use of such a zero payload DSCH data frame solves the problem of how the Node B should determine what PDSCH Set and power offset should be used in the event that transmission of a transport block set being transmitted with a short TTI comes to an end, whilst the transmission of a TBS with a long TTI continues].



Figure 5: DSCH Data Transfer Procedure

## 5.1.5 [TDD — Uplink Shared Channels]

Data Transfer procedure is used to transfer data received from Uu interface from Node B to CRNC. Data Transfer procedure consists of a transmission of Data Frame from Node B to CRNC.

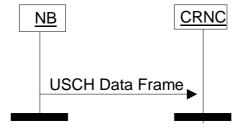


Figure 6: USCH Data Transfer Procedure

Node B shall always send an USCH data frame to the CRNC provided the Transport Format addressed by the TFI indicates that the number of Transport Blocks is greater than 0.

When UL synchronisation is lost or not yet achieved on the Uu, USCH data frames shall not be sent to the CRNC.

When Node B receives an invalid TFCI in the PUSCH, USCH data frames shall not be sent to the CRNC.

## 5.2 Node Synchronisation

In the Node Synchronisation procedure, the RNC sends a DL Node Synchronisation control frame to Node B Containing the parameter T1. Upon reception of a DL Node Synchronisation control frame, the Node B shall respond with UL Node Synchronisation Control Frame, indicating t2 and t3, as well as t1 which was indicated in the initiating DL Node Synchronisation control frame.

The t1, t2, t3 parameters are defined as:

- t1: RNC specific frame number (RFN) that indicates the time when RNC sends the frame through the SAP to the transport layer.
- t2: Node B specific frame number (BFN) that indicates the time when Node B receives the correspondent DL Node synchronisation frame through the SAP from the transport layer.
- t3: Node B specific frame number (BFN) that indicates the time when Node B sends the frame through the SAP to the transport layer.

The general overview on the Node Synchronisation procedure is reported in [2].

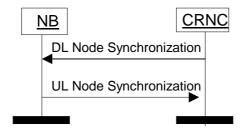


Figure 7: Node Synchronisation procedure

## 5.3 DL Transport Channels Synchronisation

CRNC sends a DL SYNCHRONISATION Control Frame to Node B. This message indicates the target CFN.

Upon reception of the DL SYNCHRONISATION Control Frame Node B shall immediately respond with UL SYNCHRONISATION Control Frame indicating the ToA for the DL Synchronisation frame and the CFN indicated in the received message.

The procedure shall not be applied on transport bearers transporting UL traffic channels RACH or USCH.

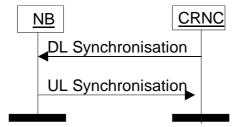


Figure 8: FACH, PCH and DSCH Transport Channels Synchronisation procedure

## 5.4 DL Timing Adjustment

Timing Adjustment procedure is used to indicate for the CRNC the incorrect arrival time of downlink data to Node B.

Timing adjustment procedure is initiated by the Node B if a DL frame arrives outside of the defined arrival window.

If the DL frame has arrived before the ToAWS or after the ToAWE nodeB includes the ToA and the target CFN as message parameters for TIMING ADJUSTMENT Control Frame.

The arrival window and the time of arrival are defined as follows:

- Time of Arrival Window Endpoint (ToAWE): ToAWE represents the time point by which the DL data shall arrive to the node B from Iub. The ToAWE is defined as the amount of milliseconds before the last time point from which a timely DL transmission for the identified CFN would still be possible taking into account the node B internal delays. ToAWE is set via control plane. If data does not arrive before ToAWE a Timing Adjustment Control Frame shall be sent by node B.
- Time of Arrival Window Startpoint (ToAWS): ToAWS represents the time after which the DL data shall arrive to the node B from Jub. The ToAWS is defined as the amount of milliseconds from the ToAWS. ToAWS

is set via control plane. If data arrives before ToAWS a Timing Adjustment Control Frame shall be sent by node B.

- **Time of Arrival (ToA):** ToA is the time difference between the end point of the DL arrival window (ToAWE) and the actual arrival time of DL frame for a specific CFN. A positive ToA means that the frame is received before the ToAWE, a negative ToA means that the frame is received after the ToAWE.

The general overview on the timing adjustment procedure is reported in [2].



Figure 9: FACH, PCH, DSCH and [FDD - DSCH TFCI signalling] Timing Adjustment procedure

## 5.5 [TDD – Dynamic PUSCH assignment]

Procedure for dynamic allocation of physical resources to uplink shared channels (USCH) in the NodeB. The control frame includes a parameter "PUSCH Set Id" which is a pointer to a preconfigured table of PUSCH Sets in the NodeB.

When this control frame is sent via a certain Iub USCH data port, then it applies to that USCH and in addition to any other USCH channel which is multiplexed into the same CCTrCH in the NodeB.

The time limitation of the PUSCH allocation is expressed with the parameters "Activation CFN" and "Duration".

*NodeB behaviour*: When the NodeB receives the control frame "Dynamic PUSCH assignment" from the CRNC in the USCH frame protocol over an Iub USCH data port within a Traffic Termination Point, it shall behave as follows:

- 1) The NodeB shall extract the PUSCH Set Id.
- 2) It shall extract the parameters "Activation CFN" and "Duration" which identify the allocation period of that physical channel.
- 3) It shall retrieve the PUSCH Set which is referred to by the PUSCH Set Id.
- 4) It shall identify the CCTrCH to which the USCH is multiplexed, and hence the TFCS which is applicable for the USCH.
- 5) Within the time interval indicated by Activation CFN and Duration, the NodeB shall make the specified PUSCH Set available to the CCTrCH.

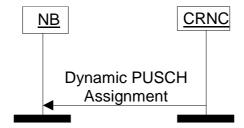


Figure 10: Dynamic PUSCH assignment procedure

## 5.6 DSCH TFCI Signalling [FDD]

This procedure is used in order to signal to the node B the TFCI (field 2). This allows the node B to build the TFCI word(s) which have to be transmitted on the DPCCH.

The procedure consists in sending the DSCH TFCI signalling control frame from the CRNC to the node B. The frame contains the TFCI (field 2) and the correspondent Connection Frame Number. The DSCH TFCI signalling frame is sent once every Uu frame interval (10 ms) for as long as there is DSCH data for that UE to be transmitted in the associated.

PDSCH Uu frame. In the event that the node B does not receive a DSCH TFCI signalling control frame then the node B shall infer that no DSCH data is to be transmitted to the UE on the associated PDSCH Uu frame and will build the TFCI word(s) accordingly.

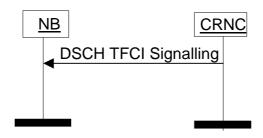


Figure 11: DSCH TFCI Signalling

## 5.7 Timing Advance [TDD]

This procedure is used in order to signal to the Node B the adjustment to be performed by the UE in the uplink timing.

The Node B shall use the CFN and timing adjustment values to adjust its layer 1 to allow for accurate impulse averaging.

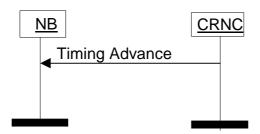


Figure 12: Timing Advance Signalling

## 6 Frame Structure and Coding

#### 6.1 General

The general structure of a Common Transport Channel frame consists of a header and a payload. This structure is depicted in the below:

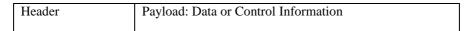


Figure 13: General Frame Structure

The header shall contain the frame type field and information related to the frame type.

There are two types of frames (indicated by the Frame type field).

- Data frame.
- Control frame.

In this specification the structure of frames will be specified by using pictures similar to the following figure:

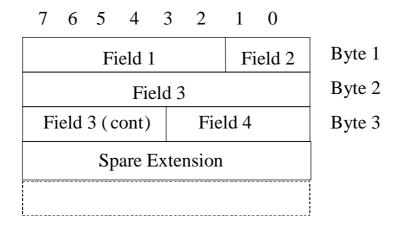


Figure 14: Example frame structure

Unless otherwise indicated, fields which consist of multiple bits within a byte will have the more significant bit located at the higher bit position (indicated above frame in Figure 10). In addition, if a field spans several bytes, more significant bits will be located in lower numbered bytes (right of frame in Figure 10).

On the Iub interface, the frame will be transmitted starting from the lowest numbered byte. Within each byte, the bits are sent according decreasing bit position (bit position 7 first).

The parameters are specified giving the value range and the step (if not 1). The coding is done as follows (unless otherwise specified):

- Unsigned values are binary coded.
- Signed values are 2's complement binary coded.

The Spare Extension indicates the location where new IEs can in the future be added in a backward compatible way. The Spare Extension shall not be used by the transmitter and shall be ignored by the receiver.

#### 6.2 Data frame structure

#### 6.2.1 RACH Channels

The RACH Data Frame includes the CFN corresponding to the SFN of the frame in which the payload was received. If the payload was received in several frames, the CFN corresponding to the first Uu frame in which the information was received shall be indicated.

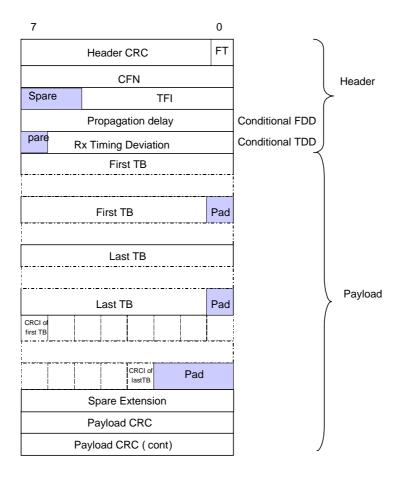


Figure 15: RACH Data Frame structure

Propagation delay is a conditional Information Element which is only present when the Cell supporting the RACH Transport Channel is a FDD Cell.

Rx Timing Deviation is a conditional Information Element which is only present when the Cell supporting the RACH Transport Channel is a TDD Cell.

## 6.2.2 CPCH [FDD] Channels

The CPCH [FDD] Data Frame includes the CFN corresponding to the 8 least significant bits of the SFN of the frame in which the payload was received. If the payload was received in several frames, the CFN corresponding to the first Uu frame in which the information was received shall be indicated.

Data frame structure is only applicable to FDD.

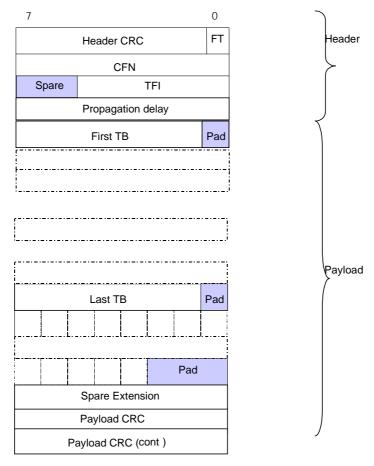


Figure 16: FDD CPCH Data Frame structure

#### 6.2.3 FACH Channels

FACH Data Frame includes the CFN corresponding to the Uu frame at which this data in which the payload (FACH TBS) has to be transmitted. If the payload is to be sent in several frames, the CFN corresponding to the first frame shall be indicated.

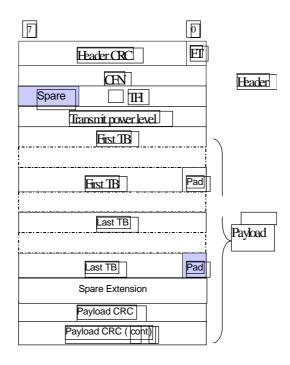


Figure 17: FACH Data Frame structure

#### 6.2.4 PCH Channels

The PCH Data Frame includes the paging indication information and paging messages. To page one User Equipment, two consecutive PCH Data Frames with consecutive CFN numbers are transmitted, the first frame contains the Paging Indication Information and the second contains the Paging Message.

[TDD- If PI-bitmap and PCH TBS are transmitted within the PCH data frame, the CFN is related to the PCH TBS only. The PI bitmap is mapped to the PICH frames, transmitted at the beginning of the paging block.]

The paging messages are transmitted in S-CCPCH frames. The CFN in the PCH Data Frame header corresponds to the Cell SFN of the frame in which the start of the S-CCPCH frame is located. [TDD - If the paging messages are to be sent in several frames, the CFN corresponding to the first frame shall be indicated.]

[FDD - The timing of the PICH frame (containing the paging indication information) is  $\tau_{PICH}$  prior to the S-CCPCH frame timing [5]].

In contrast to all other Common Transport Channel data frames, which use a CFN of length 8, the PCH Data Frame includes a CFN of length 12.

The node-B has no responsibility to ensure the consistency between the paging indication information and the corresponding paging messages. E.g. if the paging indication information is lost over the Iub, the paging messages might be sent over the Uu while no UE is actually listening.

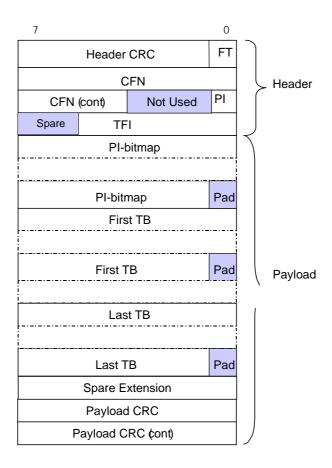


Figure 18: PCH Data Frame structure

"Not Used" bits shall be set to 0 by the RNC and ignored by the Node B.

#### 6.2.5 Downlink Shared Channels

DSCH Data Frame includes a CFN indicating the SFN of the PDSCH in which the payload shall be sent. If the payload is to be sent over several frames, the CFN corresponding to the first frame shall be indicated.

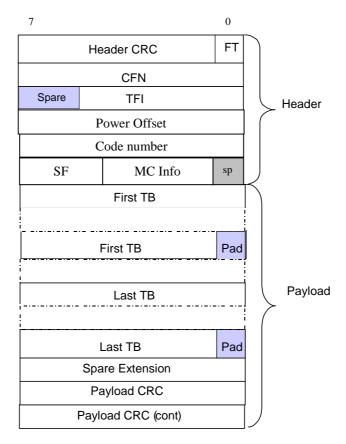


Figure 19: FDD DSCH Data Frame structure

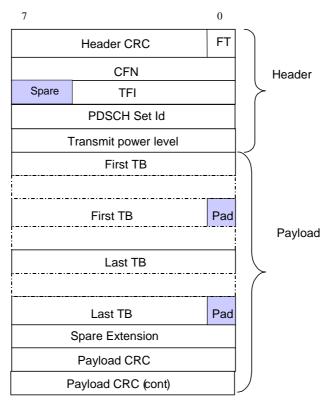


Figure 20: TDD DSCH Data Frame structure

Transmit power level is a conditional Information Element which is only present when the Cell supporting the DSCH Transport Channel is a TDD Cell.

## 6.2.6 Uplink Shared Channels [TDD]

USCH Data Frame includes the CFN in which the payload was received. If the payload was received in several frames, the CFN corresponding to the first frame will be indicated.

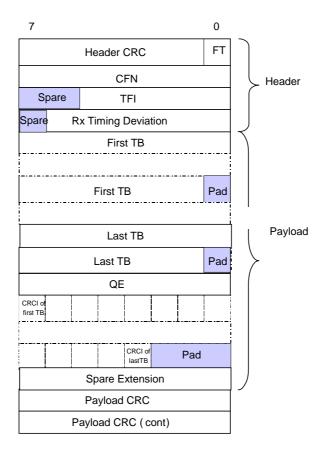


Figure 21: USCH Data Frame structure

## 6.2.7 Coding of information elements in data frames

#### 6.2.7.1 Header CRC

**Description:** Cyclic Redundancy Polynomial calculated on the header of a data frame with polynom:  $X^7+X^6+X^2+1$ .

The CRC calculation shall cover all bits in the header, starting from bit 0 in the first byte (FT field) up to the end of the header. See subclause 7.1.

**Value range:** {0-127}.

Field length: 7 bits.

#### 6.2.7.2 Frame Type

**Description**: describes if it is a control frame or a data frame.

Value range: {0=data, 1=control}.

Field Length: 1 bit.

#### 6.2.7.3 Connection Frame Number (CFN)

**Description**: indicator as to which radio frame the first data was received on uplink or shall be transmitted on downlink. The value range and field length depend on the transport channel for which the CFN is used.

**Value range (PCH)**: {0-4095}.

Value range (other): {0-255}.

Field length (PCH): 12 bits.

Field length (other): 8 bits.

#### 6.2.7.4 Transport Format Indicator

**Description**: TFI is the local number of the transport format used for the transmission time interval. For information about what the transport format includes see reference [3].

**Value range**: {0-31}.

Field length: 5 bits.

#### 6.2.7.5 [FDD — Propagation delay]

Description: One-way radio interface delay as measured during RACH access.

Value range:  $\{0 - 765 \text{ chips}\}.$ 

**Granularity:** 3 chips.

Field length: 8 bits.

#### 6.2.7.6 [TDD — Rx Timing Deviation]

**Description:** Measured Rx Timing Deviation as a basis for timing advance. In case the *Timing Advance Applied* IE indicates "No" (see Ref. [6]) in a cell, the Rx Timing Deviation field shall be set to N = 0.

**Value range:** { -256 ... +256} chips.

 $\{N*4-256\}$  chips  $\leq RxTiming Deviation < \{(N+1)*4-256\}$  chips.

With N = 0, 1, ..., 127.

Granularity: 4 chips.

Field length: 7 bits.

#### 6.2.7.7 Transport Block

**Description**: A block of data to be transmitted or have been received over the radio interface. The transport format indicated by the TFI describes the transport block length and transport block set size. See [3].

#### 6.2.7.8 CRC indicator

**Description**: Shows if the transport block has a correct CRC. The UL Outer Loop Power Control may use the CRC indication.

**Value range**: {0=Correct, 1=Not Correct}.

Field length: 1 bit.

#### 6.2.7.9 Payload CRC

**Description:** Cyclic Redundancy Polynomial calculated on the payload of a data frame with polynom  $X^16+X^15+X^2+1$ .

The CRC calculation shall cover all bits in the data frame payload, starting from bit 7 in the first byte up to bit 0 in the byte before the payload CRC. See chapter 7.1.

Field length: 16 bits.

#### 6.2.7.10 Transmit power level

**Description:** Preferred transmission power level during this TTI for the corresponding transport channel. The indicated value is the negative offset relative to the maximum power configured for the physical channel(s) used for the respective transport channel.

**Value range:** {0 .. 25,5 dB}.

Granularity: 0,1 dB.

Field length: 8 bits.

#### 6.2.7.11 Paging Indication (PI)

**Description:** Describes if the PI Bitmap is present in the payload.

**Value range:** {0=no PI-bitmap in payload, 1=PI-bitmap in payload}.

Field length: 1 bit.

#### 6.2.7.12 Paging Indication bitmap (PI-bitmap)

**Description**: Bitmap of Paging Indications  $PI_0..PI_{N-1}$ . Bit 7 of the first byte contains PI0, Bit6 of the first byte contains PI1,..., Bit7 of the second byte contains PI8 and so on.

Value range: [FDD - {18, 36, 72 or 144 Paging Indications}.

[TDD – {30, 34, 60, 68, 120 and 136} Paging Indications for 2 PICH frames, {60, 68, 120, 136, 240 and 272} Paging Indications for 4 PICH frames].

Field length: [FDD - 3, 5, 9 or 18 bytes (the PI-bitmap field is padded at the end up to an octet boundary)].

[TDD – 4, 5, 8, 9, 15, 17, 30 or 34 bytes (the PI-bitmap field is padded at the endup to an octet boundary)].

#### 6.2.7.13 [TDD — Rx Timing Deviation on RACH]

Void.

#### 6.2.7.14 [TDD - PDSCH Set Id]

**Description**: A pointer to the PDSCH Set which shall be used to transmit the DSCH data frame over the radio interface.

**Value range**: {0..255}.

Field length: 8 bits.

#### 6.2.7.15 [FDD - Code Number]

**Description:** the code number of the PDSCH ( the same mapping is used as for the 'code number' IE in 25.331).

**Value Range:** {0 .. 255}.

Field length: 8 bits.

#### 6.2.7.16 [FDD - Spreading Factor (SF)]

**Description**: the spreading factor of the PDSCH.

Spreading factor = 0 Spreading factor to be used = 4.

Spreading factor = 1 Spreading factor to be used = 8.

Spreading factor = 6 Spreading factor to be used = 256.

Value Range: {4,8,16,32,64,128, 256}.

Field length: 3 bits.

#### 6.2.7.17 [FDD - Power Offset]

**Description:** Used to indicate the preferred FDD PDSCH transmission power level. The indicated value is the offset relative to the power of the TFCI bits of the downlink DPCCH directed to the same UE as the DSCH.

Power offset = 0 Power offset to be applied = -32 dB.

Power offset = 1 Power offset to be applied = -31.75 dB.

Power offset = 255 Power offset to be applied = +31.75 dB.

**Value range:** {-32 to +31.75 dB}.

Granularity: 0.25 dB.

Field length: 8 bits.

#### 6.2.7.18 [FDD - MC Info]

**Description:** Used to indicate the number of parallel PDSCH codes on which the DSCH data will be carried. Where multi-code transmission is used the SF of all codes is the same and code numbers are contiguous within the code tree with increasing code number values starting from the code number indicated in the 'code number' field.

**Value range:** {1..16}.

Field length: 4 bits.

#### 6.2.7.19 Spare Extension

**Description**: Indicates the location where new IEs can in the future be added in a backward compatible way.

Field length: 0-2 octets.

#### 6.2.7.20 [TDD - Quality Estimate (QE)]

**Description**: The quality estimate is derived from the Transport channel BER.

If the USCH FP frame includes TB's for the USCH then the QE is the Transport channel BER for the selected USCH. If no Transport channel BER is available the QE shall be set to 0.

The quality estimate shall be set to the Transport channel BER and be measured in the units TrCH\_BER\_LOG respectively (see Ref [6]). The UL Outer Loop Power Control may use the quality estimate.

Value range: {0-255}, granularity 1.

Field length: 8 bits.

#### 6.3 Control frame structure

#### 6.3.1 Introduction

The Common Control Channel control frames are used to transport control information between the CRNC and the Node B. The figure below defines the Control Frame structure for common transport channels.

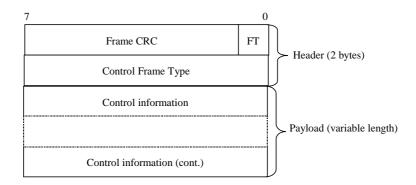


Figure 22: lub Common Transport Channel Control Frame Format

The structure of the header and the payload of the control frames is defined in the following subclauses:

#### 6.3.2 Coding of information elements of the Control frame header

#### 6.3.2.1 Frame CRC

**Description:** Cyclic Redundancy Polynomial calculated on a control frame with polynom:  $X^7+X^6+X^2+1$ .

The CRC calculation shall cover all bits in the control frame, starting from bit 0 in the first byte (FT field) up to the end of the control frame. See subclause 7.1.

**Value range:** {0-127}.

Field length: 7 bits.

#### 6.3.2.2 Frame type (FT)

Refer to section 6.2.6.2.

#### 6.3.2.3 Control Frame Type

**Description:** Indicates the type of the control information (information elements and length) contained in the payload.

Value: values of the Control Frame Type parameter are defined in the following table:

Type of control frame	Value
Timing adjustment	0000 0010
DL synchronisation	0000 0011
UL synchronisation	0000 0100
DL Node synchronisation	0000 0110
UL Node synchronisation	0000 0111
Dynamic PUSCH assignment	0000 1000
Timing Advance	0000 1001

Field Length: 8 bits.

## 6.3.3 Payload structure and information elements

#### 6.3.3.1 Timing Adjustment

#### 6.3.3.1.1 Payload Structure

The figure below shows the structure of the payload when control frame is used for the timing adjustment.

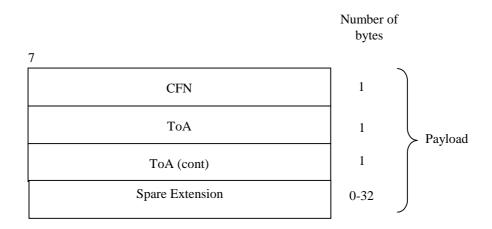


Figure 23: Timing adjustment payload structure (non-PCH transport bearers)

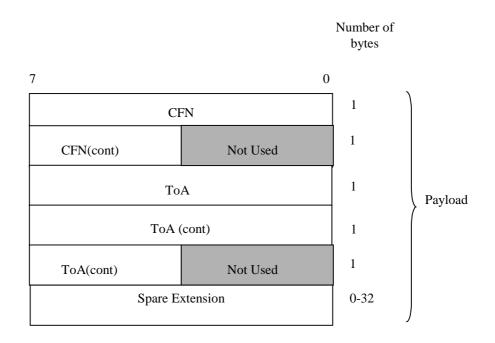


Figure 24: Timing adjustment payload structure (PCH transport bearer)

#### 6.3.3.1.2 CFN

Refer to section 6.2.6.3.

#### 6.3.3.1.3 Time of arrival (ToA)

**Description:** Time difference between the arrival of the DL frame with respect to TOAWE (based on the CFN in the frame). The value range and field length depend on the transport channel for which the CFN is used.

**Value range (PCH):** { -20480ms, +20479.875ms}.

**Value range (other):** {-1280ms, +1279.875ms}.

Granularity: 125µs.

Field length (PCH): 20 bits.

Field length (other): 16 bits.

#### 6.3.3.1.4 Spare Extension

**Description**: Indicates the location where new IEs can in the future be added in a backward compatible way.

Field length: 0-32 octets.

#### 6.3.3.2 DL synchronisation

#### 6.3.3.2.1 Payload Structure

Figure below shows the structure of the payload when control frame is used for the user plane synchronisation.

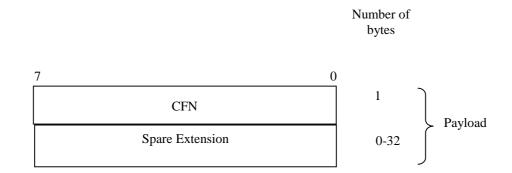


Figure 25: DL Synchronisation payload structure (non-PCH transport bearers)

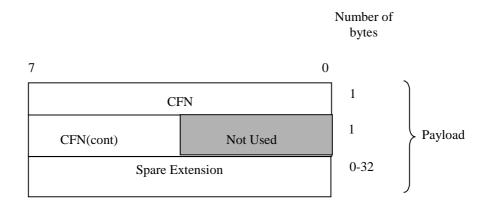


Figure 26: DL Synchronisation payload structure (PCH transport bearers)

#### 6.3.3.2.2 CFN

Refer to section 6.2.6.3.

#### 6.3.3.2.3 Spare Extension

Description: The Spare Extension is described in section 6.3.3.1.4.

#### 6.3.3.3 UL Synchronisation

#### 6.3.3.3.1 Payload Structure

Figure below shows the structure of the payload when the control frame is used for the user plane synchronisation (UL).

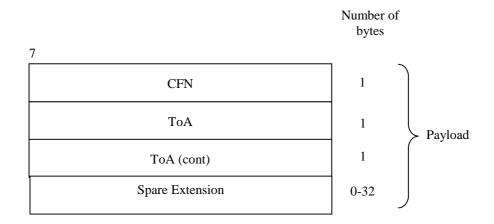


Figure 27: UL Synchronisation payload structure (non-PCH transport bearers)

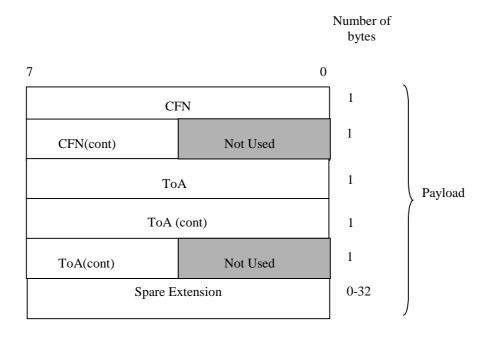


Figure 28: UL Synchronisation payload structure (PCH transport bearers)

#### 6.3.3.3.2 CFN

Refer to section 6.2.6.3.

#### 6.3.3.3.3 Time of Arrival (TOA)

Refer to section 6.3.3.1.3.

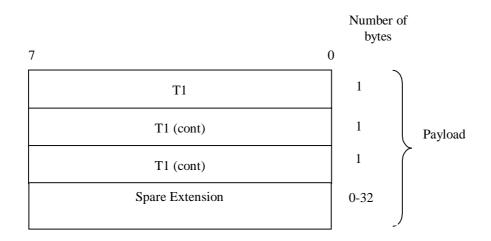
#### 6.3.3.3.4 Spare Extension

Description: The Spare Extension is described in section 6.3.3.1.4.

#### 6.3.3.4 DL Node Synchronisation

#### 6.3.3.4.1 Payload Structure

The payload of the DL Node synchronisation control frames is shown in the figure below:



#### 6.3.3.4.2 T1

**Description:** RNC specific frame number (RFN) that indicates the time when RNC sends the frame through the SAP to the transport layer.

Value range: 0-40959.875 ms, and the granularity is 0.125 ms.

Field length: 24 bits.

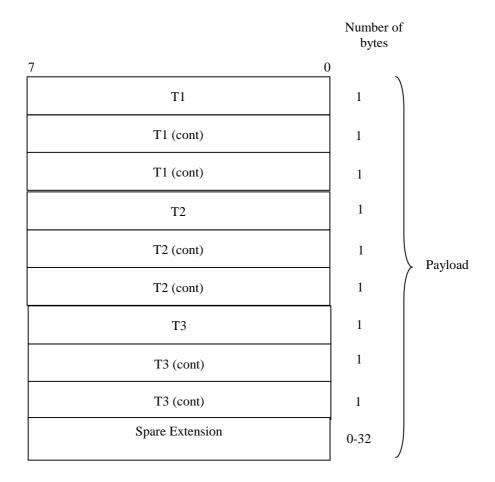
#### 6.3.3.4.3 Spare Extension

Description: The Spare Extension is described in section 6.3.3.1.4.

#### 6.3.3.5 UL Node Synchronisation

#### 6.3.3.5.1 Payload Structure

The payload of the UL Node synchronisation control frames is shown in the figure below:



#### 6.3.3.5.2 T1

**Description:** T1 timer is extracted from the correspondent DL Node synchronisation control frame.

Value range: 0-40959.875 ms, and the granularity is 0.125 ms.

Field length: 24 bits.

#### 6.3.3.5.3 T2

**Description:** Node B specific frame number (BFN) that indicates the time when Node B received the correspondent DL synchronisation frame through the SAP from the transport layer.

Value range: 0-40959.875 ms, and the granularity is 0.125 ms.

Field length: 24 bits.

#### 6.3.3.5.4 T3

**Description:** Node B specific frame number (BFN) that indicates the time when Node B sends the frame through the SAP to the transport layer.

Value range: 0-40959.875 ms, and the granularity is 0.125 ms.

Field length: 24 bits.

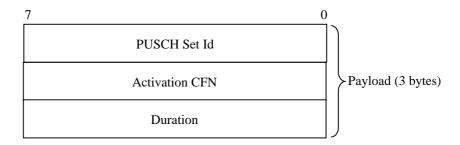
#### 6.3.3.5.5 Spare Extension

Description: The Spare Extension is described in section 6.3.3.1.4.

#### 6.3.3.6 [TDD – Dynamic PUSCH assignment]

#### 6.3.3.6.1 Payload structure

The payload of the Dynamic PUSCH Assignment control frames is shown in the figure below:



#### 6.3.3.6.2 PUSCH Set Id

**Description:** Identifies a PUSCH Set from the collection of PUSCH Sets which have been preconfigured in the NodeB, for the respective cell in which the USCH exists. The PUSCH Set Id is unique within a cell.

Value range: 0...255.

Field length: 8 bits.

#### 6.3.3.6.3 Activation CFN

**Description:** Activation CFN, specifies the Connection Frame Number where the allocation period of that PUSCH Set starts.

Value range: Integer (0...255).

Field length: 8 bits.

#### 6.3.3.6.4 Duration

**Description:** Indicates the duration of the activation period of the PUSCH Set, in radio frames.

Value range: 0 ... 255 means: 0 to 255 radio frames, i.e. 0 to 2550 msec.

Field length: 8 bits.

#### 6.3.3.7 [FDD - DSCH TFCI signalling]

#### 6.3.3.7.1 Payload structure

The figure below shows the structure of the payload when the control frame is used for signalling TFCI (field 2) bits. The TFCI (field 2) bits are used by the node B to create the TFCI word(s) for transmission on the DPCCH.

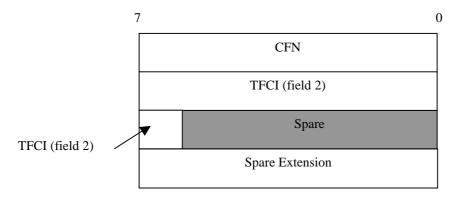


Figure 29: [FDD - Structure of the payload for the DSCH TFCI signalling control frame]

#### 6.3.3.7.2 TFCI (field 2)

**Description:** TFCI (field 2) is as described in [6], it takes the same values as the TFCI (field 2) which is transmitted over the Uu interface.

**Value range**: {0 - 1023}

Field length: 10 bits

#### 6.3.3.7.3 Spare Extension

The Spare Extension is described in subclause 6.2.7.19.

#### 6.3.3.8 [TDD - Timing Advance]

#### 6.3.3.8.1 Payload structure

Figure below shows the structure of the payload when the control frame is used for timing advance.

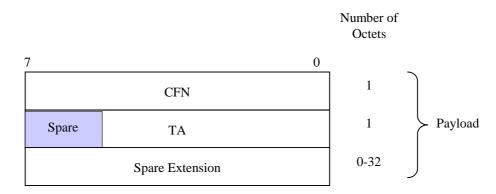


Figure 30: Structure of the Timing Advance control frame

#### 6.3.3.8.2 CFN

The CFN value in the control frame is the frame that the timing advance will occur and is coded as in subclause 6.2.6.3.

#### 6.3.3.8.3 TA

**Description:** UE applied UL timing advance adjustment.

Value range: : 0-252 chips, and the resolution is 4 chips.

Field length: 6 bits.

#### 6.3.3.8.4 Spare Extension

**Description**: Indicates the location where new IEs can in the future be added in a backward compatible way.

Field length: 0-32 octets.

## 7 Frame protocol error handling

A received frame protocol frame with unknown Information element or with illegal Information element value shall be ignored. Frame protocol frames sent with a CFN in which the radio resources assigned to the associated Iub data port are not available, shall be ignored.

#### 7.1 Error detection

Error detection is provided on frames through a Cyclic Redundancy Check. The CRC for the payload is 16 and for the header and control frames is 7 bits.

### 7.1.1 CRC Calculation

The parity bits are generated by one of the following cyclic generator polynomials:

$$g_{CRC16}(D) = D^{16} + D^{15} + D^2 + 1.$$

$$g_{CRC7}(D) = D^7 + D^6 + D^2 + 1.$$

Denote the bits in a frame by  $a_1, a_2, a_3, ..., a_{A_i}$ , and the parity bits by  $p_1, p_2, p_3, ..., p_{L_i}$ .  $A_i$  is the length of a protected data and  $L_i$  is 16 or 7 depending on the CRC length.

The encoding is performed in a systematic form, which means that in GF(2), the polynomial for the payload.

$$a_1 D^{A_i+15} + a_2 D^{A_i+14} + \dots + a_{A_i} D^{16} + p_1 D^{15} + p_2 D^{14} + \dots + p_{15} D^1 + p_{16}$$

yields a remainder equal to 0 when divided by  $g_{CRC16}(D)$ , the polynomial for the header and control frames.

$$a_1D^{A_1+6} + a_2D^{A_1+5} + \dots + a_AD^7 + p_1D^6 + p_2D^5 + \dots + p_6D^1 + p_7$$

yields a remainder equal to 0 when divided by  $g_{CRC7}(D)$ .

#### 7.1.1.1 Relation between input and output of the Cyclic Redundancy Check

The bits after CRC attachment are denoted by  $b_1, b_2, b_3, \dots, b_{B_i}$ , where  $B_i = A_i + L_i$ .

The parity bits for the payload are attached at the end of the frame:

$$b_k = a_k$$
  $k = 1, 2, 3, ..., A_i$ 

$$b_k = p_{(k-A_i)}$$
  $k = A_i + 1, A_i + 2, A_i + 3, ..., A_i + L_I$ 

The parity bits for the frame header and the control frames are attached at the beginning of the frame:

$$b_k = p_k$$
  $k = 1, 2, 3, ..., L_i$ 

$$b_k = a_{(k-Li)}$$
  $k = L_i + 1, L_i + 2, L_i + 3, ..., L_l + A_i$ 

## Annex A (informative): Change History

Change history						
TSG RAN#	Version	CR	Tdoc RAN	New Version	Subject/Comment	
RAN_05	-	-	-	3.0.0	Approved at TSG RAN #5 and placed under Change Control	
RAN_06	3.0.0	001	RP-99765	3.1.0	Approved at TSG RAN #6	
RAN_06	3.0.0	002	RP-99766	3.1.0	Approved at TSG RAN #6	
RAN_07	3.1.0	-	-	3.2.0	Approved at TSG RAN #7	
RAN_08	3.2.0	-	RP-000254	3.3.0	Approved at TSG RAN #8	
RAN_09	3.3.0	022 026 027 028 029 030	RP-000391	3.4.0	Approved at TSG RAN #9	
RAN_10	3.4.0	032 033 035 036	RP-000632	3.5.0	Approved at TSG RAN #10	

## History

Document history						
V3.1.0	January 2000	Publication				
V3.2.0	March 2000	Publication				
V3.3.0	June 2000	Publication				
V3.4.0	September 2000	Publication				
V3.5.0	December 2000	Publication				