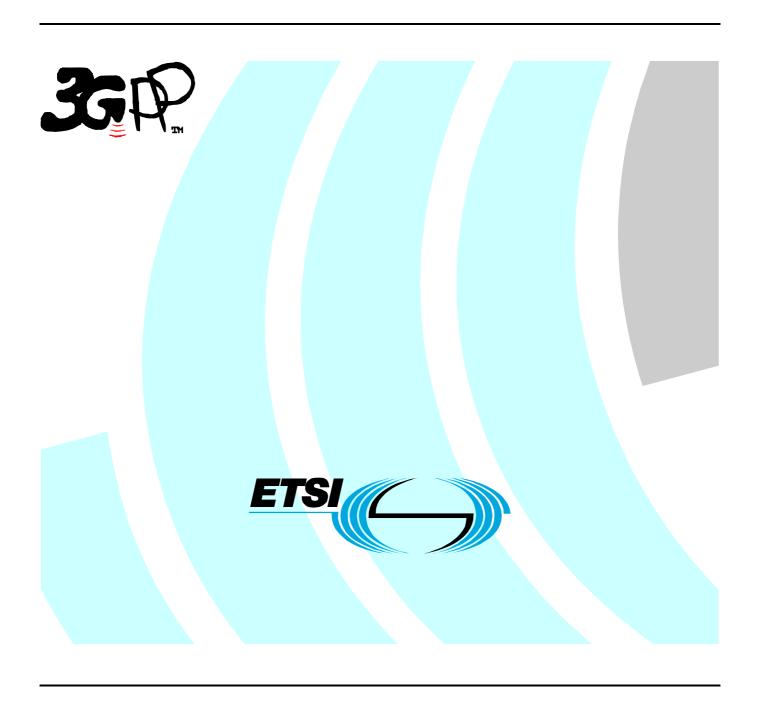
# ETSITS 125 469 V10.0.0 (2011-04)

Technical Specification

Universal Mobile Telecommunications System (UMTS);
UTRAN luh interface Home Node B (HNB)
Application Part (HNBAP) signalling
(3GPP TS 25.469 version 10.0.0 Release 10)



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# 1 Scope

The present document specifies the *Home Node B Application Part (HNBAP)* between the Home Node B (HNB) and the Home Node B Gateway (HNB-GW). It fulfils the HNB-HNB-GW communication requirements specified in TS 25.467 [3] and is defined over the Iuh – reference point. It provides control and management procedures between HNB and HNB-GW.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1]	3GPP TS 25.401: "UTRAN Overall Description".
[2]	3GPP TS 25.413: "RANAP"
[3]	3GPP TS 25.467: "UTRAN architecture for 3G Home NodeB"
[4]	3GPP TS 23.032: "Universal Geographical Area Description (GAD)".
[5]	3GPP TR 25.921: "Guidelines and Principles for Protocol Description and Error Handling".
[6]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[7]	ITU-T Recommendation X.691 (07/2002): "Information technology - ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)".
[8]	$ITU-T\ Recommendation\ X.680\ (07/2002):\ "Information\ technology\ -\ Abstract\ Syntax\ Notation\ One\ (ASN.1):\ Specification\ of\ basic\ notation".$
[9]	$ITU-T\ Recommendation\ X.681\ (07/2002):\ "Information\ technology\ -\ Abstract\ Syntax\ Notation\ One\ (ASN.1):\ Information\ object\ specification".$
[10]	3GPP TS 25.331: "Radio Resource Control (RRC) Protocol Specification"
[11]	IETF RFC 4960 (09/2007): "Stream Control Transmission Protocol".
[12]	3GPP TS 23.003: "Numbering, addressing and identification".
[13]	IETF RFC 4282 (12/2005): "The Network Access Identifier".
[14]	Broadband Forum TR-069 Amendment 2, <i>CPE WAN Management Protocol</i> , Broadband Forum Technical Report, 2007.
[15]	3GPP TS 22.220: "Service requirements for Home NodeBs and Home eNodeBs".

# 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**Elementary Procedure:** HNBAP consists of Elementary Procedures (EPs). An Elementary Procedure is a unit of interaction between the HNB and HNB-GW. These EPs are defined separately and are intended to be used to build up complete sequences in a flexible manner. If the independence between some EPs is restricted, it is described under the relevant EP description. Unless otherwise stated by the restrictions, the EPs may be invoked independently of each other as stand alone procedures, which can be active in parallel.

An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- Class 1: Elementary Procedures with response (success or failure).
- Class 2: Elementary Procedures without response.

For Class 1 EPs, the types of responses can be as follows:

#### Successful

- A signalling message explicitly indicates that the elementary procedure successfully completed with the receipt of the response.

#### Unsuccessful

- A signalling message explicitly indicates that the EP failed.
- On time supervision expiry (i.e. absence of expected response).

Class 2 EPs are considered always successful.

**RNSAP Relocation**: see definition in TS 25.467 [3].

Editor's note: Definitions for 'Remote Iurh IP address' and 'Local Iurh IP address' need to be added.

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

EP Elementary Procedure
ESN Electronic Serial Number

HNB Home Node B

HNB-GW Home Node B Gateway
HNBAP HNB Application Part
PDU Protocol Data Unit
PER Packed Encoding Rules
SAC Service Area Code

SCTP Stream Control Transmission Protocol

# 4 General

The protocol described in the present document is the protocol between HNB-GW and HNB.

# 4.1 Procedure Specification Principles

The principle for specifying the procedure logic is to specify the functional behaviour of the HNB & HNB-GW exactly and completely..

The following specification principles have been applied for the procedure text in clause 8:

- The procedure text discriminates between:
  - 1) Functionality which "shall" be executed:
    - The procedure text indicates that the receiving node "shall" perform a certain function Y under a certain condition. If the receiving node supports procedure X but cannot perform functionality Y requested in the REQUEST message of a Class 1 EP, the receiving node shall respond with the message used to report unsuccessful outcome for this procedure, containing an appropriate cause value.
  - 2) Functionality which "shall, if supported" be executed:
    - The procedure text indicates that the receiving node "shall, if supported," perform a certain function Y under a certain condition. If the receiving node supports procedure X, but does not support functionality Y, the receiving node shall proceed with the execution of the EP, possibly informing the requesting node about the not supported functionality.
- Any required inclusion of an optional IE in a response message is explicitly indicated in the procedure text. If the procedure text does not explicitly indicate that an optional IE shall be included in a response message, the optional IE shall not be included.

# 4.2 Forwards and Backwards Compatibility

The forwards and backwards compatibility of the protocol is assured by mechanism where all current and future messages, and IEs or groups of related IEs, include Id and criticality fields that are coded in a standard format that will not be changed in the future. These parts can always be decoded regardless of the standard version.

# 4.3 Specification Notations

For the purposes of the present document, the following notations apply:

Procedure When referring to an elementary procedure in the specification the Procedure Name is written with

the first letters in each word in upper case characters followed by the word "procedure", e.g.

HNB Registration procedure.

Message When referring to a message in the specification the MESSAGE NAME is written with all letters

in upper case characters followed by the word "message", e.g. HNB REGISTRATION

REQUEST message.

IE When referring to an information element (IE) in the specification the *Information Element Name* 

is written with the first letters in each word in upper case characters and all letters in Italic font

followed by the abbreviation "IE", e.g. HNB Identity IE.

Value of an IE When referring to the value of an information element (IE) in the specification the "Value" is

written as it is specified in subclause 9.2 enclosed by quotation marks, e.g. "Abstract Syntax Error

(Reject)" or "Background ".

# 5 HNBAP Services

HNBAP provides the signalling service between the HNB and the HNB-GW that is required to fulfil the HNBAP functions in Clause 7.

# 6 Services expected from the Transport layer

Following service is expected from the transport layer:

- reliable and in sequence delivery of Signalling data using SCTP (IETF RFC 4960 [11]).

# 7 Functions of HNBAP

The HNBAP has the following functions:

- Registration
- UE Registration
- Error Handling. This function allows the reporting of general error situations, for which function specific error messages have not been defined.

These functions are implemented by one or several HNBAP elementary procedures described in the following clauses.

# 8 HNBAP Procedures

# 8.1 Elementary Procedures

In the following tables, all EPs are divided into Class 1 and Class 2 Procedures.

Table 1: Class 1

Elementary	Initiating Message	Successful Outcome	Unsuccessful Outcome
Procedure		Response message	Response message
HNB Registration	HNB REGISTER REQUEST	HNB REGISTER ACCEPT	HNB REGISTER REJECT
UE Registration	UE REGISTER REQUEST	UE REGISTER ACCEPT	UE REGISTER REJECT
Access Control	ACCESS CONTROL QUERY	ACCESS CONTROL	
Query		RESPONSE	
TNL Update	TNL UPDATE REQUEST	TNL UPDATE RESPONSE	TNL UPDATE FAILURE
HNB Configuration	HNB CONFIGURATION	HNB CONFIGURATION	HNB CONFIGURATION
Transfer	TRANSFER REQUEST	TRANSFER RESPONSE	TRANSFER FAILURE

Table 2: Class 2

Elementary Procedure	Message
HNB De-Registration	HNB DE-REGISTER
UE De-Registration	UE DE-REGISTER
Error Indication	ERROR INDICATION
CSG Membership Update	CSG MEMBERSHIP UPDATE
Relocation Complete	RELOCATION COMPLETE

# 8.2 HNB Registration Procedure

#### 8.2.1 General

The purpose of the HNB Registration Procedure is to register the HNB with the HNB-GW to enable the HNB-GW to provide service and core network connectivity for the HNB. This procedure shall be the first HNBAP procedure triggered after the SCTP association has become operational.

### 8.2.2 Successful Operation

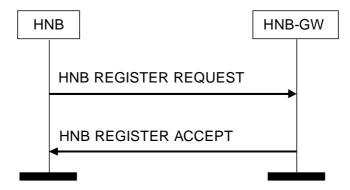


Figure 1: HNB Register Procedure: Successful Operation

The HNB shall initiate this procedure by sending a HNB REGISTER REQUEST message whenever it needs to commence operations and requiring service from the HNB-GW.

If the HNB is CSG capable and operates in a Closed access mode as defined in TS 22.220 [15], then it shall provide the *CSG-ID IE* and may provide the *HNB Cell Access Mode* IE within the HNB REGISTER REQUEST message.

If the HNB is supporting ETWS it shall provide the *Service Area For Broadcast IE* within the HNB REGISTER REQUEST message.

If the HNB operates in a Hybrid access mode as defined in TS 22.220 [15], then it shall provide the *CSG-ID* IE and *HNB Cell Access Mode* IE within the HNB REGISTER REQUEST message.

If the HNB operates in an Open access mode as defined in TS 22.220 [15], then it shall provide the *HNB Cell Access Mode* IE within the HNB REGISTER REQUEST message.

If the HNB supports RNSAP Relocation it shall provide the *Local Iurh IP Address* IE to the HNB-GW in the HNB REGISTER REQUEST message.

If supported, the HNB shall include the PSC IE in the REGISTER REQUEST message.

If the registration is successful, the HNB-GW will respond with a HNB REGISTER ACCEPT message indicating acceptance and registration. If the *Remote Iurh IP Address* IE is included in the HNB REGISTER ACCEPT message, the HNB shall establish a transport layer session to support Iurh mobility.

If the HNB-GW is capable of de-multiplexing, then the *MuxPortNumber IE* may be included in the HNB REGISTER ACCEPT message.

# 8.2.3 Unsuccessful Operation

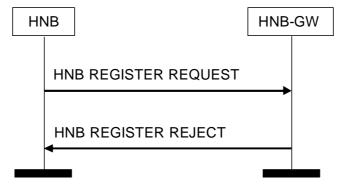


Figure 2: HNB Register Procedure: Un-Successful Operation

If the HNB-GW cannot register the HNB, the HNB-GW will respond with a HNB REGISTER REJECT message.

Typical cause values are:

#### **Radio Network Layer Cause:**

- Unauthorised Location
- Unauthorised HNB
- Overload
- HNB Parameter Mismatch
- Unspecified

If the HNB receives the "overload" cause code in the HNB REGISTER REJECT message, the HNB shall not retry registration to the same HNB-GW for at least the duration indicated in the *Backoff Timer* IE.

#### 8.2.4 Abnormal Conditions

If the HNB-GW receives a duplicate HNB REGISTER REQUEST (i.e. for an already registered HNB identified by the unique HNB identity), then the new HNB REGISTER REQUEST shall override the existing registration and the handling of the new HNB REGISTER REQUEST is according to section 8.2.

# 8.3 HNB De-registration Procedure

# 8.3.1 Successful Operation (HNB Originated)

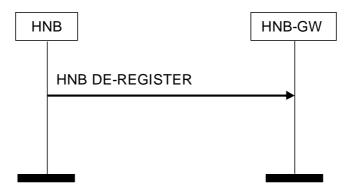


Figure 3: HNB De-register Procedure: Successful Operation

The HNB will initiate this procedure whenever it needs to terminate operations.

The HNB-GW shall clear all related resources associated with the HNB.

Typical cause values are:

#### **Radio Network Layer Cause:**

- Normal
- Unspecified

### 8.3.2 Successful Operation (HNB-GW Originated)



Figure 4: HNB De-register Procedure: Successful Operation

The HNB-GW will initiate this procedure whenever it needs to terminate operations with a HNB.

The HNB-GW shall clear all related resources associated with the HNB.

Typical cause values are:

#### **Radio Network Layer Cause:**

- Overload
- Unspecified

If the HNB receives the "overload" cause code in the HNB DE-REGISTER message, the HNB shall not retry registration to the same HNB-GW for at least the duration indicated in the *Backoff Timer* IE.

#### 8.3.3 Abnormal Conditions

-

# 8.4 UE Registration

#### 8.4.1 General

The UE Registration procedure provides means for the HNB to convey UE identification data to the HNB-GW in order to perform access control for the UE in the HNB-GW. The UE Registration also establishes a UE specific context identifier to be used between HNB and HNB-GW. The procedure triggered when the UE attempts to access the HNB via an initial NAS message and there is no context in the HNB allocated for that UE.

# 8.4.2 Successful Operation



Figure 5: UE Register Procedure: Successful Operation

The HNB shall initiate the procedure by sending a UE REGISTER REQUEST message to the HNB-GW including UE specific data. The HNB shall always include the UE permanent identity (i.e. IMSI) if it does not serve a CSG cell or for registration of UEs without CSG capability except if the *Registration Cause* indicates "emergency call".

The HNB-GW shall perform access control or membership verification in case of non-CSG UEs or non-CSG HNBs. If the HNB does not operate in closed access mode or access control is successful, the HNB shall respond with a UE REGISTER ACCEPT message including the *Context-ID* IE.

The UE REGISTER ACCEPT message may include the CSG Membership Status IE for the UE registering to the cell.

The HNB-GW shall not perform access control if the Registration Cause indicates "emergency call".

### 8.4.3 Unsuccessful Operation

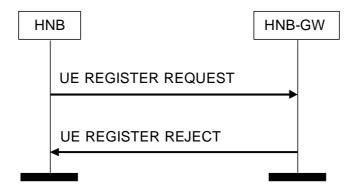


Figure 6: UE Register Procedure: Unsuccessful Operation

If the HNB-GW is unable to accept the UE registration it shall reject the procedure with a UE REGISTER REJECT message.

Typical Cause values:

#### **Radio Network Layer Cause:**

- Invalid UE identity
- UE not allowed on this HNB
- HNB not registered
- Unspecified

#### 8.4.4 Abnormal Conditions

-

# 8.5 UE De-Registration

#### 8.5.1 General

The purpose of the UE De-Registration Procedure is to disconnect a UE context in the HNB-GW or the HNB.

# 8.5.2 Successful Operation (HNB Originated)



Figure 7 UE De-Register Procedure: HNB Originated Successful Operation

The HNB shall initiate the procedure by sending a UE DE-REGISTER message. When receiving the UE DE-REGISTER message the HNB-GW shall release the resources associated with that UE including the Context-ID.

Typical Cause values:

#### **Radio Network Layer Cause:**

- Connection with UE lost
- UE RRC Release
- Unspecified
- UE relocated

# 8.5.3 Successful Operation (HNB-GW Originated)

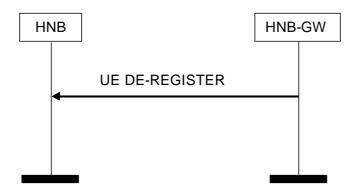


Figure 8 UE De-Register Procedure: HNB-GW Originated Successful Operation

The HNB-GW shall initiate the procedure by sending a UE DE-REGISTER message. When receiving the UE DE-REGISTER message the HNB shall release the included Context-ID.

Typical Cause values:

#### **Radio Network Layer Cause:**

- UE Registered in another HNB
- Unspecified
- UE not allowed on this HNB

# 8.5.4 Abnormal Conditions

--

# 8.6 Error Indication

# 8.6.1 General

The Error Indication procedure is initiated by either HNB or HNB-GW to report detected errors in one incoming message, provided they cannot be reported by an appropriate failure message.

# 8.6.2 Successful Operation

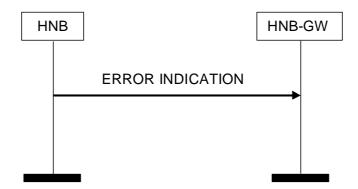


Figure 9 Error Indication HNB Originated, Successful Operation

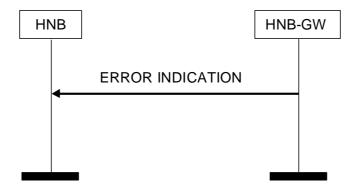


Figure 10 Error Indication HNB-GW Originated, Successful Operation

# 8.7 CSG Membership Update Procedure

# 8.7.1 Successful Operation

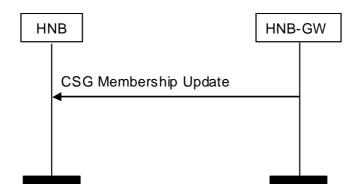


Figure 11: CSG Membership Update: Successful Operation

The HNB-GW shall initiate this procedure whenever it needs to indicate a change of a UE's CSG membership status to the HNB.

#### 8.7.2 Abnormal Conditions

\_

# 8.8 Access Control Query

#### 8.8.1 General

The Access Control Query procedure is initiated by an HNB to request that the HNB-GW performs Access Control for the referenced UE on behalf of the initiating HNB.

# 8.8.2 Successful Operation

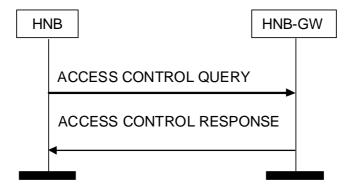


Figure 12: Access Control Query Procedure: Successful Operation

The HNB initiates the Access Control Query procedure by sending the ACCESS CONTROL QUERY message to the HNB-GW.

If the *Access Result* IE in the ACCESS CONTROL QUERY RESPONSE message is set to "Not Allowed" indicating that the referenced UE is not allowed to access the HNB which initiated the procedure, the ACCESS CONTROL

QUERY RESPONSE message shall contain the *Cause* IE to provide more information on the reason for access being rejected.

Typical Cause values:

#### **Radio Network Layer Cause:**

- Invalid UE identity
- UE not allowed on HNB
- Unspecified

#### 8.8.3 Abnormal Conditions

--

# 8.9 TNL Update

#### 8.9.1 General

The purpose of the TNL Update procedure is to exchange transport network layer information between an HNB and the HNB-GW for RABs for which respective RAB TNL resources are established.

### 8.9.2 Successful Operation

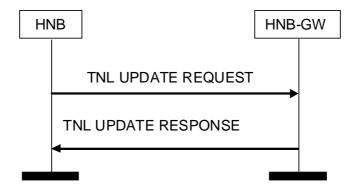


Figure 13: TNL Update procedure: Successful operation.

The HNB initiates the procedure by sending the TNL UPDATE REQUEST message to the HNB-GW.

Upon reception of the TNL UPDATE REQUEST message, the HNB-GW may start sending user data on the user plane to the target HNB. The HNB-GW shall respond with a TNL UPDATE RESPONSE message if the HNB-GW succeeds to establish TNL resources for the RABs indicated within the TNL UPDATE REQUEST message.

# 8.9.3 Unsuccesful Operation

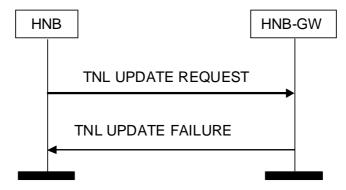


Figure 14: TNL Update: Unsuccessful operation.

If the HNB-GW fails to establish TNL resources for the RABs indicated within the TNL UPDATE REQUEST message the HNB-GW shall send the TNL UPDATE FAILURE message to the HNB with an appropriate cause value.

#### 8.9.4 Abnormal Conditions

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# 8.10 HNB Configuration Transfer Procedure

#### 8.10.1 General

The purpose of the HNB Configuration Transfer procedure is to obtain IP address information and other necessary information for establishing Iurh connections between HNBs.

# 8.10.2 Successful Operation

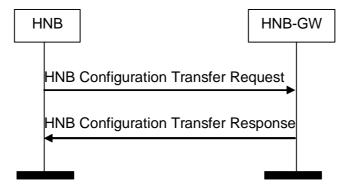


Figure 15: HNB Configuration Transfer Procedure: Successful Operation

The HNB initiates this procedure by sending an HNB CONFIGURATION TRANSFER REQUEST message to the HNB-GW in order to retrieve the IP address and other necessary information for each neighbour HNB indicated in the *Neighbour Information Request List* IE. The HNB shall only request configuration information for those neighbour HNBs for which information has not already been received.

If the *Local Iurh IP Address* IE or the *Remote Iurh IP Address* IE is included in the *HNB Configuration Information* IE in the HNB CONFIGURATION TRANSFER RESPONSE message for a neighbour HNB, the HNB shall verify if an Iurh interface is already established to the indicated IP Address and may establish an Iurh interface if this is not yet the case.

Editors Note: It is still FFS whether both, the *Remote Iurh IP Address* IE and the *Local Iurh IP Address* need to be included within the *HNB Configuration Information* IE.

If the *Local Iurh IP address* IE is included in the *HNB Configuration Information* IE then the HNB may use this to establish a direct Iurh connection to another HNB.

If the *Remote Iurh IP address* IE is included in the *HNB Configuration Information* IE then the HNB may use this to establish an Iurh connection to another HNB via the HNB-GW.

#### 8.10.3 Unsuccessful Operation

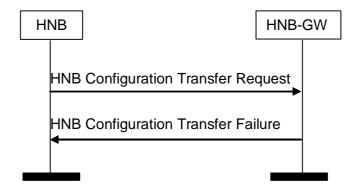


Figure 16: HNB Register Procedure: Un-Successful Operation

If the HNB-GW cannot provide the requested information, the HNB-GW shall respond with an HNB CONFIGURATION TRANSFER FAILURE message.

#### 8.10.4 Abnormal Conditions

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# 8.11 Relocation Complete

#### 8.11.1 General

The purpose of the Relocation Complete procedure is to inform the HNB-GW that RNSAP Relocation at the Target-HNB has completed. The message indicates the RABs successfully established at the Target-HNB.

The procedure uses connection oriented signalling.

# 8.11.2 Successful Operation

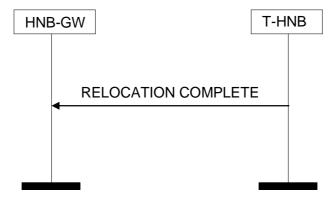


Figure 17: Relocation Complete procedure. Successful operation.

The Target-HNB initiates the procedure by sending the RELOCATION COMPLETE message to the HNB-GW.

# 9 Elements for HNBAP Communication

# 9.1 Message Functional Definition and Content

#### 9.1.1 General

Section 9.1 presents the contents of HNBAP messages in tabular format. The corresponding ASN.1 definition is presented in section 9.3. In case there is contradiction between the tabular format in section 9.1 and the ASN.1 definition, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional IEs, where the tabular format shall take precedence.

NOTE: The messages have been defined in accordance to the guidelines specified in TR 25.921 [5].

For each message there is, a table listing the signalling elements in their order of appearance in the transmitted message.

### 9.1.2 Message Contents

#### 9.1.2.1 Presence

All information elements in the message descriptions below are marked mandatory, optional or conditional according to table 3

Table 3: Meaning of abbreviations used in HNBAP messages

Abbreviation	Meaning
M	IE's marked as Mandatory (M) will always be included in the
	message.
0	IE's marked as Optional (O) may or may not be included in the
	message.
C	IE's marked as Conditional (C) will be included in a message only if
	the condition is satisfied. Otherwise the IE is not included.

#### 9.1.2.2 Criticality

Each Information Element or Group of Information Elements may have criticality information applied to it. Following cases are possible.

Table 4: Meaning of content within "Criticality" column

Abbreviation	Meaning				
_	No criticality information is applied explicitly.				
YES					
GLOBAL The IE and all its repetitions together have one common criticality information usable only for repeatable IEs.					
EACH	Each repetition of the IE has its own criticality information. It is not allowed to assign different criticality values to the repetitions. This is usable only for repeatable IEs.				

#### 9.1.2.3 Range

The Range column indicates the allowed number of copies of repetitive IEs/IE groups.

#### 9.1.2.4 Assigned Criticality

This column provides the actual criticality information as defined in subclause 10.3.2, if applicable.

### 9.1.3 HNB REGISTER REQUEST

This message is sent by the HNB to the HNB-GW to register the HNB at the HNB-GW.

Direction: HNB  $\rightarrow$  HNB-GW

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	М		9.2.1		YES	reject
HNB Identity	М		9.2.2		YES	reject
HNB Location Information	М		9.2.3		YES	reject
PLMN-ID	М		9.2.14		YES	reject
Cell-ID	М		9.2.25		YES	reject
LAC	М		9.2.11		YES	reject
RAC	М		9.2.12		YES	reject
SAC	М		9.2.13		YES	reject
CSG-ID	0		9.2.27		YES	reject
Service Area For Broadcast	0		SAC 9.2.13		YES	ignore
HNB Cell Access Mode	0		9.2.31		YES	reject
PSC	0		9.2.41		YES	ignore
Local lurh IP Address	0		IP Address 9.2.8		YES	ignore

#### 9.1.4 HNB REGISTER ACCEPT

This message is sent by the HNB-GW to the HNB as a successful response to a HNB REGISTER REQUEST message.

Direction: HNB-GW → HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
RNC-ID	M		9.2.26		YES	reject
Mux Port Number	0		9.2.29	The mux port number at which HNB-GW expects to receive multiplexed packets.	YES	Ignore
Remote lurh IP Address	0		IP Address 9.2.8		YES	ignore

# 9.1.5 HNB REGISTER REJECT

This message is sent by the HNB-GW to the HNB as unsuccessful response to a HNB REGISTER REQUEST message.

Direction: HNB-GW  $\rightarrow$  HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Cause	M		9.2.15		YES	ignore
Criticality Diagnostics	0		9.2.16		YES	ignore
Backoff Timer	C- ifOverload		9.2.28		YES	reject

Condition	Explanation
IfOverload	This IE shall be present if the Cause IE is set to "Overload".

#### 9.1.6 UE REGISTER REQUEST

This message is sent by the HNB to the HNB-GW to register a UE at HNB-GW for service.

Direction: HNB  $\rightarrow$  HNB-GW

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
UE Identity	M		9.2.17		YES	reject
Registration Cause	M		9.2.21		YES	ignore
UE Capabilities	M		9.2.24		YES	reject

#### 9.1.7 UE REGISTER ACCEPT

This message is sent by the HNB-GW to the HNB as a successful response to a UE REGISTER REQUEST message.

Direction: HNB-GW → HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
UE Identity	M		9.2.17		YES	reject
Context-ID	M		9.2.9		YES	reject
CSG Membership Status	0		9.2.30		YES	reject

### 9.1.8 UE REGISTER REJECT

This message is sent by the HNB-GW to the HNB as unsuccessful response to a UE REGISTER REQUEST message.

Direction: HNB-GW → HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
UE Identity	М		9.2.17		YES	reject
Cause	M		9.2.15		YES	ignore
Criticality Diagnostics	0		9.2.16		YES	ignore

#### 9.1.9 HNB DE-REGISTER

This message is sent by the HNB to the HNB-GW or HNB-GW to HNB to deregister the HNB,

Direction: HNB  $\rightarrow$  HNB-GW or HNB-GW  $\rightarrow$  HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	М		9.2.1		YES	reject
Cause	М		9.2.15		YES	ignore
Backoff Timer	C- ifOverload		9.2.28		YES	reject

Condition	Explanation
IfOverload	This IE shall be present if the Cause IE is set to "Overload".

# 9.1.10 UE DE-REGISTER

This message is sent by the HNB to the HNB-GW or HNB-GW to HNB to de-register a UE.

Direction: HNB  $\rightarrow$  HNB-GW, HNB-GW  $\rightarrow$  HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Context-ID	M		9.2.9		YES	reject
Cause	M		9.2.15		YES	ignore

#### 9.1.11 ERROR INDICATION

This message is sent by the HNB to HNB-GW or HNB-GW to HNB and is used to indicate that some errors have been detected.

Direction: HNB  $\rightarrow$  HNB-GW, HNB-GW  $\rightarrow$  HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	ignore
Cause	M		9.2.15		YES	ignore
Criticality Diagnostics	0		9.2.16		YES	ignore

### 9.1.12 CSG MEMBERSHIP UPDATE

This message is sent by the HNB-GW to HNB to indicate CSG Membership changes to the HNB.

Direction: HNB-GW → HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Context-ID	M		9.2.9		YES	reject
CSG Membership Status	M		9.2.30		YES	reject

#### 9.1.13 ACCESS CONTROL QUERY

This message is sent by the HNB to the HNB-GW to query access for a UE.

Direction: HNB → HNB-GW

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
UE Identity	M		9.2.17		YES	reject

#### 9.1.14 ACCESS CONTROL QUERY RESPONSE

This message is sent by the HNB-GW to the HNB in response to a query about access for a UE.

Direction: HNB-GW→ HNB

PARAMETER	PRESENCE	RANGE	IE Type and	Semantics	Criticality	Assigned
			Reference	Description		Criticality
Message Type	М		9.2.1		YES	reject
UE Identity	М		9.2.17		YES	reject
AccessResult	М		9.2.38		YES	reject
Cause	0		9.2.15		YES	ignore

#### 9.1.15 TNL UPDATE REQUEST

This message is sent by the HNB to the HNB-GW to inform the GW of new TNL information for the referenced RABs.

Direction: HNB → HNB-GW

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Context ID	M		9.2.9		YES	reject
RAB List	M		9.2.32		YES	reject
Update Cause	M		9.2.39		YES	reject

#### 9.1.16 TNL UPDATE RESPONSE

This message is sent by the HNB-GW to inform the HNB that a TNL update switch has been successfully completed in the HNB-GW.

Direction: HNB-GW → HNB

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Context ID	M		9.2.9		YES	reject

#### 9.1.17 TNL UPDATE FAILURE

This message is sent by the HNB-GW to inform the HNB that a failure has occurred during the TNL update procedure.

Direction: HNB-GW  $\rightarrow$  HNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Context ID	М		9.2.9		YES	reject
Cause	M		9.2.15		YES	ignore
Criticality Diagnostics	0		9.2.16		YES	ignore

### 9.1.18 HNB CONFIGURATION TRANSFER REQUEST

This message is sent by the HNB to the HNB-GW to request configuration information for neighbouring HNBs.

Direction: HNB  $\rightarrow$  HNB-GW

PARAMETER	PRESENC E	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Neighbour Information Request List	М				YES	Reject
>Neighbour Information Request		1 to <maxno ofNeigh bours&gt;</maxno 	HNB RNL Identity 9.2.36		-	

Range bound	Explanation
maxnoofNeighbours	Maximum number of neighbour cells associated to a given HNB. Value is 32.

#### 9.1.19 HNB CONFIGURATION TRANSFER RESPONSE

This message is sent by the HNB-GW to the HNB as a successful response to a HNB CONFIGURATION TRANSFER REQUEST message.

Direction:  $HNB-GW \rightarrow HNB$ 

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Neighbour Information List	M				YES	reject
>Neighbour Information		1 to <maxno ofNeigh bours&gt;</maxno 	HNB Configuration Information 9.2.40		-	

Range bound	Explanation				
maxnoofNeighbours	Maximum number of neighbour cells associated to a given HNB.				
	Value is 32.				

#### 9.1.20 HNB CONFIGURATION TRANSFER FAILURE

This message is sent by the HNB-GW to the HNB as unsuccessful response to a HNB CONFIGURATION TRANSFER REQUEST message.

Direction:  $HNB-GW \rightarrow HNB$ 

PARAMETER	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
Message Type	М		9.2.1		YES	reject
Cause	М		9.2.15		YES	reject
Criticality Diagnostics	0		9.2.16		YES	reject

#### 9.1.21 RELOCATION COMPLETE

This message is sent by the HNB to inform the HNB-GW that a relocation is completed.

Direction: HNB  $\rightarrow$  HNB GW

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1		YES	reject
Context-ID	М		9.2.9		YES	reject

# 9.2 Information Element Definitions

#### 9.2.0 General

Section 9.2 presents the HNBAP IE definitions in tabular format. The corresponding ASN.1 definition is presented in section 9.3. In case there is contradiction between the tabular format in section 9.2 and the ASN.1 definition, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional elements, where the tabular format shall take precedence.

When specifying information elements which are to be represented by bit strings, if not otherwise specifically stated in the semantics description of the concerned IE or elsewhere, the following principle applies with regards to the ordering of bits:

- The first bit (leftmost bit) contains the most significant bit (MSB);
- The last bit (rightmost bit) contains the least significant bit (LSB);
- When importing bit strings from other specifications, the first bit of the bit string contains the first bit of the concerned information;

# 9.2.1 Message Type

Message Type IE uniquely identifies the message being sent. It is mandatory for all messages.

IE/GROUP NAME	Presence	Range	IE Type and Reference	Semantics Description
Message Type				-
>Procedure Code	M		ENUMERATED (	
			HNB register,	
			UE Register,	
			UE De-Register,	
			HNB De-Register	
			Error Indication	
			,, CSG Membership	
			Update,	
			Access Control Query,	
			TNL Update, HNB	
			Configuration Transfer,	
			Relocation Complete)	
>Type of Message	M		ENUMERATED	
,,			(Initiating Message,	
			Successful Outcome,	
			Unsuccessful Outcome,	
			Outcome)	

# 9.2.2 HNB Identity

HNB Identity IE is sent from the HNB to the HNB-GW and identities the HNB.

IE/GROUP NAME	Presence	Range	IE Type and Reference	Semantics Description
HNB Identity			OCTET STRING (SIZE(1, 255))	See note below.

Note:

The octet string shall take form of an Network Access Identifier(NAI) as defined in IETF RFC 4282 [13]. The format of the HNB-Identity will be:

0<IMSI>@<realm>

Or

1<OUI>-<SerialNumber>@<realm>

Where <IMSI> is a 16 digit number coded as specified in TS 23.003 [12];

and <OUI> and <SerialNumber> are coded as specified in TR-069 [14].

#### 9.2.3 HNB Location Information

HNB Location Information IE is sent from the HNB to HNB-GW to provide information on the location of the HNB.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and Reference	Semantics Description	Criticality	Assigned Criticality
HNB Location Information					-	-
> Macro Coverage Information	0		9.2.7		-	-
> Geographic Location	0		9.2.4		-	-
> HNB Internet Information	0		IP Address 9.2.8		YES	reject

# 9.2.4 Geographical Location

This IE identifies the Ellipsoid point with altitude as in ref TS 23.032 [4].

IE/GROUP NAME	PRESENCE	RANGE	IE type and reference	Semantics description
Geographical Coordinates	M		9.2.5	
Altitude and Direction	M		9.2.6	

# 9.2.5 Geographical Coordinates

This IE contains the geographical coordinates of an ellipsoid point.

IE/GROUP NAME	PRESENCE	RANGE	IE type and reference	Semantics description
Latitude Sign	M		ENUMERATED (North, South)	
Degrees Of Latitude	M		INTEGER ( 02 <sup>23</sup> -1)	The IE value (N) is derived by this formula: N≤2 <sup>23</sup> X /90 < N+1 X being the latitude in degree (0° 90°)
Degrees Of Longitude	M		INTEGER ( -2 <sup>23</sup> 2 <sup>23</sup> -1)	The IE value (N) is derived by this formula: N≤2 <sup>24</sup> X /360 < N+1 X being the longitude in degree (-180°+180°)

#### 9.2.6 Altitude and Direction

This IE contains the altitude and direction of an ellipsoid point.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Altitude and direction				
>Direction of Altitude	M		ENUMERATED (Height, Depth)	
>Altitude	M		INTEGER ( 02 <sup>15</sup> -1)	The relation between the value (N) and the altitude (a) in meters it describes is N≤ a <n+1, except="" for="" n="2&lt;sup">15-1 for which the range is extended to include all grater values of (a).</n+1,>

# 9.2.7 Macro Coverage Information

The  $Macro\ Coverage\ Information\ IE$  identifies the macro cell used by the HNB for location. This may be e.g. a GERAN Cell ID or a UTRAN Cell ID .

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Choice Cell Identifier				
>UTRAN Cell ID Information				
>>LAC	M		9.2.11	
>>RAC	M		9.2.12	
>>PLMN-ID	M		9.2.14	
>>Cell-ID	M		9.2.25	
>GERAN Cell ID Information				
>>PLMN-ID	M		9.2.14	
>>LAC	M		9.2.11	0000 and FFFE not
				allowed.
>>CI	M		OCTET STRING (2)	

### 9.2.8 IP Address

This IE defines a IP address.

IE/GROUP NAME	Presence	Range	IE Type and Reference	Semantics Description
CHOICE IP Address				
>Internet Address ipv4	M		OCTET STRING(4)	
>Internet Address ipv6	M		OCTET STRING(16)	

#### 9.2.9 Context-ID

Context ID IE uniquely identifies a particular UE in the HNB and HNB-GW. This unique Context ID is used for PS and CS domain.

IE/GROUP NAME	PRESENCE	RANGE	IE Type and	Semantics Description
Context-ID			BIT STRING(24)	

#### 9.2.10 IMSI

The IMSI is used to uniquely identify a UE.

IE/Group Name	Presence	Range	IE type and reference	Semantics
				description
IMSI			OCTET STRING (SIZE (38))	
				consequently encoded as bit 8 to 5 of octet N.

# 9.2.11 LAC

This element is used to identify a Location Area.

IE/GROUP NAME	PRESENC	RANGE	IE Type and	Semantics Description
	E		Reference	
LAC			OCTET STRING(2)	

#### 9.2.12 RAC

This element is used to identify a Routing Area within a Location Area. It is used for PS services.

IE/GROUP NAME	Presence	Range	IE Type and	Semantics Description
			Reference	
RAC			OCTET STRING(1)	

# 9.2.13 SAC

The SAC identifies the Service Area.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
SAC			OCTET STRING	
			(SIZE(2))	

#### 9.2.14 PLMN-ID

The PLMN-ID identifies a Public Land Mobile Network.

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
PLMN-ID			OCTET STRING (SIZE (3))	- digits 0 to 9, encoded 0000 to 1001, - 1111 used as filler digit, two digits per octet, - bits 4 to 1 of octet n encoding digit 2n-1- bits 8 to 5 of octet n encoding digit 2n
				-The PLMN identity consists of 3 digits from MCC followed by either - a filler digit plus 2 digits from MNC (in case of 2 digit MNC) or - 3 digits from MNC (in case of a 3 digit MNC).

# 9.2.15 Cause

Cause IE indicates the reason for a particular error event for the HNBAP protocol.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
CHOICE Cause Group				
>Radio Network Layer				
>>Radio Network Layer Cause	M		ENUMERATED ( overload, unauthorised-Location, unauthorised-HNB, HNB Parameter mismatch, Invalid UE identity, UE not allowed on this HNB, UE unauthorised, Connection with UE lost, UE RRC Release, HNB not registered, unspecified, Normal, UE relocated, UE Registered in another HNB,,	
Townson			)	
>Transport Layer	M		ENUMERATED	
>>Transport Layer Cause	IVI		(Transport Resource Unavailable, Unspecified, )	
>Protocol				
>>Protocol Cause	Σ		ENUMERATED (Transfer Syntax Error, Abstract Syntax Error (Reject), Abstract Syntax Error (Ignore and Notify), Message not Compatible with Receiver State, Semantic Error, Unspecified, Abstract Syntax Error (Falsely Constructed Message),)	
>Misc				
>>Misc Cause	M		ENUMERATED (Processing Overload, Hardware Failure, O&M Intervention, Unspecified,)	

The meaning of the different cause values is described in the following table. Cause values for information 'not valid' indicates that the information is not valid in the context that it was received.

Radio Network Layer cause	Meaning
Overload	The HNB-GW cannot handle the HNB due to overload.
Unauthorised-Location	The HNB-GW cannot register the HNB because the location
	information provided is not valid.
Unauthorised-HNB	The HNB-GW cannot register the HNB because its supplied
	information is not considered valid
HNB Parameter Mismatch	The HNB-GW cannot register the HNB because of mismatch
	in parameters between HNB and HNB-GW
Invalid UE identity	The UE ID supplied for UE registration is not valid
UE not allowed on this HNB	The UE is identified as not being allowed to use services on
	this HNB. (temporary rejection)
UE not authorised	The UE is identified as not being allowed to use services on a
	HNB (permanent rejection).
Connection with UE lost	The connection to a Registered UE has been lost
UE RRC release	The Registered UE's RRC is released (e.g. due to IMSI
	detach NAS procedure)
HNB not registered	The HNB is not registered on this gateway
Unspecified	Sent when none of the above cause values applies but still
	the cause is Radio Network layer related.
UE relocated	The UE has been relocated to a neighbouring cell
UE Registered in another HNB	The UE has already registered in another HNB on same HNB-
	GW.
Normal	No error has occurred

Transport Network Layer cause	Meaning
Transport resource unavailable	The required transport resources are not available.
Unspecified	Sent when none of the above cause values applies but still
	the cause is Transport Network layer related.

Protocol cause	Meaning
Abstract Syntax Error (Reject)	The received message included an abstract syntax error and
·	the concerned criticality indicated "reject".
Abstract Syntax Error (Ignore and	The received message included an abstract syntax error and
Notify)	the concerned criticality indicated "ignore and notify".
Abstract syntax error (falsely	The received message contained IEs in wrong order or with
constructed message)	too many occurrences.
Message not Compatible with	The received message was not compatible with the receiver
Receiver State	state.
Semantic Error	The received message included a semantic error.
Transfer Syntax Error	The received message included a transfer syntax error.
Unspecified	Sent when none of the above cause values applies but still
	the cause is protocol related.

Miscellaneous cause	Meaning
Processing Overload	Control processing overload.
Hardware Failure	HNB hardware failure.
O&M Intervention	Operation and Maintenance intervention related to HNB.
Unspecified	Sent when none of the above cause values applies and the
	cause is not related to any of the categories Radio Network
	Layer, Transport Network Layer or Protocol.

# 9.2.16 Criticality Diagnostics

The *Criticality Diagnostics* IE is sent by the RNC or the CN when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs were not comprehended or were missing.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Criticality Diagnostics				
>Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Criticality Diagnostics				
				Error Indication procedure, and not within the response message of the same procedure that caused the error
>Triggering Message	0		ENUMERATED (initiating message, successful outcome, unsuccessful outcome)	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
>Procedure Criticality	0		ENUMERATED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure).
Information Element Criticality Diagnostics		0 to <maxnoo f errors&gt;</maxnoo 		
>IE Criticality	M		ENUMERATED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used.
>IE ID	М		INTEGER (065535)	The IE Id of the not understood or missing IE
>Type of Error	М		ENUMERATED(not understood, missing,)	

Range bound	Explanation
Maxnooferrors	Maximum no. of IE errors allowed to be reported with a single
	message. The value for maxnooferrors is 256.

# 9.2.17 UE Identity

This is a unique identifier for the UE.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CHOICE UE Identity				
> IMSI			9.2.10	
> TMSI and LAI (GSM-MAP)				
>>TMSI	M		9.2.19	
>>LAI	M		9.2.20	
>P- TMSI and RAI (GSM-MAP)				
>>P-TMSI	M		9.2.22	
>>RAI (GSM-MAP)	M		9.2.23	
> IMEI			9.2.18	
> ESN (DS-41)			BIT STRING (SIZE (32))	
> IMSI (DS-41)			OCTET STRING (SIZE (57))	
>IMSI and ESN (DS-41)			, , , , , ,	
>> ESN (DS-41)	M		BIT STRING(SIZE (32))	
>> IMSI (DS-41)	М		OCTET STRING (SIZE (57))	
>TMSI (DS-41)			OCTET STRING (SIZE (217))	

# 9.2.18 IMEI

This IE contains an International Mobile Equipment Identity.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
IMEI			BIT STRING(60)	

# 9.2.19 TMSI (GSM-MAP)

This IE contains a Temporary Mobile Subscriber Identity, used towards a GSM-MAP type of PLMN.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
TMSI (GSM-MAP)			BIT STRING	The first/leftmost bit of the bit
			(32)	string contains the most significant bit of the TMSI.

# 9.2.20 LAI

Location Area Identification identifies uniquely a location area for a GSM-MAP type of PLMN.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
PLMN-ID	M		9.2.14	
LAC	M		9.2.11	

# 9.2.21 Registration Cause

Indicates if a UE registration is for an emergency call.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
Registration cause			Enumerated {	
			emergency	

Information Element/Group name	Presence	Range	Type and reference	Semantics description
			call, normal, }	

# 9.2.22 P-TMSI (GSM-MAP)

This IE contains a Packet Temporary Mobile Subscriber Identity (P-TMSI), used towards a GSM-MAP type of PLMN.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
P-TMSI			BIT STRING (32)	The first/leftmost bit of the bit string contains the most significant bit of the P-TMSI.

# 9.2.23 Routing Area Identification

Identifies uniquely a routing area for a GSM-MAP type of PLMN.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
LAI	M		9.2.20	
RAC	M		9.2.12	

# 9.2.24 UE Capabilities

Identifies UE capabilities and release.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
UE Capabilities				
>Access Stratum Release Indicator	M		Enumerated { R99, Rel-4, Rel-5, Rel-6, Rel-7, Rel-8-and-beyond,}	Values as defined in TS 25.331 [10]
>CSG Capability	M		Enumerated {CSG capable, Not CSG capable,}	Indicates a CSG capable UE.

#### 9.2.25 Cell-ID

Identifies uniquely a cell within a PLMN, as defined in TS 25.331 [10].

Information Element/Group	Presence	Range	Type and	Semantics description
name			reference	
Cell-ID			BIT STRING (SIZE (28))	This information element identifies a cell uniquely within a PLMN.

# 9.2.26 RNC-ID

Uniquely identifies the HNB-GW towards the CN on a particular Iu interface.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
RNC-ID			INTEGER	Values greater than 4095 are
			(065535)	extended (16bit) RNC lds.

#### 9.2.27 CSG-ID

Indicates the CSG-ID of a particular HNB, as defined in TS 23.003 [12]

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CSG-ID			BIT STRING (SIZE(27))	

# 9.2.28 Backoff Timer

The Backoff Timer IE indicates in seconds the minimum duration for which the HNB registration shall not be retried.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Backoff Timer			INTEGER	Value '0' indicates no specified
			(03600)	time.

#### 9.2.29 Mux Port Number

The mux port number on which the HNB-GW expects the multiplexed packets from the HNB

Information Element/Group name	Presence	Range	Type and reference	Semantics description
Mux port number			INTEGER (102465535)	

# 9.2.30 CSG Membership Status

This element indicates the Membership status of the UE to a particular CSG.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CSG Membership Status	M		ENUMERATED	
			(member, not-	
			member,)	

#### 9.2.31 HNB Cell Access Mode

This information element indicates whether the cell of the HNB operates in a Closed, Hybrid, or Open Access mode as defined in TS 22.220 [15].

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
HNB Cell Access Mode	M		ENUMERATED(cl	
			osed, hybrid,	
			open,)	

#### 9.2.32 RAB List

The RAB List IE indicates a list of old and new RAB transport information.

IE/Group name	Presence	Range	IE Type and reference	Semantics description
RAB List		1maxnoof RABs		
> Old Transport Info	М		Transport Info 9.2.33	
> New Transport Info	М		Transport Info 9.2.33	
>CN Domain	М		9.2.37	

Range bound	Explanation
maxnoofRABs	Maximum no. of RABs for one UE. Value is 256.

# 9.2.33 Transport Info

The Transport Info IE indicates transport network layer related information.

IE/Group name	Presence	Range	IE Type and reference	Semantics description
Transport Layer Address	M		9.2.34	
Transport Association	M		9.2.35	

# 9.2.34 Transport Layer Address

This information element is an IP address to be used for the user plane transport.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Transport Layer Address	М		BIT STRING (1160,)	

# 9.2.35 Transport Association

This element is used to associate the RAB and the corresponding transport bearer. For the CS domain this information element is the UDP port. In PS domain this information element is the GTP Tunnel Endpoint Identifier.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Choice Transport Association				
>GTP TEID			OCTET STRING (4)	
>Binding ID			OCTET STRING (14,)	The UDP port is included in octet 1 and 2. The first octet of the UDP port field shall be included in the first octet of the Binding ID.

# 9.2.36 HNB RNL Identity

The HNB RNL Identity IE globally identifies an HNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Choice HNB RNL Identity					-	-
>HNB Cell Identifier	M		9.2.42		YES	reject

# 9.2.37 CN Domain Identity

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CN Domain Identity	M		ENUMERATED	
-			(CS domain, PS	
			domain)	

# 9.2.38 AccessResult

IE/Group Name	Presence	Range	IE type and reference	Semantics description
AccessResult	M		ENUMERATED	
			(Allowed, Not	
			Allowed,)	

# 9.2.39 Update Cause

Indicates the reason for TNL update request.

Information Element/Group name	Presence	Range	Type and reference	Semantics description
Update cause			ENUMERATED	
			( Relocation	
			preparation,)	ļ

# 9.2.40 HNB Configuration Information

This IE contains configuration information of a HNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
HNB RNL Identity	M		9.2.36	
PSC	0		9.2.42	
CSG-ID	0		9.2.27	
HNB Cell Access Mode	M		9.2.31	
Local lurh IP address	0		IP address 9.2.8	Note: It is still FFS whether both, the <i>Remote lurh IP Address</i> IE and the <i>Local lurh IP Address</i> neeed to be included.
Remote lurh IP address	0		IP address 9.2.8	Note: It is still FFS whether both, the <i>Remote Iurh IP Address</i> IE and the <i>Local Iurh IP Address</i> need to be included.

# 9.2.41 PSC

This IE contains the Primary Scrambling Code of the cell served by the HNB.

Ī	Information Element/Group	Presence	Range	Type and	Semantics description
	name			reference	

Information Element/Group name	Presence	Range	Type and reference	Semantics description
PSC			BIT STRING (SIZE(9))	

#### 9.2.42 HNB Cell Identifier

This IE contains the information which identifies a HNB for which configuration information is requested.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
PLMN-ID	M		9.2.14	
Cell-ID	M		9.2.25	

# 9.3 Message and Information Element Abstract Syntax (with ASN.1)

#### 9.3.0 General

HNBAP ASN.1 definition conforms with ITU-T Rec. X.680 [8] and ITU-T Rec. X.681 [9].

The ASN.1 definition specifies the structure and content of HNBAP messages. HNBAP messages can contain any IEs specified in the object set definitions for that message without the order or number of occurrence being restricted by ASN.1. However, for this version of the standard, a sending entity shall construct a HNBAP message according to the PDU definitions module and with the following additional rules (Note that in the following IE means an IE in the object set with an explicit id. If one IE needed to appear more than once in one object set, then the different occurrences have different IE ids):

- IEs shall be ordered (in an IE container) in the order they appear in object set definitions.
- Object set definitions specify how many times IEs may appear. An IE shall appear exactly once if the presence field in an object has value "mandatory". An IE may appear at most once if the presence field in an object has value "optional" or "conditional". If in a tabular format there is multiplicity specified for an IE (i.e. an IE list) then in the corresponding ASN.1 definition the list definition is separated into two parts. The first part defines an IE container list where the list elements reside. The second part defines list elements. The IE container list appears as an IE of its own. For this version of the standard an IE container list may contain only one kind of list elements.

If a HNBAP message that is not constructed as defined above is received, this shall be considered as Abstract Syntax Error, and the message shall be handled as defined for Abstract Syntax error in subclause 10.3.6.

# 9.3.1 Usage of private message mechanism for non-standard use

The private message mechanism for non-standard use may be used:

- for special operator- (and/or vendor) specific features considered not to be part of the basic functionality, i.e. the functionality required for a complete and high-quality specification in order to guarantee multivendor interoperability;
- by vendors for research purposes, e.g. to implement and evaluate new algorithms/features before such features are proposed for standardisation.

The private message mechanism shall not be used for basic functionality. Such functionality shall be standardised.

# 9.3.2 Elementary Procedure Definitions

```
__ *******************
-- Elementary Procedure definitions
__ **********************
HNBAP-PDU-Descriptions {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) hnbap(6) version1 (1) hnbap-PDU-Descriptions (0)}
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
    *******************
-- IE parameter types from other modules.
  *****************
IMPORTS
   Criticality,
   ProcedureCode
FROM HNBAP-CommonDataTypes
   HNBRegisterRequest,
   HNBRegisterAccept,
   HNBRegisterReject,
   HNBDe-Register,
   UERegisterRequest,
   UERegisterAccept,
   UERegisterReject,
   UEDe-Register,
   ErrorIndication,
   PrivateMessage,
   CSGMembershipUpdate,
   AccessControlQuery,
   AccessControlResponse,
   TNLUpdateRequest,
   TNLUpdateResponse,
   TNLUpdateFailure,
   HNBConfigTransferRequest,
   HNBConfigTransferResponse,
   HNBConfigTransferFailure,
   RelocationComplete
FROM HNBAP-PDU-Contents
   id-HNBRegister,
   id-UERegister,
   id-UEDe-Register,
   id-HNBDe-Register,
```

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```
id-ErrorIndication,
   id-privateMessage,
   id-CSGMembershipUpdate,
   id-AccessControlQuery,
   id-TNLUpdate,
   id-HNBConfigTransfer,
   id-RelocationComplete
FROM HNBAP-Constants;
     ****************
  Interface Elementary Procedure Class
  ****************
HNBAP-ELEMENTARY-PROCEDURE ::= CLASS {
   &InitiatingMessage
    &SuccessfulOutcome
                              OPTIONAL,
    &UnsuccessfulOutcome
                              OPTIONAL,
    &procedureCode
                              ProcedureCode
                                             UNIQUE,
    &criticality
                              Criticality
                                             DEFAULT ignore
WITH SYNTAX {
    INITIATING MESSAGE
                              &InitiatingMessage
                              &SuccessfulOutcome]
    [SUCCESSFUL OUTCOME
                              &UnsuccessfulOutcome]
    [UNSUCCESSFUL OUTCOME
                              &procedureCode
    PROCEDURE CODE
                              &criticality]
    [CRITICALITY
-- Interface PDU definitions
HNBAP-PDU ::= CHOICE {
   initiatingMessage
                          InitiatingMessage,
                          SuccessfulOutcome,
   successfulOutcome
   unsuccessfulOutcome
                          UnsuccessfulOut.come.
InitiatingMessage ::= SEQUENCE
   procedureCode HNBAP-ELEMENTARY-PROCEDURE.&procedureCode
                                                                ({HNBAP-ELEMENTARY-PROCEDURES}),
   criticality
                  HNBAP-ELEMENTARY-PROCEDURE.&criticality
                                                                ({HNBAP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   value
                                                                ({HNBAP-ELEMENTARY-PROCEDURES}{@procedureCode})
                  HNBAP-ELEMENTARY-PROCEDURE.&InitiatingMessage
SuccessfulOutcome ::= SEQUENCE
   procedureCode HNBAP-ELEMENTARY-PROCEDURE.&procedureCode
                                                                ({HNBAP-ELEMENTARY-PROCEDURES}),
```

```
({HNBAP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   criticality
                 HNBAP-ELEMENTARY-PROCEDURE.&criticality
   value
                 HNBAP-ELEMENTARY-PROCEDURE. & Successful Outcome
                                                             ({HNBAP-ELEMENTARY-PROCEDURES}{@procedureCode})
UnsuccessfulOutcome ::= SEQUENCE {
   procedureCode HNBAP-ELEMENTARY-PROCEDURE.&procedureCode
                                                             ({HNBAP-ELEMENTARY-PROCEDURES}),
   criticality
                                                             ({HNBAP-ELEMENTARY-PROCEDURES}{@procedureCode}),
                 HNBAP-ELEMENTARY-PROCEDURE.&criticality
                 HNBAP-ELEMENTARY-PROCEDURE.&UnsuccessfulOutcome ({HNBAP-ELEMENTARY-PROCEDURES}{@procedureCode})
   value
    *****************
  Interface Elementary Procedure List
  ****************
HNBAP-ELEMENTARY-PROCEDURES HNBAP-ELEMENTARY-PROCEDURE ::= {
   HNBAP-ELEMENTARY-PROCEDURES-CLASS-1
   HNBAP-ELEMENTARY-PROCEDURES-CLASS-2 ,
HNBAP-ELEMENTARY-PROCEDURES-CLASS-1 HNBAP-ELEMENTARY-PROCEDURE ::= {
   hNBRegister
   uERegister,
   accessControlOuery
   tnlUpdate
   hNBConfigTransfer
HNBAP-ELEMENTARY-PROCEDURES-CLASS-2 HNBAP-ELEMENTARY-PROCEDURE ::= {
   uEDe-Register |
   hNBDe-Register |
   errorIndication
   privateMessage,
   csg-membership-update
   relocationComplete
    *****************
  Interface Elementary Procedures
    *****************
hNBRegister HNBAP-ELEMENTARY-PROCEDURE ::= {
                         HNBRegisterRequest
   INITIATING MESSAGE
   SUCCESSFUL OUTCOME
                         HNBRegisterAccept
   UNSUCCESSFUL OUTCOME
                        HNBRegisterReject
                         id-HNBRegister
   PROCEDURE CODE
```

```
CRITICALITY
                            reject
uERegister HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UERegisterRequest
                            UERegisterAccept
    SUCCESSFUL OUTCOME
                            UERegisterReject
    UNSUCCESSFUL OUTCOME
    PROCEDURE CODE
                            id-UERegister
    CRITICALITY
                            reject
uEDe-Register HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UEDe-Register
    PROCEDURE CODE
                            id-UEDe-Register
    CRITICALITY
                            ignore
hNBDe-Register HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            HNBDe-Register
    PROCEDURE CODE
                            id-HNBDe-Register
    CRITICALITY
                            ignore
errorIndication HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            ErrorIndication
    PROCEDURE CODE
                            id-ErrorIndication
    CRITICALITY
                            ignore
csg-membership-update HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            CSGMembershipUpdate
    PROCEDURE CODE
                            id-CSGMembershipUpdate
    CRITICALITY
                            ignore
accessControlQuery HNBAP-ELEMENTARY-PROCEDURE ::=
    INITIATING MESSAGE
                            AccessControlQuery
    SUCCESSFUL OUTCOME
                            AccessControlResponse
                            id-AccessControlQuery
    PROCEDURE CODE
    CRITICALITY
                            reject
tnlupdate HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            TNLUpdateRequest
    SUCCESSFUL OUTCOME
                            TNLUpdateResponse
                            TNLUpdateFailure
    UNSUCCESSFUL OUTCOME
    PROCEDURE CODE
                            id-TNLUpdate
    CRITICALITY
                            reject
hNBConfigTransfer HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            HNBConfigTransferRequest
    SUCCESSFUL OUTCOME
                            HNBConfigTransferResponse
                            HNBConfigTransferFailure
    UNSUCCESSFUL OUTCOME
```

```
id-HNBConfigTransfer
    PROCEDURE CODE
    CRITICALITY
                            reject
relocationComplete HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            RelocationComplete
                            id-RelocationComplete
    PROCEDURE CODE
    CRITICALITY
                            ignore
privateMessage HNBAP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            PrivateMessage
    PROCEDURE CODE
                            id-privateMessage
    CRITICALITY
                            ignore
END
```

# 9.3.3 PDU Definitions

```
__ *********************
-- PDU definitions for HNBAP.
__ *******************
HNBAP-PDU-Contents {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) hnbap(6) version1 (1) hnbap-PDU-Contents (1) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
   -- IE parameter types from other modules.
__ *******************
IMPORTS
   Cause,
   CriticalityDiagnostics,
   CSGMembershipStatus,
   HNB-Location-Information,
   HNB-Identity,
   Context-ID,
   UE-Identity,
   LAC,
   RAC,
   CN-DomainIndicator,
   IP-Address.
```

```
Registration-Cause,
    UE-Capabilities,
    PLMNidentity,
    CellIdentity,
    RNC-ID,
    CSG-ID,
    HNB-Cell-Access-Mode,
    BackoffTimer,
    MuxPortNumber,
    RABList,
    HNBConfigInfo,
    AccessResult,
    Update-cause,
    NeighbourInfoList,
    NeighbourInfoRequestList,
    PSC
FROM HNBAP-IES
    ProtocolExtensionContainer{},
    ProtocolIE-ContainerList{},
    ProtocolIE-Container{},
    ProtocolIE-Single-Container{},
    PrivateIE-Container{},
    HNBAP-PRIVATE-IES,
    HNBAP-PROTOCOL-EXTENSION,
    HNBAP-PROTOCOL-IES
FROM HNBAP-Containers
    id-Cause,
    id-CriticalityDiagnostics,
    id-CSGMembershipStatus,
    id-HNB-Location-Information,
    id-HNB-Identity,
    id-Context-ID,
    id-PLMNidentity,
    id-UE-Identity,
    id-LAC,
    id-RAC,
    id-SAC,
    id-UE-Capabilities,
    id-Registration-Cause,
    id-CellIdentity,
    id-RNC-ID,
    id-CSG-ID,
    id-HNB-Cell-Access-Mode,
    id-BackoffTimer,
    id-Service-Area-For-Broadcast,
    id-MuxPortNumber,
    id-RABList,
```

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```
id-HNBConfigInfo,
   id-Local-Iurh-IP-Address,
   id-AccessResult.
   id-Update-cause,
   id-NeighbourInfoList,
   id-NeighbourInfoRequestList,
   id-Remote-Iurh-IP-Address.
   id-PSC
FROM HNBAP-Constants;
__ **********************
-- HNB Register REQUEST
  ·····
HNBRegisterReguest ::= SEQUENCE {
                                                {HNBRegisterRequestIEs} },
   protocolIEs
                     ProtocolIE-Container
   protocolExtensions ProtocolExtensionContainer { {HNBReqisterRequestExtensions} }
                                                                                OPTIONAL,
HNBRegisterRequestIEs HNBAP-PROTOCOL-IES ::= {
     ID id-HNB-Identity
                                           CRITICALITY reject TYPE HNB-Identity
                                                                                           PRESENCE mandatory
     ID id-HNB-Location-Information
                                           CRITICALITY reject TYPE HNB-Location-Information
                                                                                           PRESENCE mandatory
     ID id-PLMNidentity
                                           CRITICALITY reject TYPE PLMNidentity
                                                                                           PRESENCE mandatory
     ID id-CellIdentity
                                           CRITICALITY reject TYPE CellIdentity
                                                                                           PRESENCE mandatory
                                                                                           PRESENCE mandatory
     ID id-LAC
                                           CRITICALITY reject TYPE LAC
     ID id-RAC
                                           CRITICALITY reject TYPE RAC
                                                                                           PRESENCE mandatory
     ID id-SAC
                                           CRITICALITY reject TYPE SAC
                                                                                           PRESENCE mandatory }
    ID id-CSG-ID
                                           CRITICALITY reject TYPE CSG-ID
                                                                                           PRESENCE optional } ,
   . . .
HNBRegisterRequestExtensions HNBAP-PROTOCOL-EXTENSION ::= {
     ID id-Service-Area-For-Broadcast
                                        CRITICALITY ignore EXTENSION SAC
                                                                                              PRESENCE optional
     ID id-HNB-Cell-Access-Mode
                                        CRITICALITY reject EXTENSION HNB-Cell-Access-Mode
                                                                                              PRESENCE optional
                                                                                              PRESENCE optional }
     ID id-PSC
                                        CRITICALITY ignore EXTENSION PSC
    ID id-Local-Iurh-IP-Address
                                        CRITICALITY ignore EXTENSION IP-Address
                                                                                              PRESENCE optional },
    **********************
-- HNB Register Accept
  ************************
HNBRegisterAccept ::= SEQUENCE {
   protocolIEs
                     ProtocolIE-Container
                                                 {HNBRegisterResponseIEs} },
   protocolExtensions ProtocolExtensionContainer { {HNBRegisterResponseExtensions} } OPTIONAL,
   . . .
```

```
HNBRegisterResponseIEs HNBAP-PROTOCOL-IES ::= {
    ID id-RNC-ID
                                 CRITICALITY reject TYPE RNC-ID
                                                                        PRESENCE mandatory } |
   PRESENCE optional },
HNBReqisterResponseExtensions HNBAP-PROTOCOL-EXTENSION ::= {
-- Extension for Release 9 to support CS Multiplexing --
   {ID id-MuxPortNumber
                              CRITICALITY ignore EXTENSION MuxPortNumber
                                                                        PRESENCE optional },
   . . .
  *****************
-- HNB Register REJECT
  *****************
HNBReqisterReject ::= SEQUENCE {
   protocolIEs
               ProtocolIE-Container
                                             {HNBRegisterRejectIEs} },
   protocolExtensions ProtocolExtensionContainer { {HNBReqisterRejectExtensions} }
                                                                           OPTIONAL,
   . . .
HNBRegisterRejectIEs HNBAP-PROTOCOL-IES ::= {
                                                                               PRESENCE mandatory}
    ID id-Cause
                                  CRITICALITY ignore TYPE Cause
     ID id-CriticalityDiagnostics
                                                                               PRESENCE optional }
                                  CRITICALITY ignore TYPE CriticalityDiagnostics
   { ID id-BackoffTimer
                                 CRITICALITY reject TYPE BackoffTimer
                                                                               PRESENCE conditional },
   -- This IE shall be present if the Cause IE is set to "Overload".
HNBRegisterRejectExtensions HNBAP-PROTOCOL-EXTENSION ::= {
-- HNB De- Register
  ************************
HNBDe-Register ::= SEQUENCE {
   protocolIEs
                   ProtocolIE-Container
                                             {HNBDe-RegisterIEs} },
   protocolExtensions ProtocolExtensionContainer { {HNBDe-RegisterExtensions} }
                                                                       OPTIONAL,
   . . .
HNBDe-RegisterIEs HNBAP-PROTOCOL-IES ::= {
   { ID id-Cause
                           CRITICALITY ignore TYPE Cause
                                                             PRESENCE mandatory }
```

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```
{ ID id-BackoffTimer
                           CRITICALITY reject TYPE BackoffTimer
                                                               PRESENCE conditional },
   -- This IE shall be present if the Cause IE is set to "Overload".
HNBDe-RegisterExtensions HNBAP-PROTOCOL-EXTENSION ::= {
    ******************
-- UE Register REQUEST
__ **********************
UERegisterRequest ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                              {UERegisterRequestIEs} },
   protocolExtensions ProtocolExtensionContainer { {UERegisterRequestExtensions} }
                                                                            OPTIONAL,
UERegisterRequestIEs HNBAP-PROTOCOL-IES ::= {
     ID id-UE-Identity
                                     CRITICALITY reject TYPE UE-Identity
                                                                                    PRESENCE mandatory }
     ID id-Registration-Cause
                                   CRITICALITY ignore TYPE Registration-Cause
                                                                                   PRESENCE mandatory }
   { ID id-UE-Capabilities
                                      CRITICALITY reject TYPE UE-Capabilities
                                                                                   PRESENCE mandatory },
   . . .
UERegisterRequestExtensions HNBAP-PROTOCOL-EXTENSION ::= {
-- UE Register ACCEPT
UERegisterAccept ::= SEQUENCE {
   protocolIEs
               ProtocolIE-Container
                                               {UERegisterAcceptIEs} },
   protocolExtensions ProtocolExtensionContainer { (UERegisterAcceptExtensions) }
                                                                            OPTIONAL,
UERegisterAcceptIEs HNBAP-PROTOCOL-IES ::= {
     ID id-UE-Identity
                        CRITICALITY reject TYPE UE-Identity
                                                                      PRESENCE mandatory }
   { ID id-Context-ID
                              CRITICALITY reject TYPE Context-ID
                                                                     PRESENCE mandatory },
   . . .
UERegisterAcceptExtensions HNBAP-PROTOCOL-EXTENSION ::= {
   { ID id-CSGMembershipStatus
                               CRITICALITY reject
                                                    EXTENSION CSGMembershipStatus
                                                                                     PRESENCE optional },
```

```
*****************
-- UE Register REJECT
__ *******************
UERegisterReject ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                        { {UERegisterRejectIEs} },
  protocolExtensions ProtocolExtensionContainer { {UEReqisterRejectExtensions} }
UEReqisterRejectIEs HNBAP-PROTOCOL-IES ::= {
    ID id-UE-Identity
                              CRITICALITY reject TYPE UE-Identity
                                                                       PRESENCE mandatory }
                                                                       PRESENCE mandatory}
    ID id-Cause
                              CRITICALITY ignore TYPE Cause
   { ID id-CriticalityDiagnostics
                              CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                       PRESENCE optional },
UEReqisterRejectExtensions HNBAP-PROTOCOL-EXTENSION ::= {
    -- UE De-Register
__ *********************
UEDe-Register ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                          {UEDe-RegisterIEs} },
   protocolExtensions ProtocolExtensionContainer { {UEDe-RegisterExtensions} }
                                                                OPTIONAL,
UEDe-RegisterIEs HNBAP-PROTOCOL-IES ::= {
   { ID id-Context-ID
                                  CRITICALITY reject TYPE Context-ID
                                                                       PRESENCE mandatory }
   { ID id-Cause
                                  CRITICALITY ignore TYPE Cause
                                                                       PRESENCE mandatory } ,
   . . .
UEDe-RegisterExtensions HNBAP-PROTOCOL-EXTENSION ::= {
  *****************
-- CSG Membership Update
__ **********************
```

```
CSGMembershipUpdate ::= SEQUENCE {
   protocolIEs
              ProtocolIE-Container
                                              {CSGMembershipUpdateIEs} },
                                              {CSGMembershipUpdateExtensions} }
   protocolExtensions ProtocolExtensionContainer {
                                                                              OPTIONAL,
CSGMembershipUpdateIEs HNBAP-PROTOCOL-IES ::= {
    ID id-Context-ID
                                 CRITICALITY reject TYPE Context-ID
                                                                               PRESENCE mandatory }
    ID id-CSGMembershipStatus
                                 CRITICALITY reject TYPE CSGMembershipStatus
                                                                              PRESENCE mandatory },
CSGMembershipUpdateExtensions HNBAP-PROTOCOL-EXTENSION ::= {
     ****************
-- Access Control OUERY
  *****************
AccessControlQuery ::= SEQUENCE {
   protocolIEs
               ProtocolIE-Container
                                            { {AccessControlQueryIEs} },
   protocolExtensions ProtocolExtensionContainer { {AccessControlQueryExtensions} } OPTIONAL,
AccessControlQueryIEs HNBAP-PROTOCOL-IES ::= {
   { ID id-UE-Identity
                                 CRITICALITY reject TYPE UE-Identity
                                                                              PRESENCE mandatory } ,
AccessControlQueryExtensions HNBAP-PROTOCOL-EXTENSION ::= {
    *****************
-- Access Control Response
  ****************
AccessControlResponse ::= SEOUENCE {
   protocolIEs ProtocolIE-Container
                                              {AccessControlResponseIEs} },
   protocolExtensions ProtocolExtensionContainer { {AccessControlResponseExtensions} } OPTIONAL,
AccessControlResponseIEs HNBAP-PROTOCOL-IES ::= {
    ID id-UE-Identity
                      CRITICALITY reject TYPE UE-Identity
                                                                 PRESENCE mandatory
     ID id-AccessResult
                           CRITICALITY reject TYPE AccessResult
                                                                 PRESENCE mandatory }
    ID id-Cause
                           CRITICALITY ignore TYPE Cause
                                                                 PRESENCE optional } ,
```

```
AccessControlResponseExtensions HNBAP-PROTOCOL-EXTENSION ::= {
  *****************
-- TNL Update Request
  ****************
TNLUpdateRequest ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                          { {TNLUpdateRequestIEs} },
   protocolExtensions ProtocolExtensionContainer { {TNLUpdateExtensions} } OPTIONAL,
TNLUpdateRequestIEs HNBAP-PROTOCOL-IES ::= {
    ID id-Context-ID CRITICALITY reject TYPE Context-ID
                                                                          PRESENCE mandatory
    ID id-RABList
                              CRITICALITY reject TYPE RABList
                                                                           PRESENCE mandatory
                             CRITICALITY reject TYPE Update-cause
                                                                           PRESENCE mandatory } ,
   { ID id-Update-cause
      . . .
TNLUpdateExtensions HNBAP-PROTOCOL-EXTENSION ::= {
  *******************
-- TNL UPDATE RESPONSE
TNLUpdateResponse ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                          { { TNLUpdateResponseIEs} },
   protocolExtensions ProtocolExtensionContainer { { TNLUpdateResponseExtensions} } OPTIONAL,
   . . .
TNLUpdateResponseIEs HNBAP-PROTOCOL-IES ::= {
   { ID id-Context-ID
                                CRITICALITY reject TYPE Context-ID
                                                                        PRESENCE mandatory } ,
      . . .
TNLUpdateResponseExtensions HNBAP-PROTOCOL-EXTENSION ::= {
__ **********************
-- TNL UPDATE FAILURE
```

```
TNLUpdateFailure ::= SEQUENCE {
   protocolIEs
                    ProtocolIE-Container
                                               {TNLUpdateFailureIEs} },
   protocolExtensions ProtocolExtensionContainer { {TNLUpdateFailureExtensions} }
                                                                             OPTIONAL,
TNLUpdateFailureIEs HNBAP-PROTOCOL-IES ::= {
     ID id-Context-ID
                                                                                PRESENCE mandatory
                                  CRITICALITY reject TYPE Context-ID
     ID id-Cause
                                  CRITICALITY ignore TYPE Cause
                                                                                PRESENCE mandatory }
    ID id-CriticalityDiagnostics
                                  CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                PRESENCE optional } ,
TNLUpdateFailureExtensions HNBAP-PROTOCOL-EXTENSION ::= {
    *****************
-- HNB Configuration Transfer Request
__ *********************
HNBConfigTransferRequest ::= SEQUENCE {
   protocolIEs
               ProtocolIE-Container
                                               {HNBConfigTransferRequestIEs} },
   protocolExtensions ProtocolExtensionContainer { {HNBConfigTransferRequestExtensions} }
                                                                                    OPTIONAL,
HNBConfigTransferRequestIEs HNBAP-PROTOCOL-IES ::= {
   { ID id-NeighbourInfoRequestList
                                  CRITICALITY reject TYPE NeighbourInfoRequestList
                                                                                        PRESENCE mandatory } ,
   . . .
HNBConfigTransferRequestExtensions HNBAP-PROTOCOL-EXTENSION ::= {
    ********************
-- HNB Configuration Transfer Response
__ *********************
HNBConfigTransferResponse ::= SEQUENCE {
   protocolIEs
                    ProtocolIE-Container
                                              { HNBConfigTransferResponseIEs} },
   protocolExtensions ProtocolExtensionContainer { { HNBConfigTransferResponseExtensions} }
HNBConfigTransferResponseIEs HNBAP-PROTOCOL-IES ::= {
```

```
{ ID id-NeighbourInfoList
                                 CRITICALITY reject TYPE NeighbourInfoList
                                                                                 PRESENCE mandatory } ,
HNBConfigTransferResponseExtensions HNBAP-PROTOCOL-EXTENSION ::= {
     ****************
-- HNB Configuration Transfer Failure
__ ********************
HNBConfigTransferFailure ::= SEQUENCE {
   protocolIEs
              ProtocolIE-Container
                                            { HNBConfigTransferFailureIEs} },
   protocolExtensions ProtocolExtensionContainer { { HNBConfigTransferFailureExtensions} } OPTIONAL,
HNBConfigTransferFailureIEs HNBAP-PROTOCOL-IES ::= {
     ID id-Cause
                                 CRITICALITY ignore TYPE Cause
                                                                             PRESENCE mandatory }
   { ID id-CriticalityDiagnostics
                                 CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                             PRESENCE optional } ,
   . . .
HNBConfigTransferFailureExtensions HNBAP-PROTOCOL-EXTENSION ::= {
  ******************
-- RELOCATION COMPLETE
  *******************
RelocationComplete ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                              RelocationCompleteIEs} },
   protocolExtensions ProtocolExtensionContainer { { RelocationCompleteExtensions} }
                                                                             OPTIONAL,
   . . .
RelocationCompleteIEs HNBAP-PROTOCOL-IES ::= {
                                 CRITICALITY reject TYPE Context-ID
   { ID id-Context-ID
                                                                      PRESENCE mandatory } ,
   . . .
RelocationCompleteExtensions HNBAP-PROTOCOL-EXTENSION ::= {
  ******************
```

```
-- ERROR INDICATION
__ *********************
ErrorIndication ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                             { {ErrorIndicationIEs} },
   protocolExtensions ProtocolExtensionContainer { {ErrorIndicationExtensions} }
                                                                             OPTIONAL,
ErrorIndicationIEs HNBAP-PROTOCOL-IES ::= {
                                  CRITICALITY ignore TYPE Cause
                                                                                PRESENCE mandatory }
     ID id-Cause
   ID id-CriticalityDiagnostics
                                  CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                PRESENCE optional },
ErrorIndicationExtensions HNBAP-PROTOCOL-EXTENSION ::= {
-- PRIVATE MESSAGE
__ *********************
PrivateMessage ::= SEQUENCE {
   privateIEs
                 PrivateIE-Container {{PrivateMessage-IEs}},
PrivateMessage-IEs HNBAP-PRIVATE-IES ::= {
END
```

#### 9.3.4 Information Element Definitions

```
IMPORTS
    maxNrOfErrors,
    maxnoofRABs,
    maxnoofNeighbours,
    id-HNB-Internet-Information,
    id-HNB-Cell-Identifier
FROM HNBAP-Constants
    Criticality,
    ProcedureCode,
    ProtocolIE-ID,
    TriggeringMessage
FROM HNBAP-CommonDataTypes
    ProtocolExtensionContainer{},
    HNBAP-PROTOCOL-EXTENSION
FROM HNBAP-Containers;
--A
Access-stratum-release-indicator ::=
                                        ENUMERATED {r99,
                                        rel-4, rel-5, rel-6, rel-7, rel-8-and-beyond,
AccessResult
                        ENUMERATED {allowed, notAllowed, ...}
                ::=
AltitudeAndDirection ::= SEQUENCE {
                            ENUMERATED {height, depth},
    directionOfAltitude
    altitude
                    INTEGER (0..32767),
--B
BackoffTimer ::= INTEGER(0..3600)
BindingID
          ::= OCTET STRING(SIZE(1..4,...))
--C
Cause ::= CHOICE {
    radioNetwork
                            CauseRadioNetwork,
    transport
                            CauseTransport,
    protocol
                            CauseProtocol,
   misc
                            CauseMisc.
CauseRadioNetwork ::= ENUMERATED {
    overload,
    unauthorised-Location,
    unauthorised-HNB,
    hNB-parameter-mismatch,
    invalid-UE-identity,
    uE-not-allowed-on-this-HNB,
```

```
uE-unauthorised,
    connection-with-UE-lost,
    ue-RRC-telease.
    hNB-not-registered,
    unspecified,
    normal,
    uE-relocated.
    ue-registered-in-another-HNB,
CauseTransport ::= ENUMERATED {
    transport-resource-unavailable,
    unspecified,
CauseProtocol ::= ENUMERATED {
    transfer-syntax-error,
    abstract-syntax-error-reject,
    abstract-syntax-error-ignore-and-notify,
    message-not-compatible-with-receiver-state,
    semantic-error,
    unspecified,
    abstract-syntax-error-falsely-constructed-message,
CauseMisc ::= ENUMERATED {
    processing-overload,
    hardware-failure,
    o-and-m-intervention,
    unspecified,
    . . .
CellIdentity ::=
                        BIT STRING (SIZE (28))
Context-ID ::= BIT STRING (SIZE(24))
CriticalityDiagnostics ::= SEQUENCE {
    procedureCode
                                ProcedureCode
                                                                                                  OPTIONAL,
    triggeringMessage
                                TriggeringMessage
                                                                                                  OPTIONAL,
    procedureCriticality
                                Criticality
                                                                                                  OPTIONAL,
    iEsCriticalityDiagnostics
                                CriticalityDiagnostics-IE-List
                                                                                                  OPTIONAL,
    iE-Extensions
                                ProtocolExtensionContainer { (CriticalityDiagnostics-ExtIEs) }
                                                                                                  OPTIONAL,
    . . .
CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF
    SEQUENCE {
        iECriticality
                                Criticality,
        iE-ID
                                ProtocolIE-ID,
                                TypeOfError,
        typeOfError
```

```
ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs} } OPTIONAL,
        iE-Extensions
CriticalityDiagnostics-IE-List-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
CriticalityDiagnostics-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
CSG-ID
                ::= BIT STRING (SIZE (27))
CSG-Indicator ::= ENUMERATED {
                csg-capable,
                not-csg-capable,
CSGMembershipStatus ::= ENUMERATED {
    member,
    non-member,
    . . .
CGI ::= SEQUENCE {
    pLMNidentity
                        PLMNidentity,
    lac
                        LAC,
    сI
                        CI,
                        ProtocolExtensionContainer { {CGI-ExtIEs} } OPTIONAL
    iE-Extensions
CGI-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
CI
                    ::= OCTET STRING (SIZE (2))
CN-DomainIndicator ::= ENUMERATED {
    cs-domain,
    ps-domain
--D
--E
ESN
        ::= BIT STRING (SIZE(32))
--F
--G
GeographicalLocation ::= SEQUENCE {
    geographicalCoordinates
                                GeographicalCoordinates,
    altitudeAndDirection
                                AltitudeAndDirection,
    iE-Extensions
                                ProtocolExtensionContainer { { GeographicLocation-ExtIEs} } OPTIONAL,
    . . .
```

```
GeographicLocation-ExtlEs HNBAP-PROTOCOL-EXTENSION ::= {
GeographicalCoordinates ::= SEQUENCE {
    latitudeSign
                     ENUMERATED {north, south},
   latitude
                           INTEGER (0..8388607),
    longitude
                          INTEGER (-8388608..8388607),
    iE-Extensions
                         ProtocolExtensionContainer { GeographicalCoordinates-ExtIEs} }
                                                                                                OPTIONAL,
    . . .
GeographicalCoordinates-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
Global-RNC-ID ::= SEQUENCE {
    pLMNidentity
                            PLMNidentity,
    rNCid
                            RNC-ID,
    iE-Extensions
                            ProtocolExtensionContainer { { Global-RNC-ID-ExtIEs} } OPTIONAL,
Global-RNC-ID-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
GTP-TEI
                       ::= OCTET STRING (SIZE (4))
--H
HNB-Cell-Access-Mode::= ENUMERATED {
    closed,
   hybrid,
    open,
    . . .
HNB-Cell-Identifier ::=
                            SEQUENCE
    pLMNidentity
                            PLMNidentity,
    cellIdentity
                            CellIdentity,
    iE-Extensions
                            ProtocolExtensionContainer { { HNB-Cell-Identifier-ExtIEs } }
                                                                                                OPTIONAL,
    . . .
HNB-Cell-Identifier-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
HNB-RNL-Identity ::=
                           CHOICE
    hNB-Identity-as-Cell-Identifier HNB-Cell-Identifier,
    . . .
```

```
HNBConfigInfo ::=
                       SEOUENCE
    hnb-RNL-Identity
                               HNB-RNL-Identity,
    psc
                                PSC OPTIONAL,
    cSG-ID
                                CSG-ID OPTIONAL,
    hNB-Cell-Access-Mode
                               HNB-Cell-Access-Mode,
    local-Iurh-IP-Address
                               IP-Address OPTIONAL,
    remote-Iurh-IP-Address
                               IP-Address OPTIONAL,
                               ProtocolExtensionContainer { { HNBConfigInfo-ExtIEs } }
    iE-Extensions
                                                                                           OPTIONAL,
HNBConfigInfo-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
HNB-Location-Information
                           ::= SEQUENCE
    macroCoverageInfo
                           MacroCoverageInformation
                                                       OPTIONAL,
    geographicalCoordinates GeographicalLocation
                                                   OPTIONAL,
    iE-Extensions
                           ProtocolExtensionContainer { { HNB-Location-Information-ExtIEs } }
                                                                                                 OPTIONAL,
HNB-Location-Information-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
-- Extension for release-8 to support IP address for location verification --
{ID id-HNB-Internet-Information CRITICALITY reject EXTENSION IP-Address PRESENCE optional },
HNB-Identity ::=
                       SEQUENCE {
    hNB-Identity-Info
                           HNB-Identity-Info,
                       ProtocolExtensionContainer { { HNB-Identity-ExtIEs } }
    iE-Extensions
                                                                                   OPTIONAL,
HNB-Identity-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
HNB-Identity-Info ::= OCTET STRING (SIZE (1..255))
- - I
IMEI
                       ::= BIT STRING (SIZE(60))
IMSI
                       ::= OCTET STRING (SIZE (3..8))
-- Reference: 23.003
IMSIDS41
                       ::= OCTET STRING (SIZE (5..7))
```

```
IMSIESN
                    ::= SEQUENCE {
    iMSIDS41
                    IMSIDS41,
    eSN
                    ESN
IP-Address
                ::=SEQUENCE {
        ipaddress
                        CHOICE {
        ipv4info
                        Ipv4Address,
        ipv6info
                        Ipv6Address,
        },
                        ProtocolExtensionContainer { { IP-Address-ExtIEs } }
    iE-Extensions
                                                                                      OPTIONAL,
IP-Address-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
Ipv4Address
                ::= OCTET STRING (SIZE (4))
Ipv6Address
                ::= OCTET STRING (SIZE (16))
- - J
- - K
--L
            ::= OCTET STRING(SIZE(2))
LAC
LAI
            ::= SEQUENCE {
    DIMMID
                PLMNidentity,
    lAC
                LAC,
    . . .
MacroCoverageInformation
                            ::= SEQUENCE {
        cellIdentity
                            MacroCellID,
                            ProtocolExtensionContainer { { MacroCoverageInformation-ExtIEs } }
        iE-Extensions
                                                                                                    OPTIONAL,
        . . .
MacroCoverageInformation-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
MacroCellID ::= CHOICE {
    uTRANCellID
                        UTRANCellID,
    gERANCellID
                        CGI,
```

```
MuxPortNumber ::= INTEGER (1024..65535)
- - N
NeighbourInfoList ::= SEOUENCE (SIZE(1..maxnoofNeighbours)) OF
                                                              HNBConfigInfo
NeighbourInfoRequestList ::= SEQUENCE (SIZE(1..maxnoofNeighbours)) OF
                                                                     HNB-RNL-Identity
--0
--P
PLMNidentity
                         ::= OCTET STRING (SIZE (3))
PSC
          ::= BIT STRING (SIZE(9))
          ::= BIT STRING (SIZE(32))
PTMSI
PTMSIRAI
              ::= SEQUENCE {
   IRMTq
              PTMSI,
   rAI
              RAI,
    . . .
--Q
--R
RABList
           ::= SEQUENCE (SIZE(1..maxnoofRABs)) OF RABListItem
RABListItem ::= SEQUENCE {
   old-transport-Info
                             TransportInfo,
   new-transport-Info
                             TransportInfo,
   OPTIONAL,
RABListItem-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
RAC
          ::= OCTET STRING(SIZE(1))
RAI
          ::= SEQUENCE {
   lai
              LAI,
   rAC
              RAC,
    . . .
Registration-Cause ::= ENUMERATED {
              emergency-call,
              normal,
              . . . ,
```

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```
ue-relocation
RNC-ID ::= INTEGER (0..65535)
--S
SAC
            ::= OCTET STRING(SIZE(2))
--T
TMSILAI
            ::= SEQUENCE {
                BIT STRING(SIZE (32)),
    tMSI
    lai
                LAI
TMSIDS41
            : :=
                    OCTET STRING (SIZE (2..17))
TransportInfo ::= SEQUENCE {
    transportLayerAddress
                                TransportLayerAddress,
                                CHOICE {
    transportAssociation
    qtp-TEI
                                GTP-TEI,
    bindingID
                                BindingID,
                        ProtocolExtensionContainer { { TransportInfo-ExtIEs } }
    iE-Extensions
                                                                                     OPTIONAL,
TransportInfo-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
TransportLayerAddress ::= BIT STRING(SIZE(1..160,...))
TypeOfError ::= ENUMERATED {
    not-understood,
    missing,
- - U
UE-Capabilities ::= SEQUENCE {
    access-stratum-release-indicator
                                        Access-stratum-release-indicator,
    csg-indicator
                                        CSG-Indicator,
    iE-Extensions
                        ProtocolExtensionContainer { { UE-Capabilities-ExtIEs } }
                                                                                         OPTIONAL,
UE-Capabilities-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
UTRANCellID ::= SEQUENCE {
    lAC
                            LAC,
    rAC
                            RAC,
```

```
PLMNidentity,
    pLMNidentity
    uTRANcellID
                            CellIdentity,
                            ProtocolExtensionContainer { {UTRANCellID-ExtIEs} } OPTIONAL
    iE-Extensions
UTRANCellID-ExtIEs HNBAP-PROTOCOL-EXTENSION ::= {
UE-Identity ::= CHOICE {
    iMSI
                IMSI,
    tMSILAI
                TMSILAI,
    pTMSIRAI
                PTMSIRAI,
    iMEI
                IMEI,
    eSN
                ESN,
    iMSIDS41
                IMSIDS41,
    iMSIESN
                IMSIESN,
    tMSIDS41
                TMSIDS41,
                    ENUMERATED {
Update-cause ::=
    relocation-preparation,
--V
- - W
- - X
--Y
END
```

# 9.3.5 Common Definitions

```
-- Extension constants
__ ********************
maxPrivateIEs
                                      INTEGER ::= 65535
maxProtocolExtensions
                                      INTEGER ::= 65535
                                      INTEGER ::= 65535
maxProtocolIEs
__ *********************
-- Common Data Types
__ ********************
            ::= ENUMERATED { reject, ignore, notify }
Criticality
           ::= ENUMERATED { optional, conditional, mandatory }
Presence
PrivateIE-ID ::= CHOICE {
   local
           INTEGER (0..65535),
                  OBJECT IDENTIFIER
   global
ProcedureCode
            ::= INTEGER (0..255)
ProtocolIE-ID
              ::= INTEGER (0..maxProtocolIEs)
TriggeringMessage ::= ENUMERATED { initiating-message, successful-outcome, unsuccessful-outcome }
END
```

# 9.3.6 Constant Definitions

```
ProcedureCode,
   ProtocolIE-ID
FROM HNBAP-CommonDataTypes;
  *****************
  Elementary Procedures
id-HNBRegister
                                 ProcedureCode ::= 1
id-HNBDe-Register
                                 ProcedureCode ::= 2
                                 ProcedureCode ::= 3
id-UERegister
                                 ProcedureCode ::= 4
id-UEDe-Register
id-ErrorIndication
                                 ProcedureCode ::= 5
id-privateMessage
                                 ProcedureCode ::= 6
id-CSGMembershipUpdate
                                 ProcedureCode ::= 7
                                 ProcedureCode ::= 8
id-AccessControlOuery
id-TNLUpdate
                                 ProcedureCode ::= 9
id-HNBConfigTransfer
                                 ProcedureCode ::= 10
id-RelocationComplete
                                 ProcedureCode ::= 11
-- Lists
maxNrOfErrors
                                 INTEGER ::= 256
maxnoofRABs
                                 INTEGER ::= 256
maxnoofNeighbours
                                 INTEGER ::= 32
__ *********************
-- IEs
__ *********************
id-Cause
                                            ProtocolIE-ID ::= 1
id-CriticalityDiagnostics
                                            ProtocolIE-ID ::= 2
id-HNB-Identity
                                            ProtocolIE-ID ::= 3
id-Context-ID
                                            ProtocolIE-ID ::= 4
id-UE-Identity
                                            ProtocolIE-ID ::= 5
id-LAC
                                            ProtocolIE-ID ::= 6
id-RAC
                                            ProtocolIE-ID ::= 7
id-HNB-Location-Information
                                            ProtocolIE-ID ::= 8
id-PLMNidentity
                                            ProtocolIE-ID ::= 9
id-SAC
                                            ProtocolIE-ID ::= 10
id-CellIdentity
                                            ProtocolIE-ID ::= 11
id-Registration-Cause
                                            ProtocolIE-ID ::= 12
id-UE-Capabilities
                                            ProtocolIE-ID ::= 13
id-RNC-ID
                                            ProtocolIE-ID ::= 14
id-CSG-ID
                                            ProtocolIE-ID ::= 15
id-BackoffTimer
                                            ProtocolIE-ID ::= 16
id-HNB-Internet-Information
                                            ProtocolIE-ID ::= 17
```

```
id-HNB-Cell-Access-Mode
                                                ProtocolIE-ID ::= 18
id-MuxPortNumber
                                                ProtocolIE-ID ::= 19
id-Service-Area-For-Broadcast
                                                ProtocolIE-ID ::= 20
id-CSGMembershipStatus
                                                ProtocolIE-ID ::= 21
id-RABList
                                                ProtocolIE-ID ::= 22
id-HNBConfigInfo
                                                ProtocolIE-ID ::= 23
id-Local-Iurh-IP-Address
                                                ProtocolIE-ID ::= 24
id-AccessResult
                                                ProtocolIE-ID ::= 25
id-Update-cause
                                                ProtocolIE-ID ::= 26
id-NeighbourInfoList
                                                ProtocolIE-ID ::= 27
id-NeighbourInfoRequestList
                                                ProtocolIE-ID ::= 28
id-Remote-Iurh-IP-Address
                                                ProtocolIE-ID ::= 29
id-PSC
                                                ProtocolIE-ID ::= 30
id-HNB-Cell-Identifier
                                                ProtocolIE-ID ::= 31
```

END

## 9.3.7 Container Definitions

```
__ *********************
-- Container definitions
HNBAP-Containers {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
umts-Access (20) modules (3) hnbap(6) version1 (1) hnbap-Containers (5)
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
    *****************
-- IE parameter types from other modules.
IMPORTS
   Criticality,
   Presence,
   PrivateIE-ID,
   ProtocolIE-ID,
   maxPrivateIEs,
   maxProtocolExtensions,
   maxProtocolIEs
FROM HNBAP-CommonDataTypes;
__ ********************************
```

```
-- Class Definition for Protocol IEs
__ ********************
HNBAP-PROTOCOL-IES ::= CLASS {
   &id
                    ProtocolIE-ID
                                    UNIQUE,
   &criticality
                    Criticality,
   &Value,
   &presence
                    Presence
WITH SYNTAX {
                    &id
                    &criticality
   CRITICALITY
   TYPE
                    &Value
   PRESENCE
                    &presence
     ***************
-- Class Definition for Protocol Extensions
__ *********************
HNBAP-PROTOCOL-EXTENSION ::= CLASS {
   &id
                    ProtocolIE-ID UNIQUE,
   &criticality
                    Criticality,
   &Extension,
   &presence
                    Presence
WITH SYNTAX {
                    &id
   CRITICALITY
                    &criticality
   EXTENSION
                    &Extension
   PRESENCE
                    &presence
-- Class Definition for Private IEs
__ *********************
HNBAP-PRIVATE-IES ::= CLASS {
   &id
                    PrivateIE-ID,
   &criticality
                    Criticality,
   &Value,
   &presence
                    Presence
WITH SYNTAX {
   ID
                    &id
   CRITICALITY
                    &criticality
   TYPE
                    &Value
   PRESENCE
                    &presence
```

```
-- Container for Protocol IEs
__ *********************
ProtocolIE-Container {HNBAP-PROTOCOL-IES : IEsSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
      ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Single-Container {HNBAP-PROTOCOL-IES : IEsSetParam} ::=
   ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Field {HNBAP-PROTOCOL-IES : IESSetParam} ::= SEQUENCE {
                   HNBAP-PROTOCOL-IES.&id
                                                 ({IEsSetParam}),
   criticality
                   HNBAP-PROTOCOL-IES.&criticality
                                                 ({IEsSetParam}{@id}),
                                                 ({IEsSetParam}{@id})
   value
                   HNBAP-PROTOCOL-IES. & Value
     -- Container Lists for Protocol IE Containers
  **********************
ProtocolIE-ContainerList {INTEGER : lowerBound, INTEGER : upperBound, HNBAP-PROTOCOL-IES : IESSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
      ProtocolIE-Container {{IEsSetParam}}
  *****************
  Container for Protocol Extensions
     ************
ProtocolExtensionContainer {HNBAP-PROTOCOL-EXTENSION : ExtensionSetParam} ::=
   SEQUENCE (SIZE (1..maxProtocolExtensions)) OF
      ProtocolExtensionField {{ExtensionSetParam}}
ProtocolExtensionField {HNBAP-PROTOCOL-EXTENSION : ExtensionSetParam} ::= SEQUENCE {
   id
                   HNBAP-PROTOCOL-EXTENSION.&id
                                                        ({ExtensionSetParam}),
   criticality
                   HNBAP-PROTOCOL-EXTENSION.&criticality
                                                        ({ExtensionSetParam}{@id}),
   extensionValue
                                                        ({ExtensionSetParam}{@id})
                   HNBAP-PROTOCOL-EXTENSION. & Extension
    ****************
-- Container for Private IEs
  PrivateIE-Container {HNBAP-PRIVATE-IES : IEsSetParam } ::=
   SEQUENCE (SIZE (1.. maxPrivateIEs)) OF
```

# 9.4 Message Transfer Syntax

HNBAP shall use the ASN.1 Basic Packed Encoding Rules (BASIC-PER) Aligned Variant as transfer syntax as specified in ref. ITU-T Rec. X.691 [7].

# Handling of unknown, unforeseen, and erroneous protocol data

# 10.1 General

Protocol Error cases can be divided into three classes:

- Transfer Syntax Error;
- Abstract Syntax Error;
- Logical Error.

Protocol errors can occur in the following functions within a receiving node:

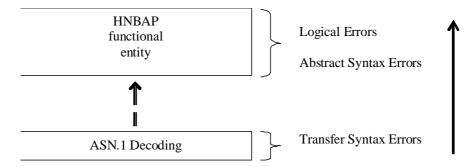


Figure 11: Protocol Errors in HNBAP

The information stated in subclauses 10.2, 10.3 and 10.4, to be included in the message used when reporting an error, is what at minimum shall be included. Other optional information elements within the message may also be included, if available. This is also valid for the case when the reporting is done with a response message. The latter is an exception to what is stated in subclause 4.1.

# 10.2 Transfer Syntax Error

A Transfer Syntax Error occurs when the receiver is not able to decode the received physical message Transfer syntax errors are always detected in the process of ASN.1 decoding. If a Transfer Syntax Error occurs, the receiver should initiate Error Indication procedure with appropriate cause value for the Transfer Syntax protocol error.

# 10.3 Abstract Syntax Error

#### 10.3.1 General

An Abstract Syntax Error occurs when the receiving functional HNBAP entity:

- 1. receives IEs or IE groups that cannot be understood (unknown IE id);
- 2. receives IEs for which the logical range is violated (e.g.: ASN.1 definition: 0 to 15, the logical range is 0 to 10 (values 11 to 15 are undefined), and 12 will be received; this case will be handled as an abstract syntax error using criticality information sent by the originator of the message);

- 3. does not receive IEs or IE groups but according to the specified presence of the concerning object, the IEs or IE groups should have been present in the received message;
- 4. receives IEs or IE groups that are defined to be part of that message in wrong order or with too many occurrences of the same IE or IE group;
- 5. receives IEs or IE groups but according to the conditional presence of the concerning object and the specified condition, the IEs or IE groups should not have been present in the received message.

Cases 1 and 2 (not comprehended IE/IE group) are handled based on received Criticality information. Case 3 (missing IE/IE group) is handled based on Criticality information and Presence information for the missing IE/IE group specified in the version of the specification used by the receiver. Case 4 (IEs or IE groups in wrong order or with too many occurrences) and Case 5 (erroneously present conditional IEs or IE groups) result in rejecting the procedure.

If an Abstract Syntax Error occurs, the receiver shall read the remaining message and shall then for each detected Abstract Syntax Error act according to the Criticality Information and Presence Information for the IE/IE group due to which Abstract Syntax Error occurred in accordance with subclauses 10.3.4 and 10.3.5. The handling of cases 4 and 5 is specified in subclause 10.3.6.

# 10.3.2 Criticality Information

In the HNBAP messages there is criticality information set for individual IEs and/or IE groups. This criticality information instructs the receiver how to act when receiving an IE or an IE group that is not comprehended i.e. the entire item (IE or IE group) which is not (fully or partially) comprehended shall be treated in accordance with its own criticality information as specified in subclause 10.3.4.

In addition, the criticality information is used in case of the missing IE/IE group abstract syntax error (see subclause 10.3.5).

The receiving node shall take different actions depending on the value of the Criticality Information. The three possible values of the Criticality Information for an IE/IE group are:

- Reject IE;
- Ignore IE and Notify Sender;
- Ignore IE.

The following rules restrict when a receiving entity may consider an IE, an IE group or an EP not comprehended (not implemented), and when action based on criticality information is applicable:

- 1. IE or IE group: When one new or modified IE or IE group is implemented for one EP from a standard version, then other new or modified IEs or IE groups specified for that EP in that standard version shall be considered comprehended by the receiving entity (some may still remain unsupported).
- 2. EP: The comprehension of different EPs within a standard version or between different standard versions is not mandated. Any EP that is not supported may be considered not comprehended, even if another EP from that standard version is comprehended, and action based on criticality shall be applied.

#### 10.3.3 Presence Information

For many IEs/IE groups which are optional according to the ASN.1 transfer syntax, HNBAP specifies separately if the presence of these IEs/IE groups is optional or mandatory with respect to RNS application by means of the presence field of the concerning object of class HNBAP-PROTOCOL-IES, HNBAP-PROTOCOL-IES-PAIR, HNBAP-PROTOCOL-EXTENSION or HNBAP-PRIVATE-IES.

The presence field of the indicated classes supports three values:

- 1. Optional;
- 2. Conditional;
- 3. Mandatory.

If an IE/IE group is not included in a received message and the presence of the IE/IE group is mandatory or the presence is conditional and the condition is true according to the version of the specification used by the receiver, an abstract syntax error occurs due to a missing IE/IE group.

# 10.3.4 Not comprehended IE/IE group

#### 10.3.4.1 Procedure Code

The receiving node shall treat the different types of received criticality information of the *Procedure Code* according to the following:

#### **Reject IE:**

- If a message is received with a *Procedure Code* marked with "*Reject IE*" which the receiving node does not comprehend, the receiving node shall reject the procedure using the Error Indication procedure.

#### Ignore IE and Notify Sender:

- If a message is received with a *Procedure Code* marked with "*Ignore IE and Notify Sender*" which the receiving node does not comprehend, the receiving node shall ignore the procedure and initiate the Error Indication procedure.

#### **Ignore IE:**

- If a message is received with a *Procedure Code* marked with "*Ignore IE*" which the receiving node does not comprehend, the receiving node shall ignore the procedure.

When using the Error Indication procedure to reject a procedure or to report an ignored procedure it shall include the *Procedure Code* IE, the *Triggering Message* IE, and the *Procedure Criticality* IE in the *Criticality Diagnostics* IE.

## 10.3.4.1A Type of Message

When the receiving node cannot decode the *Type of Message* IE, the Error Indication procedure shall be initiated with an appropriate cause value.

#### 10.3.4.2 IEs other than the Procedure Code and Type of Message

The receiving node shall treat the different types of received criticality information of an IE/IE group other than the *Procedure Code* IE and *Type of Message* IE according to the following:

#### **Reject IE:**

- If a message *initiating* a procedure is received containing one or more IEs/IE groups marked with "*Reject IE*" which the receiving node does not comprehend; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the rejection of one or more IEs/IE groups using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing one or more IEs/IE groups marked with "*Reject IE*" which the receiving node does not comprehend, the receiving node shall terminate the procedure and initiate the Error Indication procedure.
- If a *response* message is received containing one or more IEs marked with "*Reject IE*" which the receiving node does no comprehend, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

#### Ignore IE and Notify Sender:

- If a message *initiating* a procedure is received containing one or more Ies/IE groups marked with "*Ignore IE and Notify Sender*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and report in the response message of the procedure that one or more IEs/IE groups have been ignored. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a message *initiating* a procedure that does not have a message to report the outcome of the procedure is received containing one or more IEs/IE groups marked with "*Ignore IE and Notify Sender*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and initiate the Error Indication procedure to report that one or more IEs/IE groups have been ignored.
- If a *response* message is received containing one or more IEs/IE groups marked with "*Ignore IE and Notify Sender*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IE/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups and initiate the Error Indication procedure.

#### **Ignore IE:**

- If a message *initiating* a procedure is received containing one or more IEs/IE groups marked with "*Ignore IE*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using only the understood IEs/IE groups.
- If a *response* message is received containing one or more IEs/IE groups marked with "*Ignore IE*" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using the understood IEs/IE groups.

When reporting not comprehended IEs/IE groups marked with "Reject IE" or "Ignore IE and Notify Sender" using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

When reporting not comprehended IEs/IE groups marked with "Reject IE" or "Ignore IE and Notify Sender" using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

# 10.3.5 Missing IE or IE group

The receiving node shall treat the missing IE/IE group according to the criticality information for the missing IE/IE group in the received message specified in the version of the present document used by the receiver:

#### **Reject IE:**

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality "Reject IE"; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the missing IEs/IE groups using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a received message *initiating* a procedure that does not have a message to report unsuccessful outcome is missing one or more IEs/IE groups with specified criticality "*Reject IE*", the receiving node shall terminate the procedure and initiate the Error Indication procedure.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality "*Reject IE*, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

#### Ignore IE and Notify Sender:

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality "Ignore IE and Notify Sender", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and report in the response message of the procedure that one or more IEs/IE groups were missing. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a received message *initiating* a procedure that does not have a message to report the outcome of the procedure is missing one or more IEs/IE groups with specified criticality "*Ignore IE and Notify Sender*", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality "*Ignore IE and Notify Sender*", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.

#### **Ignore IE:**

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality "*Ignore IE*", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality "*Ignore IE*", the receiving node shall ignore that those IEs/IE groups are missing and continue with the procedure based on the other IEs/IE groups present in the message.

When reporting missing IEs/IE groups with specified criticality "Reject IE" or "Ignore IE and Notify Sender" using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

When reporting missing IEs/IE groups with specified criticality "Reject IE" or "Ignore IE and Notify Sender" using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

# 10.3.6 IEs or IE groups received in wrong order or with too many occurrences or erroneously present

If a message with IEs or IE groups in wrong order or with too many occurrences is received or if IEs or IE groups with a conditional presence are present when the condition is not met (i.e. erroneously present), the receiving node shall behave according to the following:

- If a message initiating a procedure is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value "Abstract Syntax Error (Falsely Constructed Message)" using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving node shall terminate the procedure and initiate the Error Indication procedure, and use cause value "Abstract Syntax Error (Falsely Constructed Message)".
- If a *response* message is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

# 10.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IE's/IE groups containing the erroneous values.

#### Class 1:

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a message to report this unsuccessful outcome, this message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error;
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a message to report this unsuccessful outcome, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, the procedure shall be considered as unsuccessfully terminated and local error handling shall be initiated.

#### Class 2:

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

# 10.5 Exceptions

The error handling for all the cases described hereafter shall take precedence over any other error handling described in the other subclauses of clause 10.

- If any type of error (Transfer Syntax Error, Abstract Syntax Error or Logical Error) is detected in the ERROR INDICATION message, it shall not trigger the Error Indication procedure in the receiving Node but local error handling.
- In case a response message or Error Indication message needs to be returned, but the information necessary to
  determine the receiver of that message is missing, the procedure shall be considered as unsuccessfully terminated
  and local error handling shall be initiated.
- If an error that terminates a procedure occurs, the returned cause value shall reflect the error that caused the termination of the procedure even if one or more abstract syntax errors with criticality "ignore and notify" have earlier occurred within the same procedure.

# Annex A (informative): Change History

TSG #	TSG Doc.	CR	Rev	Subject/Comment	New
42	RP-080834			Approved at TSG-RAN42 and placed under change control	8.0.0
43	RP-090244	0001		Add Object Identifier for HNBAP ASN.1	8.1.0
43	RP-090081	0005	1	Clarification on Uniqueness of Context ID	8.1.0
43	RP-090244	0007	1	Update to HNB deregistration cause codes and descriptions	8.1.0
43	RP-090081	8000	1	Update to HNB initiated UE deregistration cause code and description	8.1.0
43	RP-090082	0009	2	Abnormal condition handling associated with HNB registration	8.1.0
43	RP-090082	0012	2	Clarification on access control and inclusion of IMSI for UE registration.	8.1.0
43	RP-090244	0013	1	Adding Cause Values for UE Deregistration	8.1.0
43	RP-090244	0014	1	Updating of CSG Identity Leghth	8.1.0
43	RP-090082	0016	1	Clarification on the HNB Identity	8.1.0
43	RP-090244	0018		Correction of wrong reference in TMSI-IE description	8.1.0
43	RP-090082	0019	2	CSG-ID optional in HNB register request	8.1.0
43	RP-090082	0020	1	Backoff timer for HNB registration	8.1.0
44	RP-090626	0022	2	Correction to HNB Identity definition	8.2.0
45	RP-090769	0031	2	ASN.1 correction for HNB Location Information IE	8.3.0
46	RP-091184	0033		Correction to ASN.1 references	8.4.0
46	RP-091184	0034	1	Addition of cause value for Invalid UE identity	8.4.0
12/2009	-	-	-	Creation of version 9.0.0 based on version 8.4.0	9.0.0
46	RP-091191	0027	4	CS Mux port exchange	9.0.0
46	RP-091191	0030	4	Support for multiple access mode HNBs	9.0.0
46	RP-091191	0037	1	Introducing changes for supporting ETWS in Home Node B in 25.469	9.0.0
46	RP-091191	0039	1	Hybrid access signalling during UE and HNB registration	9.0.0
47	RP-100229	0041		Minor corrections for HNBAP	9.1.0
47	RP-100222	0042	2	Extend ASRI for Release 9	9.1.0
47	RP-100222	0045	1	Introduction of Support for CSG membership notification	9.1.0
48	RP-100595	0049	2	UE Registration for Open and Hybrid cells	9.2.0
49	RP-100909	0051	1	Add missing cause value descriptions	9.3.0
03/2011	-	-	-	Creation of version 10.0.0 based on version 9.3.0	10.0.0
SP-49	SP-100629			Clarification on the use of References (TS 21.801 CR#0030)	10.0.0
51	RP-110226	0059		Correct definition of Cell-ID	10.0.0
51	RP-110231	0062	2	Additional messages to support direct HNB-HNB HO in HNBAP	10.0.0
51	RP-110226	0063	2	Identifying the Cell Identity	10.0.0

# History

Document history						
V10.0.0	April 2011	Publication				