# ETSI TS 129 328 V6.11.0 (2006-09)

Technical Specification

Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); IP Multimedia Subsystem (IMS) Sh interface; Signalling flows and message contents (3GPP TS 29.328 version 6.11.0 Release 6)



Reference RTS/TSGC-0429328v6b0

> Keywords GSM, UMTS

#### ETSI

#### 650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

Individual copies of the present document can be downloaded from: http://www.etsi.org

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <u>http://portal.etsi.org/tb/status/status.asp</u>

If you find errors in the present document, please send your comment to one of the following services: http://portal.etsi.org/chaircor/ETSI\_support.asp

#### **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

> © European Telecommunications Standards Institute 2006. All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup> and **UMTS**<sup>TM</sup> are Trade Marks of ETSI registered for the benefit of its Members. **TIPHON**<sup>TM</sup> and the **TIPHON logo** are Trade Marks currently being registered by ETSI for the benefit of its Members. **3GPP**<sup>TM</sup> is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

## Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <u>http://webapp.etsi.org/key/queryform.asp</u>.

# Contents

Intelle	ectual Property Rights	2
Forew	vord	2
Forew	vord	5
1	Scope	6
2	References	6
3	Definitions, symbols and abbreviations	7
3.1	Definitions	
3.2	Abbreviations	
4	Main Concept	7
5	General Architecture	7
5.1	Functional requirements of network entities	
5.1.1	Functional Requirements of the Application Server	
5.1.2	Functional requirements of HSS	
5.1.3	Functional Requirements of the Presence Network Agent	
5.2	Functional classification of Sh interface procedures	
<i>.</i>	-	
6	Procedure Descriptions	
6.1	User data handling procedures	
6.1.1	Data read (Sh-Pull)	
6.1.1.1 6.1.2		
6.1.2 6.1.2.1	Data Update (Sh-Update)	
6.1.2.1 6.1.3	Detailed behaviour Subscription to notifications (Sh-Subs-Notif)	
0.1.5 6.1.3.1		
6.1.4	Notifications (Sh-Notif)	
6.1.4.1		
6.2	AS permissions list	
6.3	Void	
6.4	Void	
6.5	User identity to HSS resolution	
	-	
7	Information element contents	
7.1	User Identity	
7.1.1	IMS Public User Identity / Public Service Identity	
7.1.2 7.2	MSISDN	
7.2	Requested Domain Requested Data	
7.3 7.4	Service Indication	
7.4	Result	
7.6	Data	
7.6.1	Repository Data	
7.6.2	IMSPublicIdentity	
7.6.3	IMS User State	
7.6.4	S-CSCF Name	
7.6.5	Initial Filter Criteria	
7.6.6	Location Information	
7.6.6.1		
7.6.6.2	2 Location information for GPRS	20
7.6.7	User state	20
7.6.8	Charging information	21
7.6.9	MSISDN	
7.6.10		
7.7	Subscription request type	21

7.8	Current Location		21
7.9		Identity	
7.10 7.11		Name Set	
8		ntification	
9	Operational Aspects		22
Anne	ex A (normative):	Mapping of Sh operations and terminology to Diameter	23
A.1	Introduction		23
A.2	Sh message to Diame	eter command mapping	23
A.3	Void		23
Anno	ex B (informative):	Message flow	24
<b>B</b> .1	Message flows		24
B.1.1	Data Update, Regis	tration, Notification Subscription.	
Anno	ex C (informative):	UML model of the data downloaded over Sh interface	26
C.1	General description		26
C.2	PublicIdentifiers		27
C.3	Sh-IMS-Data		27
Anne	ex D (normative):	XML schema for the Sh interface user profile	29
Anne	ex E: (void)		36
Anne	ex F (informative):	Change history	37
Histo	ory		
	•		

## Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

This 3GPP Technical Specification (TS) specifies:

- 1. The interactions between the HSS (Home Subscriber Server) and the SIP AS (Application Server) and between the HSS and the OSA SCS (Service Capability Server). This interface is referred to as the Sh reference point.
- 2. The interactions between the SIP AS and the SLF (Subscription Locator Function) and between the OSA SCS and the SLF. This interface is referred to as the Dh reference point.

The IP Multimedia (IM) Core Network Subsystem stage 2 is specified in 3GPP TS 23.228 [1] and the signalling flows for the IP multimedia call control based on SIP and SDP are specified in 3GPP TS 24.228 [2].

The IP Multimedia (IM) Session Handling with the IP Multimedia (IM) call model is specified in 3GPP TS 23.218 [4].

This document addresses the signalling flows and message contents for the protocol at the Sh and Dh interface.

This document also addresses how the functionality of Ph interface is accomplished.

The Presence Service Stage 2 description (architecture and functional solution) is specified in 3GPP TS 23.141 [18].

## 2 References

- [1] 3GPP TS 23.228: "IP Multimedia (IM) Subsystem Stage 2".
- [2] 3GPP TS 24.228: "Signalling flows for the IP multimedia call control based on SIP and SDP (Release 5)".
- [3] 3GPP TS 23.002 "Network architecture".
- [4] 3GPP TS 23.218: "IP Multimedia (IM) Session Handling; IP Multimedia (IM) call model"
- [5] 3GPP TS 29.329: "Sh Interface based on Diameter Protocol details"
- [6] 3GPP TS 29.228: "IP multimedia (IM) Subsystem Cx Interface; Signalling flows and Message Elements".
- [7] 3GPP TS 29.229: "Cx and Dx Interfaces based on the Diameter protocol ; Protocol details"
- [8] IETF RFC 3588 "Diameter Base Protocol"
- [9] ITU-T recommendation Q.763: "Signalling System No. 7 ISDN User Part formats and codes"
- [10] 3GPP TS 23.018: "Basic Call Handling; Technical realization"
- [11] 3GPP TS 23.003: "Numbering, Addressing and Identification"
- [12] 3GPP TS 23.032: "Universal Geographical Area Description (GAD)"
- [13] 3GPP TS 29.002: "Mobile Application Part (MAP) specification"
- [14] 3GPP TS 23.078: "Customised Applications for Mobile network Enhanced Logic (CAMEL) Phase 3 Stage 2"
- [15] IETF RFC 2045: "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies"
- [16] IETF RFC 3261: "SIP: Session Initiation Protocol"
- [17] IETF RFC 3966: "The tel URI for Telephone Numbers"
- [18] 3GPP TS 23.141: "Presence Service; Architecture and Functional Description"

- [19] 3GPP TS 23.012: "Location Management Procedures"
- [20] ANSI X3.4: "Coded Character Set 7-bit American Standard Code for Information Interchange"

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply.

IP Multimedia session: IP Multimedia session and IP Multimedia call are treated as equivalent in this specification.

**Transparent data**: Data that is understood syntactically but not semantically by the HSS. It is data that an AS may store in the HSS to support its service logic. One example is data that an AS stores in the HSS, using it as a repository.

Non-transparent data: Data that is understood both syntactically and semantically by the HSS.

**AS (Application Server)**: a term used to denote either of a SIP Application Server or an OSA Service Capability Server.

## 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AS	Application Server
CSCF	Call Session Control Function
С	Conditional
HSS	Home Subscriber Server
IE	Information Element
IP	Internet Protocol
IM	IP Multimedia
IMS	IP Multimedia Subsystem
М	Mandatory
0	Optional
SIP	Session Initiation Protocol
SLF	Subscription Locator Function
S-CSCF	Serving CSCF

## 4 Main Concept

This document presents the Sh interface related functional requirements of the communicating entities.

It gives a functional classification of the procedures and describes the procedures and message parameters.

Error handling flows, protocol version identification, etc. procedures are also included.

## 5 General Architecture

This section further specifies the architectural assumptions associated with the Sh reference point, building on 3GPP TS 23.228 [1], 3GPP TS 23.218 [4] and also the Ph reference point building upon 3GPP TS 23.141 [18].

## 5.1 Functional requirements of network entities

#### 5.1.1 Functional Requirements of the Application Server

The Application Server may communicate with the HSS over the Sh interface.

For functionality of the Application Server refer to 3GPP TS 23.002 [3], 3GPP TS 23.228 [1] and 3GPP TS 23.218 [4].

## 5.1.2 Functional requirements of HSS

The HSS may communicate with the Application Server over the Sh interface and with the Presence Network Agent over the Ph interface. The functionality of the Ph interface shall be the same as the functionality of the Sh interface.

For functionality of the HSS refer to 3GPP TS 23.002 [3], 3GPP TS 23.228 [1] and 3GPP TS 23.218 [4].

### 5.1.3 Functional Requirements of the Presence Network Agent

The Presence Network Agent may communicate with the HSS over the Ph interface. In this case, all references to an Application Server in this specification apply also to a Presence Network Agent.

## 5.2 Functional classification of Sh interface procedures

Operations on the Sh interface are classified in functional groups:

- 1. Data handling procedures
  - The download of data from the HSS to an AS.
  - The update of data in the HSS.
- 2. Subscription/notification procedures
  - An AS can subscribe to receive notifications from the HSS of changes in data.
  - The HSS can notify an AS of changes in data for which the AS previously had subscribed.

# 6 Procedure Descriptions

In the tables that describe the Information Elements transported by each command, each Information Element is marked as (M) Mandatory, (C) Conditional or (O) Optional.

- A mandatory Information Element (marked as (M) in the table) shall always be present in the command. If this Information Element is absent, an application error occurs at the receiver and an answer message shall be sent back to the originator of the request with the Result-Code set to DIAMETER\_MISSING\_AVP. This message shall also include a Failed-AVP AVP containing the missing Information Element i.e. the corresponding Diameter AVP defined by the AVP Code and the other fields set as expected for this Information Element.
- A conditional Information Element (marked as (C) in the table) shall be present in the command if certain conditions are fulfilled.
  - If the receiver detects that those conditions are fulfilled and the Information Element is absent, an application error occurs and an answer message shall be sent back to the originator of the request with the Result-Code set to DIAMETER\_MISSING\_AVP. This message shall also include a Failed-AVP AVP containing the missing Information Element i.e. the corresponding Diameter AVP defined by the AVP Code and the other fields set as expected for this Information Element.
  - If those conditions are not fulfilled, the Information Element shall be absent. If however this Information Element appears in the message, it shall not cause an application error and it may be ignored by the receiver if this is not explicitly defined as an error case. Otherwise, an application error occurs at the receiver and an

answer message with the Result-Code set to DIAMETER\_AVP\_NOT\_ALLOWED shall be sent back to the originator of the request. A Failed-AVP AVP containing a copy of the corresponding Diameter AVP shall be included in this message.

- An optional Information Element (marked as (O) in the table) may be present or absent in the command, at the discretion of the application at the sending entity. Absence or presence of this Information Element shall not cause an application error and may be ignored by the receiver.

Unknown permanent failure error codes shall be treated in the same way as DIAMETER\_UNABLE\_TO\_COMPLY. For unknown transient failure error codes the request may be repeated, or handled in the same way as DIAMETER\_UNABLE\_TO\_COMPLY.

## 6.1 User data handling procedures

#### 6.1.1 Data read (Sh-Pull)

This procedure is used between the AS and the HSS. The procedure is invoked by the AS and is used:

- To read transparent and/or non-transparent data for a specified user from the HSS.

This procedure is mapped to the commands User-Data-Request/Answer in the Diameter application specified in 3GPP TS 29.329 [5]. Tables 6.1.1.1 and 6.1.1.2 detail the involved information elements.

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.1)	User-Identity	М	IMS Public User Identity, Public Service Identity, or MSISDN of the user for whom the data is required. See section 7.1 for the content of this AVP.
Requested data (See 7.3)	Data- Reference	М	This information element indicates the reference to the requested information. The set of valid reference values are defined in 7.6.
Requested Identity set (See 7.11)	Identity-Set	0	If Data-Reference indicates that IMS Public Identities is the requested data set to be downloaded, this information element should be included. When this information element takes the value IMPLICIT_IDENTITIES, the HSS shall provide all non-barred IMS Public Identities that belong to the same implicit registration set as the IMS Public Identity included in the message in the User-Identity AVP. The MSISDN user identity is not applicable for this value. If the User Identity is a Public Service Identity, the HSS shall return only the User Identity in the request. When this information element takes the value REGISTERED_IDENTITIES, the HSS shall provide all non-barred IMS Public Identities whose state is registered, belonging to all Private Identities that the IMS Public Identity or MSISDN in the User-Identity AVP is associated with. If the User Identity is a Public Service Identity, the HSS shall return no identities in the response. When this information element takes the value ALL_IDENTITIES, the HSS shall provide all non-barred IMS Public Identities in the response.
Requested domain (See 7.2)	Requested- Domain	С	This information element indicates the domains to which the operation is applicable. Check table 7.6.1 to see when it is applicable.
Current Location (See 7.8)	Current- Location	С	This information element indicates whether an active location retrieval has to be initiated or not. It shall be present if Location Information is requested. If this information element takes the value InitiateActiveLocationRetrieval (1) the HSS shall indicate to the MSC/VLR and/or SGSN the need to initiate an active location retrieval. Check table 7.6.1 to see when it is applicable.
Service Indication (See 7. 4)	Service- Indication	С	IE that identifies, together with the User Identity included in the User- Identity AVP and Data-Reference, the set of service related transparent data that is being requested. Check table 7.6.1 to see when it is applicable.
Application Server Identity (See 7.9)	Origin-Host	М	IE that identifies the AS originator of the request and that is used to check the AS permission list.
Application Server Name (See 7.10)	Server-Name	С	IE that is used, together with the User Identity included in the User-Identity AVP and Data-Reference, as key to identify the filter criteria. Check table 7.6.1 to see when it is applicable.

#### Table 6.1.1.1: Sh-Pull

Information element name	Mapping to Diameter AVP	Cat.	Description
Result	Result-Code /	М	Result of the request.
(See 7.5)	Experimental_		
	Result		Result-Code AVP shall be used for errors defined in the Diameter Base
			Protocol.
			Experimental-Result AVP shall be used for Sh errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.
Data	User-Data	С	Requested data. This element shall be present if the requested data exists
(See 7.6)			in the HSS and the AS has permissions to read it.

Table 6.1.1.2: Sh-Pull Resp

#### 6.1.1.1 Detailed behaviour

The conditions for the inclusion of Requested-Domain as an additional key to the requested data are described in table 7.6.1. If repository data is requested, Service-Indication shall be present in the request. If initial filter criteria are requested, the Server-Name AVP shall contain the SIP URL of the AS that initiates the request; requests for initial filter criteria are limited to those initial filter criteria which are relevant to the requesting AS.

Upon reception of the Sh-Pull request, the HSS shall, in the following order:

1. In the AS permission list (see section 6.2) check that the requested user data is allowed to be read (Sh-Pull) by this AS by checking the combination of the identity of the AS sending the request (identified by the Origin-Host AVP) and the supplied Data-Reference.

If the data referenced in the request is not allowed to be read, Experimental-Result shall be set to DIAMETER\_ERROR\_USER\_DATA\_CANNOT\_BE\_READ in the Sh-Pull Response.

- 2. Check that the user for whom data is asked exists in HSS. If not, Experimental-Result shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN in the Sh-Pull Response.
- 3. If Data-Reference is PSIActivation (18), check that the User Identity contains a Public Service Identity. If not, Experimental-Result shall be set to DIAMETER\_ERROR\_OPERATION\_NOT\_ALLOWED in the Sh-Pull Response.
- 4. Check whether or not the data that is requested to be downloaded by the AS is currently being updated by another entity. If there is an update of the data in progress, the HSS may delay the Sh-Pull-Resp message until the update has been completed. The HSS shall ensure that the data returned is not corrupted by this conflict.

If there is an error in any of the above steps then the HSS shall stop processing and shall return the error code specified in the respective step (see 3GPP TS 29.329 [5] and 3GPP TS 29.229 [7] for an explanation of the error codes).

If the HSS cannot fulfil the received request for reasons not stated in the above steps, e.g. due to database error, it shall stop processing the request and set Result-Code to DIAMETER\_UNABLE\_TO\_COMPLY.

Otherwise, the requested operation shall take place and the HSS shall return the Result-Code AVP set to DIAMETER\_SUCCESS. Result-Code DIAMETER\_SUCCESS is used also if the requested data does not exist in the HSS.

### 6.1.2 Data Update (Sh-Update)

This procedure is used between the AS and the HSS. The procedure is invoked by the AS and is used:

- To allow the AS to update the transparent (repository) data stored at the HSS for each IMS Public User Identity or Public Service Identity.
- To allow the AS to update the PSI Activation State of a Public Service Identity in the HSS.

This procedure is mapped to the commands Profile-Update-Request/Answer in the Diameter application specified in 3GPP TS 29.329 [5]. Tables 6.1.2.1 and 6.1.2.2 detail the involved information elements.

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.1)	User-Identity	М	IMS Public User Identity or Public Service Identity for which data is updated. See section 7.1 for the content of this AVP.
Requested data (See 7.3)	Data- Reference	М	This information element includes the reference to the data on which updates are required (possible values of the Data Reference are defined in Table 7.6.1).
Data (See 7.6)	User-Data	М	Updated data.
Application Server Identity (See 7.9)	Origin-Host	М	IE that identifies the AS originator of the request and that is used to check the AS permission list.

Table 6.1.2.1: Sh-Update

#### Table 6.1.2.2: Sh-Update Resp

Information element name	Mapping to Diameter AVP	Cat.	Description
Result (See 7.5)	Result-Code / Experimental-	М	Result of the update of data in the HSS.
	Result		Result-Code AVP shall be used for errors defined in the Diameter Base Protocol.
			Experimental-Result AVP shall be used for Sh errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

#### 6.1.2.1 Detailed behaviour

Within the Sh-Update Request, the keys to determine the updated data are part of the information element Data (See 7.6). When data in the repository is updated (i.e. added, modified or removed) Service-Indication and Sequence-Number are also sent as part of the information element Data.

Newly added transparent data shall be associated with a Sequence Number of 0 in the Sh-Update Request. Sequence Number value 0 is reserved exclusively for indication of newly added transparent data.

Modified and removed transparent data shall be associated within the Sh-Update Request with a Sequence Number of n+1 where n is the original Sequence Number associated with the transparent data before modification or removal. If n equals 65535, then the next modification or deletion of that transparent data shall be associated with a Sequence Number of 1.

Upon reception of the Sh-Update request, the HSS shall, in the following order:

- 1. In the AS permission list (see section 6.2) check that the data that is requested to be updated (Sh-Update) by this AS, is allowed to be updated by checking the combination of the identity of the AS sending the request (identified by the Origin-Host AVP) and the supplied Data-Reference.
  - If the data is not allowed to be updated, Experimental-Result shall be set to DIAMETER\_ERROR\_USER\_DATA\_CANNOT\_BE\_MODIFIED in the Sh-Update Response.
- 2. Check that the IMS Public User Identity or Public Service Identity in the request exists in the HSS. If not, Experimental-Result shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN in the Sh-Update Response.
- 3. If Data-Reference is PSIActivation (18), check that the User Identity contains a Public Service Identity. If it is, then the HSS shall update the corresponding PSI Activation State and return the Result-Code AVP set to DIAMETER\_SUCCESS. If not, Experimental-Result shall be set to DIAMETER\_ERROR\_OPERATION\_NOT\_ALLOWED in the Sh-Update Response.

The change of a Public Service Identity from ACTIVE to INACTIVE shall trigger the network initiated deregistration of the Public Service Identity in the HSS.

- 4. Check whether or not the data that is requested to be updated by the AS, as identified by the Service-Indication, is currently being updated by another entity. If there is an update of the data in progress, Experimental-Result shall be set to DIAMETER\_PRIOR\_UPDATE\_IN\_PROGRESS in the Sh-Update Response.
- 5. Check whether or not there is any repository data stored at the HSS already for the specified Service-Indication and the associated IMS Public User Identity or Public Service Identity.
  - If repository data identified by the Service-Indication is stored at the HSS for the specified IMS Public User Identity or Public Service Identity, check the following premises:
    - 1. Sequence\_Number\_in\_Sh\_Update is not equal to 0
    - 2. (Sequence\_Number\_in\_Sh\_Update 1) is equal to (Sequence\_Number\_In\_HSS modulo 65535)
    - If either of the above premises is false then Experimental-Result- shall be set to DIAMETER\_ERROR\_TRANSPARENT\_DATA\_OUT\_OF\_SYNC in the Sh-Update Response.
    - If both of the above premises are true, then check whether or not Service Data is received within the Sh-Update Req.
      - If Service Data is included in the Sh-Update Req, check whether or not the size of the data is greater than that which the HSS is prepared to accept.
        - If there is more data than the HSS is prepared to accept then Experimental-Result shall be set to DIAMETER\_ERROR\_TOO\_MUCH\_DATA and the new data shall be discarded.
        - If the HSS is prepared to accept the data, then the repository data stored at the HSS shall be updated with the repository data sent in the Sh-Update Req and the Sequence Number associated with that repository data shall be updated with that sent in the Sh-Update Req. This triggers the sending of Sh-Notif messages to any other ASs that are subscribed to Notifications for updates to the service data for that IMS Public User Identity or Public Service Identity (see 6.1.4).
      - If Service Data is not received, the data stored in the repository at the HSS shall be removed, and as a consequence the Service Indication and the Sequence Number associated with the removed data shall also be removed. This triggers the sending of Sh-Notif messages to any other ASs that are subscribed to Notifications for updates to the service data for that IMS Public User Identity or Public Service identity (see 6.1.4). After sending Sh-Notif messages, the subscriptions to Notifications for the removed Repository Data shall be deleted.
  - If repository data identified by the Service-Indication is not stored for the IMS Public User Identity or Public Service Identity i.e. the Sh-Update Req intends to create a new repository data, check whether or not the Sequence Number in the Sh-Update Req is 0.
    - If the sequence number is not set to 0, Experimental-Result shall be set to DIAMETER\_ERROR\_TRANSPARENT\_DATA\_OUT\_OF\_SYNC
    - If the sequence number is set to 0 check whether Service Data is included within the Sh-Update Req.
      - If Service Data is not included in the Sh-Update Req, then Experimental-Result shall be set to DIAMETER\_ERROR\_OPERATION\_NOT\_ALLOWED and the operation shall be ignored by the HSS.
      - If Service Data is included in the Sh-Update Req, check whether or not the size of the data is greater than that which the HSS is prepared to accept. If there is more data than the HSS is prepared to accept then Experimental-Result shall be set to DIAMETER\_ERROR\_TOO\_MUCH\_DATA and the new data shall be discarded.
      - If the HSS is prepared to accept the data included in the Sh-Update Req, then the data shall be stored in the data repository in the HSS.

If there is an error in any of the above steps then the HSS shall stop processing and shall return the error code specified in the respective step (see 3GPP TS 29.329 [5] and 3GPP TS 29.229 [7] for an explanation of the error codes).

If the HSS cannot fulfil the received request for reasons not stated in the above steps, e.g. due to database error, it shall stop processing the request and set Result-Code to DIAMETER\_UNABLE\_TO\_COMPLY.

Otherwise, the requested operation shall take place and the HSS shall return the Result-Code AVP set to DIAMETER\_SUCCESS.

NOTE: When an AS receives DIAMETER\_ERROR\_TRANSPARENT\_DATA\_OUT\_OF\_SYNC the AS may attempt to resolve the inconsitency between the version of the repository data that it holds and that stored at the HSS. It may execute a Sh-Pull to retrieve the current version of the data from the HSS or it may wait to receive a subsequent Sh-Notif message from the HSS for the affected repository data.

#### 6.1.3 Subscription to notifications (Sh-Subs-Notif)

This procedure is used between the AS and the HSS. The procedure is invoked by the AS and is used:

- To subscribe to Notifications for when particular transparent and/or non-transparent data for a specified IMS Public User Identity or Public Service Identity is updated, from the HSS.

This procedure is mapped to the commands Subscribe-Notifications-Request/Answer in the Diameter application specified in 3GPP TS 29.329 [5]. Tables 6.1.3.1 and 6.1.3.2 detail the information elements involved.

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.1)	User-Identity	М	IMS Public User Identity or Public Service Identity for which notifications of data changes are requested. See section 7.1 for the content of this AVP.
Requested data (See 7.3)	Data- Reference	М	This information element includes the reference to the data on which notifications of change are required (valid reference values are defined in 7. 6).
Subscription request type (See 7.7)	Subs-Req- Type	М	This information element indicates the action requested on subscription to notifications.
Service Indication (See 7.4)	Service- Indication	С	IE that identifies, together with the User Identity and Data-Reference, the set of service related transparent data for which notifications of changes are requested. This element shall be present when the Data-Reference value is RepositoryData (0).
Application Server Identity (See 7.9)	Origin-Host	М	IE that identifies the AS originator of the request and that is used to check the AS permission list.
Application Server Name (See 7.10)	Server-Name	С	IE that is used, together with the User Identity and Data-Reference, as key to identify the filter criteria. This element shall be present when the Data-Reference value is InitialFilterCriteria (13).

Table 6.1.3.1: Sh-Subs-Notif

#### Table 6.1.3.2: Sh-Subs-Notif Resp

Information element name	Mapping to Diameter AVP	Cat.	Description
Result (See 7.5)	Result-Code / Experimental- Result	М	Result of the request. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Sh errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

#### 6.1.3.1 Detailed behaviour

The HSS shall take note of the subscription request on the data identified by User Identity and Data-Reference. If notifications on changes of repository data are requested, Service-Indication shall be present in the request. If notifications on changes of filter criteria are requested, the Server-Name AVP shall be used as key to the filter criteria. The Server-Name AVP shall contain the SIP URL of the AS sending the request.

Upon reception of the Sh-Subs-Notif request, the HSS shall, in the following order (if there is an error in any of the following steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.329 [5] and 3GPP TS 29.229 [7]):

- 1. In the AS permission list (see section 6.2) the HSS shall check that the AS is allowed to subscribe to notifications (Sh-Subs-Notif) for the requested data by checking the combination of the identity of the AS sending the request (identified by the Origin-Host AVP) and the supplied Data-Reference.
  - If this AS does not have Sh-Subs-Notif permission for the data referenced, Experimental-Result shall be set to DIAMETER\_ERROR\_USER\_DATA\_CANNOT\_BE\_NOTIFIED in the Sh-Subs-Notif Response.
- 2. Check that the IMS Public User Identity or Public Service Identity in the request exists in HSS. If not, Experimental-Result shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN in the Sh-Subs-Notif Response.
- 3. If Data-Reference is PSIActivation (18), check that the User Identity contains a Public Service Identity. If not, Experimental-Result shall be set to DIAMETER\_ERROR\_OPERATION\_NOT\_ALLOWED in the Sh-Subs-Notif Response.
- 4. The HSS shall associate the Application Server Identity with the list of entities that need to be notified when the data identified by Data-Reference is modified and set the Result-Code to DIAMETER\_SUCCESS in the Sh-Subs-Notify response.

If the HSS cannot fulfil the received request for reasons not stated in the above steps, e.g. due to database error, it shall stop processing the request and set Result-Code to DIAMETER\_UNABLE\_TO\_COMPLY.

#### 6.1.4 Notifications (Sh-Notif)

This procedure is used between the HSS and the AS. The procedure is invoked by the HSS and is used:

- To inform the AS of changes in transparent and/or non-transparent data to which the AS has previously subscribed to receive Notifications for, using Sh-Subs-Notif (see 6.1.3).

This procedure is mapped to the commands Push-Notification-Request/Answer in the Diameter application specified in 3GPP TS 29.329 [5]. Tables 6.1.4.1 and 6.1.4.2 detail the involved information elements.

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.1)	User-Identity	М	IMS Public User Identity or Public Service Identity for which data has changed. See section 7.1 for the content of this AVP.
Data (See 7.6)	User-Data	М	Changed data.

#### Table 6.1.4.1: Sh-Notif

Information element name	Mapping to Diameter AVP	Cat.	Description
Result (See 7.5)	Result-Code / Experimental-	М	Result of the request.
	Result		Result-Code AVP shall be used for errors defined in the Diameter Base Protocol.
			Experimental-Result AVP shall be used for Sh errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

Table 6.1.4.2: Sh-Notif Resp

#### 6.1.4.1 Detailed behaviour

The keys to the updated data are part of the information element User-Data (See Annex C). When data repository is updated Service-Indication is also part of the information element User-Data.

Since authentication pending is a transient state of normally very short duration, notification of an IMS user's state change, to and from the authentication pending state shall not be sent to Application Servers, when the previous state before authentication pending and next state after authentication pending are the same. If the states are different before the authentication pending state is entered and after the authentication pending state is left then notification is sent to the AS of this new state.

Removal of the subscribed data is indicated with the content of User-Data AVP. The content shall be compliant with the XML-schema defined in Annex D. Removed repository data shall be indicated with RepositoryData element that does not contain ServiceData element. Removed S-CSCF name shall be indicated with empty SCSCFName element. If all iFCs for the user that are relevant for the AS have been removed it shall be indicated with empty IFCs element.

### 6.2 AS permissions list

In table 7.6.1, the contents of the Data-AVP are described. Some of the individual elements carried within Data-AVP may be requested by the AS from the HSS using the Sh-Pull command (see section 6.1.1) or may be updated at the HSS by the AS using the Sh-Update command (see section 6.1.2). The AS may also request that the HSS notifies the AS of changes to specific elements within the Data-AVP using the Sh-Subs-Notif command (see section 6.1.3). The HSS will only allow these operations to take place if the element of the Data-AVP is permitted to be included in the specific command requested by the AS, as indicated in table 7.6.1.

To manage whether an AS may request each element of Data-AVP with a specific command, the HSS shall maintain a list of AS permissions (the "AS Permissions List"). AS permissions are identified by AS identity and Data Reference with the possible permissions associated with each Data Reference being Sh-Pull, Sh-Update, Sh-Subs-Notif or any combination of these permissions (see table 7.6.1 for details of which permissions are allowed for each Data Reference). The permissions apply to all users served by the HSS, they are not user specific. When an AS requests Sh-Pull, Sh-Update or Sh-Subs-Notif the HSS shall check permissions and return an error result if the AS does not have the required permission.

- 6.3 Void
- 6.4 Void

#### 6.5 User identity to HSS resolution

The User identity to HSS resolution mechanism enables the AS to find the address of the HSS that holds the subscriber data for a given IMS Public User Identity or Public Service Identity when multiple and separately addressable HSSs have been deployed by the network operator. The resolution mechanism is not required in networks that utilise a single HSS or when an AS is configured to use pre-defined HSS.

The resolution mechanism described in 3GPP TS 23.228 [8] is based on the Subscription Locator Function (SLF). The AS accesses the subscription locator via the Dh interface. The Dh interface is always used in conjunction with the Sh interface. The Dh interface is based on Diameter. Its functionality is implemented by means of the routing mechanism provided by an enhanced Diameter redirect agent, which is able to extract the IMS Public User Identity or Public Service Identity from the received requests.

To get the HSS address the AS sends to the SLF the Sh requests aimed for the HSS. On receipt of the HSS address from the SLF, the AS shall send the Sh requests to the HSS. The AS may store the HSS address and use it in further requests associated to the same IMS Public User Identity or Public Service Identity.

In networks where the use of the user identity to HSS resolution mechanism is required and the AS is not configured to use predefined HSS, each AS shall be configured with the address/name of the SLF implementing this resolution mechanism.

## 7 Information element contents

## 7.1 User Identity

This information element contains an IMS Public User Identity, Public Service Identity or MSISDN according to the conditions described in table 7.1.1.

Information element name	Mapping to Diameter AVP	Cat.	Description
IMS Public User Identity / Public Service Identity (See 7.1.1)	Public-Identity	С	IMS Public User Identity or Public Service Identity for which data is required. If the MSISDN is not included in the User-Identity AVP, the Public-Identity AVP shall be included in Sh messages only for allowed Data References as described in Table 7.6.1.
MSISDN (See 7.1.2)	MSISDN	С	MSISDN for which data is required. If the Public-Identity AVP is not included in the User-Identity AVP, the MSISDN AVP shall be included in the Sh-Pull message only for allowed Data References as described in Table 7.6.1.

#### Table 7.1.1: User Identity content

#### 7.1.1 IMS Public User Identity / Public Service Identity

This information element contains an IMS Public User Identity / Public Service Identity (either SIP URI or tel URI). See 3GPP 23.003 [11].

#### 7.1.2 MSISDN

This information element contains a Basic MSISDN (see 3GPP TS 23.012 [19]).

### 7.2 Requested Domain

This information element details the access domains for which certain data (e.g. user state, location information) are requested. See 3GPP TS 29.329 [5] for the list of possible values.

## 7.3 Requested Data

- Reference to the data that an AS is requesting from the HSS.
- Reference to the data which, an AS wants to be notified of, when changed.
- Reference to data for which subscription to notification of change is rejected.

See section 7.6.

## 7.4 Service Indication

Identifier of one set of service related transparent data, which is stored in an HSS in an operator network per Public Identity. The HSS shall allocate memory space for a data repository to store transparent data per IMS Public User Identity or Public Service Identity and value of Service Indication.

## 7.5 Result

This information element contains the result code of the operation. See 3GPP TS 29.329 [5] for the list of possible values.

## 7.6 Data

This information element contains an XML document conformant to the XML schema defined in Annex D.

Annex C specifies the UML logical model of the data downloaded via the Sh interface.

Table 7.6.1 defines the data reference values and tags, access key and recommended AS permissions (as described in section 6.2) for the the operation(s) on data accessible via the Sh interface, i.e. the listed operation(s) in the Operations column are the only ones allowed to be used with this Data Ref value. It is a matter of operator policy to further restrict the AS permission rights defined in table 7.6.1.

Data Ref.	XML tag	Defined in	Access key	Operations
0	RepositoryData	7.6.1	IMS Public User Identity or Public Service Identity + Data-Reference + Service-Indication	Sh-Pull, Sh-Update, Sh-Subs- Notif
10	IMSPublicIdentity	7.6.2	IMS Public User Identity or Public Service Identity or MSISDN + Data- Reference + Identity-Set	Sh-Pull
11	IMSUserState	7.6.3	IMS Public User Identity + Data-Reference	Sh-Pull, Sh-Subs-Notif
12	S-CSCFName	7.6.4	IMS Public User Identity or Public Service Identity + Data-Reference	Sh-Pull, Sh-Subs-Notif
13	InitialFilterCriteria	7.6.5	IMS Public User Identity or Public Service Identity + Data-Reference + Server-Name	Sh-Pull, Sh-Subs-Notif
14	LocationInformation	7.6.6	MSISDN + Data-	Sh-Pull
15	UserState	7.6.7	Reference+ Requested- Domain	
16	Charging information	7.6.8	IMS Public User Identity or Public Service Identity or MSISDN + Data- Reference	Sh-Pull
17	MSISDN	7.6.9	IMS Public User Identity or MSISDN + Data- Reference	Sh-Pull
18	PSIActivation	7.6.10	Public Service Identity + Data-Reference	Sh-Pull, Sh-Update, Sh-Subs- Notif

#### Table 7.6.1: Data accessible via Sh interface

#### 7.6.1 Repository Data

This information element contains transparent data. A data repository may be shared by more than one AS implementing the same service.

#### 7.6.2 IMSPublicIdentity

This information element contains an IMS Public User Identity or a Public Service Identity. If a wildcarded PSI that is stored in the HSS matches the Public Service Identity received, the HSS shall return the wildcarded PSI in addition to the Public Service Identity that was received in the request.

An IMS Public Identity would be either:

- associated with the same Private User Identity or Private Service Identity as the User Identity included in the request or
- associated with the MSISDN present in the request.

Multiple instances of this information element may be included in the message.

#### 7.6.3 IMS User State

This information element contains the IMS User State of the public identifier referenced. Its possible values are:

- REGISTERED,
- NOT\_REGISTERED,
- AUTHENTICATION\_PENDING,
- REGISTERED\_UNREG\_SERVICES.

If the IMS Public User Identity is shared between multiple Private User Identities, HSS shall indicate the most registered state of the shared IMS Public User Identity to an AS. The most registered state of a shared IMS Public User Identity is defined as follows:

- If the shared IMS Public User Identity is registered with any of the Private User Identities, the most registered state of the shared IMS Public User Identity is REGISTERED.
- If the shared IMS Public User Identity is not currently registered with any of the Private User Identities, but it is in state REGISTERED\_UNREG\_SERVICES, then the most registered state of the shared IMS Public User Identity is REGISTERED\_UNREG\_SERVICES.
- If the shared IMS Public User Identity is not currently registered with any of the Private User Identities, and it is not in state REGISTERED\_UNREG\_SERVICES, but it is in the process of being authenticated with any of the Private User Identities, then the most registered state of the shared IMS Public User Identity is AUTHENTICATION\_PENDING.
- If the shared IMS Public User Identity is not currently registered with any of the Private User Identities, and it is not in state REGISTERED\_UNREG\_SERVICES, and it is not in the process of being authenticated with any of the Private User Identities, then the most registered state of the shared IMS Public User Identity is NOT\_REGISTERED.

#### 7.6.4 S-CSCF Name

This information element contains the name of the S-CSCF where a multimedia public identity is registered.

#### 7.6.5 Initial Filter Criteria

This information element contains the triggering information for a service.

For a more detailed description, refer to 3GPP TS 23.218 [4] and 3GPP TS 29.228 [6].

## 7.6.6 Location Information

This information element contains the location of the served subscriber in the MSC/VLR if the requested domain is CS, or the location of the served subscriber in the SGSN if the requested domain is PS. If the HSS has to communicate with the MSC/VLR and/or SGSN to retrieve location information, it shall make use of the service MAP-PROVIDE-SUBSCRIBER-INFO.

For both Location Information for CS and Location Information for GPRS, the considerations described in 3GPP TS 23.078 [14] apply.

#### 7.6.6.1 Location information for CS

This information element consists of the following subordinate information elements:

- Location number: defined in ITU-T Recommendation Q.763 [9]. Considerations described in 3GPP TS 23.018 apply[10].
- Service area ID: defined in 3GPP TS 23.003 [11].
- Global Cell ID: defined in 3GPP TS 23.003 [11].
- Location area ID: defined in 3GPP TS 23.003 [11].
- Geographical Information: defined in 3GPP TS 23.032 [12]. Considerations described in 3GPP TS 23.018 [10] and 3GPP TS 29.002 [13] apply.
- Geodetic Information: defined in ITU-T Recommendation Q.763 [9]. Considerations described in 3GPP TS 23.018 [10] and 3GPP TS 29.002 [13] apply.
- VLR Number: defined in 3GPP TS 23.003 [11].
- MSC Number: defined in 3GPP TS 23.003 [11].
- Age of location information: defined in 3GPP TS 23.018 [10].
- Current Location Retrieved: shall be present when location information was obtained after a successful paging procedure for Active Location Retrieval.

#### 7.6.6.2 Location information for GPRS

This information element consists of the following subordinate information elements:

- Service area ID: defined in 3GPP TS 23.003 [11].
- Global Cell ID: defined in 3GPP TS 23.003 [11].
- Location area ID: defined in 3GPP TS 23.003 [11].
- Geographical Information: defined in 3GPP TS 23.032 [12]. Considerations described in 3GPP TS 23.018 [10] and 3GPP TS 29.002 [13] apply.
- Geodetic Information: defined in ITU-T Recommendation Q.763 [9]. Considerations described in 3GPP TS 23.018 [10] and 3GPP TS 29.002 [13] apply.
- SGSN Number: defined in 3GPP TS 23.003 [11].
- Routing Area ID: defined in 3GPP TS 23.003 [11].
- Current Location Retrieved: shall be present when location information was obtained after a successful paging procedure for Active Location Retrieval.

#### 7.6.7 User state

This information element indicates the state of the User Identity in the domain indicated by the Requested-Domain (see 7.2), with the values specified in 3GPP TS 23.078 [14] for Subscriber State and PS Domain Subscriber State. The HSS

shall make use of the operation MAP-PROVIDE-SUBSCRIBER-INFO towards the MSC/VLR and/or the SGSN to obtain this information.

### 7.6.8 Charging information

This information element contains the addresses of the charging functions: primary Online Charging Function (PrimaryEventChargingFunctionName), secondary Online Charging Function (SecondaryEventChargingFunctionName), primary Charging Data Function

(PrimaryChargingCollectionFunctionName), and secondary Charging Data Function

(SecondaryChargingCollectionFunctionName). When a clash occurs between the charging function address(es) received over the ISC interface and those received over the Sh interface, the address(es) received over the ISC interface should take precedence.

NOTE: The use of the Sh interface to retrieve charging function addresses is not intended as a general-purpose alternative to receiving charging function addresses from the ISC interfaces. Rather, it is meant to address a special case where the AS needs to interact with the charging system before initiating a request to a user when the AS has not received the third party REGISTER for that user.

#### 7.6.9 MSISDN

This information element contains a Basic MSISDN (see 3GPP TS 23.012 [19]) that is associated with the User Identity present in the request. All valid instances of this information element shall be included in the message.

#### 7.6.10 PSIActivation

This information element contains the activation state of the Public Service Identity present in the request. Its possible values are:

- ACTIVE,
- INACTIVE.

## 7.7 Subscription request type

This information element indicates the action requested for subscription to notifications. See 3GPP TS 29.329 [5] for the list of valid values.

## 7.8 Current Location

This information element indicates whether an active location retrieval has to be initiated or not when an AS requested location information. See 3GPP TS 29.329 [5] for the list of possible values.

## 7.9 Application Server Identity

This information element contains the identity of the Application Server. It is used for the AS permission check (see 6.2).

## 7.10 Application Server Name

This information element indicates application server's SIP URI. See 3GPP TS 29.229 [7] for the detailed definition of the AVP.

## 7.11 Requested Identity Set

This information element indicates the set of IMS Public Identities that the AS wishes to download. See 3GPP TS 29.329 [5] for the detailed definition of the AVP.

# 8 Protocol version identification

See 3GPP TS 29.329 [5].

# 9 Operational Aspects

See 3GPP TS 29.329 [5].

# Annex A (normative): Mapping of Sh operations and terminology to Diameter

# A.1 Introduction

This appendix gives mappings from Sh to Diameter protocol elements. Diameter protocol elements are defined in 3GPP TS 29.329 [5].

# A.2 Sh message to Diameter command mapping

The following table defines the mapping between stage 2 operations and Diameter commands:

Sh message	Source	Destination	Command-Name	Abbreviation
Sh-Pull	AS	HSS	User-Data-Request	UDR
Sh-Pull Resp	HSS	AS	User-Data-Answer	UDA
Sh-Update	AS	HSS	Profile-Update-Request	PUR
Sh-Update Resp	HSS	AS	Profile-Update-Answer	PUA
Sh-Subs-Notif	AS	HSS	Subscribe-Notifications-Request	SNR
Sh-Subs-Notif Resp	HSS	AS	Subscribe-Notifications-Answer	SNA
Sh-Notif	HSS	AS	Push-Notification-Request	PNR
Sh-Notif Resp	AS	HSS	Push-Notification-Answer	PNA

#### Table A.2.1: Sh message to Diameter command mapping

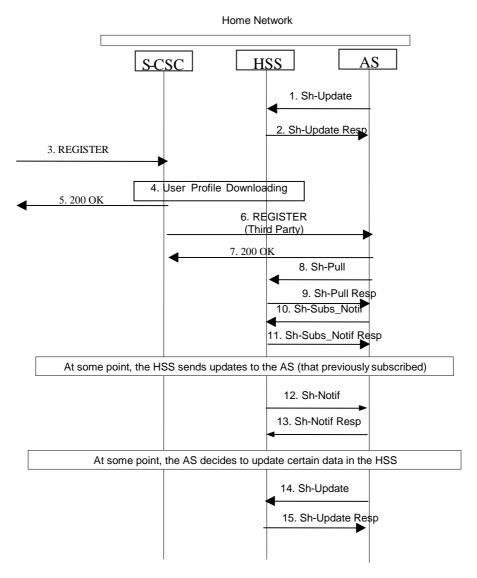
A.3 Void

## Annex B (informative): Message flow

## B.1 Message flows

The following message flows give examples regarding which Diameter messages shall be sent in scenarios described in 3GPP TS 23.218 [4].

## B.1.1 Data Update, Registration, Notification Subscription.



#### Figure B.1.1: Data Update, Registration, Notification Subscription

- 1. A user subscribes to a new service. The operator provisions the service in an AS. The AS stores some service data for a user in the HSS, Sh-Update (user identity, updated data) e.g. repository data.
- 2. HSS confirms the data is updated
- 3. Some time later, user registers with the network
- 4. S-CSCF downloads the data from the HSS (during the procedure S-CSCF Registration Notification on Cx interface). Filter criteria specify that the AS wants to be notified that the end user is registered.

- 5. 200 OK
- 6. S-CSCF sends third party registration message to the application server to notify that user is registered.
- 7. 200 OK
- 8. The AS downloads data needed for providing service from HSS, by means of Sh-Pull (user identity, requested data, and service information).
- 9. HSS sends data to AS
- 10. The AS subscribes to notifications from the HSS of changes in data, by means of Sh-Subs-Notif (user identity, requested data, and/or service information).
- 11. The HSS confirms the subscription request.
- 12. At some moment, user data is updated in the HSS. As the AS subscribed to notifications (step 10), the HSS sends to the AS the requested updates, by means of Sh-Notif (user identity, updated data).
- 13. The AS acknowledges the notification.
- 14. At some moment, the AS decides to update user"s service data e.g. repository data in the HSS, by means of Cx-Update (user identity, updated data).
- 15. The HSS confirms the service data is updated.

# Annex C (informative): UML model of the data downloaded over Sh interface

The purpose of this UML model is to define in an abstract level the structure of the data downloaded over the Sh interface and describe the purpose of the different information classes included in it.

# C.1 General description

The following picture gives an outline of the UML model of the user profile, which is exchanged between the HSS and an AS:

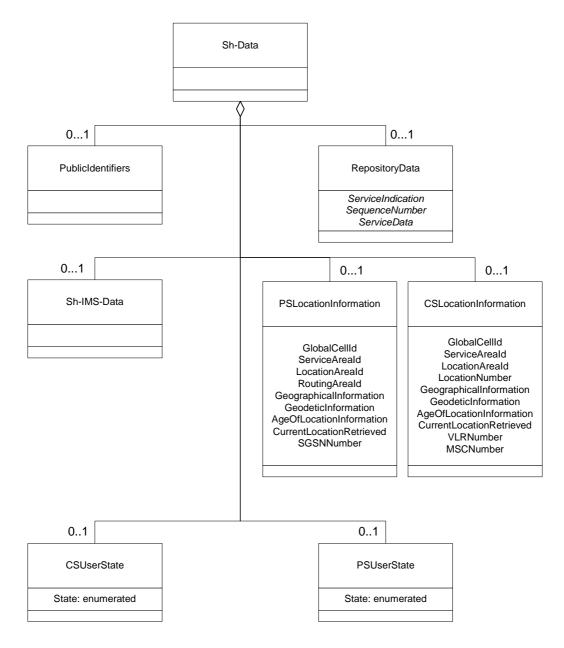


Figure C.1.1: Sh-Data

Each instance of the Sh-Data class contains 0 or 1 instance of the class PublicIdentifiers, 0 or 1 instance of the class RepositoryData, 0 or 1 instance of the class Sh-IMS-Data, 0 or 1 instance of the class CSUserState, 0 or 1 instance of the class PSUserState 0 or 1 instance of the class CSLocationInformation and 0 or 1 instance of the class PSLocationInformation.

Class RepositoryData contains repository data (transparent data) for a given service. It has attributes ServiceIndication, SequenceNumber and ServiceData.

Class CSUserState contains the state of a user in the CS domain. Its only attribute, State, is an enumeration whose possible values are defined in section 7.6.7.

Class PSUserState contains the state of a user in the PS domain. Its only attribute, State, is an enumeration whose possible values are defined in section 7.6.7.

NOTE: the fact that attribute State is an enumeration is a difference from what can be carried in the MAP protocol.

Class CSLocationInformation has the attributes Location Number, Service Area ID, GlobalCellId, LocationAreaId, GeographicalInformation, GeodeticInformation, VLR Number, MSC Number, AgeOfLocationInformation and CurrentLocationRetrieved. They are defined in 7.6.

Class PSLocationInformation has the attributes ServiceAreaId, GlobalCellId, LocationAreaID, RoutingAreaID, GeographicalInformation, GeodeticInformation, SGSN Number, AgeOfLocationInformation and CurrentLocationRetrieved. They are defined in 7.6.

## C.2 PublicIdentifiers

The following picture details the UML model of the class PublicIdentifiers:

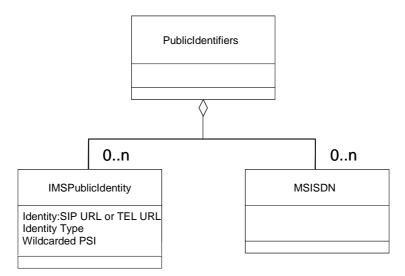


Figure C.2.1: The UML model of the class PublicIdentifiers

Class PublicIdentifiers contains 0 or more public user identities which may be either of class IMSPublicIdentity or of class MSISDN. The identifiers are of format SIP URL, tel URI or MSISDN. Instances of class IMSPublicIdentity shall contain either a Public User Identity, a distinct PSI and they shall contain the Identity Type and the wildcarded PSI if the Identity in the request matches a Wildcarded PSI in the HSS.

## C.3 Sh-IMS-Data

The following picture details the UML model of the class Sh-IMS-Data.

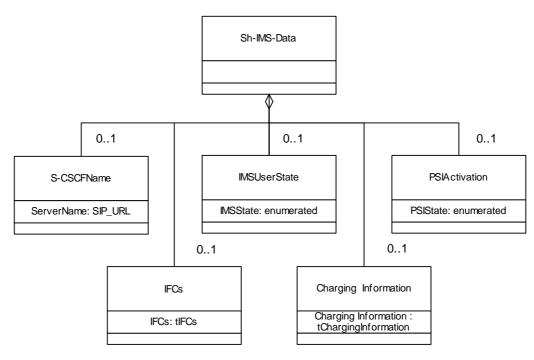


Figure C.3.1: Sh-IMS-Data

Each instance of the class Sh-IMS-Data contains 0 or 1 instance of the class S-CSCFName, 0 to n instances of the class InitialFilterCriteria, 0 or 1 instance of the class IMSUserState, 0 or 1 instance of the class ChargingInformation and/or 0 or 1 instance of the class PSIActivation.

Class S-CSCFName contains the SIP URL of the S-CSCF where the multimedia public identity that the AS included in the request is registered.

Class IFCs contains 0 to n instances of the initial filter criteria of the multimedia public identity that the AS included in the request. The initial filter criteria is defined in 3GPP TS 29.228 [6].

Class IMSUserState contains the registration state of the identity given by the attribute of class Sh-IMS-Data. See section 7.6 for possible values.

Class Charging Information contains the online and offline charging function addresses. See section 7.6 for possible values.

Class PSIActivation contains the activation state of the Public Service Identity given by the attribute of class Sh-IMS-Data. See section 7.6 for possible values.

# Annex D (normative): XML schema for the Sh interface user profile

The file ShDataType.xsd, attached to this specification, contains the XML schema for the user profile that is sent over the Sh interface. The user profile XML schema defines the data types that are used in the user profile XML. The data that is allowed to be sent in the user profile may vary depending on the features supported by the Diameter end points, see 3GPP TS 29.329 [5]. The user profile XML schema file is intended to be used by an XML parser. The version of the Sh application sending the user profile XML shall be the same as the version of the sent user profile XML and thus it implies the version of the user profile XML schema to be used to validate it.

Tables D.1 and D.2 describe the data types and the dependencies among them that configure the user profile XML schema.

Data type	Tag	Base type	Comments
tPriority	Priority	integer	>= 0
tProfilePartIndicator	ProfilePartIndicator	enumerated	Possible values:
			0 (REGISTERED)
			1 (UNREGISTERED)
tGroupID	Group	integer	>= 0
tRegistrationType	RegistrationType	enumerated	Possible values:
			0 (INITIAL_REGISTRATION)
			1 (RE-REGISTRATION)
			2 (DE-REGISTRATION)
tDefaultHandling	DefaultHandling	enumerated	Possible values:
			0 (SESSION_CONTINUED)
			1 (SESSION_TERMINATED)
tDirectionOfRequest	SessionCase	enumerated	Possible values:
			0 (ORIGINATING_SESSION)
			1 TERMINATING_SESSION
			2 (TERMINATING_UNREGISTERED)
tIMSUserState	IMSUserState	Enumerated	Possible values:
			0 (NOT_REGISTERED)
			1 (REGISTERED)
			2 (REGISTERED_UNREG_SERVICES)
			3 (AUTHENTICATION_PENDING)
tCS <u>UserState</u>	CSUserState	Enumerated	Possible values (as defined in 3GPP TS 23.078 [14]):
			0 (CAMELBusy)
			1 (NetworkDeterminedNotReachable)
			2 (AssumedIdle)
			3 (NotProvidedfromVLR)
tPS <u>UserState</u>	PSUserState	Enumerated	Possible values (as defined in 3GPP TS 23.078 [14]):
			0 (Detached)
			1 (AttachedNotReachableForPaging)
			2 (AttachedReachableForPaging)
			3 (ConnectedNotReachableForPaging)
			4 (ConnectedReachableForPaging)
			5 (NotProvidedFromSGSN)
			6 (NetworkDeterminedNotReachable)
tLocationNumber	LocationNumber	string	Syntax described in ITU-T Q.763 [9] (Base64 encoded according to RFC 2045 [15]).
			Length >=4 and <=16 (multiples of 4).

Table D.1: XML schema for the Sh user profile interface: simple data types

tCellGloballd	CellGlobalId	string	Syntax described in 3GPP TS 29.002 [13]
			(Base64 encoded according to RFC 2045 [15]).
			Length = 12.
tServiceAreald	ServiceAreald	string	Syntax described in 3GPP TS 29.002 [13] (Base64 encoded according to RFC 2045 [15]).
			Length = 12.
tLocationAreald	LocationAreald	string	Syntax described in 3GPP TS 29.002 [13] (Base64 encoded according to RFC 2045 [15]).
			Length = 8.
tRoutingAreald	RoutingAreald	string	Syntax described in 3GPP TS 29.002 [13] (Base64 encoded according to RFC 2045 [15]).
			Length = 8.
tGeographicalInform ation	GeographicalInform ation	string	Syntax described in 3GPP TS 29.002 (base 64 encoded according to RFC 2045).
			Length = 12.
tGeodeticInformation	GeodeticInformatio n	string	Syntax described in 3GPP TS 29.002 [13] (Base64 encoded according to RFC 2045 [15]).
			Length = 16.
tAgeOfLocationInfor mation	AgeOfLocationInfor mation	integer	>=0, <=32767
tAddressString	AddressString	string	Syntax described in 3GPP TS 29.002 [13] (Base64 encoded according to RFC 2045 [15]).
			Length >= 4 and <=28 (multiples of 4).
tMSISDN	MSISDN	string	Number structure described in 3GPP TS 23.003 [11]. ASCII encoded according to ANSI X3.4 [20].
tSIP_URL	PublicIdentity	anyURI	Syntax described in RFC 3261 [16]
tTEL_URL	PublicIdentity	anyURI	Syntax described in IETF RFC 3966 [17]
tDiameterURI	DiameterURI	string	Syntax of a Diameter URI as described in IETF RFC 3588 [8]
tIMSPublicIdentity	IMSPublicIdentity	(union)	Union of tSIP_URL and tTEL_URL
tldentityType	IdentityType	enumerated	Possible values:
			0 (PUBLIC_USER_IDENTITY)
			1 (DISTINCT_PSI)
			2 (WILDCARDED_PSI)
tWildcardedPSI	WildcardedPSI	anyURI	Syntax described in 3GPP TS 23.003 [yy].
tServiceInfo	ServiceInfo	string	
tString	RequestURI, Method, Header, Content, Line	string	
tBool	ConditionTypeCNF,	boolean	Possible values:
	ConditionNegated		0 (false)
			1 (true)
tSequenceNumber	SequenceNumber	integer	>=0, <=65535

PSIActivation	Enumerated	Possible Values:
		0 (INACTIVE)
		1 (ACTIVE)
	PSIActivation	PSIActivation Enumerated

Data type	Tag		Compound of	
		Tag	Туре	Cardinality
tSh-Data	Sh-Data	PublicIdentifiers	tPublicIdentity	0 to 1
		RepositoryData	tTransparentData	0 to 1
		Sh-IMS-Data	tShIMSData	0 to 1
		CSLocationInformati on	tCSLocationInformation	0 to 1
		PSLocationInformati on	tPSLocationInformation	0 to 1
		CSUserState	tCSUserState	0 to 1
		PSUserState	tPSUserState	0 to 1
tTransparentData	RepositoryData	ServiceIndication	string	1
		SequenceNumber	tSequenceNumber	1
		ServiceData	tServiceData	0 to 1
tServiceData	any	any	any	1
tIFCs	IFCs	InitialFilterCriteria	tInitialFilterCriteria	0 to n
tShIMSData	Sh-IMS-Data	SCSCFName	tSIP_URL	0 to 1
		IFCs	tIFCs	0 to 1
		IMSUserState	tIMSUserState	0 to 1
		ChargingInformation	tChargingInformation	0 to 1
		Extension	tShIMSDataExtension	(0 to 1)
tShIMSDataExtensio n	Extension	PSIActivation	tPSIActivation	(0 to 1)
tCSLocationInformati	CSLocationInformat	LocationNumber	tLocationNumber	0 to 1
on	ion	CellGlobalId	tCellGloballd	0 to 1
		ServiceAreald	tServiceAreald	0 to 1
		LocationAreald	tLocationAreald	0 to 1
		GeographicalInforma tion	tGeographicalInformation	0 to 1
		GeodeticInformation	tGeodeticInformation	0 to 1
		VLRNumber	tISDNAddress	0 to 1
		MSCNumber	tISDNAddress	0 to 1
		CurrentLocationRetri eved	tBool	0 to 1
		AgeOfLocationInform ation	tAgeOfLocationInformatio n	0 to 1
tPSLocationInformati	PSLocationInformat	CellGlobalId	tCellGloballd	0 to 1
on	ion	ServiceAreald	tServiceAreald	0 to 1
		LocationAreald	tLocationAreald	0 to 1

#### Table D.2: XML schema for the Sh user profile interface: complex data types

		R	outingAreald	tRoutingAreald	0 to 1
		Geo	graphicalInforma tion	tGeographicalInformation	0 to 1
		Geo	deticInformation	tGeodeticInformation	0 to 1
		S	GSNNumber	tISDNAddress	0 to 1
		Curre	entLocationRetri eved	tBool	0 to 1
		AgeC	OfLocationInform ation	tAgeOfLocationInformatio n	0 to 1
tPublicIdentity	PublicIdentifiers	IMS	SPublicIdentity	tIMSPublicIdentity	0 to n
			MSISDN	tMSISDN	0 to n
			Extension	tPublicIdentityExtension	(0 to 1)
tPublicIdentityExtens	Extension		dentityType	tIdentityType	(0 to 1)
ion		W	/ildcardedPSI	tWildcardedPSI	(0 to 1)
tInitialFilterCriteria	InitialFilterCriteria		Priority	tPriority	1
		-	TriggerPoint	tTrigger	0 to 1
		Ар	olicationServer	tApplicationServer	1
		Prof	ilePartIndicator	tProfilePartIndicator	0 to 1
tTrigger	TriggerPoint	ConditionTypeCNF		tBool	1
		SPT		tSePoTri	0 to n
tSePoTri	SPT	Cor	nditionNegated	tBool	0 to 1
			Group	tGroupID	1 to n
			RequestURI	tString	1
		f	Method	tString	1
		hoice of	SIPHeader	tHeader	1
		Choi	SessionCase	tDirectionOfRequest	1
			SessionDescri ption	tSessionDescription	1
			Extension	tSePoTriExtension	(0 to 1)
tSePoTriExtension	Extension	Re	gistrationType	tRegistrationType	(0 to 2)
tHeader	SIPHeader		Header	tString	1
			Content	tString	0 to 1
tSessionDescription	SessionDescription		Line	tString	1
			Content	tString	0 to 1
tApplicationServer	ApplicationServer	5	ServerName	tSIP_URL	1
		De	efaultHandling	tDefaultHandling	0 to 1
			ServiceInfo	tServiceInfo	0 to 1
tChargingInformation	ChargingInformatio n		aryEventChargin functionName	tDiameterURI	0 to 1
			ndaryEventChar FunctionName	tDiameterURI	0 to 1

		PrimaryCharging CollectionFunctionNa me	tDiameterURI	0 to 1
		SecondaryCharging CollectionFunctionNa me	tDiameterURI	0 to 1
NOTE: 'n' shall be interp	reted as non-bounded.			

# Annex E: (void)

# Annex F (informative): Change history

	Change history								
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New		
Jun 2002	CN#16	NP-020277			Version 2.0.0 approved at CN#16	2.0.0	5.0.0		
Sep 2002	CN#17	NP-020450	1	1	The Correction of Section 7 Numbering and internal referencing	5.0.0	5.1.0		
Sep 2002	CN#17	NP-020450		1	Correction of handling of subscriptions to notifications	5.0.0	5.1.0		
Sep 2002	CN#17	NP-020450	3	1	Definition of User Location for Sh interface	5.0.0	5.1.0		
Sep 2002	CN#17	NP-020450	4	1	Definition of User State for Sh interface	5.0.0	5.1.0		
Sep 2002	CN#17	NP-020450	5		Missing references to XML schema for Sh interface	5.0.0	5.1.0		
Sep 2002	CN#17	NP-020450			Extensibility of XML schema for Sh interface	5.0.0	5.1.0		
Dec 2002	CN#18	NP-020592	007	-	Removal of upper bounds in Sh i/f user profile and correction of mistake in XML schema documentation	5.1.0	5.2.0		
Dec 2002	CN#18	NP-020593	800	1	Clarification on update of repository data	5.1.0	5.2.0		
Dec 2002	CN#18	NP-020593	009	1	Removing the DDF dependencies from Sh interface	5.1.0	5.2.0		
Dec 2002	CN#18	NP-020592	013	2	Error handling in HSS when being updated with too much data	5.1.0	5.2.0		
Dec 2002	CN#18	NP-020591	014	-	Correction of the SPI	5.1.0	5.2.0		
Jan 2003					Restoration of Annex E	5.2.0	5.2.1		
Mar 2003	CN#19	NP-030102	012	3	Initial Filter Criteria	5.2.0	5.3.0		
Mar 2003	CN#19	NP-030102	015	-	Deletion of Annex E	5.2.0	5.3.0		
Mar 2003	CN#19	NP-030102	016	2	Update after Diameter has become RFC	5.2.0	5.3.0		
Mar 2003	CN#19	NP-030102		1	Correction to application server identity	5.2.0	5.3.0		
Mar 2003	CN#19	NP-030102		2	Clarification on Sh interface for charging purposes	5.2.0	5.3.0		
Mar 2003	CN#19	NP-030101		2	Change of SPI to SPT	5.2.0	5.3.0		
Apr 2003					ShDataType.xsd - file attached	5.3.0	5.3.1		
Apr 2003					Updated ShDataType.xsd - file attached	5.3.1	5.3.2		
Jun 2003	CN#20	NP-030216	022	1	Co-ordination of Update of Repository Data	5.3.2	5.4.0		
Jun 2003	CN#20	NP-030216		1	Enhanced description of Sh-Pull Request and Response	5.3.2	5.4.0		
Jun 2003	CN#20	NP-030216		2	Enhanced description of Sh-Notif and Sh-Notif-Subs Request and Response	5.3.2	5.4.0		
Jun 2003	CN#20	NP-030216	025	2	A range of editorial changes and corrections and additions of references	5.3.2	5.4.0		
Jun 2003	CN#20	NP-030216	027	-	Discrepancy between XML schema of Cx and Sh interface	5.3.2	5.4.0		
Jun 2003	CN#20	NP-030216		-	Correction to the use of User-Identity	5.3.2	5.4.0		
Jun 2003	CN#20	NP-030216	030	-	Clarification on the handling of the "Charging Information" via the Sh interface	5.3.2	5.4.0		
Sep 2003	CN#21	NP-030384	032	2	Correction of message flow	5.4.0	5.5.0		
Sep 2003	CN#21	NP-030384		2	Correction of Sh data definition in Annex C and D	5.4.0	5.5.0		
Sep 2003	CN#21	NP-030384		2	Mistakes in the XML schema	5.4.0	5.5.0		
Dec 2003	CN#22	NP-030501		-	XML Schema Correction	5.5.0	5.6.0		
Dec 2003	CN#22	NP-030501		-	The extensibility of the XML schema	5.5.0	5.6.0		
Dec 2003	CN#22	NP-030518		-	Clarification of inclusion of elements in Charging Information	5.5.0	5.6.0		
Dec 2003	CN#22		042		Reference [8] updated	5.5.0	5.6.0		
Dec 2003	CN#22	NP-030510	026	3	Introduction of Presence Stage 3 (Ph) to the Sh interface	5.6.0	6.0.0		
Mar 2004	CN#23	NP-040055		2	Dh interface	6.0.0	6.1.0		
	CN#23	NP-040055		2	Clarification of the AS Permissions List and its relevance to table 7.6.1	6.0.0	6.1.0		
Mar 2004	CN#23	NP-040135	045	3	Clarification of which Public Identities are downloaded	6.0.0	6.1.0		
June 2004		NP-040135			Mapping to Diameter AVP for Requested Identity Set	6.1.0	6.2.0		
Sep 2004	CN#25	NP-040401		1	Triggering initial REGISTER messages	6.2.0	6.3.0		
Sep 2004 Dec 2004	CN#25 CN#26	NP-040401 NP-040531		1 2	XML versioning Removal of Notification of the Authentication Pending State upon	6.2.0 6.3.0	6.3.0 6.4.0		
Dec 2004	CN#26	NP-040531	102	2	Registration Only One Error Required for the AS Permissions Table Checking Procedure	6.3.0	6.4.0		
Dec 2004	CN#26	NP-040531	103	-	Default Handling of Error Cases	6.3.0	6.4.0		
Dec 2004 Dec 2004	CN#26	NP-040531			Access Key for Charging Information	6.3.0	6.4.0		
				-					
Dec 2004	CN#26	NP-040578		2	Handling of Information Element marked as (M), (C) or (O)	6.3.0	6.4.0		
Dec 2004	CN#26	NP-040531		1	Sh-Pull Data Download	6.3.0	6.4.0		
Mar 2005	CN#27	NP-050031		5	Sh-Update needs to include Data-Reference to be future proof	6.4.0	6.5.0		
Mar 2005	CN#27	NP-050038		1	Clarification on requested identity set	6.4.0	6.5.0		
Mar 2005	CN#27	NP-050031		-	Align UML Model and the XML schema for Public Identity	6.4.0	6.5.0		
Mar 2005	CN#27	NP-050031	116	1	Conditional Service indication in Sh-Subs-Notif	6.4.0	6.5.0		

Max 2005	CN1#07		440		Ch Diamatan AV/D Manning Compating	040	0.5.0
Mar 2005	CN#27		118	-	Sh Diameter AVP Mapping Correction	6.4.0	6.5.0
Mar 2005	CN#27	NP-050031	121	2	Clarification of Sh Access Keys	6.4.0	6.5.0
Mar 2005	CN#27	NP-050038	122	2	Multiple Terminals in Sh	6.4.0	6.5.0
Jun 2005	CT#28	CP-050082	127	-	Sh user-data correction	6.5.0	6.6.0
Jun 2005	CT#28	CP-050087	130	1	Sh procedures applicable to Public Service Identity	6.5.0	6.6.0
Jun 2005	CT#28	CP-050082	134	1	Behavior of HSS when it accepts Sh-Subs-Notif message	6.5.0	6.6.0
Jun 2005	CT#28	CP-050087	137	1	Editorial corrections	6.5.0	6.6.0
Jun 2005	CT#28	CP-050082	139	1	XML correction for iFC	6.5.0	6.6.0
Sep 2005	CT#29	CP-050283	146	1	Correction to Sh-IMS-Data for Intial Filter Criteria	6.6.0	6.7.0
Sep 2005	CT#29	CP-050283	152	1	ISDN-address correction	6.6.0	6.7.0
Sep 2005	CT#29	CP-050424	154	-	Update of the IETF RFC for tel URI	6.6.0	6.7.0
Sep 2005	CT#29	CP-050294	155	2	PSI Activation	6.6.0	6.7.0
Sep 2005	CT#29	CP-050282	160	1	Charging-Information correction	6.6.0	6.7.0
Dec 2005	CT#30	CP-050604	144	5	XML syntax correction	6.7.0	6.8.0
Dec 2005	CT#30	CP-050611	161	2	Correction of the use of Data Reference 10 for Public Service Identities	6.7.0	6.8.0
Dec 2005	CT#30	CP-050605	167	-	PSUserState correction	6.7.0	6.8.0
Mar 2006	CT#31	CP-060065	0171	2	Handling of unknown errors	6.8.0	6.9.0
Mar 2006	CT#31	CP-060154	0175	2	PSI Activation	6.8.0	6.9.0
Jun 2006	CT#32	CP-060308	0185	1	PSI Activation schema correction	6.9.0	6.10.0
Sep 2006	CT#33	CP-060399	0197	2	Correction of the relationship between Repository Data and Public	6.10.0	6.11.0
					Identities		

# History

	Document history						
V6.4.0	December 2004	Publication					
V6.5.0	March 2005	Publication					
V6.6.0	June 2005	Publication					
V6.7.0	September 2005	Publication					
V6.8.0	December 2005	Publication					
V6.9.0	March 2006	Publication					
V6.10.0	June 2006	Publication					
V6.11.0	September 2006	Publication					