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### **Foreword**

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### 1 Scope

The present document defines the interface between the UICC and the Mobile Equipment (ME), and mandatory ME procedures, specifically for "USIM Application Toolkit".

The present document refers in its majority to the ETSI TS 102 223 [32], which describes the generic aspects of application toolkits within the UICC.

USAT is a set of commands and procedures for use during the network operation phase of 3G/LTE, in addition to those defined in TS 31.101 [13].

Specifying the interface is to ensure interoperability between a UICC and an ME independently of the respective manufacturers and operators.

The present document defines for 3G/LTE technology:

- the commands;
- the application protocol;
- the mandatory requirements on the UICC and ME for each procedure.

The present document does not specify any aspects related to the administrative management phase. Any internal technical realization of either the UICC or the ME are only specified where these reflect over the interface. The present document does not specify any of the security algorithms which may be used.

For the avoidance of doubt, references to clauses of ETSI TS 102 223 [32] include all the subclauses of that clause, unless specifically mentioned.

The target specification ETSI TS 102 223 [32] contains material that is outside of the scope of 3GPP requirements and the present document indicates which parts are in the scope and which are not.

A 3GPP ME may support functionality that is not required by 3GPP, but the requirements to do so are outside of the scope of 3GPP.

### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- [1] 3GPP TS 22.002: "Circuit Bearer Services (BS) supported by a Public Land Mobile Network (PLMN)".
- [2] 3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)".
- [3] 3GPP TS 22.042: "Network Identity and Time Zone (NITZ); Service description; Stage 1".
- [4] 3GPP TS 23.038: "Alphabets and language-specific information".
- [5] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
- [6] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

[7]	3GPP TS 23.122: "Non-Access Stratum functions related to Mobile Station (MS) in idle mode".
[8]	3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
[9]	3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
[10]	3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".
[11]	3GPP TS 24.080: "Mobile radio layer 3 supplementary services specification; Formats and coding".
[12]	3GPP TS 27.007: "AT command set for 3G User Equipment (UE)".
[13]	3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics".
[14]	3GPP TS 31.102: "Characteristics of the USIM application".
[15]	Void.
[16]	Void.
[17]	Void.
[18]	Void.
[19]	Void.
[20]	Void.
[21]	Void.
[22]	3GPP TS 22.001: "Principles of circuit telecommunication services supported by a Public Land Mobile Network (PLMN)".
[23]	Void.
[24]	Void.
[25]	Void.
[26]	Void.
[27]	3GPP TS 44.018: "Mobile radio interface Layer 3 specification; Radio Resource Control Protocol".
[28]	Void.
[29]	Void.
[30]	3GPP TS 23.003: "Numbering, addressing and identification".
[31]	Void.
[32]	ETSI TS 102 223 V12.0.0: "Smart Cards; Card Application Toolkit".
[33]	3GPP TR 21.905: "Vocabulary for 3GPP specifications".
[34]	3GPP TS 22.101: "Service aspects; Service principles".
[35]	3GPP TS 25.401: "UTRAN overall description".
[36]	3GPP TS 25.413: "UTRAN Iu interface RANAP signalling".
[37]	3GPP TS 24.090: "Unstructured Supplementary Service Data (USSD) - Stage 3".
[38]	3GPP TS 25.331: "Radio Resource Control (RRC) Protocol Specification".
[38] [39]	3GPP TS 25.331: "Radio Resource Control (RRC) Protocol Specification". 3GPP TS 25.133: "Requirements for support of radio resource management".

[40]	Void.
[41]	3GPP TS 31.115: "Secured packet structure for the (U)SIM Toolkit applications".
[42]	3GPP TS 24.234: "3GPP System to WLAN Interworking; UE to Network protocols; Stage 3".
[43]	ETSI TS 101 220: "Smart Cards; ETSI numbering system for telecommunication application providers".
[44]	3GPP TS 23.032: "Universal Geographical Area Description (GAD)".
[45]	IEC 61162-1: "Maritime navigation and radio communication equipment and systems – Digital interfaces".
[46]	3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet Systems (EPS): Stage 3".
[47]	3GPP TS 23.203: "Policy and charging control architecture".
[48]	3GPP TS 36.401: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Architecture description".
[49]	3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".
[50]	3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".
[51]	3GPP TS 31.116: "Remote APDU Structure for (U)SIM Toolkit applications".
[52]	3GPP TS 24.229 "IP multimedia call control protocol based on SIP and SDP; stage 3"
[53]	IETF RFC 3261: "SIP: Session Initiation Protocol".
[54]	IETF RFC 3629 (2003): "UTF-8, a transformation format of ISO 10646".
[55]	IETF RFC 3680 (2004): "A Session Initiation Protocol (SIP) Event Package for Registrations".
[56]	3GPP TS 31.104: "Characteristics of the Hosting Party Subscription Identity Module (HPSIM) application"
[57]	3GPP TS 32.582: "Telecommunications management; Home Node B (HNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Information model for Type 1 interface HNB to HNB Management System (HMS)".
[58]	3GPP TS 32.592: "Telecommunications management; Home Node B (HeNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Information model for Type 1 interface HeNB to HeNB Management System (HeMS)".
[59]	3GPP TS 25.304: "User Equipment (UE) procedures in idle mode and procedures for cell reselection in connected mode".
[60]	3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".

### 3 Definitions, abbreviations and symbols

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in ETSI TS 102 223 [32] clause 3.1 and TR 21.905 [33] apply.

Within the context of the present document, the term "terminal" used in ETSI TS 102 223 [32] refers to the Mobile Equipment (ME).

Within the context of the present document, the term "NAA" used in ETSI TS 102 223 [32] refers to the USIM.

Within the context of the present document, the term "CAT" used in ETSI TS 102 223 [32] refers to the USAT.

### 3.2 Abbreviations

For the purpose of the present document, the abbreviations given in ETSI TS 102 223 [32] and TR 21.905 [33] and the following apply:

ADN Abbreviated Dialling Number BCCH Broacast Control Channel

CB Cell Broadcast

CBMID Cell Broadcast Message Identifier

CSG Closed Subscriber Group

EGPRS EDGE General Packet Radio Service

EPS Evolved Packet System

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDN Fixed Dialling Number
GGSN Gateway GPRS Support Node
GPRS General Packet Radio Service

GSM Global System for Mobile communications
H(e)NB Home Node B or Home evolved Node B
HPSIM Hosting Party Subscription Identity Module
HSDPA High Speed Downlink Packet Access
IARI IMS Application Reference Identifier

IMPU IMS Public User Identity
MM Multimedia Message

MMS Multimedia Messaging Service MMI Man Machine Interface

NA No Audio-alerting capability
ND No Display capability
NK No Keypad capability

NL No support of multiple Languages

NS No Speech-call capability
PDN Packet Data Network

PDP Packet Data Protocol, e.g., Ip or X25 or PPP

RFU Reserved for Future Use SS Supplementary Service

SSC Supplementary Service Control string

USAT USIM Application Toolkit

USIM Universal Subscriber Identity Module
USSD Unstructured Supplementary Service Data

WSID WLAN Specific Identifier

### 3.3 Symbols

For the purposes of the present document, the following symbols apply:

'0' to '9' and 'A' to 'F' The sixteen hexadecimal digits.

### 4 Overview of USAT

The USAT provides mechanisms which allow applications, existing in the UICC, to interact and operate with any ME which supports the specific mechanism(s) required by the application.

The following mechanisms have been defined. These mechanisms are dependent upon the commands and protocols relevant to USAT in TS 31.101 [13].

### 4.1 Profile Download

Profile downloading provides a mechanism for the ME to tell the UICC what it is capable of.

### 4.2 Proactive UICC

Proactive UICC gives a mechanism whereby the UICC can initiate actions to be taken by the ME. The supported functions are specified in clause 6.4.

For each command involved in the dialog with the user, a help information may be available, either for each item of a list of items proposed to the user, or with each command requesting a response from the user. If a proactive command involved in the dialog with the user indicates the availability of the help feature, the support of this feature is optional for the terminal.

### 4.3 Data download to UICC

Data downloading to the UICC uses either dedicated commands (the transport mechanisms of SMS point-to-point and Cell Broadcast) or the Bearer independent protocol. Transferral of information over the UICC-ME interface uses the ENVELOPE command.

### 4.4 Menu selection

See ETSI TS 102 223 [32] clause 4.4.

### 4.5 Call control by USIM

When this service is activated by the USIM, all dialled digit strings, supplementary service control strings and USSD strings, PDP context parameters or IMS communications parameters are first passed to a USIM application before the ME sets up the call, the supplementary service operation or the USSD operation, establishes the PDP context or initiate IMS communications. The ME shall also pass to the USIM application at the same time its current serving cell. The USIM application has the ability to allow, bar or modify the call, the supplementary service operation or, the USSD operation, PDP context activation or IMS communication set up by another context activation. The USIM application also has the ability to replace a call request, a supplementary service operation or a USSD operation by another call request or supplementary service operation or USSD operation.

EXAMPLE: A call request can be replaced by a supplementary service operation or a USSD operation, and vice-versa.

### 4.6 MO Short Message control by USIM

When this service is activated by the USIM, all MO short messages are first passed to the USIM application before the ME sends the short message. The ME shall also pass to the USIM application at the same time its current serving cell. The USIM application shall have the ability to allow the sending, bar the sending or modify the destination address of the short message before sending it.

### 4.7 Event download

In addition to the set of events defined in ETSI TS 102 223 [32] clause 4.7, the following event may also be reported to the UICC:

- Network Rejection
- CSG cell selection (if class "q" is supported)
- Incoming IMS Data (if classes "e" and "t" are supported)
- IMS Registration (if classes "e" and "t" are supported)

### 4.8 Security

See ETSI TS 102 223 [32] clause 4.8.

### 4.9 Multiple card

See ETSI TS 102 223 [32] clause 4.9.

### 4.10 Timer Expiration

See ETSI TS 102 223 [32] clause 4.10.

### 4.11 Bearer Independent Protocol

See ETSI TS 102 223 [32] clause 4.11.

### 4.12 Description of the access technology indicator mechanism

See ETSI TS 102 223 [32] clause 4.12.

### 4.13 Description of the network search mode mechanism

See ETSI TS 102 223 [32] clause 4.14.

### 4.14 Geographical location discovery

The proactive command Geographical Location Request and the envelope command Geographical Location Reporting allows the UICC to request and receive the current geographical location information from the ME when the ME is equipped with a positioning feature and it is enabled (e.g. autonomous GPS, Assisted GPS or Assisted GNSS).

### 4.15 Operation in reduced USAT capable terminals

This specification takes into account terminal types corresponding to the following capabilities:

- no display capability
- no keypad available
- no audio alerting capability
- no speech call capability
- no support of multiple languages.

These terminal types are used to identify which USAT features are not available for each type of reduced functionality.

Note: Terminal types details are in Annex P.

### 4.16 Tag allocation guidelines

See ETSI TS 102 223 [32] clause 4.13.

### 4.17 USAT over the AT interface

See ETSI TS 102 223 [32] clause 4.16.

### 4.18 USAT facilities provided by eCAT clients

Not required by 3GPP.

### 4.19 Negotiation of Poll Interval

See ETSI TS 102 223 [32] clause 4.18.

### 5 Profile download

### 5.1 Procedure

The profile download instruction is sent by the ME to the UICC as part of the UICC initialization procedure. The UICC initialization procedure is specified in TS 31.101 [13]. If class "s" is supported, the profile download instruction is sent also every time the TE accessing USAT functionalities over the AT interface is connected or disconnected or changes its profile. If the terminal supports class "s" the profile download instruction shall combine capabilities supported by the MT and the TE according to Annex Q.

If the UICC indicates the support of "Additional TERMINAL PROFILE after UICC activation" in its USIM Service Table, the ME shall handle the profile download procedure as specified in ETSI TS 102 223 [32] clause 5.1.

If the UICC does not indicate the support of "Additional TERMINAL PROFILE after UICC activation" in its USIM Service Table, the profile download instruction shall only be sent by the ME to the UICC as part of the UICC initialization procedure. However, if a USIM initialisation procedure is performed due to a refresh proactive command, the USIM initialisation procedure may also include a profile download.

The profile(s) sent by the ME shall state the facilities relevant to USAT that are supported by the ME.

### 5.2 Structure and coding of TERMINAL PROFILE

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data:

Description	Clause	M/O/C	Length
Profile	-	M	lgth

Profile:

Contents:

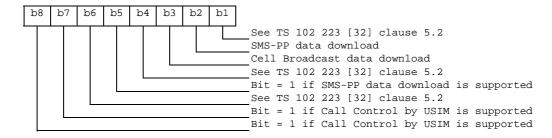
- The list of USAT facilities that are supported by the ME.

#### Coding:

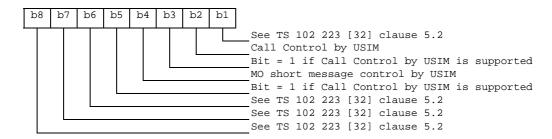
- 1 bit is used to code each facility:
  - bit = 1: facility supported by ME.
  - bit = 0: facility not supported by ME.

NOTE: several bits may need to be set to 1 for the support of the same facility. This is because of backward compatibility with SAT: several options existed in SAT for a given facility, and they are mandatory in USAT when this facility is supported.

#### First byte (Download):



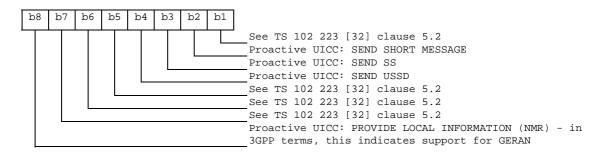
#### Second byte (Other):



Third byte (Proactive UICC):

- See ETSI TS 102 223 [32] clause 5.2.

Fourth byte (Proactive UICC):



Fifth byte (Event driven information):

- See ETSI TS 102 223 [32] clause 5.2.

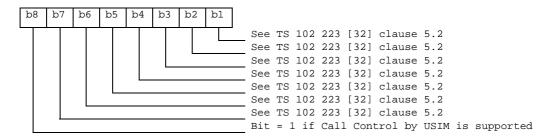
Sixth byte (Event driven information extensions):

- See ETSI TS 102 223 [32] clause 5.2.

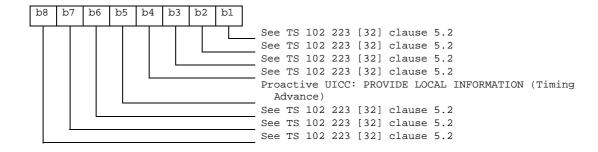
Seventh byte (Multiple card proactive commands) for class "a":

- See ETSI TS 102 223 [32] clause 5.2.

Eighth byte (Proactive UICC):



#### Ninth byte:



Tenth byte (Soft keys support) for class "d":

- See ETSI TS 102 223 [32] clause 5.2.

Eleventh byte: (Soft keys information):

- See ETSI TS 102 223 [32] clause 5.2.

Twelfth byte (Bearer Independent protocol proactive commands) for class "e":

- See ETSI TS 102 223 [32] clause 5.2.

Thirteenth byte (Bearer Independent protocol supported bearers) for class "e":

- See ETSI TS 102 223 [32] clause 5.2.

Fourteenth byte: (Screen height):

- See ETSI TS 102 223 [32] clause 5.2.

Fifteenth byte: (Screen width):

- See ETSI TS 102 223 [32] clause 5.2.

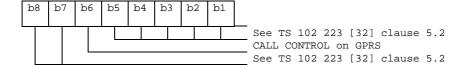
Sixteenth byte: (Screen effects):

- See ETSI TS 102 223 [32] clause 5.2.

Seventeenth byte (Bearer independent protocol supported transport interface/bearers) for class "e":



### Eighteenth byte:



Nineteenth byte: (reserved for TIA/EIA-136 facilities):

- See ETSI TS 102 223 [32] clause 5.2.

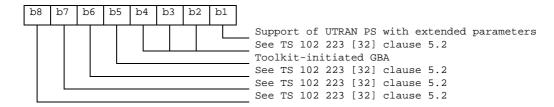
Twentieth byte: (reserved for TIA/EIA/IS-820 facilities):

- See ETSI TS 102 223 [32] clause 5.2.

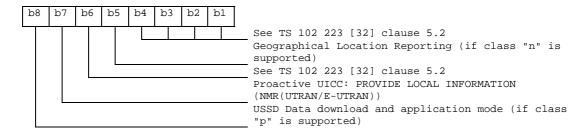
Twenty-first byte (Extended Launch Browser Capability) for class "c":

- See ETSI TS 102 223 [32] clause 5.2.

Twenty second byte:



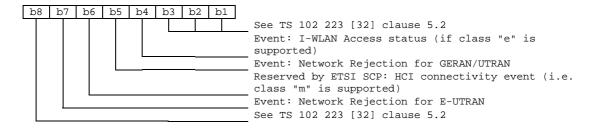
#### Twenty third byte:



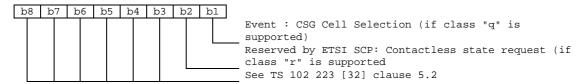
Twenty fourth byte for class "i":

- See ETSI TS 102 223 [32] clause 5.2.

Twenty-fifth byte (Event driven information extensions):



Twenty-sixth byte (Event driven information extensions):



Twenty-seventh byte (Event driven information extensions):

- See ETSI TS 102 223 [32] clause 5.2.

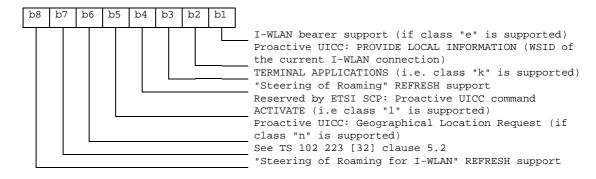
Twenty-eighth byte (Text attributes):

- See ETSI TS 102 223 [32] clause 5.2.

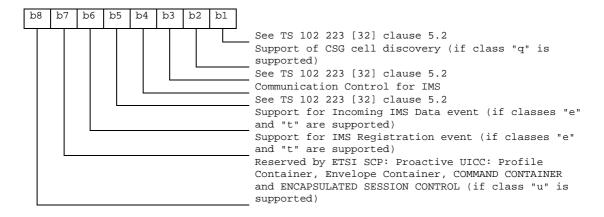
Twenty-ninth byte (Text attributes):

- See ETSI TS 102 223 [32] clause 5.2.

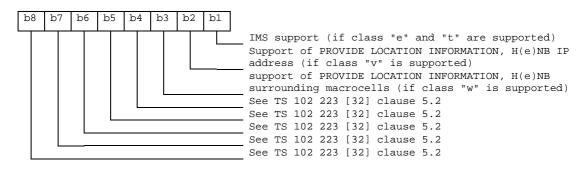
#### Thirtieth byte:



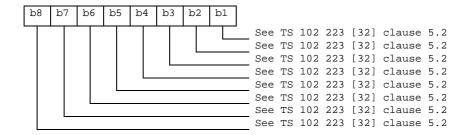
#### Thirty-first byte:



#### Thirty-second byte:



#### Thirty-third byte:



#### Subsequent bytes:

- See ETSI TS 102 223 [32] clause 5.2.

Response parameters/data:

None.

### 5.3 Definition of display parameters in Profile download

See ETSI TS 102 223 [32] clause 5.3.

### 6 Proactive UICC

### 6.1 Introduction

TS 31.101 [13] defines the communication protocols between the ME and the UICC, and defines a mechanism to transport "proactive" commands using these protocols. Details about how this mechanism is used for USAT are defined in TS 102 223 [32] clause 6.1. The supported proactive commands are specified in clause 6.4. of the present document.

If the UICC issues an instruction to the ME to initiate a Mobile Originated transaction (e.g. SEND SMS, SEND SS, SEND USSD or SEND DTMF), then unless explicitly stated elsewhere in the present document or in TS 31.101 [13], the content supplied by the UICC for onward transmission by the ME shall not be altered by the ME.

### 6.2 Identification of ME support

See ETSI TS 102 223 [32] clause 6.2.

### 6.3 General procedure

See ETSI TS 102 223 [32] clause 6.3.

### 6.4 Proactive UICC commands and procedures

### 6.4.1 DISPLAY TEXT

See ETSI TS 102 223 [32] clause 6.4.1.

#### 6.4.2 GET INKEY

See ETSI TS 102 223 [32] clause 6.4.2.

### 6.4.3 GET INPUT

See ETSI TS 102 223 [32] clause 6.4.3.

#### 6.4.4 MORE TIME

See ETSI TS 102 223 [32] clause 6.4.4.

#### 6.4.5 PLAY TONE

See ETSI TS 102 223 [32] clause 6.4.5.

NOTE: Some supervisory tones are optional for mobile equipment (see TS 22.001 [22]).

### 6.4.6 POLL INTERVAL

See ETSI TS 102 223 [32] clause 6.4.6.

### 6.4.7 REFRESH

See ETSI TS 102 223 [32] clause 6.4.7 except for "3G Session Reset" and "Steering of Roaming" which are defined as follows.

3G Session Reset. This mode causes the ME to reset the 3G session, in accordance with the 3G session reset procedure defined in TS 31.102 [14]. Subsequently, the ME performs the "USIM Initialization and File Change Notification" procedure and the MM Restart procedure as defined in TS 23.122 [7].

Steering of Roaming. This mode triggers a steering of roaming procedure as defined in TS 23.122 [7] or a steering of roaming for I-WLAN procedure as defined in TS 24.234 [42].

### 6.4.7.1 EF<sub>IMSI</sub> changing procedure

When an EF<sub>IMSI</sub> is changed via Data Download or a USAT application and a REFRESH command is issued by the UICC the following rules apply to the UICC and ME:

- USIM Initialization. This command shall not be used if an  $EF_{IMSI}$  is changed, as the behaviour of the UE is unpredictable;
- File Change Notification. This command shall not be used if an  $EF_{IMSI}$  is changed, as the behaviour of the UE is unpredictable;
- USIM Initialization and File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- USIM Initialization and Full File Change Notification. This command shall not be used if an EF<sub>IMSI</sub> is changed, as the behaviour of the UE is unpredictable;
- UICC Reset. Normal UICC Reset procedure is carried out;
- USIM Application Reset. Normal USIM Application Reset procedure is carried out;
- 3G Session Reset. Normal 3G Session Reset procedure is carried out.

If an  $EF_{IMSI}$  is to be updated, neither  $EF_{IMSI}$ ,  $EF_{PSLOCI}$ ,  $EF_{EPSLOCI}$  nor  $EF_{LOCI}$  shall be updated in the UICC before the 3G session termination procedure has been completed by the ME.

### 6.4.7.2 Generic Bootstrapping Procedure Request

If Toolkit-initiated GBA is supported by the ME, as indicated in the TERMINAL PROFILE, then the following applies:

When the UICC issues a REFRESH command implying a File Change Notification on EF<sub>GBABP</sub> under ADF USIM (GBA Bootstrapping parameters) the ME shall perform a GBA bootstrapping procedure (as defined in TS 31.102 [14]).

This procedure applies to REFRESH command only in the following modes: USIM File Change Notification; USIM Initialization and File Change Notification; and 3G Session Reset.

### 6.4.7.3 EF<sub>UICCIARI</sub> changing procedure

When an EF<sub>UICCIARI</sub> is changed in either the USIM or the ISIM via Data Download or a USAT application and a REFRESH command is issued by the UICC the following rule applies to the ME:

The ME shall read the updated list of IARIs associated with active applications installed on the UICC and follow the procedures defined in TS 24.229 [52].

### 6.4.7.4 Steering of roaming and steering of roaming for I-WLAN procedure

The steering of roaming procedures defined in TS 23.122 [7] and in TS 24.234 [42] might take a long time. In order to avoid blocking the Toolkit interface, the ME shall send the TERMINAL RESPONSE (Command performed successfully) immediately after starting the steering of roaming procedure, without waiting for its completion. The ME shall send TERMINAL RESPONSE (ME unable to process command or other appropriate code) in case it fails to start the steering of roaming procedure.

### 6.4.8 SET UP MENU

See ETSI TS 102 223 [32] clause 6.4.8.

#### 6.4.9 SELECT ITEM

See ETSI TS 102 223 [32] clause 6.4.9.

### 6.4.10 SEND SHORT MESSAGE

This command requests the ME to send a short message.

Two types are defined in ETSI TS 102 223 [32] clause 6.4.10 and apply as follows within the context of the present document:

- a short message to be sent to the network in an SMS-SUBMIT message, or an SMS-COMMAND message, where the user data can be passed transparently;
- a short message to be sent to the network in an SMS-SUBMIT message where the text needs to be packed by the ME

Where the text has been packed, the text string provided by the UICC shall not be longer than 160 characters. It shall use the SMS default 7-bit coded alphabet, packed into 8-bit octets, in accordance with TS 23.038 [4]. The data coding indication contained in the Data Coding Scheme byte shall be "default alphabet". The text length (which is part of the SMS TPDU) given by the UICC shall state the number of 7-bit characters in the text string. The command details shall indicate "packing not required".

8-bit data Short Messages may be sent by the UICC. The command shall indicate packing not required. The data coding indication contained in the Data Coding Scheme byte shall be "8 bit". The string shall not be longer than 140 bytes, and the length (in SMS TPDU) shall state the number of bytes in the string.

If UCS2 is supported by the ME, 16-bit data Short Messages may be sent by the UICC. The text string provided by the UICC shall not be longer than 70 characters. It shall use the 16-bit UCS2 alphabet format, in accordance with TS 23.038 [4]. The text length (which is part of the SMS TPDU) given by the UICC shall state the number of 16-bit characters in the text string. The command details shall indicate "packing not required".

SMS commands may be sent by the UICC. These shall count as packed text message. The SMS TPDU from the UICC shall indicate SMS-COMMAND. The command details shall indicate "packing not required".

Where packing by the ME is required, the text string provided by the UICC shall not be longer than 160 characters. It shall use the SMS default 7-bit coded alphabet as defined in TS 23.038 [4] with bit 8 set to 0. The text length given by the UICC shall state the number of characters in the text string. The ME shall pack the text string and modify the Data

Coding Scheme byte to "default alphabet" in accordance with TS 23.038 [4] before submitting the message to the network.

Optionally, the UICC may include in this command an alpha identifier. See ETSI TS 102 223 [32] clause 6.4.10 for the use of this alpha identifier.

If the ME is capable of SMS-MO, then it shall send the data as a Short Message TPDU to the destination address. The ME shall give the result to the UICC using TERMINAL RESPONSE (indicating successful or unsuccessful transmission of the Short Message) after receiving an SMS RP-ACK or RP-Error from the network. If an alpha identifier was provided by the UICC, the ME should not give any information to the user at the reception of SMS RP-ACK or RP-Error.

If the Short Message TPDU is unsuccessfully received by the network (e.g. the reception of a CP-ERROR), the ME shall inform the UICC using TERMINAL RESPONSE (network currently unable to process command). If a null alpha identifier was provided by the UICC, the ME should not give any information to the user at the unsuccessful network reception.

### 6.4.11 SEND SS

Upon receiving this command, the ME shall decide if it is able to execute the command. Examples are given below, but the list is not exhaustive:

- if the command is rejected because the ME is busy on an SS transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on SS transaction);
- if the command is rejected because the ME is busy on a USSD transaction, the ME shall inform the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on USSD transaction);
- if the command is rejected because the ME does not support that Supplementary Service, the ME informs the UICC using TERMINAL RESPONSE (Command beyond ME's capabilities).

If the ME is able to send the SS request, the ME shall:

- send the SS request immediately, without need to alert the user first;
- optionally, the UICC may include in this command an alpha-identifier. The use of this alpha-identifier by the ME is described below:
  - if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it to inform the user. This is also an indication that the ME should not give any other information to the user on the fact that the ME is sending a SS request. If an icon is provided by the UICC, the icon indicated in the command may be used by the ME to inform the user, in addition to, or instead of the alpha identifier, as indicated with the icon qualifier (see clause 6.5.4);
  - if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), this is an indication that the ME should not give any information to the user on the fact that the ME is sending an SS request;
  - if the alpha identifier is not provided by the UICC, the ME may give information to the user concerning what is happening.
- once an SS Return Result message not containing an error has been received from the network, the ME shall inform the UICC that the command has been successfully executed, using TERMINAL RESPONSE. This command shall include the contents of SS Return Result as additional data.
  If a null alpha identifier was provided by the UICC, the ME should not give any information to the user at the reception of an SS Return Result message;
- if the command is rejected because the network cannot support or is not allowing the Supplementary Service request, the ME informs the UICC using TERMINAL RESPONSE (SS Return Result error code). If a null alpha identifier was provided by the UICC, the ME should not give any information to the user at the reception of a SS Return Result message;
- if the SS request is unsuccessfully received by the network, the ME shall inform the UICC using TERMINAL RESPONSE (network currently unable to process command), and not retry to send the request.

If a null alpha identifier was provided by the UICC, the ME should not give any information to the user at the reception of a SS Return Result message.

A terminal of type ND shall ignore any alpha identifier provided together with this command. The terminal shall respond with "command performed successfully" upon successful completion of the command. A terminal of type ND shall also ignore any icon provided together with this command. The terminal shall respond with "command performed successfully but requested icon could not be displayed" upon successful completion of the command.

If the ME supports the Outgoing Call Information service, the ME shall not store in  $EF_{OCI}$  the supplementary service control string sent by the UICC in this command.

The supplementary service control string included in the SEND SS proactive command shall not be checked against those of the FDN list, even if the Fixed Dialling Number service is enabled.

#### 6.4.12 SEND USSD

#### 6.4.12.1 MMI Mode

Upon receiving this command, the ME shall decide if it is able to execute the command. Examples are given below, but the list is not exhaustive:

- if the command is rejected because the ME is busy on a USSD transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on USSD transaction);
- if the command is rejected because the ME is busy on a SS transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on SS transaction).

If the ME is able to send the USSD request, the ME shall:

- send the USSD immediately, without need to alert the user first;
- optionally, the UICC may include in this command an alpha-identifier. The use of this alpha-identifier by the ME is described below:
  - if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it to inform the user. This is also an indication that the ME should not give any other information to the user on the fact that the ME is sending a USSD request. If an icon is provided by the UICC, the icon indicated in the command may be used by the ME to inform the user, in addition to, or instead of the alpha identifier, as indicated with the icon qualifier (see clause 6.5.4);
  - if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), this is an indication that the ME should not give any information to the user on the fact that the ME is sending a USSD request;
  - if the alpha identifier is not provided by the UICC, the ME may give information to the user concerning what is happening.

A terminal of type ND shall ignore any alpha identifier provided together with this command. The terminal shall respond with "command performed successfully" upon successful completion of the command. A terminal of type ND shall also ignore any icon provided together with this command. The terminal shall respond with "command performed successfully but requested icon could not be displayed" upon successful completion of the command.

- once the USSD transaction is initiated, a dialogue between the network and the user may occur which involves the MMI of the ME. If an alpha identifier was initially provided by the UICC, this alpha identifier may be discarded during this dialogue;
- once a RELEASE COMPLETE message containing the USSD Return Result message not containing an error
  has been received from the network, the ME shall inform the UICC that the command has been successfully
  executed, using TERMINAL RESPONSE. This command shall include the text contained in the USSD Return
  Result in a Text String data object. If a null alpha identifier was provided by the UICC, the ME should not give
  any information to the user at the reception of a USSD Return Result message;

- if the UE clears the transaction by sending a RELEASE COMPLETE upon request of the user, the ME shall inform the UICC using TERMINAL RESPONSE (USSD transaction terminated by user);
- if the USSD operation is rejected because the network cannot support or is not allowing mobile initiated USSD, the ME informs the UICC using TERMINAL RESPONSE (USSD Return Result error code). If a null alpha identifier was provided by the UICC, the ME should not give any information to the user at the reception of a USSD Return Result message;
- if the USSD request is unsuccessfully received by the network, the ME shall inform the UICC using TERMINAL RESPONSE (network currently unable to process command), and not retry to send the request. If a null alpha identifier was provided by the UICC, the ME should not give any information to the user at the reception of a USSD Return Result message.

### 6.4.12.2 Application Mode

This clause applies if class "p" is supported.

A USSD is considered as Application Mode (Send USSD used for the transport of Data to the network) if the service "data download via USSD and USSD application mode" is allocated and activated in the USIM Service Table (see TS 31.102 [14]) and the DCS coding within the USSD string TLV is set to 8 bit data.

Upon receiving this command, the ME shall decide if it is able to execute the command. Examples are given below, but the list is not exhaustive:

- if the command is rejected because the ME is busy on a USSD transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on USSD transaction);
- if the command is rejected because the ME is busy on a SS transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on SS transaction).

If the ME is able to send the USSD request then the ME shall:

- send the USSD immediately, without need to alert the user first;
- optionally, the UICC may include in this command an alpha-identifier. The use of this alpha-identifier by the ME is described below:
  - if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it to inform the user. This is also an indication that the ME should not give any other information to the user on the fact that the ME is sending a USSD request. If an icon is provided by the UICC, the icon indicated in the command may be used by the ME to inform the user, in addition to, or instead of the alpha identifier, as indicated with the icon qualifier (see clause 6.5.4);
  - if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), this is an indication that the ME should not give any information to the user on the fact that the ME is sending a USSD request;
  - if the alpha identifier is not provided by the UICC, the ME may give information to the user concerning what is happening.
- once a FACILITY (including RELEASE COMPLETE) message containing a USSD Request message has been received from the network, the ME shall inform the UICC that the network requests more information, using the command ENVELOPE (USSD Data Download). This command shall include the text contained in the USSD Request in a Text String data object. If a null alpha identifier was provided by the UICC, the ME should not give any information to the user at the reception of a USSD Request message.

A terminal of type ND shall ignore any alpha identifier provided together with this command. The terminal shall respond with "command performed successfully" upon successful completion of the command. A terminal of type ND shall also ignore any icon provided together with this command. The terminal shall respond with "command performed successfully but requested icon could not be displayed" upon successful completion of the command.

### 6.4.13 SET UP CALL

This command is issued by the UICC to request a call set up. The procedure is defined in ETSI TS 102 223 [32] clause 6.4.13, except when stated otherwise in the present document.

The UICC may request the use of an automatic redial mechanism according to TS 22.001 [22]

In addition to the rules given in ETSI TS 102 223 [32] clause 6.4.13 the following applies:

- If the UICC supplies a number stored in EF<sub>ECC</sub>, this shall not result in an emergency call.

Upon receiving this command, the ME shall decide if it is able to execute the command. Examples are given below, but the list is not exhaustive:

- if the command is rejected because the ME is busy on another call, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on call);
- if the command is rejected because the ME is busy on a SS transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command currently busy on SS transaction);
- if the command is rejected because the ME cannot support Call Hold, or because the ME does not support the capability configuration parameters requested by the UICC, the ME informs the UICC using TERMINAL RESPONSE (Command beyond ME's capabilities);
- if the command is rejected because the network cannot support or is not allowing Call Hold of a multi party call, the ME informs the UICC using TERMINAL RESPONSE (SS Return Result error code);
- if the command is rejected because the network cannot support or is not allowing Call Hold of a single call, the ME informs the UICC using TERMINAL RESPONSE (Network currently unable to process command).

If the ME supports the Outgoing Call Information service, the ME shall not store in  $EF_{OCI}$  and in  $EF_{OCI}$  the call set-up details (called party number and associated parameters) sent by the UICC in this command.

### 6.4.14 POLLING OFF

See ETSI TS 102 223 [32] clause 6.4.14.

### 6.4.15 PROVIDE LOCAL INFORMATION

This command requests the ME to send current local information to the UICC. At present, this information is restricted to:

- location information: the mobile country code (MCC), mobile network code (MNC), location area code/tracking area code (LAC/TAC) and cell ID of the current serving cell;

NOTE: For UTRAN the cell ID returned in terminal response is the last known cell ID which may not be the current serving cell, when the ME is on a dedicated channel.

- the IMEI or IMEISV of the ME;
- the Network Measurement Results (and the BCCH channel list if connected to GERAN);
- the current date, time and time zone;
- the current ME language setting;
- the Timing Advance, suitable only for GERAN;
- the current access technology;
- the current network search mode;
- the charge state of the battery (if class "g" is supported);
- the WSID of the current I-WLAN connection;

- The CSG ID list and corresponding HNB names (if available in the broadcasted information to the ME) of detected CSG or Hybrid cells in the Allowed CSG list or the Operator CSG list (if class "q" is supported);
- The H(e)NB IP address. (if class "v" is supported);
- The list of location information for surrounding macrocells (if class "w" is supported).

The above information can be requested only if supported by the ME as indicated in the TERMINAL PROFILE.

The ME shall return the requested local information within a TERMINAL RESPONSE.

Where location information or Network Measurement Results has been requested and no service is currently available, then the ME shall return TERMINAL RESPONSE (ME currently unable to process command - no service).

Where location information or Network Measurement Results has been requested and the ME is on limited service (e.g. emergency calls only), the ME shall return the data requested in the TERMINAL RESPONSE with the general result (Limited Service).

Where Network Measurement Results has been requested and the ME is connected to a different access technology to the one requested (e.g. UTRAN Measurement Qualifier included when ME is connected to a GERAN), then the ME shall return TERMINAL RESPONSE (ME currently unable to process command - no service).

Network Measurement Results are available on a per access technology basis and indicated as such in the Terminal Profile.

#### Network Measurement Results for a GERAN:

If the NMR are requested and a call is in progress, the value of all the returned parameters provided by the ME in the response to the command will be valid. The NMR returned when a call is in progress from Mes supporting multiband operation, shall be according to the value of the multiband reporting parameter as defined in TS 44.018 [27]. If a call is not in progress (i.e. ME is in idle mode) some of the returned parameters (e.g. RXQUAL) may be invalid. In idle mode, Mes supporting multiband operation shall ignore the value of the multiband reporting parameter and the NMR returned shall be as defined in TS 44.018 [27] when the multiband reporting parameter equals zero.

- NOTE 1: When in idle mode, the only information element on which it is possible to rely on is the RXLEV-FULL-SERVING-CELL, which contains the value of the received signal strength on the BCCH of the current serving cell.
- NOTE 2: Network Measurement Results are defined in TS 44.018 [27] as Measurement Results.

The BCCH channel list is only available if the ME is connected to a GERAN.

#### Network Measurement Results for a UTRAN:

The USIM request for measurement information shall not trigger any measurement activities in ME in addition to those requested by UTRAN.

The ME shall only report measurement results that are valid according to the current RRC state or the UTRAN configuration requested.

- NOTE 3: The returned parameters provided by the ME, in the response to the command, are subject to the ME capability, currently used radio configuration, current RRC state and the UTRAN configuration requested as defined in the TS 25.331 [38].
- NOTE 4: Network Measurement Results are defined in TS 25.331 [38] as the MEASUREMENT REPORT message.

Network Measurement Results for a E-UTRAN:

The USIM request for measurement information shall not trigger any measurement activities in ME in addition to those requested by E-UTRAN.

The ME shall only report measurement results that are valid according to the current RRC state or the E-UTRAN configuration requested.

- NOTE 5: The returned parameters provided by the ME, in the response to the command, are subject to the ME capability, currently used radio configuration, current RRC state and the E-UTRAN configuration requested as defined in the TS 36.331 [49].
- NOTE 6: Network Measurement Results are defined in TS 36.331 [49] as the *MeasurementReport* message.

The ME shall return the current date and time as set by the user. If available, the ME shall also return the time zone known from the network with the NITZ feature (see TS 22.042 [3]). If the time zone information is not available, the ME shall return 'FF' for this element.

If language setting is requested, the ME shall return the currently used language.

Timing advance is only available if the ME is connected to a GERAN. If the Timing Advance is requested, the ME shall return the timing advance value that was received from the BTS during the last active dedicated connection (e.g. for call or SMS). Timing advance is defined in TS 44.018 [27]. An ME supporting the Timing Advance feature shall be able to store the last value of timing advance. In addition to the timing advance value, the ME shall return its current status (i.e. ME is in idle mode or not) in order for the application to be aware of potential misinterpretation of the timing advance value. Caution should be taken if using the Timing Advance value for distance measurement as reflections from the external environment (buildings etc.) may affect the accuracy.

If the access technology is requested, the ME shall return the current access technology that the ME is using.

The WSID is only available if the ME is connected to a I-WLAN. If the WSID is requested, the ME shall return the WSID of the currently connected I-WLAN. Where a WSID has been requested and no I-WLAN is currently connected, then the ME shall return TERMINAL RESPONSE (ME currently unable to process command - no service).

When CSG ID list is requested, the ME shall return the CSG ID list and the corresponding HNB name (if available in the broadcasted information to the ME). If the CSG ID list has been requested, and the ME is currently not camped on a CSG or Hybrid cell, the ME shall return TERMINAL RESPONSE (ME currently not able to process command – no service).

The proactive command PROVIDE LOCAL INFORMATION – H(e)NB IP address is issued on the H(e)NB-HPSIM interface, see TS 31.104 [56].

When the IP address is requested, the H(e)NB shall return the IP address reported to H(e)MS and/or HNB-GW for location verification based on IP address (which may be a local IP address). If no such IP address is available to the H(e)NB, the H(e)NB shall return TERMINAL RESPONSE (ME currently not able to process command – no service). If several such IP addresses are available, the H(e)NB provides all of them to the UICC.

The proactive command PROVIDE LOCAL INFORMATION – H(e)NB surrounding macrocell is issued on the H(e)NB-HPSIM interface, see TS 31.104 [56].

When the list of surrounding macrocells is requested, the H(e)NB shall provide the list of location information for detected macrocells.

- For the HNB, all cell information contained in: intra-frequency neighbor list, inter-frequency neighbor list, inter-RAT neighbor list, that are reported to the HMS, see TS 32.582 [57] sec 6.1.1.
- For the HeNB, all cell information contained in: LTE cell neighbor list, UMTS cell neighbor list, GSM cell neighbor list, that are reported to the HeMS, see TS 32.592 [58] sec 6.1.15.

Location information contains the mobile country code (MCC), mobile network code (MNC), location area code/tracking area code (LAC/TAC) and cell ID. The list of surrounding macrocells is provided for all access technologies supported by the H(e)NB, up to the limit of the TERMINAL RESPONSE APDU command size.

Note: the HPSIM request for H(e)NB surrounding macrocell does not trigger a network scan; the H(e)NB reports available information to the HPSIM.

### 6.4.16 SET UP EVENT LIST

See ETSI TS 102 223 [32] clause 6.4.16.

### 6.4.17 PERFORM CARD APDU

See ETSI TS 102 223 [32] clause 6.4.17.

#### 6.4.18 POWER OFF CARD

See ETSI TS 102 223 [32] clause 6.4.18.

### 6.4.19 POWER ON CARD

See ETSI TS 102 223 [32] clause 6.4.19.

### 6.4.20 GET READER STATUS

See ETSI TS 102 223 [32] clause 6.4.20.

### 6.4.21 TIMER MANAGEMENT

See ETSI TS 102 223 [32] clause 6.4.21.

### 6.4.22 SET UP IDLE MODE TEXT

See ETSI TS 102 223 [32] clause 6.4.22.

### 6.4.23 RUN AT COMMAND

See ETSI TS 102 223 [32] clause 6.4.23.

#### 6.4.24 SEND DTMF

See ETSI TS 102 223 [32] clause 6.4.24.

### 6.4.25 LANGUAGE NOTIFICATION

See ETSI TS 102 223 [32] clause 6.4.25.

### 6.4.26 LAUNCH BROWSER

This command is used to request a browser inside a browser-enabled ME to interpret the content corresponding to a URL. See ETSI TS 102 223 [32] clause 6.4.26.

Upon receiving this command, the ME shall decide if it is able to execute the command. In addition to the examples given in ETSI TS 102 223 [32] clause 6.4.26 the following example applies:

- if the command is rejected because the ME is busy on a SS transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command - ME currently unable to process command);

### 6.4.27 OPEN CHANNEL

#### 6.4.27.1 OPEN CHANNEL related to CS bearer

This command is issued by the UICC to request a channel opening. The procedure is defined in ETSI TS 102 223 [32] clause 6.4.27.1, except when stated otherwise in the present document.

The UICC may request the use of an automatic reconnection mechanism according to TS 22.001 [22].

Upon receiving this command, the ME shall decide if it is able to execute the command. In addition to the examples given in ETSI TS 102 223 [32] clause 6.4.27.1 the following example applies:

- if the command is rejected because the ME is busy on a SS transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command - currently busy on SS transaction). The operation is aborted.

The "Bearer description" provided in the command gives recommended values for parameters that the ME should use to establish the data link. However if the ME or network does not support these values, the ME selects the most appropriate values.

### 6.4.27.2 OPEN CHANNEL related to GPRS/UTRAN packet service/E-UTRAN

The procedures defined in ETSI TS 102 223 [32] clause 6.4.27.2 apply, understanding that:

- "packet data service" means GPRS, UTRAN packet service or E-UTRAN,
- "activation of packet data service" means activation of a PDP context or EPS PDN connection.

The UICC provides to the terminal a list of parameters necessary to activate a packet data service. The UICC has three ways to indicate to the ME the QoS it requires:

- either use a Bearer Description called "Bearer description for GPRS/UTRAN Packet Service/E-UTRAN", which is valid for GPRS, UTRAN packet service and E-UTRAN
- or use a Bearer Description called "Bearer description for UTRAN Packet Service with extended parameters and HSDPA" which is valid for a UTRAN packet service, HSDPA and E-UTRAN.
- or use a Bearer Description called "Bearer description for E-UTRAN and mapped UTRAN packet service", which is valid for UTRAN packet service and E-UTRAN.

Upon receiving this command, the ME shall decide if it is able to execute the command. In addition to the examples given in ETSI TS 102 223 [32] clause 6.4.27.2 the following example applies:

- if the command is rejected because the ME is busy on a SS transaction and unable to activate a PDP context in parallel with this SS transaction, the ME informs the UICC using TERMINAL RESPONSE (ME unable to process command - currently busy on SS transaction). The operation is aborted.

The "Bearer description" provided in the command gives recommended values for parameters that the ME should use to establish the data link. However if the ME or network does not support these values, the ME selects the most appropriate values.

#### 6.4.27.3 OPEN CHANNEL related to local bearer

See ETSI TS 102 223 [32] clause 6.4.27.3.

### 6.4.27.4 OPEN CHANNEL related to Default (network) Bearer

See ETSI TS 102 223 [32] clause 6.4.27.4.

### 6.4.27.5 OPEN CHANNEL related to I-WLAN bearer

This clause applies if class "e" is supported.

Upon receiving this command, the ME shall decide if it is able to execute the command. The UICC shall indicate whether the ME should establish the link immediately, in background mode or upon receiving the first transmitted data (on demand).

The UICC provides to the ME a list of parameters necessary to activate a I-WLAN service.

The ME shall attempt at least one I-WLAN service activation.

Upon receiving this command, the ME shall decide if it is able to execute the command. Examples are given below, but the list is not exhaustive:

- if immediate or background I-WLAN service activation is requested and the ME is unable to set-up a channel using the exact parameters provided by the UICC, the ME sets up the channel according to TS 24.234 [42] and informs the UICC of the I-WLAN identifier and the modified parameters using TERMINAL RESPONSE (Command performed with modification);
- if immediate I-WLAN service activation is requested and the ME is unable to activate the I-WLAN service with the network using the exact parameters provided by the UICC, the ME informs the UICC using TERMINAL RESPONSE (Network currently unable to process command). The operation is aborted;
- if background mode I-WLAN service activation is requested and the ME is unable to activate the I-WLAN service with the network using the exact parameters provided by the UICC, the ME informs the UICC using a channel status event (link not established no further info). The operation is aborted;
- if the command is rejected because the ME has no channel left with the requested bearer capabilities, the ME informs the UICC using TERMINAL RESPONSE (Bearer independent protocol error). The operation is aborted;
- if the user does not accept the channel set-up, the ME informs the UICC using TERMINAL RESPONSE (User did not accept the proactive command). The operation is aborted;
- if the user has indicated the need to end the proactive UICC session, the ME informs the UICC using TERMINAL RESPONSE (Proactive UICC session terminated by the user). The operation is aborted;
- if background mode I-WLAN service activation is requested, the ME allocates buffers, starts activation of I-WLAN service, informs the UICC and reports the channel identifier immediately using TERMINAL RESPONSE (Command performed successfully). At the end of activation, the ME shall send a channel status event (link established or link not established no further info).

The ME shall inform the UICC that the command has been successfully executed using TERMINAL RESPONSE:

- if immediate I-WLAN service activation is requested, the ME allocates buffers, activates the I-WLAN service and informs the UICC and reports the channel identifier using TERMINAL RESPONSE (Command performed successfully);
- if on demand I-WLAN service activation is requested, the ME allocates buffers, informs the UICC and reports the channel identifier using TERMINAL RESPONSE (Command performed successfully).

If the ME is able to set up the channel on the serving network, the ME shall then enter the confirmation phase described hereafter; optionally, the UICC may include in this command an alpha-identifier. The use of this alpha-identifier by the ME is described below:

- if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it during the user confirmation phase. This is also an indication that the ME should not give any other information to the user during the user confirmation phase. If an icon is provided by the UICC, the icon indicated in the command may be used by the terminal to inform the user, in addition to, or instead of the alpha identifier, as indicated with the icon qualifier (see clause 6.5.4);
- if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), this is an indication that the ME should not give any information to the user or ask for user confirmation;
- if the alpha identifier is not provided by the UICC, the ME may give information to the user;

A terminal of type ND shall ignore any alpha identifier provided together with this command. The terminal shall respond with "command performed successfully" upon successful completion of the command. A terminal of type ND shall also ignore any icon provided together with this command. The terminal shall respond with

"command performed successfully but requested icon could not be displayed" upon successful completion of the command.

- if the user doesn"t reject the channel, the ME shall then set up a channel. A terminal of type NK or type ND may not alert the user and may open the channel without explicit confirmation by the user;
- if the user does not accept the channel or rejects the channel, then the ME informs the UICC using TERMINAL RESPONSE (user did not accept the proactive command). The operation is aborted:
- if the user has indicated the need to end the proactive UICC session, the ME shall send a TERMINAL RESPONSE with (Proactive UICC session terminated by the user) result value;
- optionally, during packet data service activation, the ME can give some audible or display indication concerning what is happening;
- if the user stops the I-WLAN service activation attempt before a result is received from the network, the ME informs the UICC using TERMINAL RESPONSE (user cleared down call before connection or network release).

#### 6.4.27.6 OPEN CHANNEL related to Terminal Server Mode

See ETSI TS 102 223 [32] clause 6.4.27.6.

#### 6.4.27.7 OPEN CHANNEL related to UICC Server Mode

See ETSI TS 102 223 [32] clause 6.4.27.5.

#### 6.4.27.8 OPEN CHANNEL for IMS

The following applies if classes "e" and "t" are supported.

After a successful registration to IMS specified in TS 24.229 [52] and after the ME has informed the UICC of this successful registration, the UICC may attempt to open a channel to communicate with the IMS.

The UICC will include in the OPEN CHANNEL for IMS command the IARI representing an active application installed on the UICC. This IARI shall be known to the ME and populated in the  $EF_{UICCIARI}$  as specified in TS 31.102 [14].

The ME shall encapsulate all subsequent SIP communications intended for the IMS application running on the UICC. The ME shall decapsulate all subsequent messages received from the IMS application running on the UICC. Once the application is no longer available for SIP communications the UICC shall send the CLOSE CHANNEL command for the current channel ID.

If network conditions changed after a successful IMS registration, upon receiving this command, the ME shall decide if it is able to execute the command. If the ME is unable to process the command (the list is not exhaustive)

- if the command is unable to proceed due to the absence of an active IMS PDP/PDN context, the ME shall inform the UICC using the TERMINAL RESPONSE (network currently unable to process command) upon receipt of this failure cause, the UICC shall wait until the next IMS registration event before sending another OPEN CHANNEL for IMS command to the ME.
- if the command is unable to proceed due to the inability to contact IMS, the ME shall inform the UICC using the TERMINAL RESPONSE (network currently unable to process command) upon receipt of this failure cause, the UICC shall wait until the next IMS registration event before sending another OPEN CHANNEL for IMS command to the ME.
- If the command is unable to proceed because there is no channel available, the ME shall inform the UICC using the TERMINAL RESPONSE (Bearer Independent Protocol error no channel available).

The ME shall inform the UICC that the command has been successfully executed using TERMINAL RESPONSE (Command performed successfully)

## 6.4.28 CLOSE CHANNEL

ETSI TS 102 223 [32] clause 6.4.28 applies, with the following addition.

In case of OPEN CHANNEL for IMS, the UICC shall send a CLOSE CHANNEL command to close the BIP channel at the end of the SIP dialog.

### 6.4.29 RECEIVE DATA

See ETSI TS 102 223 [32] clause 6.4.29.

### 6.4.30 SEND DATA

See ETSI TS 102 223 [32] clause 6.4.30.

#### 6.4.31 GET CHANNEL STATUS

See ETSI TS 102 223 [32] clause 6.4.31.

## 6.4.32 SERVICE SEARCH

See ETSI TS 102 223 [32] clause 6.4.32.

#### 6.4.33 GET SERVICE INFORMATION

See ETSI TS 102 223 [32] clause 6.4.33.

#### 6.4.34 DECLARE SERVICE

See ETSI TS 102 223 [32] clause 6.4.34.

#### 6.4.35 RETRIEVE MULTIMEDIA MESSAGE

See ETSI TS 102 223 [32] clause 6.4.37.

#### 6.4.36 SUBMIT MULTIMEDIA MESSAGE

See ETSI TS 102 223 [32] clause 6.4.38.

#### 6.4.37 DISPLAY MULTIMEDIA MESSAGE

See ETSI TS 102 223 [32] clause 6.4.39.

#### 6.4.38 SET FRAMES

See ETSI TS 102 223 [32] clause 6.4.35.

#### 6.4.39 GET FRAME STATUS

See ETSI TS 102 223 [32] clause 6.4.36.

## 6.4.40 Geographical Location Request

This clause applies if class "n" is supported.

This command requests an ME that is equipped with a positioning feature to report the location information of the ME within a specified quality of service.

As the determination of the geographical location information may take some time, the geographical location information report is sent by the ME to the UICC using the command ENVELOPE (Geographical Location Reporting). The ME reporting can be performed either in the format of GAD shapes defined in TS 23.032 [44] or in the format of NMEA sentences defined in IEC 61162-1 [45].

The horizontal coordinates represent the minimum set of information to be sent to the UICC (i.e. latitude and longitude). The UICC may request additional geographical location information (i.e. vertical coordinate and velocity). The UICC may request a preferred quality of service (e.g. preferred accuracy, preferred maximum response time). However if the ME does not support the requested preferred parameters, the ME selects the most appropriate quality of service parameters.

Upon receiving this command, the ME shall decide if it is able to execute the command. Examples are given below, but the list is not exhaustive:

- if the command is rejected because the ME is not equipped with a positioning feature, the ME informs the UICC using TERMINAL RESPONSE (Command beyond ME's capabilities);
- if the command is rejected because the ME is currently unable to get the location information (e.g. due to lack of GPS coverage or due to a deactivated GPS receiver), the ME shall inform the UICC using TERMINAL RESPONSE (ME currently unable to process command);

If the ME is able to attempt to retrieve the geographical location information, the ME shall:

- inform the UICC that the command has been successfully executed, using TERMINAL RESPONSE.
- once the requested location information is available, the ME shall send this information to the UICC using the command ENVELOPE (Geographical Location Reporting).
- optionally, the UICC may include in this command an alpha-identifier. The use of this alpha-identifier by the ME is described below:
  - if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it to inform the user. This is also an indication that the ME should not give any other information to the user on the fact that the ME is processing the location information request for the UICC. If an icon is provided by the UICC, the icon indicated in the command may be used by the ME to inform the user, in addition to, or instead of the alpha identifier, as indicated with the icon qualifier (see clause 6.5.4);
  - if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), this is an indication that the ME should not give any information to the user on the fact that the ME is determining the location information for the UICC;

A terminal of type ND shall ignore any alpha identifier provided together with this command. The terminal shall respond with "command performed successfully" upon successful completion of the command. A terminal of type ND shall also ignore any icon provided together with this command. The terminal shall respond with "command performed successfully but requested icon could not be displayed" upon successful completion of the command.

- if the alpha identifier is not provided by the UICC, the ME may give information to the user concerning what is happening.

If the ME receives a "Geographical Location Request" command during the processing of a previous "Geographical Location Request" command (i.e. after the reception of a location request and before sending the "Geographical Location Reporting" ENVELOPE command), the latest location request shall be ignored.

#### 6.4.41 ACTIVATE

Not required by 3GPP.

## 6.4.42 CONTACTLESS STATE CHANGED

Not required by 3GPP.

#### 6.4.43 COMMAND CONTAINER

Not required by 3GPP.

## 6.4.44 ENCAPSULATED SESSION CONTROL

Not required by 3GPP.

# 6.5 Common elements in proactive UICC commands

See ETSI TS 102 223 [32] clause 6.5.

## 6.5.1 Command number

See ETSI TS 102 223 [32] clause 6.5.1.

#### 6.5.2 Device identities

See ETSI TS 102 223 [32] clause 6.5.2.

## 6.5.3 Alpha identifier

See ETSI TS 102 223 [32] clause 6.5.3.

#### 6.5.4 Icon identifiers

The display of icons is optional for the terminal on a per command basis, see ETSI TS 102 223 [32] clause 6.5.4.

#### 6.5.5 Text attribute

See ETSI TS 102 223 [32] clause 6.5.5.

## 6.5.6 Frame identifier

See ETSI TS 102 223 [32] clause 6.5.6.

# 6.6 Structure of proactive UICC commands

The general structure of proactive UICC commands using TLV objects is described in annex C.

## 6.6.1 DISPLAY TEXT

See ETSI TS 102 223 [32] clause 6.6.1.

#### 6.6.2 GET INKEY

See ETSI TS 102 223 [32] clause 6.6.2.

## 6.6.3 GET INPUT

See ETSI TS 102 223 [32] clause 6.6.3.

## 6.6.4 MORE TIME

See ETSI TS 102 223 [32] clause 6.6.4.

### 6.6.5 PLAY TONE

See ETSI TS 102 223 [32] clause 6.6.5.

## 6.6.6 POLL INTERVAL

See ETSI TS 102 223 [32] clause 6.6.6.

## 6.6.7 SET-UP MENU

See ETSI TS 102 223 [32] clause 6.6.7.

#### 6.6.8 SELECT ITEM

See ETSI TS 102 223 [32] clause 6.6.8.

## 6.6.9 SEND SHORT MESSAGE

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	M	Υ	1
Length (A+B+C+D+E+F+G+H)	-	M	Υ	1 or 2
Command details	8.6	M	Υ	Α
Device identities	8.7	M	Υ	В
Alpha identifier	8.2	0	N	С
Address	8.1	0	N	D
SMS TPDU (SMS-SUBMIT or SMS-COMMAND)	8.13	M	Υ	Е
Icon identifier	8.31	0	N	F
Text attribute	8.70	С	N	G
Frame Identifier	8.82	0	N	Н

The address data object holds the RP\_Destination\_Address of the Service Centre. If no RP\_Destination\_Address is transferred, then the ME shall insert the default Service Centre address.

The Text attribute applies to the Alpha Identifier. It may be present only if the Alpha Identifier is present.

## 6.6.10 SEND SS

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	M	Υ	1
Length (A+B+C+D+E+F+G)	-	M	Υ	1 or 2
Command details	8.6	M	Υ	Α
Device identities	8.7	M	Υ	В
Alpha identifier	8.2	0	N	С
SS string	8.14	M	Υ	D
Icon identifier	8.31	0	N	E
Text attribute	8.70	C	N	F
Frame Identifier	8.82	0	N	G

The Text attribute applies to the Alpha Identifier. It may be present only if the Alpha Identifier is present.

#### 6.6.11 SEND USSD

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	М	Υ	1
Length (A+B+C+D+E+F+G)	-	М	Υ	1 or 2
Command details	8.6	M	Υ	Α
Device identities	8.7	M	Υ	В
Alpha identifier	8.2	0	N	С
USSD String	8.17	М	Υ	D
Icon identifier	8.31	0	N	E
Text attribute	8.70	С	N	F
Frame Identifier	8.82	0	N	G

The Text attribute applies to the Alpha Identifier. It may be present only if the Alpha Identifier is present.

## 6.6.12 SET UP CALL

See ETSI TS 102 223 [32] clause 6.6.12.

### 6.6.13 REFRESH

For all REFRESH modes except "Steering of Roaming", see ETSI TS 102 223 [32] clause 6.6.13.

For "Steering of Roaming":

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	М	Υ	1
Length (A+B+C+D+E+F+G+H)	-	М	Υ	1 or 2
Command details	8.6	М	Υ	Α
Device identities	8.7	М	Υ	В
Alpha identifier	8.2	0	N	С
Icon identifier	8.31	0	N	D
Text Attribute	8.70	С	N	E
Frame Identifier	8.82	0	N	F
PLMNwAcT List	8.90	C (see Note 1)	N	G
PLMN List	8.97	C (see Note 2)	N	Н

Note 1: This parameter is required in case of steering of roaming (according to TS 23.122 [7]).

Note 2: This parameter is required in case of steering of roaming for I-WLAN (according to TS 24.234 [42]).

The Text attribute applies to the Alpha Identifier. It may be present only if the Alpha Identifier is present.

## 6.6.14 POLLING OFF

See ETSI TS 102 223 [32] clause 6.6.14.

## 6.6.15 PROVIDE LOCAL INFORMATION

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	M	Υ	1
Length (A+B+C)	-	М	Υ	1 or 2
Command details	8.6	М	Υ	Α
Device Identities	8.7	M	Υ	В
UTRAN/E-UTRAN Measurement Qualifier	8.73	С	N	С

UTRAN/E-UTRAN Measurement Qualifier: This data object applies when the Command Qualifier in Command details is set to indicate "Network Measurement results". It shall be included to indicate to the ME that "Network Measurement Results for a UTRAN" or "Network Measurement Results for a E-UTRAN" is required. It shall be excluded to indicate

to the ME that "Network Measurement Results for a GERAN" is required. It shall only be included/excluded if the ME has indicated that it supports the implied access technology via the respective Terminal Profile setting.

## 6.6.16 SET UP EVENT LIST

See ETSI TS 102 223 [32] clause 6.6.16.

#### 6.6.17 PERFORM CARD APDU

See ETSI TS 102 223 [32] clause 6.6.17.

### 6.6.18 POWER OFF CARD

See ETSI TS 102 223 [32] clause 6.6.18.

## 6.6.19 POWER ON CARD

See ETSI TS 102 223 [32] clause 6.6.19.

#### 6.6.20 GET READER STATUS

See ETSI TS 102 223 [32] clause 6.6.20.

#### 6.6.21 TIMER MANAGEMENT

See ETSI TS 102 223 [32] clause 6.6.21.

## 6.6.22 SET UP IDLE MODE TEXT

See ETSI TS 102 223 [32] clause 6.6.22.

## 6.6.23 RUN AT COMMAND

See ETSI TS 102 223 [32] clause 6.6.23.

#### 6.6.24 SEND DTMF COMMAND

See ETSI TS 102 223 [32] clause 6.6.24.

## 6.6.25 LANGUAGE NOTIFICATION

See ETSI TS 102 223 [32] clause 6.6.25.

## 6.6.26 LAUNCH BROWSER

See ETSI TS 102 223 [32] clause 6.6.26.

#### 6.6.27 OPEN CHANNEL

The structure of the OPEN CHANNEL command is defined in ETSI TS 102 223 [32] clause 6.6.27. , with the addition of the following:

#### 6.6.27.1 OPEN CHANNEL related to I-WLAN Bearer

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	M	Υ	1
Length (A+B+C+D+E+F+G+H+I+J+K+L)	-	М	Υ	1 or 2
Command details	8.6	М	Y	Α
Device identities	8.7	М	Υ	В
Alpha identifier	8.2	0	N	С
Icon identifier	8.31	0	N	D
Bearer description	8.52	M	Υ	Е
Buffer size	8.55	М	Y	F
I-WLAN Identifier	8.83	0	N	G
Other address (local address)	8.58	0	N	Н
UICC/terminal interface transport level	8.59	0	N	I
Data destination address	8.58	С	Υ	J
Text Attribute	8.72	С	N	K
Frame Identifier	8.82	0	N	L

The I-WLAN Identifier may be requested. If the parameter is not present, the ME shall select the I-WLAN according to TS 24.234 [42] using the Automatic PLMN Selection Mode Procedure.

The local address parameter provides information to the ME necessary to identify the local device. If the parameter is present and length is not null, it provides an IP address that identifies the USAT application in the address area applicable to the PDN. If local address length is null, dynamic local address allocation is required for the USAT application. If parameter is not present, the ME may use the ME default local address configuration.

If the UICC/ME interface transport level is present in the command, then the ME shall provide the requested transport layer protocols under the channel and shall use this object containing a set of parameters required to make the transport connection. The data that is exchanged at the UICC/ME interface in the RECEIVE DATA/SEND DATA commands are SDUs. When the USAT application sends an SDU, the transport layer within the ME is in charge to add the transport header to the SDU in order to build the Transport-PDU. When the USAT application requests to receive an SDU, the transport layer within the ME is in charge to remove the transport header of the Transport-PDU, and to forward the SDU to the USAT. If the parameter is not present, the UICC/ME interface is the bearer level (serial link or packet link), and the USAT application is in charge of the network and transport layer.

The Data destination address is the end point destination address of sent data. This data destination address is requested when a UICC/ME interface transport is present, otherwise it is ignored. The data destination address is a data network address (e.g. IP address).

Text Attribute applies to the Alpha Identifier. It may be present only if the Alpha Identifier is present.

#### 6.6.27.2 OPEN CHANNEL for IMS

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	М	Υ	1
Length (A+B+C+D)	-	М	Υ	1 or 2
Command details	8.6	М	Υ	Α
Device identities	8.7	М	Υ	В
Buffer size	8.55	М	Y	С
IARI	8.110	М	Υ	D

#### 6.6.28 CLOSE CHANNEL

See ETSI TS 102 223 [32] clause 6.6.28.

#### 6.6.29 RECEIVE DATA

See ETSI TS 102 223 [32] clause 6.6.29.

#### 6.6.30 SEND DATA

See ETSI TS 102 223 [32] clause 6.6.30.

## 6.6.31 GET CHANNEL STATUS

See ETSI TS 102 223 [32] clause 6.6.31.

#### 6.6.32 SERVICE SEARCH

See ETSI TS 102 223 [32] clause 6.6.32.

## 6.6.33 GET SERVICE INFORMATION

See ETSI TS 102 223 [32] clause 6.6.33.

## 6.6.34 DECLARE SERVICE

See ETSI TS 102 223 [32] clause 6.6.34.

## 6.6.35 RETRIEVE MULTIMEDIA MESSAGE

See ETSI TS 102 223 [32] clause 6.6.37.

## 6.6.36 SUBMIT MULTIMEDIA MESSAGE

See ETSI TS 102 223 [32] clause 6.6.38.

## 6.6.37 DISPLAY MULTIMEDIA MESSAGE

See ETSI TS 102 223 [32] clause 6.6.39.

## 6.6.38 SET FRAMES

See ETSI TS 102 223 [32] clause 6.6.35.

## 6.6.39 GET FRAMES STATUS

See ETSI TS 102 223 [32] clause 6.6.36.

## 6.6.40 Geographical Location Request

Description	Clause	M/O/C	Min	Length
Proactive UICC command Tag	9.2	М	Υ	1
Length (A+B+C+D+E)	-	M	Υ	1 or 2
Command details	8.6	M	Υ	Α
Device Identities	8.7	М	Υ	В
Alpha identifier	8.2	0	N	С
Icon identifier	8.31	0	N	D
Geographical Location Parameters	8.94	M	N	E

## 6.6.41 ACTIVATE

Not required by 3GPP.

## 6.6.42 CONTACTLESS STATE CHANGED

Not required by 3GPP.

#### 6.6.43 COMMAND CONTAINER

Not required by 3GPP.

#### 6.6.44 ENCAPSULATED SESSION CONTROL

Not required by 3GPP.

## 6.7 Command results

Once the ME has made its attempt to execute a proactive command from the UICC, the ME shall inform the UICC of the success or otherwise of that command, by using TERMINAL RESPONSE.

This procedure is defined in ETSI TS 102 223 [32] clause 6.7, and applies here except for the following statements.

Temporary problems are defined as:

- ME is currently unable to process the command. Specific causes for this are listed in ETSI TS 102 223 [32] clause 6.7; in addition to these, the following causes may be returned within the USAT context:
  - ME currently busy on SS transaction;
  - ME currently busy on USSD operation;
  - access control class barred on serving network;
- if none of these can be made to apply, a "no cause can be given" value can be used;
- network is currently unable to process the command. Within the USAT context, specific cause values are the cause values given by the network, as defined in TS 24.008 [9];
- in some proactive commands, the ME is required to solicit and receive approval of the user before executing the proactive command. In the case that the user does not give approval for the execution of the proactive command, it shall not be executed by the ME and the terminal response 'user did not accept the proactive command' shall be returned by the ME to the UICC;
- the user cleared down the call, before the call connected (CONNECT received from network, as defined in TS 24.008 [9]) or before the network released the call;
- action in contradiction with the current timer state. This is where the UICC requests an action for a timer to be taken by the ME and the state of the timer does not allow that action;
- interaction with call control by USIM, temporary problem. This is sent by the ME to indicate that call control modified the type of request indicated in the proactive command, and that the action requested by call control encounters a temporary problem.

Permanent problems are defined as in ETSI TS 102 223 [32] clause 6.7, with the addition of:

- SS Return Error. This is given to the UICC when the network returns a SS error in response to a previous SS command. Specific cause values are the same as given by the network in the Return Error message;
- USSD Return Error. This is given to the UICC when the network returns a USSD error in response to a previous USSD command. Specific cause values are the same as given by the network in a Return Error message;
- SMS RP-ERROR. This is given to the UICC when the network returns an error in response to the ME trying to send a short message. Specific cause values are the same as the cause value of RP-Cause in an RP-ERROR message;

- interaction with MO short message control by USIM, permanent problem. This is sent by the ME to indicate that:

MO short message control by USIM does not allow the action corresponding to the proactive command; or

MO short message control by USIM has modified the type of request indicated in the proactive command and that the action requested by call control encounters a permanent problem.

# 6.8 Structure of TERMINAL RESPONSE

Direction: ME to UICC.

The command header is specified in TS 31.101 [13]. Length (A+B+ ... +AA) is indicated by P3 of the header.

Command parameters/data.

Description	Clause	M/O/C	Min	Length
Command details	8.6	М	Y	А
Device identities	8.7	M	N	В
Result	8.12	M	Y	С
Duration (only required in response to a POLL INTERVAL proactive command)	8.8	С	N	D
Text string (only required in response to a GET INKEY or GET INPUT or SEND USSD proactive command)	8.15	С	N	E
Item identifier (only required in response to SELECT ITEM proactive command)	8.10	С	N	F
Local information (only required in response to PROVIDE LOCAL INFORMATION proactive command)	8.19, 8.20, 8.29, 8.39, 8.45, 8.46, 8.62, 8.83, 8.85, 8.86, 8.87, 8.100	С	N	G
Local information (only required in response to PROVIDE LOCAL INFORMATION (Network Measurement Results) proactive command)	8.22, 8.119	С	N	$AB \text{ or } AB_0 + + AB_n$
Local information (only required in response to PROVIDE LOCAL INFORMATION (H(e)NB IP address request) proactive command)	8.58	С	N	AC or AC <sub>0</sub> + + AC <sub>n</sub>
Local information (only required in response to PROVIDE LOCAL INFORMATION (list of surrounding macrocells) proactive command)	8.19, 8.62	С	N	$AD$ or $AD_0 + + AD_n$
Call control requested action (only required if call control by USIM has modified a proactive command SET UP CALL, SEND SS or SEND USSD in another type of request).	8.30	С	N	Н
Result data object 2 (only required if call control by USIM has modified a proactive command SET UP CALL, SEND SS or SEND USSD in another type of request).	8.12	С	N	I
Card reader status (only required in response to GET READER STATUS command). According to the requested information, one Card reader status object for each card interface reported, or one Card reader identifier object is required	8.33, 8.57	С	N	J <sub>0</sub> + + J <sub>n</sub> or J
Card ATR (only required in response to POWER ON CARD).	8.34	С	N	К
R-APDU (only required in response to PERFORM CARD APDU).	8.36	С	Ν	L
Timer identifier (only required in response to a TIMER MANAGEMENT proactive command)	8.37	С	N	М

Description	Clause	M/O/C	Min	Length
Timer value (only required in response to a TIMER MANAGEMENT proactive command)	8.38	С	N	N
AT Response (only required in response to RUN AT COMMAND proactive command)	8.41	С	N	Р
Text string2 (only required if call control by USIM has modified the proactive command SET UP CALL or SEND SS into a USSD request)	8.15	С	N	Q
Channel data (only required in response to RECEIVE DATA)	8.53	С	N	R
Channel status (only required in response to GET CHANNEL STATUS or OPEN CHANNEL proactive command)	8.56	С	N	S <sub>0</sub> + + S <sub>n</sub>
Channel data length (only required in response to RECEIVE DATA or SEND DATA proactive command)	8.54	С	N	Т
Bearer description (only required in response to OPEN CHANNEL proactive command)	8.52	С	N	U
Buffer size (only required in response to OPEN CHANNEL proactive command)	8.55	С	N	V
Total display duration (only required in response to a GET INKEY proactive command)	8.8	С	N	W
Service availability (only required in response to SERVICE SEARCH proactive command)	8.68	С	N	Х
Service record (only required in response to GET SERVICE INFORMATION proactive command)	8.64	С	N	Y
Other address (local address) (only required in response to OPEN CHANNEL proactive command with dynamic local address request)	8.58	С	N	Z
Frames Information (only required in response to SET FRAMES or GET FRAMES STATUS proactive commands)	8.81	С	N	AA

Specific rules apply for the coding of the TERMINAL RESPONSE, see ETSI TS 102 223 [32] clause 6.8.

Response parameters/data: None.

## 6.8.1 Command details

See ETSI TS 102 223 [32] clause 6.8.1.

## 6.8.2 Device identities

See ETSI TS 102 223 [32] clause 6.8.2.

## 6.8.3 Result

See ETSI TS 102 223 [32] clause 6.8.3.

## 6.8.4 Duration

See ETSI TS 102 223 [32] clause 6.8.4.

## 6.8.5 Text string

ETSI TS 102 223 [32] clause 6.8.5 applies, with the addition of the following procedure.

When the ME issues a successful TERMINAL RESPONSE for a SEND USSD command, it shall supply the text returned within the Return Result message from the network, no matter what type of string was returned.

#### 6.8.6 Item identifier

See ETSI TS 102 223 [32] clause 6.8.6.

#### 6.8.7 Local information

For Local Information values defined in clause 8.6 then ETSI TS 102 223 [32] clause 6.8.7 applies, with the addition of the following procedures:

- Where the UICC has requested the Network Measurement Results, the TERMINAL RESPONSE shall contain
  - for GERAN: The NMR data object and the BCCH channel list data object
  - for UTRAN: The Network Measurement Results are coded as the MEASUREMENT REPORT message as defined in TS 25.331 [38].
  - for E-UTRAN: The Network Measurement Results are coded as the *MeasurementReport* message defined in TS 36.331 [49]
- Where the UICC has requested the Network Measurement Results for multiple access technologies, TERMINAL RESPONSE shall contain the Access Technology data object listing all current access technologies, followed by one NMR data object and one BCCH channel list data object for each current access technology in the same sequence. The BCCH channel list data object shall immediately follow the NMR data object, even if not supported by a network access technology. If no NMR data or no BCCH channel list is available for an access technology, the respective data object shall have length zero.
- Where the UICC has requested the Timing Advance, the TERMINAL RESPONSE shall contain the Timing Advance data object if supported by the network access technology.
- Where the UICC has requested the WLAN Specific Identifier, the TERMINAL RESPONSE shall contain the WSID of the current I-WLAN connection.
- Where the UICC has requested the CSG ID list Identifier, the TERMINAL RESPONSE shall contain the CSG ID list and the corresponding HNB name (if available in the broadcasted information to the ME) of the detected CSG or Hybrid cells in the Allowed CSG list or the Operator CSG list. (if class "q" is supported)
- Where the UICC has requested the H(e)NB IP address, the TERMINAL RESPONSE shall contain the list of all IP addresses available on the H(e)NB-network interface, as a sequence of "Other Address" Data Objects in the TERMINAL RESPONSE. (if class "v" is supported)
- Where the UICC has requested the list of surrounding macrocells, the TERMINAL RESPONSE shall contain, for all supported access technologies, the Access Technology data object listing all current access technologies, followed by one location information data object for each current access technology in the same sequence, up to the limit of the TERMINAL RESPONSE APDU command size. If no location information is available for an access technology, the respective data object shall have length zero. (if class "w" is supported).

# 6.8.8 Call control requested action

When the ME issues a TERMINAL RESPONSE for a proactive command SET UP CALL, SEND SS or SEND USSD which has been modified by call control by USIM in another type of request, it shall supply the response data given in response to the ENVELOPE (CALL CONTROL).

## 6.8.9 Result data object 2

When the ME issues a TERMINAL RESPONSE for a proactive command SET UP CALL, SEND SS or SEND USSD which has been modified by call control by USIM in another type of request, it shall supply the Result data object it

would have supplied for the proactive command equivalent to the action requested by call control, and given in the Call control request data element.

#### 6.8.10 Card reader status

See ETSI TS 102 223 [32] clause 6.8.10.

### 6.8.11 Card ATR

See ETSI TS 102 223 [32] clause 6.8.11.

#### 6.8.12 R-APDU

See ETSI TS 102 223 [32] clause 6.8.12.

#### 6.8.13 Timer identifier

See ETSI TS 102 223 [32] clause 6.8.13.

#### 6.8.14 Timer value

See ETSI TS 102 223 [32] clause 6.8.14.

## 6.8.15 AT Response

See ETSI TS 102 223 [32] clause 6.8.15.

## 6.8.16 Text string 2

When the ME issues a successful TERMINAL RESPONSE for a proactive command SET UP CALL or SEND SS which has been modified by "call control" by USIM into a USSD request ('05' result value), it shall supply the Text string 2. The Text string 2 shall contain the text returned within the Return Result message from the network for the USSD response. Text string 2 is equivalent to the Text string in the Terminal Response to a SEND USSD command.

## 6.8.17 Channel data

See ETSI TS 102 223 [32] clause 6.8.17.

#### 6.8.18 Channel status

See ETSI TS 102 223 [32] clause 6.8.18.

## 6.8.19 Channel data length

See ETSI TS 102 223 [32] clause 6.8.19.

## 6.8.20 Bearer description

See ETSI TS 102 223 [32] clause 6.8.20.

## 6.8.21 Buffer size

See ETSI TS 102 223 [32] clause 6.8.21.

## 6.8.22 Total Display Duration

See ETSI TS 102 223 [32] clause 6.8.22.

## 6.8.23 Service Availability

See ETSI TS 102 223 [32] clause 6.8.23.

#### 6.8.24 Service Record

See ETSI TS 102 223 [32] clause 6.8.24.

## 6.8.25 Other address (local address)

See ETSI TS 102 223 [32] clause 6.8.25.

#### 6.8.26 Frames Information

See ETSI TS 102 223 [32] clause 6.8.26.

# 6.9 Proactive UICC session and ME display interaction

See ETSI TS 102 223 [32] clause 6.9.

## 6.10 Handling of unknown, unforeseen and erroneous messages

See ETSI TS 102 223 [32] clause 6.10.

# 6.11 Proactive commands versus possible Terminal response

Table 6.1 shows for each proactive command the possible terminal response returned (marked by a "•" character), in addition to those defined in ETSI TS 102 223 [32] clause 6.11.

The commands "COMMAND CONTAINER" and "ENCAPSULATED SESSION CONTROL" listed in ETSI TS 102 223 [32] are not required by 3GPP.

Table 6.1: Proactive commands versus possible terminal response

		PROACTIVE COMMAND							
		SET UP CALL	SEND SS	SEND USSD	SEND SMS	Geographical Location Request			
	TERMINAL RESPONSE	'10'	'11'	'12'	'13'	'16'			
00	Command performed successfully	•	•	•	•	•			
01	Command performed with partial comprehension	•	•	•	•	•			
02	Command performed, with missing information	•	•	•	•	•			
03	REFRESH performed with additional Efs read								
04	Command performed successfully, but requested icon could not be displayed	•	•	•	•	•			
05	Command performed, but modified by call control by USIM	•		•					
06	Command performed successfully, limited service								
07	Command performed with modification								
08	REFRESH performed but indicated USIM was not active								
09	Command performed successfully, tone not played								
10	Proactive UICC session terminated by the user	•					İ		
11	Backward move in the proactive UICC session requested by the user								
12	No response from user					•			
13	Help information required by the user								
14	USSD or SS Transaction terminated by user	•	•	•					
20	ME currently unable to process command	•	•	•	•	•			
21	Network currently unable to process command	•	•	•	•	•			
22	User did not accept the proactive command	•				•			
23	User cleared down call before connection or network release	•							
24	Action in contradiction with the current timer state								
25	Interaction with call control by USIM, temporary problem	•	•	•					
26	Launch browser generic error								
27	MMS Temporary Problem								
30	Command beyond Mes capabilities	•	•	•	•	•			
31	Command type not understood by ME	•	•	•	•	•			
32	Command data not understood by ME	•	•	•	•	•			
33	Command number not known by ME	•	•	•	•	•			
34	SS Return Error	•	•						
35	SMS RPERROR				•				
36	Error, required values are missing	•	•	•	•	•			
37	USSD return error			•					
38	Multiple Card command error								
39	Interaction with call/SM control by USIM, permanent problem	•	•	•	•				
ЗА	Bearer Independent Protocol error								
3B	Access Technology unable to process command								1
3C	Frames error	•	•	•	•				
3D	MMS Error								

# 7 ENVELOPE Commands

## 7.1 Data download to UICC

#### 7.1.1 SMS-PP data download

#### 7.1.1.1 Procedure

If the service "data download via SMS Point-to-point" is allocated and activated in the USIM Service Table (see TS 31.102 [14]), then the ME shall follow the procedure below:

- when the ME receives a Short Message with:

protocol identifier = SIM data download; and

data coding scheme = class 2 message; or

- when the ME receives a Short Message with:

protocol identifier=ANSI-136 R-DATA (see TS 23.040 [7]); and

data coding scheme = class 2 message, and the ME chooses not to handle the message (e.g. Mes not supporting EGPRS over TIA/EIA-136 do not need to handle the message).

- then the ME shall pass the message transparently to the UICC using the ENVELOPE (SMS-PP DOWNLOAD) command as defined below;
- the ME shall not display the message, or alert the user of a short message waiting;
- the ME shall wait for an acknowledgement from the UICC;
- When receiving a secured Command Packet (as specified in TS 31.115 [41]) requesting a Proof of Receipt (PoR), the UICC shall verify the authenticity of the sender. If the authentication of the sender fails, no further processing related to the Proof of Receipt shall take place.
- if the UICC responds with '90 00' or '6F XX' or '62 XX' or '63 XX', the ME shall acknowledge the receipt of the short message to the network using an RP-ACKmessage. The response data from the UICC will be supplied by the ME in the TP-User-Data element of the RP-ACK message it will send back to the network (see TS 23.040 [5] and TS 24.011 [10]). The values of protocol identifier and data coding scheme in RP-ACK shall be as in the original message;
- if the UICC responds with '93 00', the ME shall either retry the command or send back an RP-ERROR message to the network with the TP-FCS value indicating 'SIM Application Toolkit Busy' (see TS 23.040 [5]).

If the service "data download via SMS-PP" is not available in the USIM Service Table, and the ME receives a Short Message with the protocol identifier = SIM data download and data coding scheme = class 2 message, then the ME shall store the message in  $EF_{SMS}$  in accordance with TS 31.102 [14].

## 7.1.1.2 Structure of ENVELOPE (SMS-PP DOWNLOAD)

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O/C	Min	Length
SMS-PP download tag	9.1	M	Y	1
Length (A+B+C)	-	M	Y	1 or 2
Device identities	8.7	M	Y	Α
Address	8.1	M	N(see	В
			note	
SMS TPDU (SMS-DELIVER)	8.13	M	Y	C

Note: The UICC shall be able to manage the situation when the address field is not present, in order to ensure backwards compatibility with previous releases of this specification.

- Device identities: the ME shall set the device identities to:

- source: Network;

- destination: UICC.

- Address: The address data object holds the RP\_Originating\_Address of the Service Centre (TS-Service-Centre-Address), as defined in TS 24.011 [10].

Response parameters/data.

It is permissible for the UICC not to provide response data. If the UICC provides response data, the following data is returned.

Byte(s)	Description	Length
1-X (X≤128)	UICC Acknowledgement	X

### 7.1.2 Cell Broadcast data download

#### 7.1.2.1 Procedure

If the service "data download via SMS-CB" is available in the USIM Service Table (see TS 31.102 [14]), then the ME shall follow the procedure below:

- when the ME receives a new Cell Broadcast message, the ME shall compare the message identifier of the Cell Broadcast message with the message identifiers contained in EF<sub>CBMID</sub>;
- In the case of a GSM Cell Broadcast message, if the message identifier is found in EFCBMID, the cell broadcast page is passed to the UICC using the ENVELOPE (CELL BROADCAST DOWNLOAD) command, defined below. The ME shall not display the message;
- In the case of a UMTS Cell Broadcast message, if the message identifier is found in EFCBMID, the ME shall deconstruct the UMTS Cell Broadcast message Parameter into its Cell Broadcast pages, and reconstruct each page in the format of the GSM Cell Broadcast Message Parameter, as described below, and according to the definition of the Cell Broadcast message structure in TS 23.041[6]:
  - 1) From the Number-of-Pages byte of the UMTS message, the ME shall obtain the number of Cell Broadcast pages to be constructed.
  - 2) For each page the ME shall reconstruct GSM Cell Broadcast Page header as follows:

- The 2-byte Serial Number of the UMTS message shall be mapped to the reconstructed GSM message Serial Number.
- The 2-byte Message ID of the UMTS message shall be mapped to the reconstructed GSM message Message ID.
- The 1-byte Data Coding Scheme of the UMTS message shall be mapped to the reconstructed GSM message Data Coding Scheme.
- The 1-byte Number-Of-Pages of the UMTS message in combination with the current page"s sequence number (based on the order of the pages in the UMTS message) shall be formatted into the reconstructed GSM message Page Parameter byte, as described in TS 23.041[6].
- The respective 82 byte CBS-Message-Information-Page shall be mapped to the reconstructed GSM message content.

**Table: Cell Broadcast Message Parameter Element mapping** 

Network – ME (UMTS Cell Broadcast Message)	ME-USAT interface (GSM Cell Broadcast Message Format)
Message ID	Message ID
Serial Number	Serial Number
Data Coding Scheme	Data Coding Scheme
Number-Of -Pages	Page Parameter (Note)
CBS-Message-Information-Page	Content of Message

NOTE: The Page Parameter byte is constructed from the total number of pages as indicated in the UMTS CB message, in combination with the current page"s sequence number (based on the order of the pages in the UMTS message).

- Each of the resulting pages shall then be passed to the UICC using the ENVELOPE (CELL BROADCAST DOWNLOAD) command, defined below. The ME shall not display the message;
- if the message identifier of the incoming cell broadcast message is not found in EFCBMID, then the ME shall determine if the message should be displayed, by following the procedures in TS 23.041 [6] and TS 31.102 [14].
- if the UICC responds with '93 00', the ME shall consider that the Cell Broadcast page has not been delivered successfully. The ME may retry to deliver the same Cell Broadcast page.

The ME shall identify new cell broadcast pages by their message identifier, serial number and page values.

### 7.1.2.2 Structure of ENVELOPE (CELL BROADCAST DOWNLOAD)

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O/C	Min	Length
Cell Broadcast Download tag	9.1	M	Υ	1
Length (A+B)	-	M	Υ	1 or 2
Device identities	8.7	M	Υ	Α
Cell Broadcast page	8.5	M	Υ	В

- Device identities: the ME shall set the device identities to:

source: Network;

- destination: UICC.

Response parameters/data: None for this type of ENVELOPE command.

## 7.2 Menu Selection

See ETSI TS 102 223 [32] clause 7.2.

If the UICC responds with '93 00', the ME shall not re-issue this particular envelope.

# 7.3 Call Control and MO SMS control by USIM

## 7.3.1 Call Control by USIM

## 7.3.1.1 Procedure for mobile originated calls

If the service "call control" is available in the USIM Service Table (see TS 31.102 [14]), then the ME shall follow the procedure described in ETSI TS 102 223 [32] clause 7.3.1.1 with the additional rules listed here:

- when the user is dialling "112" or an emergency call code stored in EF<sub>ECC</sub>, the ME shall set up an emergency call instead of passing the call set-up details to the UICC;
- if the UICC provides response data, then in addition to the response data listed by ETSI TS 102 223 [32] clause 7.3.1.6, the response data from the UICC may indicate to the ME to send instead a supplementary service or USSD operation using the data supplied by the UICC. It is then mandatory for the ME to perform the supplementary service or USSD operation in accordance with the data from the UICC, if it is within the ME's capabilities to do so. If the UICC requires a supplementary service or USSD operation that is beyond the ME's capabilities, then the ME shall not perform the supplementary service or USSD operation at all.
- If, as a result of the procedure, the UICC supplies a number stored in EF<sub>ECC</sub>, this shall not result in an emergency
  call.

This procedure is applicable also in the case where the call is originated over IMS and the service "communication control for IMS by USIM" is not available in the USIM Service Table (see TS 31.102 [14]). If the call is originated over IMS and the service "communication control for IMS by USIM" is available in the USIM Service Table, only the procedure for IMS communications establishment (clause 7.3.1.9) shall be used.

In the case where the initial call set-up request results from a proactive command SET UP CALL:

- if the call control result is "not allowed", the ME shall inform the UICC using TERMINAL RESPONSE "interaction with call control by USIM or MO short message control by USIM, permanent problem; action not allowed";
- if the call set-up request is changed by call control in a supplementary service or USSD operation, and if the supplementary service or USSD operation is within the ME's capabilities, then the ME shall send this request to the network. The ME shall then send back a TERMINAL RESPONSE to the SET UP CALL command at the same time it would have done for the proactive command equivalent to the action requested by call control (i.e. SEND SS or SEND USSD). However, in that case, the TERMINAL RESPONSE shall contain the response data given in the response to ENVELOPE (CALL CONTROL) and a second Result TLV identical to the one given in response to the proactive command equivalent to the action requested by call control (i.e. SEND SS or SEND USSD). The mapping between the general result in the first Result TLV and the general result in the second Result TLV is given below:

the general result "command performed, but modified by call control by USIM" shall be given in the first Result TLV if the general result of the second Result TLV is '0X' or '1X';

the general result "interaction with call control by USIM, temporary problem" shall be given in the first Result TLV if the general result of the second Result TLV is '2X';

the general result "interaction with call control by USIM or MO short message control by USIM, permanent problem" shall be given in the first Result TLV if the general result of the second Result TLV is '3X';

- if the call set-up request is changed by call control into a supplementary service or USSD operation, and if the supplementary service or USSD operation is beyond the ME's capabilities, then the ME shall send back a TERMINAL RESPONSE to the SET UP CALL command, without performing the supplementary service or USSD operation at all. In that case, the TERMINAL RESPONSE shall contain the response data given in the response to ENVELOPE (CALL CONTROL) and a second Result TLV identical to the one given in response to the proactive command equivalent to the action requested by call control (i.e. SEND SS or SEND USSD). The mapping between the general result in the first Result TLV and the general result in the second Result TLV is given below:

the general result "interaction with call control by USIM or MO short message control by USIM, permanent problem" shall be given in the first Result TLV, and the general result "command beyond ME's capabilities" shall be given in the second Result TLV.

The ME shall then follow the call set-up procedure defined in TS 24.008 [9] or the supplementary service or USSD operation procedure defined in TS 24.080 [11].

#### 7.3.1.2 Procedure for Supplementary Services and USSD

If the service "call control" is available in the USIM Service Table (see TS 31.102 [14]), then for all supplementary service and USSD operations (including those resulting from a SEND SS or SEND USSD proactive UICC command), the ME shall first pass the supplementary service or USSD control string (corresponding to the supplementary service or USSD operation and coded as defined in TS 22.030 [2], even if this SS or USSD operation has been performed via a specific menu of the ME) to the UICC, using the ENVELOPE (CALL CONTROL) command defined below. The ME shall also pass to the UICC in the ENVELOPE (CALL CONTROL) command the current serving cell.

The UICC shall respond in the same way as for mobile originated calls. The ME shall interpret the response as follows:

- if the UICC responds with '90 00', the ME shall send the supplementary service or USSD operation with the information as sent to the UICC;
- if the UICC responds with any status code indicating an error, the ME shall not send the supplementary service or USSD:
- if the UICC responds with '93 00', the ME shall not send the supplementary service or USSD operation and may retry the command;
- if the UICC provides response data, then the response data from the UICC shall indicate to the ME whether to send the supplementary service or USSD operation as proposed, not send the SS or USSD operation, send the SS or USSD operation using the data supplied by the UICC, or instead set up a call using the data supplied by the UICC. It is mandatory for the ME to perform the supplementary service or USSD operation or the call set-up request in accordance with the data from the UICC, if it is within the ME's capabilities to do so. If the UICC requires a call set-up or supplementary service or USSD operation that is beyond the ME's capabilities (e.g. the UICC maps a USSD operation to a data call, and the ME does not support data calls), then the ME shall not the perform the call set-up request or supplementary service or USSD operation at all.

In the case where the initial SS or USSD request results from a proactive command SEND SS or SEND USSD:

- if the call control result is "not allowed", the ME shall inform the UICC using TERMINAL RESPONSE ("interaction with call control by USIM or MO short message control by USIM, permanent problem; action not allowed");
- if the SS or USSD request is changed by call control in a call set-up request, then the ME shall set up the call using the data given by the UICC, if it is within the ME's capabilities to do so. If the UICC requires a call set-up that is beyond the ME's capabilities (e.g. the UICC maps a USSD operation to a data call, and the ME does not support data calls), then the ME shall not set up the call at all. The ME shall send back a TERMINAL RESPONSE to the initial proactive command at the same time it would have done for the proactive command equivalent to the action requested by call control (i.e. SET UP CALL). However, in that case, the TERMINAL RESPONSE shall contain the response data given in the response to ENVELOPE (CALL CONTROL) and a second Result TLV identical to the one given in response to the proactive command equivalent to the action requested by call control (i.e. SET UP CALL). The mapping between the general result in the first Result TLV and the general result in the second Result TLV is the same as the one described in clause 7.3.1.1.

If the ME supports the Outgoing Call Information service, the ME shall update  $EF_{OCI}$  with the supplementary service or USSD control string corresponding to the initial user request.

The ME shall then follow the supplementary service or USSD operation procedure defined in TS 24.080 [11] or the call set-up procedure defined in TS 24.008 [9].

## 7.3.1.3 Indication to be given to the user

The UICC may optionally include an alpha-identifier in the response data to the ENVELOPE (CALL CONTROL) message, in order to inform the user at the time the response is received by the ME. The use of this alpha identifier by the ME is described below:

- if the UICC responds with "allowed, no modification", then:
  - if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it to inform the user during the PDP context activation or call set-up;
  - if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), this is an indication that the ME should not modify the display corresponding to the initial user request;
  - if the alpha identifier is not provided by the UICC, the ME may give information to the user concerning what is happening;
- if the UICC responds with "not allowed", then:
  - if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it to inform the user. This is also an indication that the ME should not give any other information to the user on the reason of the barring;
  - if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), the ME may give information to the user concerning what is happening;
  - if the alpha identifier is not provided by the UICC, the ME may give information to the user concerning what is happening.
- if the UICC responds with "allowed, with modifications", and the modified request is within the ME's capabilities, then:
  - if the alpha identifier is provided by the UICC and is not a null data object, the ME shall use it to inform the user. The ME shall then not display the destination address or SS string given by the UICC. This is also an indication that the ME should not give any other information to the user on the changes made by the UICC to the initial user request;
  - if the alpha identifier is provided by the UICC and is a null data object (i.e. length = '00' and no value part), this is an indication that the ME should not give any information to the user on the changes made by the UICC to the initial user request. The ME shall not display the destination address or SS string given by the UICC. The ME should not modify the display corresponding to the initial user request;
  - if the alpha identifier is not provided by the UICC, the ME may indicate to the user that the initial user request has been changed.
- if the UICC responds with "allowed, with modifications" to a user-initiated request (i.e. a request not initiated by a proactive command), and the modified user request is beyond the ME's capabilities, then the ME may give information to the user on the modified request and the fact that the modified request is beyond the ME's capabilities, optionally using the alpha identifier, if one is provided by the UICC;
- if the UICC responds with "allowed, with modifications" to a request by a proactive command SET UP CALL, SEND SS, SEND USSD or OPEN CHANNEL where GPRS is selected, and the modified request is beyond the ME's capabilities, then the ME shall not give any information to the user on the fact that the modified request is beyond the ME's capabilities, and shall give a TERMINAL RESPONSE to the proactive command (i.e. SET UP CALL, SEND SS, SEND USSD or OPEN CHANNEL) as detailed in clauses 7.3.1.1, 7.3.1.2 and 7.3.1.3. The responsibility to inform the user in this case lies with the UICC application which sent the proactive command.

A terminal of type ND shall ignore any alpha identifier provided together with the response data to the ENVELOPE (CALL CONTROL) message.

## 7.3.1.4 Interaction with Fixed Dialling Number

The procedure defined in ETSITS 102 223 [32] clause 7.3.1.4 for calls applies. In addition, it shall apply in the same way for supplementary service operations, the supplementary service control string being checked as if it was a called number.

The ME shall check the number (or the supplementary service control string) in accordance with TS 22.101 [34].

## 7.3.1.5 Support of Barred Dialling Number (BDN) service

The procedure defined in ETSITS 102 223 [32] clause 7.3.1.5 for calls applies. In addition, it shall apply in the same way for supplementary service operations, the supplementary service control string being checked as if it was a called number.

The ME shall check the number (or the supplementary service control string) in accordance with TS 22.101 [34].

#### 7.3.1.6 Structure of ENVELOPE (CALL CONTROL)

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O/C	Min	Length
Call control tag	9.1	M	Υ	1
Length (A+B+C+D+E+F)	-	M	Υ	1 or 2
Device identities	8.7	M	Υ	Α
Address or SS string or USSD string or PDP context activation parameters or EPS PDN connection activation parameters or IMS URI	8.1, 8.14 or 8.17 or 8.72 or 8.98 or 8.108	М	Y	В
Capability configuration parameters 1	8.4	0	N	С
Subaddress	8.3	0	N	D
Location information	8.19	С	N	E
Capability configuration parameters 2	8.4	0	N	F

- Device identities: the ME shall set the device identities to:

source: ME;

destination: UICC.

- Address or SS string or USSD string or PDP context activation parameters or EPS PDN connection activation parameters or IMS URI: only one data object shall be sent to the UICC:

for a call set-up, the address data object is used and holds the Called Party Number, as defined in TS 24.008 [9], to which the ME is proposing setting up the call;

for a supplementary service, the SS string data object is used and holds the corresponding supplementary service;

for a USSD operation, the USSD string data object is used and holds the corresponding USSD control string;

USIM Applications and Mes should take into account that early implementations of USAT use the SS string data object for coding of USSD control strings (instead of the USSD string data object). This behaviour is only possible for USSD control strings consisting of digits (0-9,\*,#). The UICC can identify Mes having this early implementation by evaluating the indication "USSD string data object supported in Call Control" in the TERMINAL PROFILE. The ME can identify USIMs having this early implementation by evaluating the indication "USSD string data object supported in Call Control" in the USIM Service Table.

for a PDP context activation, the Activate PDP context request parameters are used, as defined in TS 24.008 [9]. Except for the following parameters:

- Requested QoS;
- Access Point Name; and
- Protocol configuration options,

the UICC should not modify any other parameters included in the ACTIVATE PDP CONTEXT REQUEST message as defined in TS 24.008 [9] to avoid that the UE sends a value of any of these parameters that is not compliant to TS 24.008 [9];

for an EPS PDN connection activation, the PDN Connectivity Request parameters are used, as defined in TS 24.301 [46]. Except for the following parameters:

- Access Point Name; and
- Protocol configuration options,

the UICC should not modify any other parameters included in the PDN CONNECTIVITY REQUEST message as defined in TS 24.301 [46] to avoid that the UE sends a value of any of these parameters that is not compliant to TS 24.301 [46];

for an IMS communication establishment, the IMS Request-URI field is used and the IMS URI data object holds the SIP URI or tel URI, as defined in TS 24.229[52], to which the ME is proposing setting up the communication.

- Capability configuration parameters: Only used for a call set-up, this contains the Bearer capabilities that the ME is proposing to send to the network. The first capability configuration parameters corresponds to the bearer capability 1 information element of a mobile originating SETUP message, as defined in TS 24.008 [9]. The second capability configuration parameters correspond to the bearer capability 2 information element of a mobile originating SETUP message, as defined in TS 24.008 [9]. If no capability configuration parameters are present, this shall indicate a speech call.
- Subaddress: Only used for a call set-up, this contains the called party subaddress that the ME is proposing to send to the network. If one is not present, this shall indicate that the ME is proposing not to send this information element to the network.
- Location information: This data object contains the identification (MCC, MNC, LAC/TAC, Cell Identity) of the current serving cell of the UE. The comprehension required flag of this data object in this command shall be set to '0'. This data object shall be present if the call is performed over GERAN, UTRAN or E-UTRAN.

Response parameters/data.

It is permissible for the UICC to provide no response data, by responding with SW1/SW2 = '90 00'. If the UICC does not provide any response data, then this shall have the same meaning as "allowed, no modification".

Description	Clause	M/O/C	Min	Length
Call control result	-	М	Y	1
Length (A+B+C+D+E+F)	-	М	Υ	1 or 2
Address or SS string or USSD string or PDP context activation parameters or EPS PDN connection activation parameters or IMS URI	8.1, 8.14 or 8.17 or 8.72 or 8.98 or 8.108	0	N	А
Capability configuration parameters 1	8.4	0	N	В
Subaddress	8.3	0	N	С
Alpha identifier	8.2	0	N	D
BC repeat indicator	8.42	С	N	E
Capability configuration parameters 2	8.4	0	N	F

#### - Call control result:

#### Contents:

- The command that the UICC gives to the ME concerning whether to allow, bar or modify the proposed call (or supplementary service operation);

#### Coding:

- '00' = Allowed, no modification;
- '01' = Not allowed:
- '02' = Allowed with modifications.
- Address or SS string or USSD string or PDP context/EPS PDN connection activation parameters or IMS URI:
   Only one data object may be included if the UICC requests the call (or supplementary service or USSD operation or PDP context/EPS PDN connection activation or IMS communication establishment) details to be modified:
  - for a call set-up, if the address data object is not present, then the ME shall assume the Dialling number is not to be modified;
  - if the SS string data object or address data object is present and the ME receives wild values according to TS 31.102 [14], then the ME shall not process the command.
  - For a supplementary service, if the SS string data object is not present, then the ME shall assume that SS is not to be modified;
  - for a USSD operation, if the USSD string data object is not present, then the ME shall assume that the USSD operation is not to be modified;
  - for a PDP context activation, if the PDP context activation parameters object is not present, then the ME shall assume that the PDP context activation is not to be modified;
  - for an EPS PDN connection activation, if the EPS PDN connection activation parameters object is not present, then the ME shall assume that the EPS PDN connection activation is not to be modified;
  - for an IMS communication establishment, if the IMS URI data object is not present, then the ME shall assume that neither the SIP URI nor the tel URI are to be modified.
- Capability configuration parameters: Only used for a call set-up, this data object is only required if the USIM application requests the call details to be modified. The first capability configuration parameters corresponds to the bearer capability 1 information element of a mobile originating SETUP message, as defined in TS 24.008 [9]. The second capability configuration parameters corresponds to the bearer capability 2 information element of a mobile originating SETUP message, as defined in TS 24.008 [9]. If the capability configuration parameters are not present, then the ME shall assume the parameters are not to be modified.
- Subaddress: Only used for a call set-up, this data object is only required if the USIM application requests the call details to be modified. If the subaddress is not present, then the ME shall assume the called party subaddress is not to be modified. If the subaddress supplied by the USIM application is a null data object, then the ME shall not provide a called party subaddress to the network. A null data object shall have length = '00' and no value part.
- Alpha identifier: this data object is only required if the UICC requests a particular indication to be given to the user. The handling of this data object by the ME is described in clause 7.3.1.3. The comprehension required flag of this data object shall be set to '0'.
- BC repeat indicator: indicates how the associated bearers shall be interpreted. The change of bearer occurs on a network event. This BC repeat indicator is conditioned to the presence of the second capability configuration parameters and is coded as defined in TS 24.008 [9].

It is mandatory for the UICC to provide at least one of the optional data objects if it has set the Call control result to "allowed with modifications".

#### 7.3.1.7 Procedure for PDP Context Activation

If the service "call control on GPRS by USIM" is available in the USIM Service Table (see TS 31.102 [14]), then for all PDP Context activation (including those resulting from a OPEN CHANNEL proactive UICC command where GPRS is selected), the ME shall first pass the corresponding Activate PDP Context message (see TS 24.008 [9]) to the UICC, using the ENVELOPE (CALL CONTROL) command defined below. The ME shall also pass to the UICC in the ENVELOPE (CALL CONTROL) command the current serving cell.

When the ME performs an emergency PDP context activation, the ME shall not send the ENVELOPE (CALL CONTROL) command to the UICC.

The UICC shall respond in the same way as for mobile originated calls. The ME shall interpret the response as follows:

- if the UICC responds with '90 00', the ME shall send the Activate PDP Context message with the information as sent to the UICC;
- if the UICC responds with '93 00', the ME shall not the Activate PDP Context message and may retry the command:
- if the UICC provides response data, then the response data from the UICC shall indicate to the ME whether to send the Activate PDP Context message as proposed, not send the Activate PDP Context message or send the Activate PDP Context message using the data supplied by the UICC. It is mandatory for the ME to perform the PDP Context Activation in accordance with the data from the UICC, if it is within the ME's capabilities to do so. If the UICC requires PDP Context Activation that is beyond the ME's capabilities, then the ME shall not perform PDP Context Activation at all.

In the case where the initial PDP Context Activation request results from a proactive command OPEN CHANNEL where GPRS is selected:

- if the call control result is "not allowed", the ME shall inform the UICC using TERMINAL RESPONSE ("interaction with call control by USIM or MO short message control by USIM, permanent problem; action not allowed");
- if the PDP Context Activation data is changed by call control, then the ME shall activate the PDP context using the data given by the UICC, if it is within the ME's capabilities to do so. If the UICC requires a PDP Context Activation that is beyond the ME's capabilities (e.g. the UICC requests a QoS that the ME cannot handle ), then the ME shall not activate the PDP context at all.

#### 7.3.1.8 Procedure for EPS PDN connection Activation

If the service "call control on EPS PDN connection by USIM" is available in the USIM Service Table (see TS 31.102 [14]), then for all EPS PDN connection activation (including those resulting from a OPEN CHANNEL proactive UICC command where E-UTRAN is selected), the ME shall first pass the corresponding PDN Connectivity Request message (see TS 24.301 [46]) to the UICC, using the ENVELOPE (CALL CONTROL) command defined above. The ME shall also pass to the UICC in the ENVELOPE (CALL CONTROL) command the current serving cell.

When the ME performs an emergency EPS PDN connection activation, the ME shall not send the ENVELOPE (CALL CONTROL) command to the UICC.

The UICC shall respond in the same way as for mobile originated calls. The ME shall interpret the response as follows:

- if the UICC responds with '90 00', the ME shall send the PDN Connectivity Request message with the information as sent to the UICC;
- if the UICC responds with '93 00', the ME shall not send the PDN Connectivity Request message and may retry the command;
- if the UICC provides response data, then the response data from the UICC shall indicate to the ME whether to send the PDN Connectivity Request message as proposed, not send the PDN Connectivity Request message or send the PDN Connectivity Request message using the data supplied by the UICC. It is mandatory for the ME to perform the EPS PDN Connection Activation in accordance with the data from the UICC, if it is within the ME's capabilities to do so. If the UICC requires EPS PDN Connection Activation that is beyond the ME's capabilities, then the ME shall not perform EPS PDN Connection Activation at all.

In the case where the initial PDN Connectivity Request results from a proactive command OPEN CHANNEL where E-UTRAN is selected:

- if the call control result is "not allowed", the ME shall inform the UICC using TERMINAL RESPONSE ("interaction with call control by USIM or MO short message control by USIM, permanent problem; action not allowed");
- if the EPS PDN Connection Activation data is changed by call control, then the ME shall activate the EPS PDN Connection using the data given by the UICC, if it is within the ME's capabilities to do so. If the UICC requires a EPS PDN Connection Activation that is beyond the ME's capabilities (e.g. the UICC requests a QoS that the ME cannot handle), then the ME shall not activate the EPS PDN Connection at all.

#### 7.3.1.9 Procedure for IMS communications establishment

If the service "communication control for IMS by USIM" is available in the USIM Service Table (see TS 31.102 [14]), then for all IMS communication establishment, the ME shall first pass the corresponding IMS Request-URI contained in SIP INVITE message (see TS24.229 [52]) to the UICC, using the ENVELOPE (CALL CONTROL) command defined above. The ME shall also pass to the UICC in the ENVELOPE (CALL CONTROL) command the current serving cell if the IMS communication is established over GERAN, UTRAN or E-UTRAN.

This procedure replaces the call control by USIM using the Address TLV (clause 8.1) when the call is originated over IMS and the service "communication control for IMS by USIM" is available in the USIM Service Table (see TS 31.102 [14]).

When the ME detects that an IMS emergency call is being initiated, the ME shall set up an emergency call without sending the ENVELOPE (CALL CONTROL) command to the UICC.

The UICC shall respond in the same way as for mobile originated communications. The ME shall interpret the response as follows:

- if the UICC responds with '90 00', the ME shall send the SIP INVITE message with the information as sent to the UICC;
- if the UICC responds with '93 00', the ME shall not send SIP INVITE message and may retry the command;
- if the UICC provides response data, then the response data from the UICC shall indicate to the ME whether to send the SIP INVITE message as proposed, not send the SIP INVITE message or send the SIP INVITE message using the IMS-Request URI supplied by the UICC. It is mandatory for the ME to perform the SIP INVITE request in accordance with the data from the UICC, if it is within the ME's capabilities to do so. If the UICC requires SIP INVITE request that is beyond the ME's capabilities, then the ME shall not send SIP INVITE request at all.

# 7.3.2 MO Short Message Control by USIM

#### 7.3.2.1 Description

If the service "MO Short Message Control" is available in the USIM Service Table (see TS 31.102 [14]), then the ME shall follow the procedure below:

- for all MO short message attempts (even those resulting from a SEND SM proactive UICC command), the ME shall first pass the RP\_destination\_address of the service centre and the TP\_Destination\_Address to the UICC, using the ENVELOPE (MO SHORT MESSAGE CONTROL) command defined below. The ME shall also pass to the UICC in the ENVELOPE (MO SHORT MESSAGE CONTROL) command the current serving cell;
- if the UICC responds with '90 00', the ME shall send the short message with the addresses unchanged;
- if the UICC responds with any other status code indicating an error, the ME shall not send the short message;
- if the UICC responds with '93 00', the ME shall not send the short message and may retry the command;

- if the UICC provides response data, then the response data from the UICC shall indicate to the ME whether to send the short message as proposed, not send the short message or send a short message using the data supplied by the UICC. It is mandatory for the ME to perform the MO short message request in accordance with the data from the UICC.

The ME shall then follow the MO Short Message procedure defined in TS 24.011 [10].

In the case where the initial MO short message request results from a proactive command SEND SHORT MESSAGE, if the MO short message control result is "not allowed", the ME shall inform the UICC using TERMINAL RESPONSE, "interaction with call control by USIM or MO short message control by USIM, permanent problem; action not allowed".

## 7.3.2.2 Structure of ENVELOPE (MO SHORT MESSAGE CONTROL)

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O/C	Min	Length
MO Short Message control tag	9.1	M	Υ	1
Length (A+B+C+D)	-	M	Υ	1 or 2
Device identities	8.7	M	Υ	Α
Address data object 1	8.1	M	Υ	В
Address data object 2	8.1	M	Υ	С
Location information	8.19	M	Υ	D

- Device identities: the ME shall set the device identities to:

source: ME;

destination: UICC.

- Address data object 1: this address data object 1 contains the RP\_Destination\_Address of the Service Centre to which the ME is proposing to send the short message.
- Address data object 2: this address data object 2 contains the TP\_Destination\_Address to which the ME is proposing to send the short message.
- Location information: this data object contains the identification (MCC, MNC, LAC, Cell Identity) of the current serving cell of the UE. This data object shall be present if the short message is sent over GERAN, UTRAN or E-UTRAN.

Response parameters/data.

It is permissible for the UICC to provide no response data, by responding with SW1/SW2 = '90 00'. If the UICC does not provide any response data, then this shall have the same meaning as "allowed, no modification".

Description	Clause	M/O/C	Min	Length
MO short message control result	-	M	Υ	1
Length (A+B+C)	-	M	Υ	1 or 2
Address data object 1	8.1	O (see note)	N	Α
Address data object 2	8.1	O (see note)	N	В
Alpha identifier	8.2	0	N	С

NOTE: The UICC shall provide the two optional address data objects if it has set the MO Short Message control result to "allowed with modifications".

- MO Short Message control result:

Contents:

- The command that the UICC gives to the ME concerning whether to allow, bar or modify the proposed short message;

#### Coding:

- '00' = Allowed, no modification;
- '01' = Not allowed:
- '02' = Allowed with modifications.
- if the ME receives wild values according to TS 31.102 [14] in either the Address data object 1 or Address data object 2, then the ME shall not process the command.
- Alpha identifier: this data object is only required if the UICC requests a particular indication to be given to the user. The handling of this data object by the ME is described in clause 7.3.2.3.

#### 7.3.2.3 Indication to be given to the user

The UICC may optionally include an alpha-identifier in the response data to the ENVELOPE (MO SHORT MESSAGE CONTROL) message, in order to inform the user at the time the response is received by the ME. The use of this alpha identifier by the ME is identical to the one described in clause 7.3.1.3 relative to call control by USIM.

## 7.3.2.4 Interaction with Fixed Dialling Number

It is permissible for the Fixed Dialling Number service to be enabled (see TS 31.102 [14]) at the same time as MO Short Message Control is available (in the USIM Service Table). If FDN is enabled, the ME shall follow the procedure for Call Control (see clause 7.3.1.4), where the number in the procedure refers to both the SMS destination address and the SMSC address.

# 7.4 Timer Expiration

See ETSI TS 102 223 [32] clause 7.4.

## 7.5 Event download

See ETSI TS 102 223 [32] clause 7.5.

Regarding all the call events, the following equivalences shall apply:

- the "call setup message" is the SETUP message as defined in TS 24.008 [09];
- the "call connect message" is the CONNECT message as defined in TS 24.008 [09];
- the "disconnect messages" are the DISCONNECT, RELEASE, RELEASE COMPLETE messages as defined in TS 24.008 [09];
- the "NULL state" is the CC-U0 state as defined in TS 24.008 [09].

Regarding the location status event, the following equivalence shall apply:

- the "idle" state is the MM-IDLE state as defined in TS 24.008 [09] for GERAN/UTRAN and the EMM-IDLE state as defined in TS 24.301 [46] for E-UTRAN.

Where events occur and the UICC responds with '93 00', the ME shall retry to deliver the event download messages to the UICC.

## 7.5.1 I-WLAN Access status event

#### 7.5.1.1 Procedure

If the I-WLAN Access Status event is part of the current event list (as set up by the last SET UP EVENT LIST command, see clause 6.4.16), then, when the terminal detects a change in its current I-WLAN access the terminal shall inform the UICC that this has occurred, by using the ENVELOPE (EVENT DOWNLOAD – I-WLAN Access Status) command as defined in clause 7.5.1.2.

## 7.5.1.2 Structure of ENVELOPE (EVENT DOWNLOAD – I-WLAN Access Status)

Direction: terminal to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O	Min	Length
Event download tag	9.1	М	Υ	1
Length (A+B+C)	-	M	Υ	1 or 2
Event list	8.25	М	Υ	Α
Device identities	8.7	М	Υ	В
I-WLAN Access Status	8.84	M	Υ	С

Event list: the Event list data object shall contain only one event (value part of length 1 byte), and terminal shall set the event to:

I-WLAN Access Status.

Device identities: the terminal shall set the device identities to:

source: terminal; destination: UICC.

I-WLAN Access Status: this data object shall contain the I-WLAN Access status of the terminal.

Response parameters/data: None for this type of ENVELOPE command.

#### 7.5.1A MT Call event

#### 7.5.1A.1 Procedure

If the MT call event is part of the current event list (as set up by the last SET UP EVENT LIST command, see clause 6.4.16), then when the ME receives an incoming call setup message, the ME shall inform the UICC that this has occurred, by using the ENVELOPE (EVENT DOWNLOAD - MT call) command as defined in clause 7.5.1A.2.

### 7.5.1A.2 Structure of ENVELOPE (EVENT DOWNLOAD - MT call)

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O/C	Min	Length
Event download tag	9.1	M	Y	1
Length (A+B+C+D+E+F)	-	M	Υ	1 or 2
Event list	8.25	M	Y	Α
Device identities	8.7	M	Υ	В
Transaction identifier	8.28	M	N	С
Address	8.1	С	N	D
Subaddress	8.3	С	N	E
IMS URI	8.XXX	С	N	F

Event list: the event list object shall contain only one event (value part of length 1 byte), and terminal shall set the event to:

MT call.

Device identities: the ME shall set the device identities to:

source: network;destination: UICC.

Transaction identifier: the transaction identifier data object shall contain one transaction identifier, and this shall be the Transaction Identifier as defined in clause 8.28.

Address: the address data object holds the Calling Party number received by the terminal in the call setup message. If the Calling Party number is included in the call setup message, the terminal shall include the Address object. otherwise the terminal shall not include the Address object.

Subaddress: The Subaddress data object holds the Calling Party Subaddress as received by the terminal in the call setup message. If the Calling Party Subaddress is included in the call setup message, the terminal shall include the Subaddress object, otherwise the terminal shall not include the Subaddress object.

IMS URI: The IMS URI data object is a SIP or TEL URI of the Calling Party received by the terminal in the call setup message. This data object will be present only if the "URI support by UICC" Service is present in the USIM or the ISIM Service Table. The IMS URI data object shall contain the SIP URI or tel URI indicated in the P-Asserted-Identity field as defined in TS 24.229 [52]. In case the P-Asserted-Identity field is missing the SIP URI or tel URI indicated in the From header field shall be used.

Response parameters/data:

none.

# 7.5.2 Network Rejection event

#### 7.5.2.1 Procedure

If the Network Rejection event is part of the current event list (as set up by the last SET UP EVENT LIST command, see ETSI TS 102 223 [32] clause 6.4.16), then, in the case of GERAN/UTRAN if the terminal receives a LOCATION UPDATING REJECT message or a GPRS ATTACH REJECT message or a ROUTING AREA UPDATE REJECT message (as defined in TS 24.008 [9]) or in the case of E-UTRAN if the terminal receives an ATTACH REJECT message or TRACKING AREA UPDATE REJECT message, the terminal shall inform the UICC that this has occurred, by using the ENVELOPE (EVENT DOWNLOAD – Network Rejection Event) command as defined below.

#### 7.5.2.2 Structure of ENVELOPE (EVENT DOWNLOAD – Network Rejection)

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O	Min	Length
Event download tag	9.1	M	Υ	1
Length (A+B+(C or D or I)+E+F+G+H)	-	М	Υ	1
Event list	8.25	M	Υ	Α
Device identities	8.7	М	Υ	В
Location Information	8.19	С	N	С
Routing Area Identification	8.91	С	N	D
Tracking Area Identification	8.99	С	N	I
Access Technology	8.62	M	Υ	E
Update/Attach Type	8.92	M	Υ	G
Rejection Cause Code	8.93	M	Y	Н

Event list: the Event list data object shall contain only one event (value part of length 1 byte), and terminal shall set the event to:

- Network Rejection Event.
- Device identities: the terminal shall set the device identities to:

• source: Network:

• destination: UICC.

- Location information: This data object shall only be present when the ME receives a LOCATION UPDATING REJECT message, and shall contain the identification (MCC, MNC, and LAC) of the rejecting network.
- Routing Area Identification: This data object shall only be present when the ME receives a GPRS ATTACH REJECT message or a ROUTING AREAD UPDATE REJECT message and shall contain the identification (MCC, MNC, LAC and RAC) of the rejecting network.
- Tracking Area Identification: This data object shall only be present when the ME receives an EMM ATTACH REJECT or a TRACKING AREA UPDATE REJECT message and shall contain the identification (MCC, MNC and TAC) of the rejecting network.
- Access Technology: This data object shall contain the access technology of the rejecting network.
- Update/Attach Type: This data object contains the update or attach type that was used in the registration request message.
- Rejection Cause Code: This data object contains the cause code value that was received in the registration reject message.

Response parameters/data: None for this type of ENVELOPE command.

#### 7.5.2A Call connected event

See ETSI TS 102 223 [32] clause 7.5.2.

## 7.5.3 CSG Cell Selection event

The following clause applies if class "q" is supported

#### 7.5.3.1 Procedure

If the CSG Cell Selection event is part of the current event list (as set up by the last SET UP EVENT LIST command, see ETSI TS 102.223 [32]), then, when the ME detects a change in its current CSG or Hybrid cell selection status, the ME shall inform the UICC that it has occurred, using ENVELOPE (EVENT DOWNLOAD – CSG Cell Selection) as defined below.

## 7.5.3.2 Structure of ENVELOPE (EVENT DOWNLOAD – CSG Cell Selection)

Direction: ME to UICC

The command header is specified in TS 31.101 [13]

Command parameters/data

Description	Clause	M/O	Min	Length
Event download tag	9.1	M	Υ	1
Length (A+B+C+D+E+F)	-	M	Υ	1
Event list	8.25	M	Υ	Α
Device identities	8.7	M	Υ	В
Access Technology	8.62	С	Υ	С
CSG cell selection status	8.101	M	Υ	D
CSG ID	8.102	С	N	E
HNB name	8.103	С	N	F
PLMN ID	8.118	0	N	G

- Event list: the Event list data object shall contain only one event (value part of length 1 byte), and terminal shall set the event to:
  - CSG Cell Selection.
- Device identities: the terminal shall set the device identities to:

• source: Network;

• destination: UICC.

- Access Technology: This data object shall contain the access technology of the current serving cell. If the device is not camping on any cell, this data object shall not be present.
- CSG cell selection status: this data object shall contain CSG or Hybrid cell selection status. The ME should send the event indicating that it is not camped on a CSG or Hybrid cell only after a previous event that indicated that UE was camped on a CSG or Hybrid cell.
- CSG ID: If the UE is camping on a CSG or Hybrid cell in the Allowed CSG list or the Operator CSG list, this data object shall be present, and shall contain CSG id of the current serving CSG or Hybrid cell. In all other cases this data object shall not be present.
- HNB name: If the UE is camping on a CSG or Hybrid cell in the Allowed CSG list or the Operator CSG list and the HNB name of the cell is available in the broadcasted information to the ME, this data object shall be present, and shall contain the broadcasted HNB name of the current serving CSG or Hybrid cell. In all other cases this data object shall not be present.
- PLMN ID: If the UE is camping on a CSG or Hybrid cell that is listed in the Allowed CSG list or the Operator CSG list, this data object may be present, and, if present, shall contain the PLMN identity of the current serving CSG or Hybrid cell.

Response parameters/data: None for this type of ENVELOPE command.

#### 7.5.3A Call disconnected event

See ETSI TS 102 223 [32] clause 7.5.3.

#### 7.5.4 Location status event

See ETSI TS 102 223 [32] clause 7.5.4.

## 7.5.5 User activity event

See ETSI TS 102 223 [32] clause 7.5.5.

## 7.5.6 Idle screen available event

See ETSI TS 102 223 [32] clause 7.5.6.

#### 7.5.7 Card reader status event

See ETSI TS 102 223 [32] clause 7.5.7.

## 7.5.8 Language selection event

See ETSI TS 102 223 [32] clause 7.5.8.

#### 7.5.9 Browser termination event

See ETSI TS 102 223 [32] clause 7.5.9.

#### 7.5.10 Data available event

See ETSI TS 102 223 [32] clause 7.5.10.

## 7.5.11 Channel status event

See ETSI TS 102 223 [32] clause 7.5.11.

## 7.5.12 Access Technology Change Event

See ETSI TS 102 223 [32] clause 7.5.12.

## 7.5.13 Display parameters changed event

See ETSI TS 102 223 [32] clause 7.5.13.

## 7.5.14 Local Connection event

See ETSI TS 102 223 [32] clause 7.5.14.

# 7.5.15 Network Search Mode Change Event

See ETSI TS 102 223 [32] clause 7.5.15.

## 7.5.16 Browsing status event

See ETSI TS 102 223 [32] clause 7.5.16.

## 7.5.17 Frames Information changed event

See ETSI TS 102 223 [32] clause 7.5.17.

## 7.5.18 HCI connectivity event

Not required by 3GPP.

## 7.5.19 Contactless state request

Not required by 3GPP.

## 7.5.20 Incoming IMS Data event

The following clauses apply if classes "e" and "t" are supported.

#### 7.5.20.1 Procedure

If the Incoming IMS data event is part of the current event list (as set up by the last SET UP EVENT LIST command, see ETSI TS 102 223 [32]), then, in the case of an incoming IMS message to an IARI (see TS 24.229 [52]) associated to an application installed on the UICC and no open channel is available for IMS communication, see 3GPP TS 31.102 [14], the terminal shall inform the UICC that this has occurred, by using the ENVELOPE (EVENT DOWNLOAD – Incoming IMS data) command as defined below.

#### 7.5.20.2 Structure of ENVELOPE (EVENT DOWNLOAD – Incoming IMS Data)

Direction: ME to UICC

The command header is specified in TS 31.101 [13]

Command parameters/data

Description	Clause	M/O	Min	Length
Event download tag	9.1	M	Υ	1
Length A+B+G	-	M	Υ	1 or 2
Event list	8.25	M	Υ	Α
Device identities	8.7	M	Υ	В
IARI	8.110	M	Υ	G

- Event list: the Event list data object shall contain only one event (value part of length 1 byte), and terminal shall set the event to:
  - Incoming IMS Data event.
- Device identities: the terminal shall set the device identities to:

• source: Network;

destination: UICC.

- IARI: This data object contains the IARI included in the Accept-Contact (see TS 24.229 [52]) header field of the incoming SIP INVITE IMS message destined for the UICC.

Response parameters/data: None for this type of ENVELOPE command.

## 7.5.21 IMS Registration Event

The following clauses apply if classes "e" and "t" are supported.

#### 7.5.21.1 Procedure

If the IMS Registration event is part of the current event list (as set up by the last SET UP EVENT LIST command, see ETSI TS 102 223 [32]) and the SIP REGISTER message contains the IARIs defined in EF-UICCIARI, then, upon receiving the 200 OK (see 3GPP TS 24.229 [52]) message in response to the SIP REGISTER message (see 3GPP TS 24.229 [52]) or upon receiving any status code (see 3GPP TS 24.229 [52]) indicating a failure in response to the SIP REGISTER message, the terminal shall inform the UICC that this event has occurred, by using the ENVELOPE (EVENT DOWNLOAD – IMS Registration) command as defined below.

## 7.5.21.2 Structure of ENVELOPE (EVENT DOWNLOAD – IMS Registration)

Direction: ME to UICC

The command header is specified in TS 31.101 [13]

Command parameters/data

Description	Clause	M/O/C	Min	Length
Event download tag	9.1	М	Υ	1
Length (A+B+C) or (A+B+D)	-	M	Υ	1 or 2
Event list	8.25	М	Υ	Α
Device identities	8.7	М	Υ	В
IMPU list	8.111	С	Υ	С
IMS status code	8.112	С	Υ	D

- Event list: the Event list data object shall contain only one event (value part of length 1 byte), and terminal shall set the event to:
  - IMS Registration Event.
- Device identities: the terminal shall set the device identities to:

• source: Network;

destination: UICC.

- IMPU list: This data object shall contain the list of IMPUs built from the URIs from the aor (address of record) attributes for which within the same registration element at least one of the ME"s contact URIs has the status "active" (see RFC 3680 [55]) received in the registration event package (see 3GPP TS 24.229 [52]) of the SIP NOTIFY request. This data object shall only be present in the case of a successful registration. If the network indicates, using the SIP NOTIFY request containing the registration event package, that there are no aor attributes that for which within the same registration element at least one of the ME"s contact URIs has the status "active" then the ME shall send an empty list of IMPUs to the UICC.
- Status Code: This data object shall contain the Status-code (see 3GPP TS 24.229 [52]) received from the IMS network in response to a SIP REGISTER message. This data object shall only be present to indicate that a failure occurred during an IMS registration.

Response parameters/data: None for this type of ENVELOPE command.

#### 7.5.22 Profile Container

Not required by 3GPP.

## 7.5.23 Envelope Container

Not required by 3GPP.

#### 7.5.24 Poll Interval

See ETSI TS 102 223 [32] clause 7.5.22.

## 7.6 USSD Data Download

This clause applies if class "p" is supported.

#### 7.6.1 Procedure

If the service "data download via USSD and USSD application mode" is allocated and activated in the USIM Service Table (see TS 31.102 [14]), then the ME shall follow the procedure below:

- When the ME receives a USSD packet it shall pass the message transparently to the USIM using the ENVELOPE (USSD DOWNLOAD) if the Data Coding Scheme of the USSD message (as defined for the CBS Data Coding Scheme in TS 23.038 [4]) indicate the USIM as the target (Bit 0 set to 0 and Bit 1 set to 1):
  - The ME shall wait for an acknowledgement from the USIM:
    - if the UICC responds with '90 00', the ME shall acknowledge the receipt of USSD message to the network using a FACILITY message. The ME will supply the response data from the UICC in the USSD String of the return result component of the FACILITY message it will send back to the network (see TS 24.090 [37]). The alphabet and language indicators shall be those used in the original message.
    - if the USIM responds with '93 00', the ME shall either retry the command or send back a FACILITY message to the network. The ME will supply the status word followed by the response data from the UICC in the USSD String of the return result component of the FACILITY message it will send back to the network (see TS 24.090 [37]). The alphabet and language indicators shall be those used in the original message.
    - if the UICC responds with '62 XX' or '63 XX', the ME shall acknowledge the receipt of the USSD message to the network using a FACILITY message. The ME will supply the status word followed by the response data from the UICC in the USSD String of the return result component of the FACILITY message it will send back to the network (see TS 24.090 [37]). The alphabet and language indicators shall be those used in the original message.

If the service "data download via USSD and USSD application mode" is not allocated and activated in the USIM Service Table, and the ME receives a USSD message with a Data Coding Scheme indicating that the destination is the card (as defined above), the ME shall return a FACILITY message to the network. The ME will supply the status word '6D 00' (i.e. Instruction code not supported or invalid) in the USSD String of the return result component of the FACILITY message it will send back to the network (see TS 24.090 [37]). The alphabet and language indicators shall be those used in the original message.

# 7.6.2 Structure of ENVELOPE (USSD Data Download)

Direction: ME to UICC

The command header is specified in TS 31.101 [13].

Command parameters/data:

Description	Section	M/O	Min	Length
USSD Download tag	9.1	M	Υ	1
Length (A+B)	-	M	Υ	1 or 2
Device identities	8.7	M	Υ	Α
USSD string	8.17	M	Υ	В

- Device identities: the ME shall set the device identities to:

Source: Network

Destination: UICC

Response parameters/data:

It is permissible for the UICC not to provide response data. If the UICC provides response data, the following data is returned.

Byte(s)	Description	Length
1-X (X≤182)	UICC response	X

#### 7.7 MMS Transfer Status

See ETSI TS 102 223 [32] clause 7.6.

#### 7.8 MMS notification download

See ETSI TS 102 223 [32].

Considering the addressing mechanism to the UICC indicated in ETSI TS 102 223 [32] clause 7.7, the UICC shall be targeted using the following application identifier: "uicc.3gpp.org".

## 7.9 Terminal Applications

See ETSI TS 102 223 [32] clause 7.8.

## 7.10 Geographical Location Reporting

#### 7.10.1 Procedure

This clause applies if class "n" is supported.

If the ME has processed the proactive command "Geographical Location Request" successfully, then the ME shall send the ENVELOPE (Geographical Location Reporting).

It is acceptable for the ME to send the envelope even if the requested accuracy has not been achieved.

Note: some GAD Shapes contain the actual accuracy.

If positioning data cannot be provided, the envelope command shall neither include the GAD shape TLV nor the NMEA-sentence TLV.

If positioning data can be provided, the envelope command shall include either a GAD shape TLV or a NMEA-sentence TLV. The information sent by the ME is deemed fresh.

## 7.10.2 Structure of ENVELOPE (Geographical Location Reporting)

Direction: ME to UICC.

The command header is specified in TS 31.101 [13].

Command parameters/data.

Description	Clause	M/O/C	Min	Length
Geographical Location Reporting tag	9.1	M	Υ	1
Length (A or A+B or A+C)	-	M	Υ	1 or 2
Device identities	8.7	М	Υ	Α
GAD shape	8.95	С	N	В
NMEA sentence	8.96	С	N	С

- Device identities: the ME shall set the device identities to:

- source: ME;

- destination: UICC.

- GAD shape: This data object contains the location information.
- NMEA sentence: This data object contains the location information.

Response parameters/data: None for this type of ENVELOPE command.

### 7.11 Void

## 8 COMPREHENSION-TLV data objects

The coding of the TLV objects is as described in ETSI TS 102 223 [32] clause 8, except when stated otherwise in the present document.

## 8.1 Address

See ETSI TS 102 223 [32] clause 8.1.

## 8.2 Alpha identifier

See ETSI TS 102 223 [32] clause 8.2.

### 8.3 Subaddress

See ETSI TS 102 223 [32] clause 8.3.

## 8.4 Capability configuration parameters

Byte(s)	Description	Length
1	Capability configuration parameters tag	1
2 to (Y-1)+2	Length (X)	Y
(Y-1)+3 to	Capability configuration parameters	X
(Y-1)+X+2		

Capability configuration parameters are coded as for  $EF_{CCP}$ . If it is being provided by the UICC, the UICC shall supply all information required to complete the Bearer Capability Information Element in the Call Set-up message (see TS 24.008 [9]). Any unused bytes at the end of the value part shall be coded 'FF'.

See TS 31.102 [14] for the coding of all Efs.

NOTE: The second byte of this TLV contains the Length of the TLV and the third byte contains the Length of the bearer capability contents, followed by the actual contents.

## 8.5 Cell Broadcast Page

Byte(s)	Description	Length
1	Cell Broadcast page tag	1
2	Length = '58' (88 decimal)	1
3 - 90	Cell Broadcast page	88

The Cell Broadcast page is formatted in the same way as the GSM Cell Broadcast Message Parameter, as described in TS 23.041 [6].

### 8.6 Command details

The content and the coding of the Command Details TLV object is defined in ETSI TS 102 223 [32] clause 8.6, except for the following.

The coding of the Command Qualifier is defined for the following commands:

- SEND SS:

this byte is RFU.

- SEND USSD:

this byte is RFU.

- PROVIDE LOCAL INFORMATION. The following additional values are defined:

'00' = Location Information (MCC, MNC, LAC/TAC, Cell Identity and Extended Cell Identity)

'02' = Network Measurement results.

'05' = Timing Advance.

'0C' = current WSID.

'11' = CSG ID list and corresponding HNB name.

'12' = H(e)NB IP address.

'13' = H(e)NB surrounding macrocells.

The following values do not apply

'07' = Reserved by ETSI (ESN)

'0B' = Reserved by ETSI (MEID)

- REFRESH. The following additional values are defined:

'07' = Steering of Roaming as defined in TS 23.122 [7].

'08' = Steering of Roaming for I-WLAN as defined in TS 24.234 [42].

Geographical Location Request:

this byte is RFU.

- OPEN CHANNEL related to CS bearer, GPRS/UTRAN packet service/E-UTRAN, local bearer, Default (network) bearer, I-WLAN bearer, Terminal Server Mode, UICC Server Mode:
  - As defined in ETSI TS 102 223 [32]
- OPEN CHANNEL for IMS:
  - This byte is RFU

### 8.7 Device identities

See ETSI TS 102 223 [32] clause 8.7.

### 8.8 Duration

See ETSI TS 102 223 [32] clause 8.8.

### 8.9 Item

See ETSI TS 102 223 [32] clause 8.9.

### 8.10 Item identifier

See ETSI TS 102 223 [32] clause 8.10.

## 8.11 Response length

See ETSI TS 102 223 [32] clause 8.11.

### 8.12 Result

For the general result byte coding the following values are defined in addition to or replacement of those in ETSI TS 102 223 [32] clause 8.12:

- '14' = USSD or SS transaction terminated by the user
- '34' = SS Return Error;
- '35' = SMS RP-ERROR;
- '37' = USSD Return Error;
- '39' = Interaction with call control by USIM or MO short message control by USIM, permanent problem;
- Additional information:

#### Contents:

- For the general result "Command performed successfully", some proactive commands require additional information in the command result. This is defined in the clauses below. For the general result values '20', '21', '34', '35', '37', and '39', it is mandatory for the ME to provide a specific cause value as additional information, as defined in the clauses below. For other values, see ETSI TS 102 223 [32] clause 8.12.

#### 8.12.1 Additional information for SEND SS

When the ME issues a successful general result for a SEND SS proactive command, it shall also include the Operation Code and Parameters included in the Return Result component from the network, as additional information.

The first byte of the additional information shall be the SS Return Result Operation code, as defined in TS 24.080 [11].

The rest of the additional information shall be the SS Return Result Parameters, as defined in TS 24.080 [11].

## 8.12.2 Additional information for ME problem

For the general result "ME currently unable to process command", it is mandatory for the ME to provide additional information, the first byte of which to be as defined in ETSI TS 102 223 [32] clause 8.12.2, with the addition of the following value:

- '03' = ME currently busy on SS transaction;
- '08' = ME currently busy on USSD transaction.

### 8.12.3 Additional information for network problem

For the general result "network currently unable to process command", it is mandatory for the ME to provide additional information. The first byte shall be the cause value of the Cause information element returned by the network (as defined in TS 24.008 [9]). Bit 8 shall be set to '1'. One further value is defined:

- '00' = No specific cause can be given.

All other values shall be interpreted by the UICC as '00'. The coding '00' shall only be used by the ME if no others apply.

### 8.12.4 Additional information for SS problem

For the general result "SS Return Error", it is mandatory for the ME to provide additional information. The first byte shall be the error value given in the Facility (Return Error) information element returned by the network (as defined in TS 24.080 [11]). One further value is defined:

- '00' = No specific cause can be given.

All other values shall be interpreted by the UICC as '00'. The coding '00' shall only be used by the ME if no others apply.

## 8.12.5 Additional information for SMS problem

For the general result "SMS RP-ERROR", it is mandatory for the ME to provide additional information. The first byte shall be the cause value given in the RP-Cause element of the RP-ERROR message returned by the network (as defined in TS 24.011 [10]), with bit 8 = 0. One further value is defined:

- '00' = No specific cause can be given.

All other values shall be interpreted by the UICC as '00'. Specific cause '00' shall only be used by the ME if no others apply.

### 8.12.6 Not used

## 8.12.7 Additional information for USSD problem

For the general result "USSD Return Error", the ME shall provide additional information. The first byte shall be the error value given in the Facility (Return Error) information element returned by the network (as defined in TS 24.080 [11]). One further value is defined:

- '00' = No specific cause can be given.

All other values shall be interpreted by the UICC as '00'.

The coding '00' shall only be used by the ME if no others apply.

# 8.12.8 Additional information for interaction with call control or MO SM control

For the general result "interaction with call control by USIM or MO short message control by USIM, permanent problem", it is mandatory for the ME to provide additional information, the first byte of which to be as defined below:

- '00' = No specific cause can be given;
- '01' = Action not allowed;
- '02' = The type of request has changed.

All other values shall be interpreted by the UICC as '00'. The coding '00' shall only be used by the ME if no others apply.

## 8.12.9 Additional information for MultipleCard commands

See ETSI TS 102 223 [32] clause 8.12.9.

### 8.12.10 Additional information for launch browser problem

See ETSI TS 102 223 [32] clause 8.12.10.

### 8.12.11 Additional information for Bearer Independent Protocol

See ETSI TS 102 223 [32] clause 8.12.11.

### 8.12.12 Additional information for Frames commands

See ETSI TS 102 223 [32] clause 8.12.12.

# 8.12.13 Additional information for SUBMIT and RETRIEVE MULTIMEDIA MESSAGE

See ETSI TS 102 223 [32] clause 8.12.13.

### 8.13 SMS TPDU

Byte(s)	Description	Length
1	SMS TPDU tag	1
2 to (Y-1)+2	Length (X)	Υ
(Y-1)+3 to	SMS TPDU	X
(Y-1)+X+2		

The TPDU is formatted as described in TS 23.040 [5].

Where the TPDU is being sent from the UICC to the ME (to be forwarded to the network), and where it includes a TP-Message-Reference which is to be incremented by the ME for every outgoing message, the TP-Message-Reference as provided by the UICC need not be the valid value. TP-Message-Reference shall be checked and corrected by the ME to the value described in TS 23.040 [5].

## 8.14 SS string

Byte(s)	Description	Length
1	SS string tag	1
2 to (Y-1)+2	Length (X)	Y
(Y-1)+3	TON and NPI	1
(Y-1)+4 to	SS or USSD string	X - 1
(Y-1)+X+2		

TON/NPI and SS or USSD control string are coded as for  $EF_{ADN}$ , where the ADN record relates to a Supplementary Service Control string. See TS 31.102 [14] for the coding of  $EF_{ADN}$ .

## 8.15 Text string

Content and coding is defined ETSI TS 102 223 [32] clause 8.15, with the following requirement:

Data coding scheme is coded as for SMS Data coding scheme defined in TS 23.038 [4]. Parts of the data coding scheme other than the character set indication shall be ignored.

### 8.16 Tone

See ETSI TS 102 223 [32] clause 8.16.

NOTE: Standard supervisory tones for 3G are specified in TS 22.001 [22].

## 8.17 USSD string

Byte(s)	Description	Length
1	USSD string tag	1
2 to (Y-1)+2	Length (X)	Υ
(Y-1)+3	Data coding scheme	1
(Y-1)+4 to (Y-	USSD string	X-1
1)+X+2		

The Data coding scheme is coded as for Cell Broadcast defined in TS 23.038 [4]. The coding of the USSD string is defined in TS 22.030 [2].

NOTE 1: The MMI mode uses a 7 bit character set, the Application mode uses a 8 bit character set.

NOTE2: The DCS is set to 0x96 to indicate that the USSD string is formatted according to TS 31.115 [41].

### 8.18 File List

See ETSI TS 102 223 [32] clause 8.18.

## 8.19 Location Information

Byte(s)	Description	Length
1	Location Information tag	1
2	Length = '09' or '07' or '05' or '00' (see Note 1, Note 2 and	1
	Note 4)	
3 – 5	Mobile Country & Network Codes (MCC & MNC)	3
6 – 7	Location Area Code/Tracking Area Code (LAC/TAC)	2
8 – 9	Cell Identity Value (Cell ID) (see Note 2 and Note 3)	2
10 – 11	Extended Cell identity Value (see Note 1 and Note 2)	2
NOTE 1: The Extended Cell Identity Value is not available in GERAN. When in GERAN, this		

NOTE 1: The Extended Cell Identity Value is not available in GERAN. When in GERAN, this field shall not be present and the length field shall be set to "07".

NOTE 2: When this object is used in the Network Rejection event download, the Cell Identity Value (Cell ID) and the Extended Cell Identity Value fields shall not be present and the length field shall be set to '05'

NOTE 3: The E-UTRAN Cell Identifier (ECI) is coded on bytes 8 to 11.

NOTE 4: When PROVIDE LOCAL INFORMATION with command qualifier '13' is used and no surrounding macrocell is detected for an Access Technology, a location information data object with length set to '00' shall be present.

The Mobile Country Code (MCC), the Mobile Network Code (MNC) and the Location Area Code (LAC) are coded as in TS 24.008 [9].

The Tracking Area Code (TAC) is coded as in TS 24.301 [46].

For GERAN, the Cell Identity Value is coded as in TS 24.008 [9].

For UTRAN, only the C-id part of the UC-id is returned in the Cell Identity Value (i.e. the 16 least significant bits of the UC-id), as defined in TS 25.401 [35] and TS 25.413 [36].

The Extended Cell identity Value is coded as the RNC-id part of the UC-id, as defined in TS 25.401 [35] and TS 25.413 [36]. It is left padded with zeros (this means that byte 10 contains the 4 most significant bits of the RNC-id value, and byte 11 contains the 8 least significant bits of the RNC-id value).

For E-UTRAN, E-UTRAN Cell Identifier (ECI) is coded as defined in TS 36.401 [48]. ECI has a length of 28 bits. The most significant bit of ECI is coded on the most significant bit of byte 8. The least significant bit of ECI is coded on the 4<sup>th</sup> bit of byte 11. The 4 least significant bits of byte 11 shall be set to 1.

### 8.20 IMEI

See ETSI TS 102 223 [32] clause 8.20.

## 8.21 Help Request

See ETSI TS 102 223 [32] clause 8.21.

### 8.22 Network Measurement Results

Byte(s)	Description	Length
1	Network Measurement Results tag	1
2	Length (X) of bytes following	1
3 – to X+2	Network Measurement Results	Х

For GERAN: The Network Measurement Results are coded as for the Measurement Results information element

in TS 44.018 [27], starting at octet 2 (the IEI is removed, as this information is duplicated by the

data object tag). The Length shall be set to '10' (16 decimal).

For UTRAN: The Network Measurement Results are coded as for the "MeasurementReport" information

element as defined in the ASN.1 description of TS 25.331 [38], according to the following:

- The "Measurement identity" field in the MEASUREMENT REPORT shall be set to the value '1'.
- If "intra-frequency measurements" are requested by USIM, the ME shall, in the MEASUREMENT REPORT, include IE "Intra-frequency measured results list" in IE "Measured Results". The ME shall report CPICH Ec/No, CPICH RSCP and pathloss for the up to 6 strongest (highest Ec/No value) intra-frequency cells, if available in the ME according to TS 25.331 [38] and TS 25.133 [39].
- If "inter-frequency measurements" are requested by USIM, the ME shall, in the MEASUREMENT REPORT, include IE "inter-frequency measured results list" in IE "Measured Results". The ME shall report CPICH Ec/No, CPICH RSCP and pathloss for the up to 6 strongest (highest Ec/No value) inter-frequency cells per monitored frequency, if available in the ME according to TS 25.331 [38] and TS 25.133 [39].
- If "inter-RAT (GERAN) measurements" are requested by USIM, the ME shall, in the MEASUREMENT REPORT, include IE "inter-RAT measured results list" in IE "Measured Results". The ME shall report GSM carrier RSSI for the up to 6 strongest (highest RSSI value) inter-RAT GSM cells (identified by the BCCH ARFCN), if available in the ME according to TS 25.331 [38] and TS 25.133 [39].
- If "inter-RAT (E-UTRAN)" are requested by USIM, the ME shall, in the MEASUREMENT REPORT, include IE "E-UTRA measured results". The ME shall report RSRP and RSRQ for the up to 4 strongest (highest RSRQ value) inter-RAT E-UTRAN cells per monitored frequency, if available in the ME according to TS 25.331 [38] and TS 25.133 [39].
- All other optional fields in the MEASUREMENT REPORT shall be set to be absent.

For E-UTRAN:

Intra-frequency & inter-RAT (GERAN): the Network Measurement Results are coded as for the *MeasurementReport* information element as defined in the ASN.1 description of TS 36.331 [49], according to the following:

- The "measId" field in the "measResults" shall be set to the value '1'.
- the ME shall include IE "measResultServCell" with RSRP and RSRQ of the serving cell.
- If "intra-frequency measurements" are requested by USIM, the ME shall, in the *MeasurementReport*, include IE "measResultListEUTRA" in IE "measuredResults". The ME shall report RSRP, RSRQ, Physical Cell ID and IE "cgi-Info" for the up to 6 strongest (highest RSRQ value) intra-frequency cells, if available in the ME according to TS 36.331 [49] and TS 36.133 [50].
- If "inter-RAT (GERAN) measurements" are requested by USIM, the ME shall, in the *MeasurementReport*, include IE "measResultListGERAN" in IE "Measured Results". The ME shall report GERAN carrier RSSI and Physical Cell ID for the up to 6 strongest (highest RSSI value) inter-RAT GERAN cells (identified by the BCCH ARFCN) and IE "cgi-Info", if available in the ME according to TS 36.331 [49] and TS 36.133 [50].
- All other optional fields in the *MeasurementReport* shall be set to be absent.

Inter-frequency & inter-RAT (UTRAN): the ME can send more than one Network Measurement Results TLV object, each containing the results of one frequency. Each Network Measurement Results shall include 2 bytes with the frequency value coded as the ARFCN-ValueEUTRA for inter-frequency measurements or as the ARFCN-ValueUTRA for inter-RAT (UTRAN) measurements as defined in TS 36.331 [49], followed by the *MeasurementReport* information element as defined in the ASN.1 description of TS 36.331 [49], according to the following:

- The "measId" field in the "measResults" shall be set to the value '1'.
- the ME shall include IE "measResultServCell" with RSRP and RSRQ of the serving cell.
- If "inter-frequency measurements" are requested by the USIM, the ME shall, in the *MeasurementReport*, include IE "measResultListEUTRA" in IE "Measured Results". The ME shall report RSRP, RSRQ, Physical Cell ID and IE "cgi-Info" for the up to 6 strongest (highest RSRQ value) inter-frequency cells per monitored frequency, if available in the ME according to TS 36.331 [49] and TS 36.133 [50].
- If "inter-RAT (UTRAN) measurements" are requested by the USIM, the ME shall, in the MeasurementReport, include IE "measResultListUTRA" in IE "Measured Results". The ME shall report CPICH Ec/No, CPICH RSCP, Physical Cell ID and IE "cgi-Info" for the up to 6 strongest (highest Ec/No value) inter-RAT UTRAN cells per monitored frequency, if available in the ME according to TS 36.331 [49] and TS 36.133 [50].
- All other optional fields in the *MeasurementReport* shall be set to be absent.

For inter-frequency measurement results with EARFCN that exceeds maxEARFCN, the ME shall use the E-UTRAN Inter-frequency Network Measurement Results TLV objects if the service "extended EARFCN" is available in the USIM Service Table (see TS 31.102 [14]) or not include them otherwise.

### 8.23 Default Text

See ETSI TS 102 223 [32] clause 8.23.

### 8.24 Items Next Action Indicator

See ETSI TS 102 223 [32] clause 8.24.

#### 8.25 Event list

For the event list byte coding, the following value are defined in addition to those in ETSI TS 102 223 [32] clause 8.25:

- '11' = I-WLAN Access Status.

- '12' = Network Rejection
- '15' = CSG cell selection
- '17' = IMS Registration
- '18' = Incoming IMS data

### 8.26 Cause

Byte(s)	Description	Length
1	Cause tag	1
2	Length (X) of bytes following. $X=0$ , or $2 \le X \le 30$ .	1
3 to X+2	Cause	X

The Cause data object is coded as for the Cause call control information element in TS 24.008 [9], starting at octet 3 (the IEI and Length information are removed, as this information is duplicated by the data object tag and length).

Radio Link Timeout is indicated by the Cause data object having a value part of zero length (only the Tag and Length components are sent).

## 8.27 Location status

See ETSI TS 102 223 [32] clause 8.27.

### 8.28 Transaction identifier

Byte(s)	Description	Length
1	Transaction identifier tag	1
2	Length (X) of bytes following	1
3 to X+2	Transaction identifier list	X

- Transaction identifier list:

#### Contents:

- A list of transaction identifiers, of variable length. Each byte in the list defines a transaction identifier. Each transaction identifier shall not appear more than once within the list;

#### Coding:

- Each byte in the transaction identifier list shall be coded as defined below:

bits 1 to 4 = RFU;

bits 5 to 7 = TI value;

bit 8 = TI flag.

If the terminal supports IMS:

- TI value is an identifier generated by the terminal to uniquely identify the call, regardless of the bearer of the call.
- TI flag is:
  - o Call connected event: "1"
  - o MT Call event: "0"
  - o Call disconnected event: "0" if caller disconnects the call, "1" otherwise

(0)

If the terminal does not support IMS:

- TI value and TI flag are coded as defined in TS 24.007 [8].

### 8.29 BCCH channel list

This information is only available when the ME is connected to a GSM access network.

Byte(s)	Description	Length
1	BCCH channel list tag	1
2	Length (X) of bytes following	1
3 to X+2	BCCH channel list	X

BCCH channel list:

#### Contents:

- The list of absolute RF channels for BCCH carriers, as known by the ME from the SYSTEM INFORMATION messages. The BCCH channel list is composed of one to three BCCH channel sub lists, each sub list is derived from the set of frequencies defined by reference neighbour cells description information element or elements. In the latter case the set is the union of the different subsets defined by the neighbour cells description information elements (see TS 44.018 [27]). The length of the BCCH channel list field depends on the length of the received BCCH channel list derived from the different SYSTEM INFORMATION messages to be considered.

#### Coding:

- Each ARFCN is represented by 10 bits. Spare bit(s) are to be filled with 0.

	Bit 8	Bit 7	Bit 6	Bit 5	Bit 4	Bit 3	Bit 2	Bit 1
Byte 1				ARFCN#1	(high part)			
Byte 2	ARFCN#1	(low part)			ARFCN#2	(high part)		
Byte 3	ARFCN#2 (low part) ARFCN#3 (high part)							
Byte X-1		ARFCN#m-	1 (low part)			ARFCN#m	(high part)	
Byte X			ARFCN#m	(low part)			Spare bit	Spare bit

## 8.30 Call control requested action

Byte(s)	Description	Length
1	Call control requested action tag	1
2 to (Y-1)+2	Length (X)	Υ
(Y-1)+3 to (Y-	Call control requested action	Х
1)+X+2		

- Call control requested action:

#### Contents:

- The action given in response to the ENVELOPE (CALL CONTROL). It may contain, in the same order as given by the UICC, the address or SS string, the capability configuration parameters, the called party subaddress and the alpha identifier, or the IMS Request-URI;

### Coding:

- As described in clause 7.3.1.6, starting with the first optional element given in the response data to the ENVELOPE (CALL CONTROL).

### 8.31 Icon Identifier

See ETSI TS 102 223 [32] clause 8.31.

### 8.32 Item Icon Identifier list

See ETSI TS 102 223 [32] clause 8.32.

### 8.33 Card reader status

See ETSI TS 102 223 [32] clause 8.33.

### 8.34 Card ATR

See ETSI TS 102 223 [32] clause 8.34.

### 8.35 C-APDU

See ETSI TS 102 223 [32] clause 8.35.

## 8.36 R-APDU

See ETSI TS 102 223 [32] clause 8.36.

### 8.37 Timer identifier

See ETSI TS 102 223 [32] clause 8.37.

### 8.38 Timer value

See ETSI TS 102 223 [32] clause 8.38.

## 8.39 Date-Time and Time zone

See ETSI TS 102 223 [32] clause 8.39.

NOTE: coding is as for the Time Zone and Time information element in TS 24.008 [9], starting at octet 2.

## 8.40 AT Command

Byte(s)	Description	Length
1	AT Command tag	1
2 to (Y-1)+2	Length (X)	Υ
(Y-1)+3 to (Y-	AT Command string	X
1)+3+X-1	-	

#### Contents:

- The AT Command string is structured exactly as the AT Command line as defined in TS 27.007 [12], which may contain single or concatenated AT commands.

## 8.41 AT Response

Byte(s)	Description	Length
1	AT Response tag	1
2 to (Y-1)+2	Length (X)	Y
(Y-1)+3 to (Y-	AT Response string	X
1)+3+X-1		

#### Contents:

- The AT Response string is structured exactly as the response to a command line as defined in TS 27.007 [12], which may contain single or concatenated responses appropriate to the issued AT command.
- If the AT Response string is longer than the maximum length capable of being transmitted to the UICC then the AT Response string shall be truncated to this length by the ME.

## 8.42 BC Repeat indicator

Byte(s)	Description	Length
1	BC repeat indicator tag	1
2	Length	1
3	BC repeat indicator values	1

#### Contents & coding:

- The BC repeat indicator is structured exactly as defined in TS 24.008 [08].

## 8.43 Immediate response

See ETSI TS 102 223 [32] clause 8.43.

## 8.44 DTMF string

See ETSI TS 102 223 [32] clause 8.44.

## 8.45 Language

See ETSI TS 102 223 [32] clause 8.45.

## 8.46 Timing Advance

This information is only available when the ME is connected to a GSM access network.

Byte(s)	Description	Length
1	Timing Advance tag	1
2	Length = '02'	1
3	ME Status	1
4	Timing Advance	1

#### Coding of ME status:

- '00' = ME is in the idle state;
- '01' = ME is not in idle state;

- '02' to'FF'= reserved values.

The Timing Advance is coded as for the Timing Advance information element in TS 44.018 [27], starting at octet 2 (the IEI is removed, as this information is duplicated by the data object tag).

## 8.47 Browser Identity

See ETSI TS 102 223 [32] clause 8.37.

### 8.48 URL

See ETSI TS 102 223 [32] clause 8.48.

### 8.49 Bearer

Byte(s)	Description	Length
1	Bearer tag	1
2 to (Y + 1)	Length (X)	Υ
(Y+2) to (Y + X +1)	List of bearers in order of priority requested	X

The ME shall use this list to choose which bearers are allowed in order of priority.

Coding of the bearers:

- '00' = SMS;
- '01' = CSD;
- '02' = USSD;
- '03' = GPRS/UTRAN packet service/E-UTRAN;
- '04' to 'FF' = RFU.

## 8.50 Provisioning File Reference

See ETSI TS 102 223 [32] clause 8.50.

## 8.51 Browser Termination Cause

See ETSI TS 102 223 [32] clause 8.51.

## 8.52 Bearer description

Byte(s)	Description	Length
1	Bearer description tag	1
2	Length (X+1)	1
3	Bearer type	1
4 to (3+X)	Bearer parameters	Х

- Bearer Type coding: in addition to the values defined in ETSI TS 102 223 [32], the following are defined:

'01' = CSD;

'02' = GPRS / UTRAN packet service / E-UTRAN.

'09' = UTRAN packet service with extended parameters / HSDPA / E-UTRAN.

'0A' = I-WLAN.

'0B' = E-UTRAN / mapped UTRAN packet service.

- Bearer parameters coding: see the following clauses.

## 8.52.1 Bearer parameters for CSD

Contents: parameters specific to the bearer.

In this case X=3.

NOTE: The default values of the subparameters are manufacturer specific since they depend on the purpose of the device and data services provided by it. Not all combinations and values of these subparameters are supported by GSM (see TS 22.002 [1]).

#### Coding:

- The following values are as defined in the TS 27.007 [12] for the select service bearer type "+CBST" extended command. They are coded in hexadecimal.

### Coding of Byte 4:

- Data rate: same as the "speed" subparameter defined in TS 27.007 [12].

#### Coding of byte 5:

- Bearer service: same as the "name" subparameter defined in TS 27.007 [12].

#### Coding of Byte 6:

- Connection element: same as the "ce" subparameter defined in TS 27.007 [12].

## 8.52.2 Bearer parameters for GPRS/UTRAN Packet Service/E-UTRAN

Contents: parameters describing the Quality of Service (QoS) and the type of PDP. This is an element of the PDP context. These parameters can be used for 2G or 3G packet service.

In this case X=6.

#### Coding:

- The following values are as defined in the TS 27.007 [12], for the "+CGQREQ" extended command. They are coded in hexadecimal.

#### Coding of Byte 4:

- Precedence class: same as the "precedence" subparameter, defined in TS 27.007 [12].

#### Coding of Byte 5:

- Delay class: same as the "delay" subparameter, defined in TS 27.007 [12].

#### Coding of Byte 6:

- Reliability class: same as the "reliability" subparameter, defined in TS 27.007 [12].

### Coding of Byte 7:

- Peak throughput class: same as the "peak" subparameter, defined in TS 27.007 [12].

#### Coding of Byte 8:

- Mean throughput class: same as the "mean" subparameter, defined in TS 27.007 [12].

Coding of Byte 9:

- Packet data protocol type:

'02' = IP (Internet Protocol, IETF STD 5);

all other values are reserved.

Note: The mapping between the UTRAN and E-UTRAN QoS parameters are defined in TS 23.203 [47].

# 8.52.3 Bearer parameters for UTRAN Packet Service with extended parameters / HSDPA / E-UTRAN

Contents: parameters describing the Quality of Service (QoS) and the type of PDP. This is an element of the PDP context.

In this case X=17.

Coding:

- The following values are as defined in the TS 27.007 [12], for the "+CGEQREQ" extended command. They are coded in hexadecimal.

Coding of Byte 4:

- Traffic class: same as the "Traffic class" subparameter, defined in TS 27.007 [12].

Coding of Byte 5 and 6:

- Maximum bitrate UL: same as the "Maximum bitrate UL" subparameter, defined in TS 27.007 [12].

Coding of Byte 7 and 8:

- Maximum bitrate DL: same as the "Maximum bitrate DL" subparameter, defined in TS 27.007 [12].

Coding of Byte 9 and 10:

- Guaranteed bitrate UL: same as the "Guaranteed bitrate UL" subparameter, defined in TS 27.007 [12].

Coding of Byte 11 and 12:

- Guaranteed bitrate DL: same as the "Guaranteed bitrate DL" subparameter, defined in TS 27.007 [12].

Coding of Byte 13:

- Delivery order: same as the "Delivery order" subparameter, defined in TS 27.007 [12].

Coding of Byte 14:

- Maximum SDU size: same as the "Maximum SDU size" subparameter, defined in TS 27.007 [12].

Coding of Byte 15:

- SDU error ratio: same as the "SDU error ratio" subparameter, defined in TS 27.007 [12].

Coding of Byte 16:

- Residual bit error ratio: same as the "Residual bit error ratio" subparameter, defined in TS 27.007 [12].

Coding of Byte 17:

- Delivery of erroneous SDUs: same as the "Delivery of erroneous SDUs" subparameter, defined in TS 27.007 [12].

Coding of Byte 18:

- Transfer delay: same as the "Transfer delay" subparameter, defined in TS 27.007 [12].

Coding of Byte 19:

- Traffic handling priority: same as the "Traffic handling priority" subparameter, defined in TS 27.007 [12].

Coding of Byte 20:

- PDP\_type: same as the "PDP type" subparameter, defined in TS 24.008 [9] for ETSI or IETF allocated address.

Note 1: HSDPA parameters and UTRAN Packet Service parameters are the same except for the maximum bitrate DL and the guaranteed bitrate DL, which can be higher for HSDPA (see TS 24.008 [9]).

Note 2: The mapping between the UTRAN and E-UTRAN QoS parameters are defined in TS 23.203 [47].

### 8.52.4 Bearer parameters for I-WLAN

Content: parameters specific to the bearer. RFU.

In this case X=0

## 8.52.5 Bearer parameters for E-UTRAN / mapped UTRAN packet service

Contents: parameters describing the Quality of Service (QoS) and the type of PDP. This is an element of the PDP context.

In this case X=2 or X=6 or X=10, depending on the size of the "EPS quality of service" information element and the resource type (GBR or non-GBR).

In case of a non-GBR QCI, the QoS octets in the "EPS quality of service" information element are ignored by the UE, as specified in TS 24.301 [46]. In this case, the UE shall use X=2, passing only the QCI value.

Coding of Byte 4 to Byte X+2:

Byte 4 same as "octet 3" of the "EPS quality of service" information element, defined in TS 24.301 [46].

For a GBR QCI each subsequent Byte shall be present only if the corresponding next octet in the "EPS quality of service" information element is present. The coding of the corresponding bytes shall be the same.

Coding of Byte X+3:

- PDP\_type: same as the "PDP type" subparameter, defined in TS 24.008 [9] for ETSI or IETF allocated address.

### 8.53 Channel data

See ETSI TS 102 223 [32] clause 8.53.

## 8.54 Channel data length

See ETSI TS 102 223 [32] clause 8.54.

### 8.55 Buffer size

See ETSI TS 102 223 [32] clause 8.55.

### 8.56 Channel status

ETSI TS 102 223 [32] clause 8.56 applies, with the following addition.

In case of an OPEN CHANNEL for IMS, the coding is as follows:

Coding:

#### -byte 3:

- Bit 1 to 3: Channel identifier 1 to 7;

Channel identifier 0 means "no channel available".

- Bit 4 to 7: RFU
- Bit 8:0 = BIP channel not established;
  - 1 = BIP channel established.

#### - byte 4:

'00' = No further info can be given;

'01' = Not used;

'02' = Not used;

'03' = Not used;

'04' = Not used;

'05' = Link dropped (network failure or user cancellation);

all other values are reserved.

.

### 8.57 Card reader identifier

See ETSI TS 102 223 [32] clause 8.57.

### 8.58 Other Address

See ETSI TS 102 223 [32] clause 8.58.

## 8.59 UICC/ME interface transport level

See ETSI TS 102 223 [32] clause 8.59.

### 8.60 AID

See ETSI TS 102 223 [32] clause 8.60.

## 8.61 Network Access Name

Byte(s)	Description	Length
1	Network Access Name tag	1
2	Length (X)	1
3 to 3+X-1	Network Access Name	X

#### Content:

The Network Access Name is used to identify the Gateway entity (GGSN) or a Packet Data Network Gateway (PDN-GW), which provides interworking with an external packet data network. For GPRS, UTRAN packet service and E-UTRAN, the Network Access Name is an APN.

#### Coding:

- As defined in TS 23.003 [30].

## 8.62 Access Technology

See ETSI TS 102 223 [32] clause 8.61.

## 8.63 Display parameters

See ETSI TS 102 223 [32] clause 8.62.

### 8.64 Service Record

See ETSI TS 102 223 [32] clause 8.63.

## 8.65 Device Filter

See ETSI TS 102 223 [32] clause 8.64.

### 8.66 Service Search

See ETSI TS 102 223 [32] clause 8.65.

### 8.67 Attribute Information

See ETSI TS 102 223 [32] clause 8.66.

## 8.68 Service Availability

See ETSI TS 102 223 [32] clause 8.67.

## 8.69 Remote Entity Address

See ETSI TS 102 223 [32] clause 8.68.

## 8.70 Text Attribute

See ETSI TS 102 223 [32] clause 8.72.

## 8.71 Item Text Attribute List

See ETSI TS 102 223 [32] clause 8.73.

## 8.72 PDP context Activation parameters

Byte(s)	Description	Length
1	PDP context Activation parameters tag	1
2	Length (X)	1
3 to X+2	PDP context Activation parameters	X

The PDP context Activation parameters are coded as the ACTIVATE PDP CONTEXT REQUEST message, refer to TS 24.008 [9].

### 8.73 UTRAN/E-UTRAN Measurement Qualifier

This information is only available when the ME is connected to a UTRAN or an E-UTRAN.

Byte(s)	Description	Length
1	UTRAN/E-UTRAN Measurement Qualifier tag	1
2	Length (1)	1
3	UTRAN/E-UTRAN Measurement Qualifier	1

#### UTRAN/E-UTRAN Measurement Qualifier

Contents: Qualifier specific to the UTRAN/E-UTRAN NMR

Coding
--------

'01'	UTRAN Intra-frequency measurements
'02'	UTRAN Inter-frequency measurements
'03'	UTRAN Inter-RAT (GERAN) measurements
'04'	UTRAN Inter-RAT (E-UTRAN) measurements
'05'	E-UTRAN Intra-frequency measurements
'06'	E-UTRAN Inter-frequency measurements
'07'	E-UTRAN Inter-RAT (GERAN) measurements
'08'	E-UTRAN Inter-RAT (UTRAN) measurements

All other values are reserved

## 8.74 Multimedia Message Reference

See ETSI TS 102 223 [32] clause 8.82.

## 8.75 Multimedia Message Identifier

See ETSI TS 102 223 [32] clause 8.83.

## 8.76 Multimedia Message Transfer status

See ETSI TS 102 223 [32] clause 8.84.

### 8.77 MM Content Identifier

In addition to ETSI TS 102 223 [32] clause 8.85, the codinf of the MM Content Data Object tag is done according to TS 31.102[14].

## 8.78 Multimedia Message Notification

See ETSI TS 102 223 [32] clause 8.86.

## 8.79 Last Envelope

See ETSI TS 102 223 [32] clause 8.87.

## 8.80 Frames Layout

See ETSI TS 102 223 [32] clause 8.78.

### 8.81 Frames Information

See ETSI TS 102 223 [32] clause 8.79.

### 8.82 Frames identifier

See ETSI TS 102 223 [32] clause 8.80.

## 8.83 I-WLAN Identifier

Byte(s)	Description	Length
1	I-WLAN Identifier tag	1
2	Length (X)	1
3 to (2+X)	WSID value	X

The WSID Value is coded as the WLAN Specific Identifier (WSID) defined in TS 24.234 [42].

## 8.84 I-WLAN Access Status

Byte(s)	Description	Length
1	I-WLAN Access Status tag	1
2	Length (1)	1
3	Access status	1

#### Coding of Access status:

- '00' = No current I-WLAN coverage;
- '01' = I-WLAN coverage available, no current connection;
- '02' = I-WLAN coverage available, connection on-going;
- '03' to'FF'= reserved values.

### 8.85 IMEISV

See ETSI TS 102 223 [32] clause 8.74.

### 8.86 Network search mode

See ETSI TS 102 223 [32] clause 8.75.

## 8.87 Battery State

See ETSI TS 102 223 [32] clause 8.76.

## 8.88 Browsing status

See ETSI TS 102 223 [32] clause 8.77.

## 8.89 Registry application data

See ETSI TS 102 223 [32] clause 8.88.

### 8.90 PLMNwAcT List

Byte(s)	Description	Length
1	PLMNwAcT List tag	1
2	Length (5n)	1
3 to 5	1 <sup>st</sup> PLMN Identifier(highest priority)	3
6 to 7	1 <sup>st</sup> PLMN Access Technology Identifier	2
:	:	
(5n-2) to (5n)	nth PLMN Identifier (lowest priority)	3
(5n+1) to (5n+2)	nth PLMN Access Technology Identifier	2

Coding of PLMN Identifier:

As for PLMN within EF<sub>PLMNwACT</sub> in TS 31.102 [14].

Coding of PLMN Access Technology Identifier:

As for Access Technology Identifier within EF<sub>PLMNwACT</sub> in TS 31.102 [14].

## 8.91 Routing Area Identification

Byte(s)	Description	Length
1	Routing Area Information Tag	1
2	Length	1
3 - 5	Mobile Country & Network Codes (MCC & MNC)	3
6 - 7	Location Area Code (LAC)	2
8	Routing Area code (RAC)	1

When present, this object shall contain the Routing Area Identification information of rejecting network. The RAI is coded in the same manner as the value part of the Routing Area Identification information element as specified in TS 24.008 [9].

## 8.92 Update/Attach Type

Byte(s)	Description	Length
1	Update/Attach Type Tag	1
2	Length	1
3	Update/Attach Type	1

#### • Contents:

- In the case of GERAN/UTRAN, the terminal shall use this information as a mechanism to indicate to the UICC the location updating type that was sent in the LOCATION UPDATING REQUEST MESSAGE or the update type that was sent in the GPRS ATTACH REQUEST or ROUTING AREA UPDATING REQUEST message, as specified in TS 24.008 [9].
- In the case of E-UTRAN, the terminal shall use this information as a mechanism to indicate to the UICC the EPS attach type that was sent in the ATTACH REQUEST or TRACKING AREA UPDATE REQUEST message, as specified in TS 24.301 [46].

#### • Coding:

- '00' = "Normal Location Updating" in the case of a LOCATION UPDATING REQUEST message;

- '01' = "Periodic Updating" in the case of a LOCATION UPDATING REQUEST message;
- '02' = "IMSI Attach" in the case of a LOCATION UPDATING REQUEST message;
- '03' = "GPRS Attach" in the case of a GPRS ATTACH REQUEST message;
- '04' = "Combined GPRS/IMSI Attach" in the case of a GPRS ATTACH REQUEST message;
- '05' = "RA Updating" in the case of a ROUTING AREA UPDATE REQUEST message;
- '06' = "Combined RA/LA Updating" in the case of a ROUTING AREA UPDATE REQUEST message;
- '07' = "Combined RA/LA Updating with IMSI Attach" in the case of a ROUTING AREA UPDATE REQUEST message;
- '08' = "Periodic Updating" in the case of a ROUTING AREA UPDATE REQUEST message
- '09' = "EPS Attach" in the case of an EMM ATTACH REQUEST message
- '0A' = "Combined EPS/IMSI Attach" in the case of an EMM ATTACH REQUEST message
- '0B' = "TA updating" in the case of an EMM TRACKING AREA UPDATE REQUEST message
- '0C' = "Combined TA/LA updating" in the case of an EMM TRACKING AREA UPDATE REQUEST message
- '0D' = "Combined TA/LA updating with IMSI attach" in the case of an EMM TRACKING AREA UPDATE REQUEST message
- '0E' = "Periodic updating" in the case of an EMM TRACKING AREA UPDATE REQUEST message
- All other values are reserved for future use

## 8.93 Rejection Cause Code

Byte(s)	Description	Length
1	Rejection Cause Code Tag	1
2	Length	1
3	Rejection Cause Code	1

For GERAN/UTRAN, in the case of a LOCATION UPDATING REJECT message, this object shall contain the Reject Cause as received in the LOCATION UPDATING REJECT message. The Reject Cause is coded in the same manner as the value part of the Reject Cause information element as specified in TS 24.008 [9]

For GERAN/UTRAN, in the case of a GPRS ATTACH REJECT message or a ROUTING AREA UPDATE REJECT message, this object shall contain the GMM Cause as received in the GPRS ATTACH REJECT message or ROUTING AREA UPDATE REJECT message. The GMM Cause is coded in the same manner as the value part of the GMM Cause information element as specified in TS 24.008 [9].

For E-UTRAN, in the case of an EMM ATTACH REJECT message or an EMM TRACKING AREA UPDATE REJECT message, this object shall contain the EMM Cause are received in the EMM ATTACH REJECT message or EMM TRACKING AREA UPDATE REJECT message. The EMM Cause is coded in the same manner as the value part of the EMM Cause information element as specified in TS 24.301 [46].

## 8.94 Geographical Location Parameters

Byte(s)	Description	Length
1	Geographical Location Parameters Tag	1
2	Length	1
3	Horizontal accuracy	1
4	Vertical coordinate	1
5	Velocity	1
6	Preferred GAD shapes	1
7	Preferred NMEA sentences	1
8	Preferred Maximum Response Time	1

#### Horizontal accuracy:

#### Contents:

- the preferred horizontal accuracy.

#### Coding:

- '81': horizontal accuracy not specified / best effort;
- 'xx' where '00' ≤ 'xx' ≤ '7F': 'xx' represents the uncertainty for longitude and latitude as described in TS 23.032 [44]. A value in this range may be specified in the parameters of the "Geographical Location Request" command. The horizontal location error should be less than the error indicated by the horizontal accuracy with 67% confidence.
- All other values are reserved.

#### Vertical coordinate:

#### Contents:

- indicates if the vertical coordinate (altitude) is requested and potentially indicate the preferred vertical coordinate accuracy.

#### Coding:

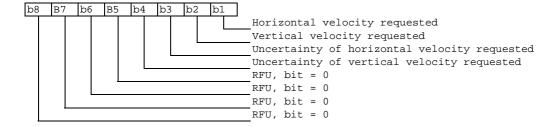
- '80': vertical coordinate is not requested (i.e. 2D location fix is acceptable);
- '81': vertical coordinate is requested, (i.e. 3D location fix is preferred) but accuracy is not specified (best effort);
- 'xx' where '00' ≤ 'xx' ≤ '7F': vertical coordinate is requested and 'xx' represents the altitude uncertainty as described in TS 23.032 [44]. A value in this range may be specified in the parameters of the "Geographical Location Request" command. The vertical location error should be less than the error indicated by the vertical accuracy with 67% confidence.
- All other values are reserved.

#### Velocity:

#### Contents:

- indicates if a velocity and a velocity uncertainty are requested. When a velocity type or an uncertainty are requested, the corresponding bit shall be set to 1. Otherwise the bit is set to 0. If b1 is set to zero, b2, b3 and b4 shall be ignored. If b2 is set to zero, b4 shall be ignored.

#### Coding:

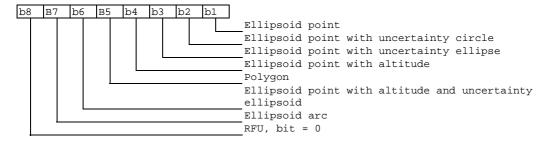


#### Preferred GAD shapes:

#### Contents:

- the preferred GAD shape(s). When a GAD shape is indicated as "preferred", the corresponding bit shall be set to 1. Otherwise the bit is set to 0. The UICC application should be capable of extracting the needed information from all GAD shapes indicated in the bit map below.

#### Coding:

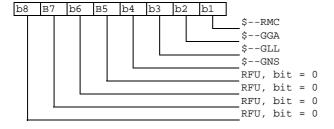


#### Preferred NMEA sentences:

#### Contents:

- the preferred NMEA sentence(s). When a NMEA sentence is indicated as "preferred", the corresponding bit shall be set to 1. Otherwise the bit is set to 0. The UICC application should be capable of extracting the needed information from all NMEA sentences indicated in the bit map below.

#### Coding:



#### Preferred Maximum Response Time:

#### Contents:

- indicates the preferred maximum response time. This hint may be used by the ME to make trade-offs between requirements for positioning accuracy and response time.

#### Coding:

- 'xx' where '02'  $\leq$  'xx'  $\leq$  '07':  $2^{\wedge^{'}xx'}$  represents the preferred maximum response time in seconds.
- All other values are reserved;

## 8.95 GAD shapes

Byte(s)	Description	Length
1	GAD shapes Tag	1
2	Length	1
3	Length of GAD shape	1
4 to X+3	GAD shape	X
X+4	Length of Velocity	1
X+5 to X+Y+4	Velocity	Υ

Length	of	GAD	sha	pe:
--------	----	-----	-----	-----

Contents:

- the length of the GAD shape.

Coding:

- binary.

GAD shape:

Contents:

- universal geographical area description shape.

Coding:

a) - shape encoded as described in TS 23.032 [44] with the first byte of the shape (i.e. octet 1 containing the type shape) encoded on byte 4.

Length of Velocity:

Contents:

- the length of the velocity. This byte shall be set to '00' when the Velocity is not available.

Coding:

- binary.

Velocity:

Contents:

- velocity.

Coding:

- velocity encoded as described in TS 23.032 [44] with the first byte of the velocity (i.e. octet 1 containing the velocity shape) encoded on byte X+5.

## 8.96 NMEA sentence

Byte(s)	Description	Length
1	NMEA sentence Tag	1
2	Length	1
3 to X+2	NMEA sentence	Χ

NMEA sentence:

Contents:

- NMEA sentence as defined in IEC 61162-1 [45]. The ME should use one of the Preferred NMEA sentences indicated in the "Geographical Location Parameters" by the UICC. Otherwise, one of the NMEA sentences listed in section 8.94 shall be used.

Coding:

ASCII;

### 8.97 PLMN List

Byte(s)	Description	Length
1	PLMN List tag	1
2	Length (3n)	1
3 to 5	1 <sup>st</sup> PLMN Identifier(highest priority)	3
:	:	
(3n) to (3n+2)	nth PLMN Identifier (lowest priority)	3

Coding of PLMN Identifier:

As for PLMN within EF<sub>OPLMNWLAN</sub> in TS 31.102 [14].

## 8.98 EPS PDN connection activation parameters

Byte(s)	Description	Length
1	EPS PDN connection Activation parameters tag	1
2	Length (X)	1
3 to X+2	EPS PDN connection Activation parameters	X

The EPS PDN connection Activation parameters are coded as the PDN CONNECTIVITY REQUEST message, refer to TS 24.301 [46].

Note: If the "Protocol configuration options" in the PDN CONNECTIVITY REQUEST message is too large (i.e. greater than 219-L, where L is the length of the Access point name Information Element), the ME may decide not to include the "Protocol configuration options" inside the "EPS PDN connection Activation parameters".

## 8.99 Tracking Area Identification

Byte(s)	Description	Length
1	Tracking Area Identification Tag	1
2	Length	1
3 - 5	Mobile Country & Network Codes (MCC & MNC)	3
6 - 7	Tracking Area Code (TAC)	2

This object shall contain the Tracking Area Identification information of rejecting network (i.e. MCC, MNC and TAC). The value part of this object is coded in the same manner as the value part of the Tracking Area Identity information element as specified in TS 24.301 [46].

## 8.100 CSG ID list identifier

Byte(s)	Description	Length
1	CSG ID list Identifier tag	1
2 to 1+Y	Length	Υ
Y+3 to Y+X+2	CSG ID List	X (X>1)

CSG ID List:

Description	Value	M/O	Length (bytes)
PLMN Tag	'80'	М	1
Length	Α	М	1
PLMN		М	Α
CSG ID and Name Tag	'81'	М	1
Length	В	М	1
CSG ID and Name	-	М	В

The CSG ID List TLV object shall contain one PLMN TLV object, Tag '80', followed by at least one CSG ID and Name TLV, Tag '81'. The CSG ID List TLV object can contain more than one PLMN TLV objects. Each CSG ID and Name TLV belongs to the previous PLMN.

Coding of PLMN object (Tag '80'):

Content:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 24.008 [9].

Coding of CSG ID and name (Tag '81'):

Content:

CSG ID and HNB Name

Coding:

1 to 4	CSG ID	М	4 bytes
5 to B	HNB Name	M	B-4 bytes

CSG ID: as in EF<sub>ACSGL</sub>, in TS 31.102 [14].

HNB Name: as for HNB name in EF<sub>HNBN</sub> in TS 31.102 [14].

## 8.101 CSG cell selection status

Byte(s)	Description	Length
1	CSG cell selection status tag	1
2	Length	1
3	CSG cell selection status	2

Coding of CSG cell selection status:

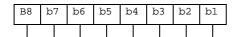
Byte 1: general information

- '00' = not camped on a CSG or Hybrid cell in the Allowed CSG list or the Operator CSG list
- '01' = camped on a CSG or Hybrid cell of the Operator CSG list or Allowed CSG list
- other values are RFU

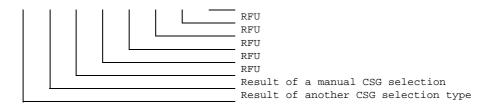
Byte 2: additional information

This byte may contain additional information. If additional information is present, bit b1 shall be set to 1. If b1 is set to 0, this byte shall be ignored.

Bits b7 and b8 indicate the CSG selection mechanism used; see TS 25.304 [59] and TS 36.304 [60].



Additional information presence bit



### 8.102 CSG ID

Byte(s)	Description	Length
1	CSG ID tag	1
2	Length	1
3 to X+2	CSG ID	Х

Coding of CSG ID:

As for CSG ID in EF<sub>ACSGL</sub>, in TS 31.102 [14]

## 8.103 HNB name

Byte(s)	Description	Length
1	HNB name tag	1
2	Length	1
3 to 2+X	HNB name	X

Coding of HNB name:

As for HNB name in EF<sub>HNBN</sub> in TS 31.102 [14]

## 8.104 Activate descriptor

Not required by 3GPP.

## 8.105 Broadcast Network information

Not required by 3GPP.

## 8.106 Contactless state request

Not required by 3GPP.

## 8.107 Contactless functionality state

Not required by 3GPP.

## 8.108 IMS URI

Byte(s)	Description	Length
1	IMS URI Tag	1
2 to (Y+1)	Length	Υ
(Y+2) to	IMS URI (IMPU)	X
(Y+X+1)		

#### Content:

IMS URI shall take the form of IMPU, which is SIP URI or tel URI, as defined in TS 24.229 [52]

Coding of IMS URI

As defined in TS 24.229 [52]

## 8.109 Extended registry application data

See ETSI TS 102 223 [32] clause 8.93.

## 8.110 IARI

Byte(s)	Description	Length
1	IARI Tag	1
2	Length	1
3 to X+2	IARI value	X
NOTE: X>0		

### • Coding:

- IARI value shall be coded as specified in TS 24.229 [52].

## 8.111 IMPU List

Byte(s)	Description	Length
1	IMPU List Tag	1
2	Length	X
3	URI TLV tag	1
4	URI TLV length	Z
	:	
		***

#### Coding:

- For contents and syntax of URI TLV data object values see IETF RFC 3261 [53]. The URI shall be encoded to an octet string according to UTF-8 encoding rules as specified in IETF RFC 3629 [54]. The tag value of the URI TLV data object shall be '80'.

### 8.112 IMS status code

Byte(s)	Description	Length
1	IMS Status-code Tag	1
2	Length	1
3 to X+2	IMS Status-code	X
NOTE: X>0		

- Coding:
  - The IMS Status-code will be coded as specified in 3GPP TS 24.229 [52].

## 8.113 eCAT client profile

Not required by 3GPP.

## 8.114 eCAT client identity

Not required by 3GPP.

## 8.115 Encapsulated envelope type

Not required by 3GPP.

### 8.116 Void

### 8.117 Void

## 8.118 PLMN ID

Byte(s)	Description	Length
1	PLMN Identity Tag	1
2	Length	1
3 to 5	PLMN identity	3

PLMN ID TLV object shall contain PLMN identity Tag followed by Length and PLMN identity, containing Mobile Country Code (MCC) and Mobile Network Code (MNC), coded according to TS 24.008 [9].

## 8.119 E-UTRAN Inter-frequency Network Measurement Results

Byte(s)	Description	Length
1	E-UTRAN Inter-frequency Network Measurement Results	1
	tag	
2	Length (X) of bytes following	1
3 – 6	Frequency value	4
7 – to X+6	Measurement Report information	X

The ME can send more than one E-UTRAN Inter-frequency Network Measurement Results TLV object, each containing the results of one frequency. This TLV object shall be used only if the service "extended EARFCN" is available in the USIM Service Table (see TS 31.102 [14]).

The frequency value shall be coded as the ARFCN-ValueEUTRA-v9e0 as defined in TS 36.331 [49].

The Measurement Report information shall be coded as *MeasurementReport* information element defined in the ASN.1 description of TS 36.331 [49], according to the following:

- The "measId" field in the "measResults" shall be set to the value '1'.
- the ME shall include IE "measResultServCell" with RSRP and RSRQ of the serving cell.
- the ME shall, in the *MeasurementReport*, include IE "measResultListEUTRA" in IE "Measured Results". The ME shall report RSRP, RSRQ, Physical Cell ID and IE "cgi-Info" for the up to 6 strongest (highest RSRQ value) inter-frequency cells per monitored frequency, if available in the ME according to TS 36.331 [49] and TS 36.133 [50].
- All other optional fields in the *MeasurementReport* shall be set to be absent.

## 9 Tag values

This clause specifies the tag values used to identify the BER-TLV and COMPREHENSION-TLV data objects used in the present document, in addition to those defined in ETSI TS 101 220 [43].

## 9.1 BER-TLV tags in ME to UICC direction

Description	Length of tag	Value
SMS-PP download tag	1	'D1'
Cell Broadcast download tag	1	'D2'
MO Short message control tag	1	'D5'
USSD download tag	1	'D9'
Geographical Location	1	'DD'
Reporting tag		

## 9.2 BER-TLV tags in UICC TO ME direction

No additional tag is defined for 3G.

## 9.3 COMPREHENSION-TLV tags in both directions

Description	Length of tag	Tag value, bits 1-7 (Range: '01' - '7E')	Tag (CR and Tag value)	Reassign (see NOTE)
SS string tag	1	'09'	'09' or '89'	yes
PLMN ID tag	1	'09'	'09' or '89'	yes
l Livily 15 tag	•	03	03 01 03	yes
USSD string tag	1	'0A'	'0A' or '8A'	yes
SMS TPDU tag	1	'0B'	'0B' or '8B'	yes
Cell Broadcast page tag	1	'0C'	'0C' or '8C'	yes
Cause tag	1	'1A'	'1A' or '9A'	yes
BCCH channel list tag	1	'1D'	'1D' or '9D'	yes
BC Repeat Indicator tag	1	'2A'	'2A' or 'AA'	yes
Timing Advance tag	1	'2E'	'2E' or 'AE'	yes
PDP context Activation parameters tag	1	"52"	"52" or "D2"	yes
UTRAN/E-UTRAN Measurement	1	'69'	'69' or 'E9'	yes
Qualifier tag				,
I-WLAN Identifier tag	1	'4A'	'4A' or 'CA'	yes
I-WLAN Access Status tag	1	'4B'	'4B' or 'CB'	yes
PLMNwAcT List tag	1	'72'	'72' or 'F2'	yes
Routing Area Information tag	1	'73'	'73' or 'F3'	yes
Update/Attach Type tag	1	'74'	'74' or 'F4'	yes
Rejection Cause Code tag	1	'75'	'75' or 'F5'	yes
Geographical Location Parameters tag	1	'76'	'76' or 'F6'	yes
IARI tag				,
GAD shapes tag	1	'77'	'77' or 'F7'	yes
IMPU list tag				,
NMEA sentence tag	1	'78'	'78' or 'F8'	yes
IMS Status-Code tag				,
PLMN List tag	1	'79'	'79' or 'F9'	yes
EPS PDN connection Activation	1	'7C'	'7C' or 'FC'	yes
parameters tag	•	. •		,
Tracking Area Identification tag	1	'7D'	'7D' or 'FD'	yes
CSG ID list tag	1	'7E'	'7E' or 'FE'	yes
CSG cell selection status tag	1	'55'	'55' or 'D5'	yes
CSG ID tag	1	'56'	'56' or 'D6'	yes
HNB name tag	1	'57'	'57' or 'D7'	yes
IMS URI tag	1	'31'	'31' or 'B1'	yes
E-UTRAN Inter-frequency Network Measurement Results tag	1	' <mark>aa</mark> '	' <mark>aa</mark> ' or ' <mark>bb</mark> '	yes

NOTE: Starting from Release 10, tag values are assigned in a context specific manner, i.e. the same tag value can be used for different data objects, provided that the object can be uniquely identified from the context of the proactive command or ENVELOPE command in which it is used.

The column "Reassign" indicates whether it is expected that a tag can be reassigned in a context specific manner (yes), whether that is not recommended (NR) because of potential future conflicts or if this shall not be done (no).

## 9.4 Type of Command and Next Action Indicator

The table below shows the values which shall be used for Type of Command coding (see clause 8.6) and Next Action Indicator coding (see clause 8.24) in addition to those defined in ETSI TS 102 223 [32] clause 9.4.

Value	Name	used for Type of Command coding	used for Next Action Indicator coding
'11'	SEND SS	X	X
'12'	SEND USSD	X	X
'16'	Geographical Location Request	X	

# 10 Allowed Type of command and Device identity combinations

Only certain types of commands can be issued with certain device identities. These combinations are defined below, in addition to ETSI TS 102 223 [32] clause 10.

Command description	Source	Destination
CELL BROADCAST DOWNLOAD	Network	UICC
MO SHORT MESSAGE CONTROL	ME	UICC
SEND SS	UICC	Network
SEND USSD	UICC	Network
I-WLAN Access Status	ME	UICC
Network Rejection	Network	UICC
Geographical Location Request	UICC	ME

## 11 Security requirements

TS 31.115 [41] and TS 31.116 [51] specify standardized methods of securing the content of application messages. If it is necessary to secure application messaging to Toolkit applications, then TS 31.115 [41] and TS 31.116 [51] may be used.

## Annex A (normative): Support of USAT by Mobile Equipment

Support of USAT is optional for Mobile Equipment. However, if an ME states conformance with a specific 3G/LTE release, it is mandatory for the ME to support all functions of that release.

The support of USAT implies the support of CAT (ETSI TS 102 223 [32]).

The support of letter classes, which specify mainly ME hardware dependent features, is optional for the ME and may supplement the USAT functionality described in the present document. If an ME states conformance to a letter class, it is mandatory to support all functions within the respective letter class.

The table below indicates the commands and functions of the optional letter classes.

Letter classes	Command/function description
a to m	See TS 102 223 [32]
n	Proactive command: Geographical Location Request
	Envelope command: Geographical Location Reporting
0	See TS 102 223 [32]
р	USSD Data download in application mode
q	Proactive command : Provide Local Information (CSG cell
	discovery)
	Event download : CSG cell selection
r	See TS 102 223 [32]
S	See TS 102 223 [32]
t	Event download: Incoming IMS Data
	Event download: IMS Registration
	Proactive command : OPEN CHANNEL for IMS
u	See TS 102 223 [32]
V	Proactive command : Provide Local Information (H(e)NB
	IP address)
W	Proactive command: Provide Local Information (H(e)NB surrounding macrocells)

# Annex B (informative): Example of DISPLAY TEXT Proactive UICC Command

See ETSI TS 102 223 [32] Annex B.

#### Annex C (normative): Structure of USAT communications

See ETSI TS 102 223 [32] Annex C.

# Annex D (informative): ME display in proactive UICC session

See ETSI TS 102 223 [32] Annex D.

# Annex E (informative): Help information feature processing

See ETSI TS 102 223 [32] Annex E.

## Annex F (informative): Monitoring of events

In addition to ETSI TS 102 223 [32] Annex F. , the following is defined:

Event	Continuously reported	Reported once
I-WLAN Access Status	X	
Network Rejection	X	
CSG cell selection	X	

#### Annex G (normative): Support of Multiple Card Operation

See ETSI TS 102 223 [32] Annex G.

#### Annex H (informative): Multiple Card proactive command examples

See ETSI TS 102 223 [32] Annex H.

#### Annex I (informative): Bearer independent protocol proactive command examples

See ETSI TS 102 223 [32] Annex I.

### Annex J (informative): WAP References

See ETSI TS 102 223 [32] Annex J.

# Annex K (informative): Use of USAT Bearer independent protocol for local links Bluetooth case

See ETSI TS 102 223 [32] Annex K.

#### Annex L (informative): Bluetooth Service Discovery protocol

See ETSI TS 102 223 [32] Annex L.

#### Annex M (informative): Use of USAT Bearer independent protocol for local links, server case

See ETSI TS 102 223 [32] Annex M.

# Annex N (informative): USSD information flow between the Network, the ME and the UICC

#### N.1 MMI Mode

Mobile initiated USSD operation, Nentwork does not request further information

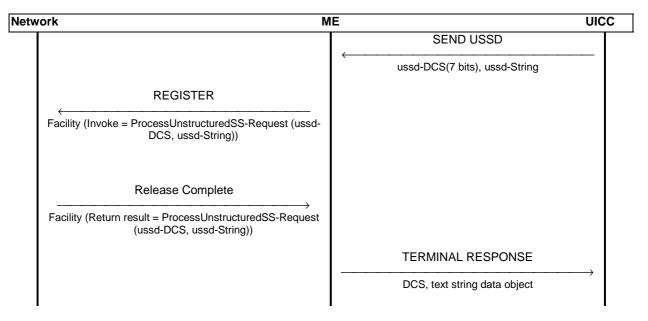


Figure N.1

Mobile initiated USSD operation, Network requests further information

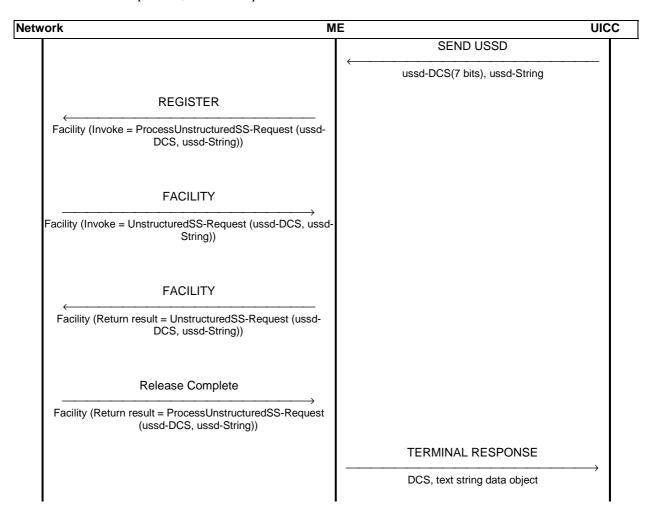


Figure N.2

#### N.2 Application Mode

Mobile initiated USSD operation, Network does not request further information

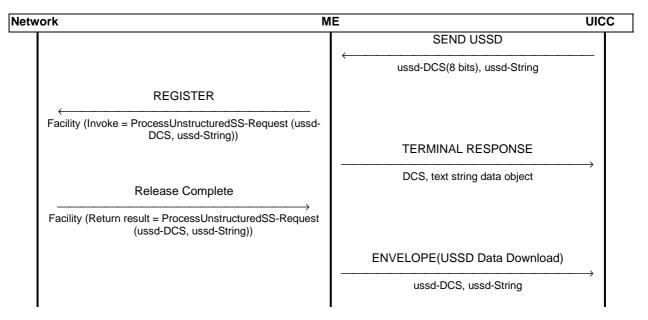


Figure N.3

Mobile initiated USSD operation, Network requests further information

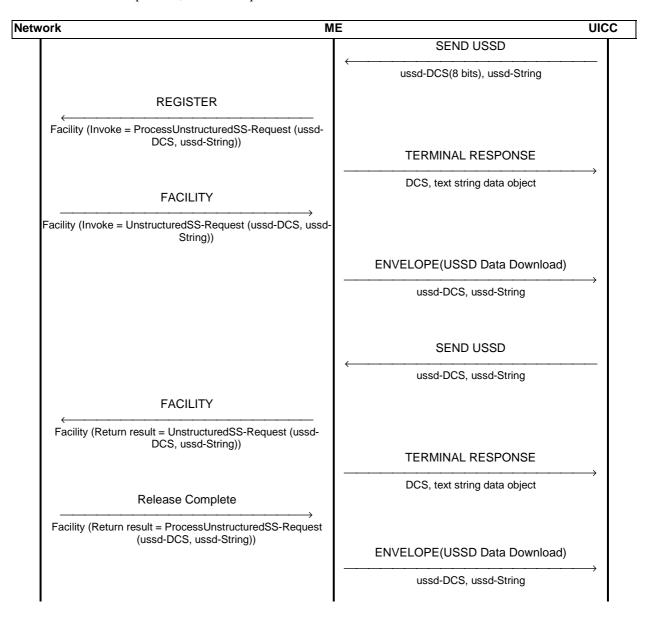


Figure N.4

#### N.3 USSD Data Download

Network initiated USSD operation

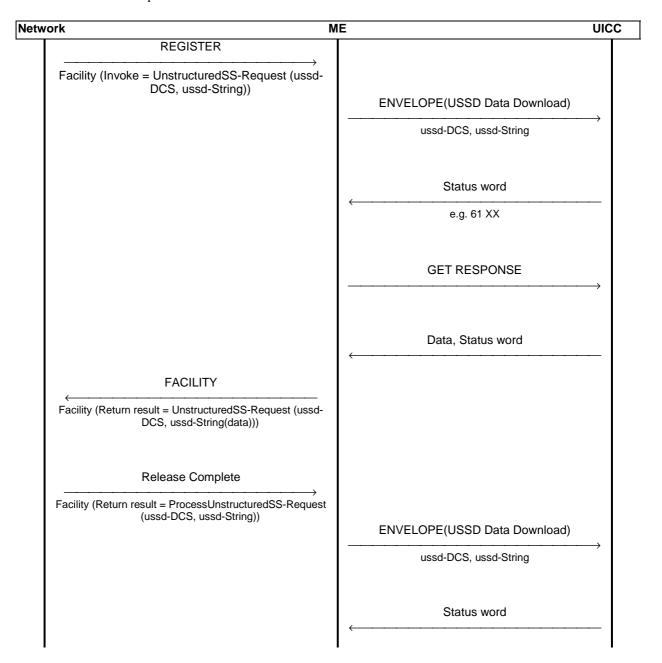


Figure N.5

#### Annex O (informative):

#### Geographical location information discovery information flow between the ME and the UICC

The ME accepts the parameters provided by the UICC

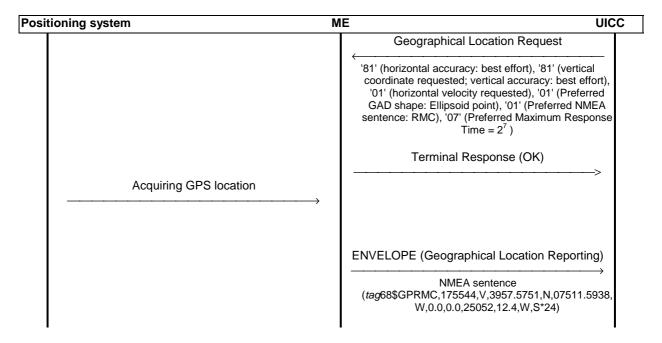


Figure 0.1

#### Annex P (normative): Support of USAT by Terminals with reduced feature capabilities.

See ETSI TS 102 223 [32] Annex S except for USAT-specific commands which are defined as follows.

Table P.1 provides the applicability of USAT-specific envelope commands for the different terminal types.

Table P.2 provides an overview of USAT-specific affected commands.

Table P.1: Envelope applicability table

Envelope	ND type	NK type	NA type	NS type	NL type
SMS-PP data download					
Cell Broadcast data download					
Call Control by USIM	Note 2				
MO Short Message Control by USIM	Note 2				
EVENT DOWNLOAD - I-WLAN Access status					
EVENT DOWNLOAD - Network Rejection					
USSD Data Download					
Geographical Location Reporting					

Note 1: "O" means proactive command is optional, No indication means that the proactive command is fully applicable.

Note 2: If an alpha identifier is provided by the UICC in the response, it shall be ignored by the terminal.

**Table P.2: Overview of affected commands** 

Command	ND type	NK type	NA type	NS type	NL type
SEND SS	partial				
SEND USSD - MMI Mode	partial				
SEND USSD - Application Mode	partial				
OPEN CHANNEL related to I-WLAN bearer	partial				
Geographical Location Request	partial				

Note: "O" means support of this command is optional, "partial" means parts of the command are affected. No indication means that the proactive command is fully applicable.

#### Annex Q (normative): Default routing for USAT over AT interface

#### Q.0 3GPP-specific facilities

The provisions of ETSI TS 102 223 [32] Annex T apply with the extensions given below.

In addition to the facilities given in ETSI TS 102 223 [32], the facilities given in table Q.1 may be supported by multiple entities at the same time.

Table Q.1: Additional facilities that may be supported by multiple entities

Facility	Remarks					
Proactive UICC: REFRESH						
Proactive UICC: SET UP EVENT LIST						
Event: Data available	Note 2					
Event: Channel status	Note 2					
Event: Local connection	Note 2					
Proactive UICC: OPEN CHANNEL	Note 1					
Proactive UICC: CLOSE CHANNEL	Note 2					
Proactive UICC: RECEIVE DATA	Note 2					
Proactive UICC: SEND DATA	Note 2					
Proactive UICC: GET CHANNEL STATUS						
Proactive UICC: SERVICE SEARCH Note						
Proactive UICC: GET SERVICE INFORMATION Note						
Proactive UICC: DECLARE SERVICE	Note 2					
Number of channels supported by terminal Note:						
TCP, UICC in client mode, remote connection Note						
UDP, UICC in client mode, remote connection Note 2						
Note 1: Uniqueness is provided by means of the bearer type.						
Note 2: Uniqueness is provided by means of the channel identifier.						
Note 3: The total number of channels supported shall be sum of the respective number of						
supported channels by each entity, limited to a maximum of 7.						

The list of facilities given in ETSI TS 102 223 [32] that can be provided by the MT only shall be considered a default list that applies if  $EF_{UFC}$  does not exist (see TS 31.102 [14]). If  $EF_{UFC}$  exists, the list coded in this file applies. However, the facilities below are inherent to MT operation and shall be considered MT only even if not indicated so in  $EF_{UFC}$ .

- PROVIDE LOCAL INFORMATION (MCC, MNC, LAC/TAC, Cell Identity and Extended Cell Identity)
- PROVIDE LOCAL INFORMATION (NMR)
- POLL INTERVAL
- POLLING OFF
- PROVIDE LOCAL INFORMATION (IMEI)
- PROVIDE LOCAL INFORMATION (IMEISV)
- PROVIDE LOCAL INFORMATION (Search Mode change)
- PROVIDE LOCAL INFORMATION (NMR(UTRAN/E-UTRAN))

#### Q.1 Default routing mechanism

In addition to the mechanism defined in ETSI TS 102 223 [32], the MT shall route USAT commands as follows:

- SET UP EVENT LIST shall be routed to all entities supporting the command, each containing only the events supported by the entity, even if the list is empty (which allows for proper deregistration of events set up earlier). For the TERMINAL RESPONSE to the UICC, the responses from the MT and the TE have to be combined as follows:
  - The MT shall check if it is able to set up the events it supports itself. If the MT is currently unable to process command or if the set up of the events would fail, the MT shall send this result in the TERMINAL RESPONSE without forwarding the command to the TE.
  - If the MT is capable of setting up the MT events, the list of TE events shall be forwarded to the TE and the TE shall send its TERMINAL RESPONSE.
  - If the TE command was successful, the MT shall set up its events and report that the command was performed in the TERMINAL RESPONSE. If the MT or the TE or both have performed the command with partial comprehension or with missing information, this shall be reflected in the TERMINAL RESPONSE; if one reported partial comprehension and the other missing information, the MT response takes precedence.
  - If the TE reports that it is currently unable to process command or the command failed, the MT shall report this in the TERMINAL RESPONSE.
- REFRESH shall be routed to all entities supporting the command to inform them about modified Efs; only the MT shall perform other activities indicated in the command (e.g. UICC reset). For the TERMINAL RESPONSE to the UICC, the responses from the MT and the TE have to be combined as follows:
  - The MT shall check if it is able to perform the REFRESH. If the MT is currently unable to process the command or the command would fail, the MT shall send this result in the TERMINAL RESPONSE without forwarding the command to the TE.
  - If the MT is capable of performing the REFRESH, the command shall be forwarded to the TE and the TE shall send its TERMINAL RESPONSE, but if there is a refresh action to be performed by the MT (e.g. USIM initialisation), the MT shall send its response to the TE's TERMINAL RESPONSE only after the refresh action has started to avoid that the TE tries to access the UICC before the refresh action.
  - If the TE command was successful, the MT shall perform the REFRESH and report that the command was performed in the TERMINAL RESPONSE. If the MT or the TE have performed the command with a limitation (partial comprehension, missing information, additional Efs read, requested icon could not be displayed or USIM/ISIM was not active) this shall be reflected in the TERMINAL RESPONSE; if both reported different limitations, the MT response takes precedence.
  - If the TE reports that it is currently unable to process command or the command failed, the MT shall report this in the TERMINAL RESPONSE.
- OPEN CHANNEL shall be routed according to the indicated bearer type. To avoid conflicts in channel identifier assignment, the MT shall replace the destination device identity by an available channel identifier and the entity providing the bearer type shall use this channel identifier in its response.
- Subsequent BIP commands shall be routed according to the channel identifier.

#### Q.2 Combination rules for terminal profiles

In addition to the mechanism defined in ETSI TS 102 223 [32], the MT shall proceed as follows when combining the MT and TE profiles:

• Number of channels supported by terminal for BIP: Here the indicated numbers of the different entities shall be added and the sum, limited to a maximum of 7, shall be provided in the combined terminal profile.

### Annex R (informative): UICC access to IMS, command flow examples

This annex applies if class "e" and "t" are supported.

The flowcharts provided in this annex are illustrative examples. The listing of commands is not exhaustive and the timing/order of commands may differ. All SIP requests and responses received by the ME within the SIP dialog established by the SIP INVITE request are sent to the UICC.

#### R.1 Discovery of the UICC's IARI and IMS Registration

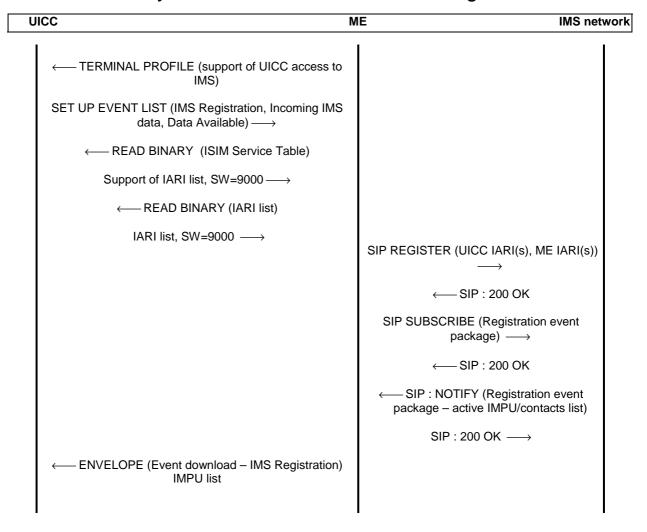


Figure R.1

If the ISIM is present, the list of IARIs associated with active applications installed on the UICC is located in the ISIM. Otherwise, the list of IARIs associated with active applications installed on the UICC is located in the USIM. The case where the ISIM is supported is shown in the command flow.

The ME will register the IARI(s) associated with active applications installed on the UICC and the IARI(s) of applications installed in the ME. The ME does not need to wait for SET UP EVENT LIST command to register to IMS. Therefore it is recommended that the UICC sends the SET UP EVENT LIST as soon as possible to avoid the case where the ME registers to the IMS network before the UICC can be informed of this.

Since the IMS Registration and Incoming IMS data events may occur at anytime, it is assumed that the UICC will keep monitoring both events.

#### R.2 Notification of Incoming IMS data

UICC IMS network ← SIP : INVITE (UICC IARI) — ENVELOPE (event download : Incoming IMS data) with the IARI OPEN CHANNEL for IMS ----> IARI, buffer size ← Terminal Response (Channel identifier) ← ENVELOPE (event download : Data Available) RECEIVE DATA → ← Terminal Response (SIP INVITE message) SEND DATA (Immediate, Data) ----> SIP : 200 OK *→* ← Terminal Response (OK) [Messages specific to the current SIP dialog not shown] SEND DATA (Immediate, Data) — SIP : BYE  $\longrightarrow$ Terminal Response (OK) — SIP : 200 OK - ENVELOPE (event download : Data available) with the Channel identifier RECEIVE DATA → ← Terminal Response (OK) CLOSE CHANNEL(Channel identifier) -Terminal Response(OK)

Figure R.2

When an incoming SIP message is received, the ME checks the IARI to see if the destination application resides on ME or on the UICC. If the IARI is associated with an active application installed on the UICC and there is not any channel to the UICC associated with that IARI, the ME informs the UICC with an ENVELOPE Incoming IMS data event command. The UICC sends an Open Channel for IMS proactive command upon reception of this ENVELOPE command. At end of the SIP dialog, the UICC closes the channel to free resources.

This flowchart occurs after a successful IMS registration is completed and the UICC is registered to the Incoming IMS data event. Otherwise the ME discards the incoming SIP INVITE message.

#### R.3 UICC originating a SIP message

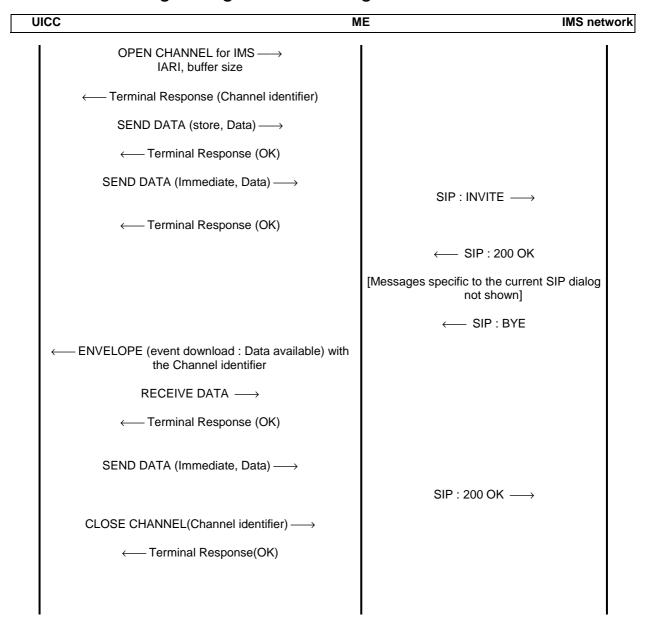


Figure R.3

The UICC will close the channel at the end of the SIP dialog.

# Annex S (informative): Change History

TSG#	TSG TD#	WG TD#	CR	Rev	Cat	Subject/Comment	New
CT-28	CP-050141	C6- 050419	144	-	F	Clarification on the coding of the DCS field for USSD data download	7.0.0
CT-29	CP-050341	C6- 050717	149	-	F	Transfer MMS commands to SCP	7.1.0
CT-30	CP-050500	C6- 050880	152	-	Α	Missing data objects concerning Frames	7.2.0
CT-30	CP-050500	C6- 050887	150	-	Α	Correction of the length of Bearer parameters for UTRAN Packet Service	7.2.0
CT-30	CP-050497	C6- 050896	153	-	В	Extension of BIP bearers with I-WLAN	7.2.0
CT-31	CP-060019	C6- 060124	155	-	Α	Corrections to align TS 31.111 with ETSI TS 102 223 and ETSI TS 101 220	7.3.0
CT-31	CP-060019	C6- 060127	157	-	Α	Introduction of HSDPA in BIP	7.3.0
CT-31	CP-060024	C6- 060185	158	-	D	Editorial corrections	7.3.0
CT-31	CP-060024	C6- 060186	159	-	F	Alignment with SCP specifications	7.3.0
CT-31	CP-060157	C6- 060195	161	-	Α	Correction on 'USIM Service table' in data SMS-PP download	7.3.0
CT-32	CP-060244	C6-	0162	-	F	Incorrect Service Table referenced	7.4.0
CT-33	CP-060476	060320 C6- 060603	0167	-	Α	UTRAN NMR encoding and Local Information clarifications	7.5.0
CT-35	CP-070060	C6-	0169	1	Α	Removal of re-introduced text for Call Control User indication	7.6.0
CT-36	CP-070300	070092 C6-	0176	2	F	Correction of possible Terminal Responses to the Send SMS	7.7.0
CT-36	CP-070300	070334 C6-	0181	2	F	Addition of missing Frame Identifiers to proactive commands	7.7.0
CT-36	CP-070300	070320 C6-	0184	-	F	Correction for the general results "SS Return Error" and	7.7.0
CT-37	CP-070616	070294 C6-	0185	1	F	"USSD Return Error" Incomplete Launch Application command definition	7.8.0
CT-37	CP-070612	070419 C6-	0187	-	Α	Correction to UTRAN Network Measurement Results	7.8.0
CT-37	CP-070661	070384 Rev2 of C6-	0188	5	В	Steering of Roaming Refresh Command	7.8.0
CT-38	CP-070842	070435 C6-	0190	5	В	Addition of EVENT: Network Rejection	8.0.0
CT-39	CP-080169	070588 C6-	0191	-	F	Correction to RAC in Event : Network Rejection	8.1.0
		080028				Numbering of clauses corrected	8.1.1
CT-40	CP-080386	C6-	0193	2	 F	Explicit definition of Provide Local Information values	8.2.0
CT-41	CP-080658	080149	0196	4	В	Introduction of a geographical location discovery mechanism	8.3.0
CT-41	CP-080586	- C6-	0197	-	F	in the USIM Toolkit Correction of a missing closing parenthesis in 31.111 8.19.	8.3.0
CT-42	CP-080903	080280 C6-	0198	3	В	I-WLAN Steering of Roaming Refresh Command	8.4.0
CT-42	CP-080908	080470 C6-	0199	1	F	Correct Geographical Location Request Terminal response	8.4.0
		080473				value Upgrade to copyright, keywords and logo for LTE	8.4.0
CT-43	CP-090188	 C6-	- 201	2	 B	Support of EPS in USAT: BIP, Provide Local Information, Call	8.5.0
CT-43	CP-090188	090058 C6-	207	1	В	control  Definition of the 'idle' state in Event Download (Location	8.5.0
CT-43	CP-090190	090064 C6-	206	1	Α	Status) to include E-UTRAN  Downgrade of Data download via USSD and USSD	8.5.0
CT-43	CP-090197	090060 C6-	203	-	F	application mode  Correction of formatting error in Terminal Profile and in	8.5.0
CT-43	CP-090240	090017	200	1	В	section titles Support of EPS in Network Rejection Event	8.5.0
01-40	01 030240		200	<u>'</u>		Outport of Et O III NOTWORK INGJOURDIT EVERIL	5.5.0

TSG#	TSG TD#	WG TD#	CR	Rev	Cat	Subject/Comment	New
CT-43	CP-090242		202	1	F	Reduced USAT capable terminals	8.5.0
						Composition to history table varies autilia av CT 42	0.5.4
CT-43	CP-090455	C6-	209	1	Α	Correction to history table version entries ex CT-43.  Correction to OPEN CHANNEL	8.5.1 8.6.0
C1-43	CF-090455	090169	209	'	A	Conection to OPEN CHANNEL	0.0.0
CT-43	CP-090456	C6- 090114	212	-	F	NMEA sentence reference	8.6.0
CT-43	CP-090452	C6- 090170	213	1	F	IMSI changing procedure	8.6.0
CT-43	CP-090456	C6- 090116	214	-	F	Network Rejection Event for GERAN/UTRAN	8.6.0
CT-43	CP-090452	C6- 090172	215	1	F	Support of E-UTRAN in Provide Local Information for NMR	8.6.0
CT-45	CP-090716	C6- 090315	225	-	Α	Correction of an open reference and clarification of display icon	8.7.0
CT-45	CP-090715	C6- 090300	226	-	F	Correction of missing reference to 3GPP specification	8.7.0
CT-46	CP-090994	C6- 090465	220	2	В	Discovery of surrounding CSG cells	9.0.0
CT-46	CP-090994	C6- 090466	227	1	В	CSG cell selection event	9.0.0
CT-47	CP-100186	C6- 100089	235	-	F	Completion of implementation of CR 0227 (tag values)	9.1.0
CT-47	CP-100186	C6- 100104	236	-	F	Correction of erroneous use of the "H(e)NB" term	9.1.0
CT-47	CP-100181	C6- 100082	231	2	Α	ETSI SCP references correction	9.1.0
CT-48	CP-100392	C6- 100271	0243	1	F	Update of the specification and of references to SCP specifications	9.2.0
CP-48	CP-100393	C6- 100289	0240	1	Α	Correction to security requirement references	9.2.0
CP-48	CP-100393	C6- 100296	0248	1	Α	Correction of TERMINAL RESPONSE	9.2.0
CP-49	CP-100596	C6- 100329	0254	-	F	HNB name corrections	9.3.0
CP-49	CP-100595	C6- 100332	0257	-	D	Editorial correction in Comprehention-TLV Tag list	10.0.0
CP-50	CP-100828	<u>C6-</u> 100638	0272	1	Α	Reference update and restoration of the Terminal Profile's bytes with letter class	10.1.0
CP-50	CP-100828	<u>C6-</u> 100641	0271	1	Α	Essential corrections to NMR and UTRAN/E-UTRAN Measurement Qualifier	10.1.0
CP-50	CP-100825	<u>C6-</u> 100645	0270	2	В	Communication control for IMS	10.1.0
CP-50 CP-51	CP-100841	Ce	0274 0275	- 1	A B	Clarification on EVENT DOWNLOAD - CSG cell selection	10.1.0
CP-51	<u>CP-110239</u>	<u>C6-</u> 110063	0275	1	В	Rules for multiple entities providing USAT facilities	10.2.0
CP-51	CP-110243	<u>C6-</u> 110080	0276	1	С	Addition of Direct Communication Channel for BIP terminal server mode	10.2.0
CP-51	<u>CP-110238</u>	<u>C6-</u> 110155	0279	1	В	Introduction of the IARI based Incoming IMS Data event	10.2.0
CP-51	CP-110238	<u>C6-</u> 110156	0280	1	В	Introduction of ME behaviour during a REFRESH of EF_UICCIARI	10.2.0
CP-51	CP-110238	<u>C6-</u> 110167	0281	-	В	Introduction of the IARI based IMS Registration event	10.2.0
CP-51	CP-110298		0282	4	В	Introduction the IARI based Open Channel command	10.2.0
CP-51	<u>CP-110243</u>	<u>C6-</u> <u>110193</u>	0290	1	С	Enable Re-assignment of tag values	10.2.0
CP-51	CP-110217		0292	-	Α	Essential corrections to the CSG cell selection status event and command PROVIDE LOCAL INFORMATION related to CSG	10.2.0
CP-52	CP-110530		0291	2	F	Essential correction on the length size for the IMS Registration Event envelope command	10.3.0
CP-52	CP-110530		0292	2	F	Correction to IMS Registration event letter class	10.3.0
CP-52	CP-110530		0294	3	F	Reservation of a bit in Terminal profile for Open Channel for IMS	10.3.0
CP-52	CP-110499	<u>C6-</u> 110287	0296	2	Α	Removal of the mandatory Home eNodeB name support in USAT	10.3.0
CP-53	CP-110596	<u>C6-</u> 110404	0297	2	F	Corrections to IMS Registration Event and Open Channel for IMS USAT commands	10.4.0
CP-54	CP-110901	<u>C6-</u> 110586	0300	1	F	Essential correction to call control by USIM using IMS Request-URI parameter	10.5.0
CP-54	CP-110901	<u>C6-</u>	0304	1	F	Alignment with ETSI TS 102 223 regarding eCAT	10.5.0
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CP-54	CP-110901	<u>C6-</u> 110590	0303	1	F	Correction of USAT over AT commands	10.5.0
CP-54	CP-110901	<u>C6-</u> 110587	0301	2	Α	Essential correction to call control by USIM using IMS Request-URI parameter	11.0.0
CP-54	CP-110900	<u>C6-</u> 110580	0299	2	С	Definition of procedures for Steering of Roaming	11.0.0
CP-55	CP-120146	<u>C6-</u> 120089	0313	1	Α	Update of reference to ETSI TS 102 223	10.6.0
CP-55	CP-120147	<u>C6-</u> 120101	0317		Α	Correction to channel status data object	10.6.0
CP-56	CP-120387	C6- 120260	321	1	Α	Correction of bearer parameters for E-UTRAN / mapped UTRAN packet service	10.7.0
CP-56	CP-120388	C6- 120269	322	1	D	Deletion of COMPREHENSION-TLV tag value from 3GPP specification	10.7.0
CP-56	CP-120388	C6- 120270	324	1	D	Correction in the Geographical location information discovery information flow between the ME and the UICC	10.7.0
CP-56	CP-120406	C6- 120281	315	3	В	Addition of Provide local information, H(e)NB IP address	10.7.0
CP-56	CP-120406	C6- 120282	316	4	В	Addition of Provide local information, H(e)NB surrounding macrocells	10.7.0
CP-57	CP-120624	C6- 120324	0328		Α	Correction of length of CSG ID	11.3.0
CP-57	CP-120624	C6- 120422	0331	1	Α	Correction of CSG ID list identifier	11.3.0
CP-57	CP-120624	C6- 120425	0334	1	Α	Changes in ENVELOPE (EVENT DOWNLOAD - CSG Cell Selection)	11.3.0
CP-57	CP-120625	C6- 120390	0344	1	Α	Clarification of the use of the Incoming IMS Data event	11.3.0
CP-57	CP-120623	C6- 120449	0349	2	С	Enhancements to the security of the SMS OTA download mechanisms	11.3.0
CP-57	CP-120626	C6- 120419	0338	1	D		11.3.0
CP-58	CP-120871	C6- 120571	0348	2	Α	Essential correction on NMR in E-UTRAN	11.4.0
CP-58	CP-120871	C6- 120581	0353	1	Α	Call control for emergency PDN	11.4.0
CP-58	CP-120871	C6- 120603	0356	2	Α	Correction of padding for ECI in Location Information	11.4.0
CP-58	CP-120872	C6- 120578	0359	1	Α	Essential correction on CSG reselection	11.4.0
CP-58	CP-120873	C6- 120526	0350		F	Location Information for call control	11.4.0
CP-59	CP-130146	C6- 130046	0363	1	F	Update of reference to ETSI TS 102 223 specification	11.5.0
CP-60	CP-130364	C6- 130124	0364		F	Location Information for MO Short Message Control	11.6.0
CP-60	CP-130365	C6- 130127	0367		Α	Clarification on the IMS Registration Event	11.6.0
CP-60	CP-130366	C6- 130191	0365	2	D	Optional fields in MO Short Message control response	12.0.0
CP-60	CP-130366	C6- 130173	0368	1	D	Correction in call control by USIM text	12.0.0
CP-61	CP-130534	C6- 130371	0370	1	С	PLMN ID to "CSG Cell Selection" ENVELOPE COMMAND	12.1.0
CP-62	CP-130787	C6- 130576	0381	1	Α	Essential correction for IMS emergency call in call control by USIM	12.2.0
CP-62	CP-130788	C6- 130589	0377	1	Α	Correction for call control for IMS communications by USIM	12.2.0
CP-63	CP-140164	C6- 140022	0391		Α	Correction of location information in case of call control for IMS	12.3.0
CP-63	CP-140165	C6- 140052	0398		D	Correction of editorial errors in TS 31.111	12.3.0
CP-63	CP-140166	C6- 140061	0388	1	Α	Essential correction to default routing for USAT over AT interface references	12.3.0
CP-63	CP-140167	C6- 140064	0395	1	Α	Essential correction in communication control for IMS by USIM	12.3.0
CP-63	CP-140168	C6- 140078	0392	1	В	Addition of POLL INTERVAL ENVELOPE command	12.3.0
CP-63	CP-140169	C6- 140108	0399		Α	Change PROVIDE LOCAL INFORMATION procedure for HPSIM	12.3.0
CP-64	CP-140422	C6- 140282	0401	2	F	Coding of PDP type in the Bearer parameters	12.4.0
CP-64	CP-140422	C6- 140268	0406		F	Introduction of BCCH and Timing Advance Local Information	12.4.0
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CP-64	CP-140425	C6- 140297	0396	4	В	URI support in MT Call event	12.4.0
CP-64	CP-140425	C6- 140275	0400	1	В	Modification to TI value	12.4.0
CP-64	CP-140427	C6- 140284	0404	1	F	E-UTRAN inter-frequency measurements that exceeds maxEARFCN	12.4.0
CP-65	CP-140702	C6- 140475	0384	3	Α	Essential corrections for MTC on PDP / EPS PDN context activation	12.5.0
CP-65	CP-140700	C6- 140496	0408	1	С	Reference ETSI Specifications for the POLL INTERVAL Negotiation procedure	12.5.0
CP-65	CP-140707	C6- 140423	0407		D	Removal of references to EF <sub>LND</sub>	12.5.0
CP-65	CP-140707	C6- 140483	0409	1	С	Update of reference to ETSI TS 102 223	12.5.0

#### History

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