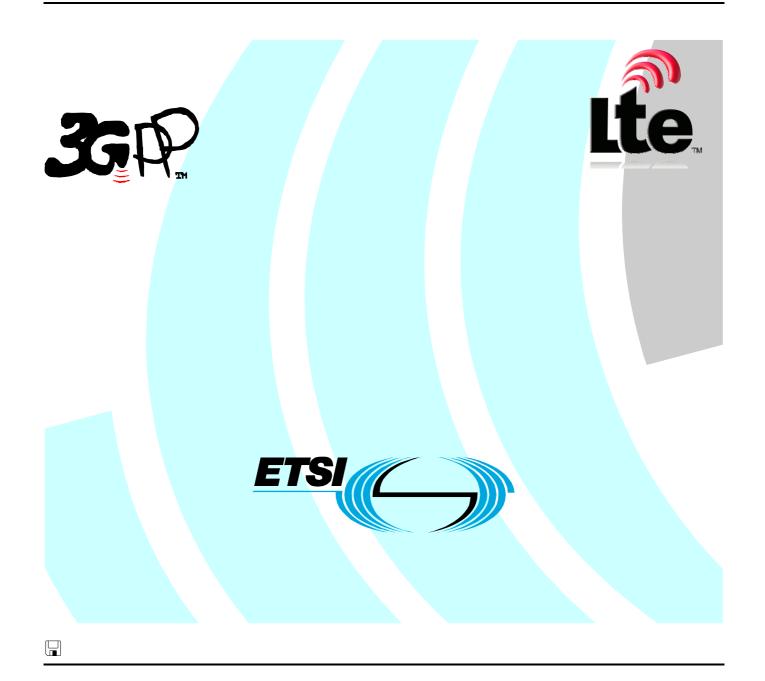
# ETSITS 131 130 V9.2.0 (2011-01)

Technical Specification

Digital cellular telecommunications system (Phase 2+);
Universal Mobile Telecommunications System (UMTS);
LTE;
(U)SIM Application Programming Interface (API);
(U)SIM API for Java Card
(3GPP TS 31.130 version 9.2.0 Release 9)



### Reference RTS/TSGC-0631130v920

Keywords
GSM, LTE, UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

Individual copies of the present document can be downloaded from: <u>http://www.etsi.org</u>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="http://portal.etsi.org/tb/status/status.asp">http://portal.etsi.org/tb/status/status.asp</a></a>

#### **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2011. All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup>, **UMTS**<sup>TM</sup>, **TIPHON**<sup>TM</sup>, the TIPHON logo and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

**3GPP**<sup>™</sup> is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **LTE**<sup>™</sup> is a Trade Mark of ETSI currently being registered

for the benefit of its Members and of the 3GPP Organizational Partners. **GSM**® and the GSM logo are Trade Marks registered and owned by the GSM Association.

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

## Contents

Intellectual Proper	rty Rights	2
Foreword		2
•		
2 References		5
	and abbreviations	
	ns	
	tions	
	v	
5 File Access	API	7
` '	amework	
	V	
	iggering	
	otion Handling	
	n of Events	
	ione command handling	
	response handling	
	Iandler management	
	Framework behaviour	
7 UICC toolk	it applet	14
8 Geo Locatio	on API	14
Annex A (norma	tive): Java Card <sup>TM</sup> (U)SIM API	15
Annex B (normat	tive): Java Card <sup>TM</sup> (U)SIM API identifiers	16
Annex C (norma	tive): (U)SIM API package version management	17
Annex D (norma	tive): USIM API jar files	18
Annex E (inform	ative): Change History	19
History		20

### **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- Y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document defines the (U)SIM Application Programming Interface extending the "UICC API for Java Card<sup>TM</sup>" [2].

This API allows to develop a (U)SAT application running together with a (U)SIM application and using GSM/3G network features.

The present document includes information applicable to network operators, service providers, server - (U)SIM - and database manufacturers.

### 2 References

[14]

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	ETSI TS 101 220: "Integrated Circuit Cards (ICC); ETSI numbering system for telecommunication; Application providers (AID)".
[2]	ETSI TS 102 241 V9.0.0: "UICC API for Java Card™"
[3]	3GPP TS 31.102: "Characteristics of the USIM Application".
[4]	3GPP TS 51.011 Release 4: "Specification of the Subscriber Identity Module- Mobile Equipment (SIM $-$ ME) interface".
[5]	3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
[6]	3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics".
[7]	3GPP TS 31.111: "USIM Application Toolkit (USAT)".
[8]	3GPP TS 51.014 Release 4: "Specification of the SIM Application Toolkit for the Subscriber Identity Module – Mobile Equipment (SIM – ME) interface".
[9]	3GPP TS 31.115: "Secured packet structure for the (U)SIM Toolkit applications".
[10]	3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
[11]	Sun Microsystems "Application Programming Interface, Java Card™ Platform, 3.0.1 Classic Edition".
[12]	Sun Microsystems "Runtime Environment Specification, Java Card™ Platform, 3.0.1 Classic Edition".
[13]	Sun Microsystems "Virtual Machine Specification Java Card™ Platform, 3.0.1 Classic Edition".
	Note: SUN Java Card™ Specifications can be downloaded at <a href="http://java.sun.com/products/javacard">http://java.sun.com/products/javacard</a>

3GPP TS 23.032: "Universal Geographical Area Description (GAD)".

## 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions defined in ETSI TS 102 241 [2] apply.

(U)SAT Framework: (U)SAT extension of the CAT Runtime Environment.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations defined in ETSI TS 102 241 [2] apply.

## 4 Description

#### 4.0 Overview

This API is an extension to the ETSI TS 102 241 [2] "UICC API for Java Card<sup>TM</sup>" and requires the implementation of this specification.

The classes and interfaces described in this specification inherit functionality from the classes and interfaces specified in the "UICC API for Java  $Card^{TM}$ ".

The (U)SAT Framework described in this specification is an extension of the CAT Runtime Environment defined in ETSI TS 102 241 [2].

## 4.1 (U)SIM Java Card™ Architecture

The overall architecture of the (U)SIM API is based on the "UICC API for Java Card $^{TM}$ " defined in ETSI TS 102 241 [2].

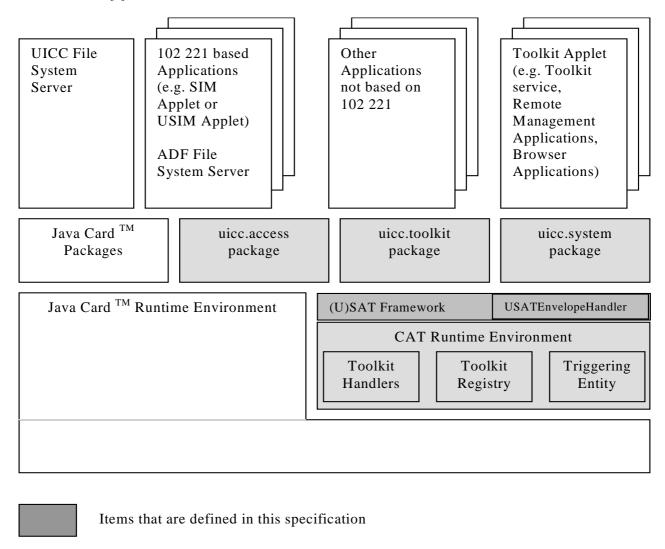


Figure 1: (U)SIM Java Card™ Architecture

## 5 File Access API

The (U)SIM file access API consists of the package uicc.usim.access. This package defines additional constants to those defined in the uicc.access package from ETSI TS 102 241 [2]. The access to the file system, defined in TS 51.011 [4] and TS 31.102 [3], is the one specified in ETSI TS 102 241 [2] via the UICC FileView Interface. When selecting a cyclic file the current record number is defined, this applies also to files located under  $DF_{GSM}$ .

## 6 (U)SAT Framework

#### 6.0 Overview

The (U)SIM toolkit API consists of the *uicc.usim.toolkit* package for toolkit features defined in TS 31.111 [7] and TS 51.014 [8], and is based on the *uicc.toolkit* package defined in ETSI TS 102 241 [2].

## 6.1 Applet triggering

See ETSI TS 102 241 [2].

#### 6.1.1 Exception Handling

The following clause describes the handling of exceptions by the (U)SAT Framework in addition to the behaviour defined in ETSI TS 102 241 [2] for the CAT Runtime Environment.

If an Applet triggered by EVENT\_FORMATTED\_SMS\_PP\_ENV event throws an ISOException with the reason code (0x6FXX), it shall be sent to the terminal.

Other Exceptions shall not be propagated to the terminal.

#### 6.2 Definition of Events

The following events can trigger a Toolkit Applet in addition to the events defined in ETSI TS 102 241 [2], all short values are reserved in ETSI TS 102 241 [2]:

Reserved short **Event Name** value 2 EVENT\_FORMATTED\_SMS\_PP\_ENV EVENT\_FORMATTED\_SMS\_PP\_UPD 3 EVENT\_UNFORMATTED\_SMS\_PP\_ENV 4 EVENT\_UNFORMATTED\_SMS\_PP\_UPD 5 EVENT\_UNFORMATTED\_SMS\_CB 6 EVENT\_MO\_SHORT\_MESSAGE\_CONTROL\_BY\_NAA 10 EVENT\_FORMATTED\_SMS\_CB 24 EVENT\_EVENT\_DOWNLOAD\_IWLAN\_ACCESS\_STATUS 30 EVENT\_EVENT\_DOWNLOAD\_NETWORK\_REJECTION 31 EVENT\_EVENT\_DOWNLOAD\_CSG\_CELL\_SELECTION 33 EVENT\_FORMATTED\_USSD 121 EVENT\_UNFORMATTED\_USSD 122

Table 1: (U)SAT event list

EVENT\_FORMATTED\_SMS\_PP\_ENV, EVENT\_UNFORMATTED\_SMS\_PP\_ENV, EVENT\_FORMATTED\_SMS\_PP\_UPD, EVENT\_UNFORMATTED\_SMS\_PP\_UPD

There are two ways for a card to receive a Short Message Point to Point: via an ENVELOPE(SMS-PP DOWNLOAD) APDU as defined in TS 31.111 [7] and TS 51.014 [8] or an UPDATE RECORD  $EF_{SMS}$  APDU as defined in TS 31.102 [3] and TS 51.011 [4]. The  $EF_{SMS}$  can be either located under the  $DF_{Telecom}$  or under any ADF as defined in TS 31.102 [3] and TS 51.011 [4].

The received Short Message may be:

- formatted according to TS 31.115 [9] or an other protocol to identify explicitly the toolkit applet for which the message is sent;
- unformatted (e.g. a toolkit applet specific protocol ) then the (U)SAT Framework will pass this data to all registered toolkit applets.

When the Short Message is received as Concatenated Short Messages as defined in TS 23.040 [10], it is the responsibility of the (U)SAT Framework to link single Short Messages together to re – assemble the original message before any further processing. The original Short Message shall be placed in one SMS TPDU TLV (with TP-UDL field coded on one octet) included in the *USATEnvelopeHandler*. The concatenation control headers used to re-assemble the short messages in the correct order shall not be present in the SMS TPDU. The TP-elements of the SMS TPDU and the Address (TS – Service-Centre-Address) shall correspond to the ones in the last received Short Message (independently of the Sequence number of Information-Element-Data).

The minimum requirement for the (U)SAT Framework is to process a concatenated short message with the following properties:

- the Information Element Identifier is equal to the 8-bit reference number.
- it contains uncompressed 8 bit data or uncompressed UCS2 data.

#### EVENT\_FORMATTED\_SMS\_PP\_ENV

Upon reception of a TS 31.115 [9] formatted Short Message Point to Point (Single or Concatenated) via an ENVELOPE, the (U)SAT Framework shall:

- verify the security of the Short Message as per TS 31.115 [9];
- trigger the toolkit applet registered with the corresponding TAR;
- take the optional Application Data posted by the triggered toolkit applet if present;
- secure and send the response packet using SMS-DELIVER-REPORT or SMS-SUBMIT.

When the toolkit applet is triggered, data shall be provided deciphered.

#### EVENT\_UNFORMATTED\_SMS\_PP\_ENV

Upon reception of an unformatted Short Message Point to Point (Single or Concatenated) via an ENVELOPE, the (U)SAT Framework shall trigger all the Toolkit Applets registered to this event.

NOTE: As a consequence of the *EnvelopeResponseHandler* availability rules specified in clause 6.6, only the first triggered toolkit applet is guaranteed to be able to send back a response.

#### EVENT\_FORMATTED\_SMS\_PP\_UPD

Upon reception of a TS 31.115 [9] formatted Short Message Point to Point (Single or Concatenated) via an UPDATE RECORD  $EF_{SMS}$ , the (U)SAT Framework shall:

- update the  $EF_{SMS}$  file with the data received, it is then up to the receiving toolkit applet to change the SMS stored in the file (i.e. the toolkit applet need to have access to the  $EF_{SMS}$  file)
- verify the security of the Short Message as per TS 31.115 [9];
- convert the UPDATE RECORD EF<sub>SMS</sub> APDU into a COMPREHENSION TLV List;
- trigger the toolkit applet registered with the corresponding TAR;

When the toolkit applet is triggered, data shall be provided deciphered.

The *USATEnvelopeHandler* provided to the applet shall:

- return BTAG SMS PP DOWNLOAD to the getTag() method call;
- return the Comprehension TLV list length to the *getLength()* method call;

The *USATEnvelopeHandler* provided to the applet shall contain the following COMPREHENSION TLVs:

- Device Identities TLV

The Device Identities Comprehension TLV is used to store the information about the absolute record number in the  $EF_{SMS}$  file and the value of the  $EF_{SMS}$  record status byte, and is formatted as defined below:

Device identities Comprehension TLV				
Device Identities tag				
length = 02				
Absolute Record Number				
Record Status				

With the absolute record number the toolkit applet can update  $EF_{SMS}$  in absolute mode to change the received SMS (e.g. in a readable text).

For Concatenated Short Message the Absolute Record Number and the Record Status will correspond to the last UPDATE RECORD  $EF_{SMS}$  APDU received.

- Address TLV

The value is the TS-Service-Centre-Address (RP-OA) of the last UPDATE RECORD EF<sub>SMS</sub> APDU.

- SMS TPDU TLV

The value is the SMS TPDU provided deciphered and reassembled, if needed

- AID TLV

The AID comprehension TLV is present only if the  $EF_{SMS}$  file updated is under an ADF. The value is the AID of the ADF as defined TS 31.111 [7].

The order of the TLVs given in the USATEnvelopeHandler is not specified,

NOTE: To get each COMPREHENSION TLV, it is recommended that the applet uses the *ViewHandler.findTLV()* methods

#### $EVENT\_UNFORMATTED\_SMS\_PP\_UPD$

Upon reception of an unformatted Short Message Point to Point (Single or Concatenated) via UPDATE RECORD  $EF_{SMS}$  APDU, the (U)SAT Framework shall:

- update the EF<sub>SMS</sub> file with the data received;
- convert the UPDATE RECORD EF<sub>SMS</sub> APDU data into a COMPREHENSION TLV List (as described for EVENT\_FORMATTED\_SMS\_PP\_UPD);
- trigger all the Toolkit Applets registered to this event.

The content of EF<sub>SMS</sub> may have been modified by a previously triggered Toolkit Applet..

#### EVENT\_FORMATTED\_SMS\_CB, EVENT\_UNFORMATTED\_SMS\_CB

The received Cell Broadcast Message, via an ENVELOPE (CELL BROADCAST DOWNLOAD) APDU as defined in TS 31.111 [7] and TS 51.014 [8] and, can be either:

- formatted according to TS 31.115 [9] or an other protocol to identify explicitly the toolkit applet for which the message is sent;
- unformatted (e.g. using a toolkit applet specific protocol), then the (U)SAT Framework will pass this data to all registered toolkit applets.

When the Cell Broadcast Message is received as multiple pages as defined in TS 23.041 [5], it is the responsibility of the (U)SAT Framework to link single pages together to re-assemble the original message before any further processing. The original Cell Broadcast message shall be placed in one Cell Broadcast page TLV included in the *USATEnvelopeHandler*. The message parameters shall correspond to the ones in the last received Cell Broadcast page (independently of the Page Parameter).

#### EVENT\_FORMATTED\_SMS\_CB

Upon reception of a TS 31.115 [9] formatted Cell Broadcast message, the (U)SAT Framework shall:

- verify the security of the Cell Broadcast message as per TS 31.115 [9];
- trigger the toolkit applet registered with the corresponding TAR;

When the toolkit applet is triggered, data shall be provided deciphered.

#### EVENT\_UNFORMATTED\_SMS\_CB

Upon reception of an unformatted Cell Broadcast message, the (U)SAT Framework shall trigger all the Toolkit Applets registered to this event.

EVENT\_MO\_SHORT\_MESSAGE\_CONTROL\_BY\_NAA

Upon reception of an ENVELOPE (MO SHORT MESSAGE CONTROL defined in TS 51.014 [8] and TS 31.111 [7]) APDU as defined in TS 31.101 [6] and TS 51.011 [4] the (U)SAT Framework shall trigger the Toolkit Applet registered to this event. The (U)SAT Framework shall not allow more than one Toolkit Applet to be registered to this event at a time(e.g. if a Toolkit Applet is registered to this event but not in selectable state the (U)SAT Framework shall not allow another Toolkit Applet to register to this event).

EVENT\_FORMATTED\_USSD, EVENT\_UNFORMATTED\_USSD

The received USSD String, via an ENVELOPE (USSD Data Download) APDU as defined in TS 31.111 [7], may be:

- formatted according to TS 31.115 [9] or an other protocol to identify explicitly the toolkit applet for which the message is sent;
- unformatted (e.g. a toolkit applet specific protocol) then the (U)SAT Framework will pass this data to all registered toolkit applets.

When the USSD Message is received as concatenated as defined in TS 31.115 [9], it is the responsibility of the (U)SAT Framework to link single USSD Messages together to re-assemble the original message before any further processing. The original USSD message shall be placed in one USSD String TLV included in the *USATEnvelopeHandler*. The USSD String parameters (DCS, PFI, CCF) shall correspond to the ones in the last received USSD String (independently of the CCF Sequence number).

EVENT FORMATTED USSD

Upon reception of a TS 31.115 [9] formatted USSD Message via an ENVELOPE, the (U)SAT Framework shall:

- verify the security of the USSD Message as per TS 31.115 [9];
- trigger the toolkit applet registered with the corresponding TAR;
- take the optional Application Data posted by the triggered toolkit applet if present;
- secure and send the response packet.

When the toolkit applet is triggered, data shall be provided deciphered.

EVENT\_UNFORMATTED\_USSD

Upon reception of an unformatted USSD String via an ENVELOPE, the (U)SAT Framework shall trigger all the Toolkit Applets registered to this event.

Note: As a consequence of the *EnvelopeResponseHandler* availability rules specified in clause 6.6, only the first triggered toolkit applet is guaranteed to be able to send back a response.

 $EVENT\_EVENT\_DOWNLOAD\_IWLAN\_ACCESS\_STATUS$ 

 $EVENT\_EVENT\_DOWNLOAD\_NETWORK\_REJECTION$ 

EVENT\_EVENT\_DOWNLOAD\_CSG\_CELL\_SELECTION

Upon reception of an ENVELOPE (Event Download) APDU command as defined in TS 31.111 [7] the (U)SAT Framework shall trigger all the Toolkit applets registered to the corresponding event.

The following events defined in TS 31.111 [7] shall be raised upon reception of the corresponding APDU defined in either TS 51.011 [4] or TS 31.101 [6].

EVENT PROFILE DOWNLOAD

EVENT\_MENU\_SELECTION, EVENT\_MENU\_SELECTION\_HELP\_REQUEST

EVENT CALL CONTROL BY NAA

**EVENT TIMER EXPIRATION** 

EVENT\_EVENT\_DOWNLOAD\_MT\_CALL

EVENT EVENT DOWNLOAD CALL CONNECTED

EVENT EVENT DOWNLOAD CALL DISCONNECTED

EVENT\_EVENT\_DOWNLOAD\_LOCATION\_STATUS

EVENT\_EVENT\_DOWNLOAD\_USER\_ACTIVITY

EVENT\_EVENT\_DOWNLOAD\_IDLE\_SCREEN\_AVAILABLE

 $EVENT\_EVENT\_DOWNLOAD\_CARD\_READER\_STATUS$ 

EVENT\_STATUS\_COMMAND

EVENT\_EVENT\_DOWNLOAD\_LANGUAGE\_SELECTION

EVENT\_EVENT\_DOWNLOAD\_BROWSER\_TERMINATION

EVENT EVENT DOWNLOAD DATA AVAILABLE

EVENT\_EVENT\_DOWNLOAD\_CHANNEL\_STATUS

 $EVENT\_EVENT\_DOWNLOAD\_ACCESS\_TECHNOLOGY\_CHANGE$ 

EVENT\_EVENT\_DOWNLOAD\_DISPLAY\_PARAMETER\_CHANGED

EVENT\_EVENT\_DOWNLOAD\_LOCAL\_CONNECTION

EVENT\_EVENT\_DOWNLOAD\_NETWORK\_SEARCH\_MODE\_CHANGE

EVENT EVENT DOWNLOAD BROWSING STATUS

EVENT\_PROACTIVE\_HANDLER\_AVAILABLE

EVENT\_EXTERNAL\_FILE\_UPDATE

 $EVENT\_FIRST\_COMMAND\_AFTER\_ATR$ 

 $EVENT\_UNRECOGNIZED\_ENVELOPE$ 

## 6.3 Registration

A Toolkit Applet shall register to events described in 6.2 as defined in ETSI TS 102 241 [2].

Constants for these events are available in uicc.usim.toolkit.ToolkitConstants interface in Annex A.

The *uicc.toolkit.ToolkitException* TAR\_NOT\_DEFINED shall be thrown if a Toolkit Applet has no TAR defined and registers to events: EVENT\_FORMATTED\_SMS\_PP\_ENV, EVENT\_FORMATTED\_SMS\_PP\_UPD, EVENT\_FORMATTED\_SMS\_CB, EVENT\_FORMATTED\_USSD.

The *uicc.toolkit.ToolkitException*.EVENT\_ALREADY\_REGISTERED shall be thrown if there is another Toolkit Applet already registered to *EVENT\_MO\_SHORT\_MESSAGE\_CONTROL\_BY\_NAA*.

## 6.4 Proactive command handling

There is no extension of the CAT Runtime Environment by the (U)SAT Framework for proactive command handling.

## 6.5 Envelope response handling

For the events defined in the present document, the following rules apply:

A Toolkit Applet can post a response by using the *post()* method or the *postAsBERTLV()* method defined in ETSI TS 102 241 [2]. The (U)SAT Framework shall return the Status Word as defined in TS 31.111 [7] and in TS 51.014 [8] depending on the current NAA.

#### Case of EVENT\_MO\_SHORT\_MESSAGE\_CONTROL\_BY\_NAA:

- The rules defined for EVENT\_CALL\_CONTROL\_BY\_NAA in ETSI TS 102 241 [2] apply.

#### Case of EVENT\_UNFORMATTED\_SMS\_PP\_ENV:

- See ETSI TS 102 241 [2].

#### Case of EVENT\_FORMATTED\_SMS\_PP\_ENV:

- When the *post()* or the *postAsBERTLV()* method is invoked, the (U)SAT Framework shall, according to bit 6 of the second octet of the SPI defined in TS 31.115 [9], build a SMS-DELIVER-REPORT or a SMS-SUBMIT.

In case of a SMS-DELIVER-REPORT, the (U)SAT Framework shall return the Status Word for RP-ACK or RP-ERROR as defined in TS 31.111 [7] and in TS 51.014 [8] depending on the current NAA.

In case of SMS-SUBMIT the boolean value method parameter shall be ignored by the (U)SAT Framework. If the SMS-SUBMIT is to be used, the (U)SAT Framework shall build and issue a Send Short Message proactive command as defined in TS 31.111 [7] and in TS 51.014 [8] depending on the current NAA .

#### Case of EVENT\_FORMATTED\_USSD:

When the *post()* or the *postAsBERTLV()* method is invoked, the (U)SAT Framework shall build a USSD String to be sent back in the Return Result Component contained in the subsequent Facility message. In that case the (U)SAT Framework shall return the Status Word as defined in TS 31.111 [7].

#### Case of EVENT\_UNFORMATTED\_USSD:

- See ETSI TS 102 241 [2].

## 6.6 System Handler management

For the handler management of the *ProactiveHandler*, the *ProactiveResponseHandler*, the *EnvelopeHandler* and the *EnvelopeResponseHandler*, the rules defined in ETSI TS 102 241 [2] apply.

#### USATEnvelopeHandler:

The single system instance of the *USATEnvelopeHandler* and the single system instance of the *EnvelopeHandler* are two distinct objects instances.

- When available the *USATEnvelopeHandler* shall remain available and its content shall remain unchanged from the invocation to the termination of the *processToolkit()* method.
- The TLV List provided in the *USATEnvelopeHandler* are the same as in the *EnvelopeHandler*.
- The handler availability of the *USATEnvelopeHandler* is the same handler availability as the *EnvelopeHandler* including all the events defined in ETSI TS 102 241 [2].

The following table describes the minimum availability of the handlers for all the events at the invocation of the *processToolkit()* method of the Toolkit Applet. The rules described in this table apply in addition to the rules described in "UICC API for Java Card<sup>TM</sup>"

Table 2: Handler availability for each event

EVENT_	Reply busy allowed	EnvelopeHandler / USATEnvelopeHandler	EnvelopeResponse Handler	Nb of triggered / registrered Applet
_FORMATTED_SMS_PP_ENV	Y (see Note 1)	Y	Y	1 / n (per TAR)
_FORMATTED_SMS_PP_UPD	N	Y	N	1 / n (per TAR)
_UNFORMATTED_SMS_PP_ENV	Υ	Y	Υ	n/n
_UNFORMATTED_SMS_PP_UPD	N	Y	N	n/n
_FORMATTED_SMS_CB	Y	Y	N	1/n (per TAR)
_UNFORMATTED_SMS_CB	Y	Υ	N	n/n
_MO_SHORT_MESSAGE_CONTROL_BY_NAA	N	Υ	Υ	1/1
_FORMATTED_USSD	Υ	Υ	Υ	1 / n (per TAR)
UNFORMATTED_USSD	Υ	Υ	Υ	n/n
EVENT_DOWNLOAD				
_IWLAN_ACCESS_STATUS	Υ	Υ	Ν	n/n
_NETWORK_REJECTION	Υ	Y	N	n/n

NOTE 1: The framework may reply busy and not trigger the toolkit applet if e.g. a PoR using SMS SUBMIT is required in the incoming message and a proactive session is ongoing.

## 6.7 (U)SAT Framework behaviour

The (U)SAT Framework is a (U)SAT extension of the CAT Runtime Environment as defined in ETSI TS 102 241 [2]. In addition, the (U)SAT Framework shall consider the EVENT\_EVENT\_DOWNLOAD\_\* defined in this specification when issuing the SET UP EVENT LIST system proactive command.

## 7 UICC toolkit applet

See ETSI TS 102 241 [2].

## 8 Geo Location API

The Geo Location API consists of the package *uicc.usim.geolocation*. This package defines services to allow an Applet to perform a geographical location operation, depending of the ME capabilities. When a geographical location operation is requested, the API will follow a defined way to choose either "Geographical Location Request" toolkit command or "Provide Local Information" toolkit command as defined in TS 31.111 [7] to determine the location information. The result is formatted using GAD shapes as defined in TS 23.032 [14].

## Annex A (normative): Java Card™ (U)SIM API

The attached files "31130\_Annex\_A\_Java.zip", and "31130\_Annex\_A\_HTML.zip" contains source files and html documentation for the Java  $Card^{TM}$  (U)SIM API.

## Annex B (normative): Java Card™ (U)SIM API identifiers

The attached file "31130\_Annex\_B\_Export\_files.zip" contains the export files for the uicc.usim.\* package.

# Annex C (normative): (U)SIM API package version management

The following table describes the relationship between each TS 31.130 specification version and its packages AID and Major, Minor versions defined in the export files.

TS 31.130	uicc.usim.access package	uicc.usim.toolkit package		
	AID	Major, Minor	AID	Major, Minor
	A0 00 00 00 87 10 05 FF FF FF FF 89 13	1.0	A0 00 00 00 87 10 05 FF FF FF FF 89 13	1.0
	10 00 00		20 00 00	
7.1.0	A0 00 00 00 87 10 05 FF FF FF FF 89 13	1.0	A0 00 00 00 87 10 05 FF FF FF FF 89 13	1.1
	10 00 00		20 00 00	
7.2.1	A0 00 00 00 87 10 05 FF FF FF FF 89 13	1.0	A0 00 00 00 87 10 05 FF FF FF FF 89 13	1.2
	10 00 00		20 00 00	

The package AID coding is defined in ETSI TS 101 220 [1]. The (U)SIM API packages' AID are not modified by changes to Major or Minor Version.

The Major Version shall be incremented if a change to the specification introduces byte code incompatibility with the previous version.

The Minor Version shall be incremented if a change to the specification does not introduce byte code incompatibility with the previous version.

The package uicc.usim.access contains only constants, therefore it may not be loaded on the UICC.

# Annex D (normative): USIM API jar files

The attached files "31130\_Annex\_D.jar", contains class files for the Java Card<sup>TM</sup> (U)SIM API.

# Annex E (informative): Change History

TSG / Date	TSG doc	WG doc	CR	Rev	Subject/Comment	
TP-27					Generation of Version 7.0.0 based on version 6.2.0	7.0.0
	TP-050023	T3-050187	009		Allow passing of specified status words through the (U)SAT Framework	
CT-28	CP-050139	C6-050445	011		Allign paragraph numbering between TS 31.130 and ETSI TS 102 241	7.1.0
CT-28	CP-050139	C6-050446	013		Delete version and author info from the Java source code	7.1.0
CT-28	CP-050141	C6-050420	014		Addition of new events EVENT_FORMATTED_USSD and EVENT_UNFORMATTED_USSD	7.1.0
CT-29	CP-050340	C6-050691	016		Adding missing constant values	7.2.0
					2005-11: Adds missing attachment files and adds line to table in annex C.	7.2.1
CT-33	CP-060391	C6-060520	019	1	Correction of misnamed constant	7.3.0
	•	C6-060590	020	1	Addition of missing event download I-WLAN access status	
CT-34	CP-060546	C6-060791	0022	2	Clarification on getShortMessageLength() method when applied on a SMS Cell Broadcast.	7.4.0
	CP-050548	C6-060798	0024	1	Correction of the USATEnvelopeHandlerSystem method prototype	
CT-35	CP-070068	C6-070093	0027	1	Correction of Annex A JAVA.zip, package uicc.usim.toolkit	7.5.0
	•	C6-070125	0028	2	Update the reference to Java Card 2.2.2	
CT-36	CP-070302	C6-070257	0029	-	Correction of the reference to ETSI TS 102 241	7.6.0
	CP-070298	C6-070323	0029	-	Correction of references to ETSI TS 102 223 and ETSI TS 102 221	
CT-38	CP-070844	C6-070564	0032	1	Introduction of new constant values for files in the USIM application	7.7.0
					Annex A and B attachments provided (2008-08)	7.7.1
CT-42	CP-080908	C6-080455	0034	2	Introduction of a geographical location discovery Java Card™ API	8.0.0
CT-43	CP-090196	C6-090065	0035	1	Introduction of missing constant values for USIM files	8.1.0
CT-45	CP-090719	C6-090334	0039	2	Alignment of constants with 31.111	8.2.0
CT-46	CP-090788	C6-090493	0040	1	References update 8	
CT-46	CP-091013	C6-090469	0042	1	Support of missing event 8.  EVENT_EVENT_DOWNLOAD_NETWORK_REJECTION	
CT-46	CP-091013	C6-090470	0045	1	Support of missing constants in USAT Terminal Profile	8.3.0
CT-46	-	-	-	-	Upgrade of the specification to Rel-9	9.0.0
	CP-100185				Addition of missing constant values	9.1.0
CT-47	CP-100198	C6-100086	0048	2	Supporting operator controlled CSG list for H(e)NB	9.1.0
CT-47	CP-100198	C6-100108	0049	2	Support of CSG cell discovery and CSG selection event	9.1.0
					Spec reissued as v9.1.1 due to a bad version number on the cover sheet	9.1.1
CT-50	CP-100836	C6-100600	0046	1	Update reference to "Java Card 3.0.1 Classic" reference	9.2.0

# History

Document history				
V9.0.0	February 2010	Publication		
V9.1.1	July 2010	Publication		
V9.2.0	January 2011	Publication		