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Technical Specification

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#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

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#### **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

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# Contents

| Intelle | ectual Property Rights                      | 2  |
|---------|---|----|
| Forew   | vord  | 2  |
| Forew   | vord  | 5  |
| 1       | Scope                                       | 6  |
| 2       | References                                  | 7  |
| 3       | Definitions, symbols and abbreviations      | 8  |
| 3.1     | Definitions                                 |    |
| 3.2     | Symbols                                     |    |
| 3.3     | Abbreviations                               |    |
| 4       | Architecture considerations                 | 13 |
| 4.1     | High level GPRS architecture                |    |
| 4.2     | PS domain offline charging architecture     |    |
| 4.3     | PS domain online charging architecture      | 16 |
| 5       | PS domain charging principles and scenarios | 17 |
| 5.1     | PS charging principles                      |    |
| 5.1.1   | Requirements                                |    |
| 5.1.2   | Charging information                        | 17 |
| 5.2     | PS domain offline charging scenarios        |    |
| 5.2.1   | Basic principles                            | 19 |
| 5.2.1.1 | PDP context charging                        | 19 |
| 5.2.1.2 | 2 MM context charging                       | 20 |
| 5.2.1.3 | Flow Based bearer Charging (FBC)            | 20 |
| 5.2.1.4 | 4 SMS charging                              | 21 |
| 5.2.1.5 | 5 LCS charging                              | 21 |
| 5.2.1.6 | 6 MBMS context charging                     | 22 |
| 5.2.2   | Rf message flows                            | 22 |
| 5.2.3   | CDR generation                              |    |
| 5.2.3.1 | 86  |    |
| 5.2.3.1 |   |    |
| 5.2.3.1 | CE  |    |
| 5.2.3.2 |   |    |
| 5.2.3.2 | 88  |    |
| 5.2.3.2 | 66  |    |
| 5.2.3.3 |   |    |
| 5.2.3.3 |   |    |
| 5.2.3.3 |   |    |
| 5.2.3.4 |   |    |
| 5.2.3.4 |   |    |
| 5.2.3.4 | 86  |    |
| 5.2.3.5 |   |    |
| 5.2.3.6 |   |    |
| 5.2.3.7 |   |    |
| 5.2.3.7 |   |    |
| 5.2.3.7 |   |    |
| 5.2.3.7 | ee .  |    |
| 5.2.4   | Usage scenarios                             |    |
| 5.2.4.1 |   |    |
| 5.2.4.2 |   |    |
| 5.2.4.3 |   |    |
| 5.2.4.4 | <b>o</b> -                                  |    |
| 5.2.5   | Ga record transfer flows                    |    |
| 5.2.6   | Bp CDR file transfer                        |    |
| 5.3     | PS domain online charging scenarios         | 34 |

| 5.3.1     |   |   |            |
|-----------|---|---|------------|
| 5.3.1.1   |   | charging  |            |
| 5.3.1.2   |   | Bearer Charging   |            |
| 5.3.1.3   |   | Charging Information procedure                                      |            |
| 5.3.1.4   | Support of F                                    | ailure Situations   | 35         |
| 5.3.2     | Ro message flows                                |   |            |
| 5.3.2.1   | Triggers for                                    | PDP context Online Charging   | 36         |
| 5.3.2.1.1 |   |   |            |
| 5.3.2.1.2 |   |   |            |
| 5.3.2.2   |   | FBC Online Charging   |            |
| 5.3.2.2.1 |   | for starting and stopping an FBC Credit Control session             |            |
| 5.3.2.2.2 |   | for providing interim information for an FBC Credit Control session |            |
| 5.3.2.3   |   | Charging Information procedure                                      |            |
| 5.3.2.4   | Support of Fa                                   | ailure Situations   | 38         |
| 6 D       | efinition of chargin                            | g information   | 39         |
| 6.1       | Data description for                            | PS offline charging   | 39         |
| 6.1.1     |   | rging data in SGSN (S-CDR)  |            |
| 6.1.2     |   | rging data in GGSN (G-CDR)  |            |
| 6.1.3     |   | PDP context charging data in GGSN (eG-CDR)                          |            |
| 6.1.4     | Mobile Station r                                | nobility management data in SGSN (M-CDR)                            | 43         |
| 6.1.5     | SMS-MO data in SGSN (S-SMO-CDR)                 |   |            |
| 6.1.6     | SMS-MT data ir                                  | n SGSN (S-SMT-CDR)  | 45         |
| 6.1.7     | Mobile terminated location request (LCS-MT-CDR) |   |            |
| 6.1.8     | Mobile originate                                | ed Location request (LCS-MO-CDR)                                    | 47         |
| 6.1.9     | Network induced                                 | d Location request (LCS-NI-CDR)                                     | 48         |
| 6.1.10    | MBMS bearer co                                  | ontext charging data in SGSN (S-MB-CDR)                             | 49         |
| 6.1.11    |   | ontext charging data in GGSN (G-MB-CDR)                             |            |
| 6.2       | Data description for                            | PS Online Charging  | 51         |
| 6.2.1     | Diameter messag                                 | ge contents   | 51         |
| 6.2.1.1   |   | Online Charging Message Formats                                     |            |
| 6.2.1.2   |   | the Credit Control Message Formats                                  |            |
| 6.2.1.2.1 | Credit-Co                                       | ontrol-Request Message  | 51         |
| 6.2.1.2.2 |   | ontrol-Answer Message   |            |
| 6.2.2     |   | line Charging on the Ro interface                                   |            |
| 6.2.2.1   | Definition of                                   | the PS-Information AVP  | 53         |
| 6.2.2.2   | Definition of                                   | the IMS Information AVP   | 53         |
| Annex A   | A (normative):                                  | Charging characteristics  | 54         |
| Annex I   | <b>3</b> (normative):                           | Tx expiration; Failure Handling procedure and session failover      |            |
|           |   | mechanism description   | 57         |
| Annex (   | C (informative):                                | Bibliography  | 59         |
| Annex I   | O (informative):                                | Change history  | 60         |
| History   | · ·   | •   | <i>C</i> 1 |

# Foreword

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- z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document is part of a series of documents specifying charging functionality and charging management in GSM/UMTS networks. The GSM/UMTS core network charging architecture and principles are specified in 3GPP TS 32.240 [1], which provides an umbrella for other charging management documents that specify:

- the content of the CDRs per domain / subsystem / service (offline charging);
- the content of real-time charging messages per domain / subsystem /service (online charging);
- the functionality of online and offline charging for those domains / subsystems / services;
- the interfaces that are used in the charging framework to transfer the charging information (i.e. CDRs or charging events).

The complete document structure for these TSs is defined in 3GPP TS 32.240 [1].

The present document specifies the Offline and Online Charging description for the Packet Switched (PS) domain (i.e. GPRS), based on the functional stage 2 description of GPRS in 3GPP TS 23.060 [201]. This charging description includes the offline and online charging architecture and scenarios specific to the PS domain, as well as the mapping of the common 3GPP charging architecture specified in TS 32.240 [1] onto the PS domain. It further specifies the structure and content of the CDRs for offline charging, and the charging events for online charging. The present document is related to other 3GPP charging TSs as follows:

- The common 3GPP charging architecture is specified in TS 32.240 [1];
- The parameters, abstract syntax and encoding rules for the CDRs are specified in TS 32.298 [51];
- A transaction based mechanism for the transfer of CDRs within the network is specified in TS 32.295 [54];
- The file based mechanism used to transfer the CDRs from the network to the operator"s billing domain (e.g. the billing system or a mediation device) is specified in TS 32.297 [52];
- The 3GPP Diameter application that is used for PS domain offline and online charging is specified in TS 32.299 [50].

Note that a CAMEL based prepaid function and protocol is also specified for the PS domain (3GPP TS 23.078 [206] and 3GPP TS 29.078 [202]). CAMEL entities and functions are outside the scope of the present document.

All terms, definitions and abbreviations used in the present document, that are common across 3GPP TSs, are defined in 3GPP TR 21.905 [100]. Those that are common across charging management in GSM/UMTS domains, services or subsystems are provided in the umbrella document 3GPP TS 32.240 [1] and are copied into clause 3 of the present document for ease of reading. Finally, those items that are specific to the present document are defined exclusively in the present document.

Furthermore, requirements that govern the charging work are specified in 3GPP TS 22.115 [102].

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

| [1]         | 3GPP TS 32.240: "Telecommunication management; Charging management; Charging architecture and principles".                      |
|-------------|---|
| [2]-[9]     | Void.   |
| [10]        | 3GPP TS 32.250: "Telecommunication management; Charging management; Circuit Switched (CS) domain charging".                     |
| [11-29]     | Void.   |
| [30]        | 3GPP TS 32.270: "Telecommunication management; Charging management; Multimedia Messaging Service (MMS) charging".               |
| [31]        | 3GPP TS 32.271: "Telecommunication management; Charging management; Location Services (LCS) charging".                          |
| [32]        | 3GPP TS 32.273: "Telecommunication management; Charging management; Multimedia Broadcast and Multicast Service (MBMS) charging" |
| [33]-[49]   | Void.   |
| [50]        | 3GPP TS 32.299: "Telecommunication management; Charging management; Diameter charging application".                             |
| [51]        | 3GPP TS 32.298: "Telecommunication management; Charging management; Charging Data Record (CDR) encoding rules description".     |
| [52]        | 3GPP TS 32.297: "Telecommunication management; Charging management; Charging Data Records (CDR) file format and transfer".      |
| [53]        | 3GPP TS 32.296: "Telecommunication management; Charging management; Online Charging System (OCS) applications and interfaces".  |
| [54]        | 3GPP TS 32.295: "Telecommunication management; Charging management; Charging Data Record (CDR) transfer".                       |
| [55]-[69]   | Void.   |
| [70]        | 3GPP TS 23.125: "Overall High Level Functionality and Architecture Impacts of Flow Based Charging; Stage 2".                    |
| [71]        | 3GPP TS 29.210: "Charging rule provisioning over Gx interface".   |
| [72]-[99]   | Void.   |
| [100]       | 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".   |
| [101]       | Void.   |
| [102]       | 3GPP TS 22.115 "Service aspects; Charging and billing".   |
| [103]-[199] | Void.   |

| [200]       | 3GPP TS 22.060: "General Packet Radio Service (GPRS); Service description; Stage 1".   |
|-------------|--|
| [201]       | 3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2".   |
| [202]       | 3GPP TS 29.078: "Customized Applications for Mobile network Enhanced Logic (CAMEL); CAMEL Application Part (CAP) specification".             |
| [203]       | 3GPP TS 29.060: "General Packet Radio Service (GPRS); GPRS Tunnelling Protocol (GTP) across the Gn and Gp interface".                        |
| [204]       | Void.  |
| [205]       | 3GPP TS 29.061: "Interworking between the Public Land Mobile Network (PLMN) supporting packet based services and Packet Data Networks (PDN)' |
| [206]       | 3GPP TS 23.078: "Customized Applications for Mobile network Enhanced Logic (CAMEL); Stage 2".  |
| [207]       | 3GPP TS 23.246: "Multimedia Broadcast/Multicast Service (MBMS); Architecture and functional description"                                     |
| [208]-[299] | Void.  |
| [301]-[399] | Void.  |
| [400]       | Void.  |
| [401]       | IETF RFC 3588 (2003): 'Diameter Base Protocol'   |
| [402]       | IETF RFC 4006: 'Diameter Credit Control' Application   |
| [403]       | Void.  |
|             |  |

# 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms end definitions defined in 3GPP TR 21.905 [100], 3GPP TS 32.240 [1] and 3GPP TS 22.060 [200], and the following apply:

**2G-/3G-:** prefixes 2G- and 3G- refer to functionality that supports only GSM or UMTS, respectively, e.g. 2G-SGSN refers only to the GSM functionality of an SGSN

When the term/prefix is omitted, reference is made independently from the GSM or UMTS functionality.

accounting: process of apportioning charges between the Home Environment, Serving Network and Subscriber.

**billing:** function whereby CDRs generated by the charging function(s) are transformed into bills requiring payment.

**Billing Domain:** Part of the operator network, which is outside the core network, that receives and processes CDR files from the core network charging functions. It includes functions that can provide billing mediation and billing or other (e.g. statistical) end applications. It is only applicable to offline charging (see 'Online Charging System' for equivalent functionality in online charging).

**CAMEL:** network feature that provides the mechanisms to support operator specific services even when roaming outside HPLMN.

**CAMEL subscription information:** identifies a subscriber as having CAMEL services.

**CDR field Categories:** the CDR fields are defined in the present document. They are divided into the following categories:

- Mandatory (M): field that shall always be present in the CDR.
- Conditional (C): field that shall be present in a CDR if certain conditions are met.

- Operator Provisionable: Mandatory (O<sub>M</sub>): A field that operators have provisioned to always be included in the CDR.
- Operator Provisionable: Conditional (O<sub>C</sub>): A field that operators have provisioned to be included in the CDR if certain conditions are met.

chargeable event: activity utilizing telecommunications network resources and related services for:

- user to user communication (e.g. a single call, a data communication session or a short message); or
- user to network communication (e.g. service profile administration); or
- inter-network communication (e.g. transferring calls, signalling, or short messages); or
- mobility (e.g. roaming or inter-system handover); and
- that the network operator may want to charge for.

As a minimum, a chargeable event characterises the resource / service usage and indicates the identity of the involved end user(s).

**charged party:** user involved in a chargeable event who has to pay parts or the whole charges of the chargeable event, or a third party paying the charges caused by one or all users involved in the chargeable event, or a network operator.

**charging:** a function within the telecommunications network and the associated OCS/BD components whereby information related to a chargeable event is collected, formatted and transferred in order to make it possible to determine usage for which the charged party may be billed.

**Charging Data Record (CDR):** A formatted collection of information about a chargeable event (e.g. time of call setup, duration of the call, amount of data transferred, etc) for use in billing and accounting. For each party to be charged for parts of or all charges of a chargeable event a separate CDR shall be generated, i.e. more than one CDR may be generated for a single chargeable event, e.g. because of its long duration, or because more than one charged party is to be charged.

**Charging event:** a set of charging information forwarded by the CTF towards the CDF (offline charging) or towards the OCS (online charging). Each charging event matches exactly one chargeable event.

**charging function:** entity inside the core network domain, subsystem or service that is involved in charging for that domain, subsystem or service.

Credit control: ffs.

domain: part of a communication network that provides network resources using a certain bearer technology.

**Fully qualified Partial CDR (FQPC):** partial CDR that contains a complete set of the fields specified in the present document. This includes all the mandatory and conditional fields as well as those fields that the PLMN operator has provisioned to be included in the CDR. The first Partial CDR shall be a Fully qualified Partial CDR.

GPRS: packet switched bearer and radio services for GSM and UMTS systems.

**GSM only:** qualifier indicating that this clause or paragraph applies only to a GSM system. For multi-system cases this is determined by the current serving radio access network.

in GSM,...: qualifier indicating that this paragraph applies only to GSM System.

in UMTS,...: qualifier indicating that this paragraph applies only to UMTS System.

inter-system change: change of radio access between different radio access technologies such as GSM and UMTS.

middle tier (charging) TS: used for the 3GPP charging TSs that specify the domain / subsystem / service specific, online and offline, charging functionality. These are all the TSs in the numbering range from 3GPP TS 32.250 [10] to 3GPP TS 32.271 [31], e.g. 3GPP TS 32.250 [10] for the CS domain, or 3GPP TS 32.270 [30] for the MMS service. Currently, there is only one "tier 1" TS in 3GPP, which is TS 32.240 [1] that specifies the charging architecture and principles. Finally, there are a number of top tier TSs in the 32.29x numbering range ([50] ff) that specify common charging aspects such as parameter definitions, encoding rules, the common billing domain interface or common charging applications.

**near real-time:** near real-time charging and billing information is to be generated, processed, and transported to a desired conclusion in less than 1 minute.

offline charging: charging mechanism where charging information does not affect, in real-time, the service rendered.

**online charging:** charging mechanism where charging information can affect, in real-time, the service rendered and therefore a direct interaction of the charging mechanism with bearer/session/service control is required.

**Online Charging System:** the entity that performs real-time credit control. Its functionality includes transaction handling, rating, online correlation and management of subscriber account balances.

**packet switched domain:** domain within GSM / UMTS in which data is transferred in packet switched mode. Corresponds to the term "GPRS".

**partial CDR:** CDR that provides information on part of a subscriber session. A long session may be covered by several partial CDRs. Two formats are considered for Partial CDRs. One that contains all of the necessary fields (FQPC); the second has a reduced format (RPC).

**Real-time:** real-time charging and billing information is to be generated, processed, and transported to a desired conclusion in less than 1 second.

**Reduced Partial CDR (RPC):** partial CDRs that only provide mandatory fields and information regarding changes in the session parameters relative to the previous partial CDR. For example, location information is not repeated in these CDRs if the subscriber did not change its location.

settlement: payment of amounts resulting from the accounting process.

**subscriber:** A subscriber is an entity (associated with one or more users) that is engaged in a Subscription with a service provider. The subscriber is allowed to subscribe and unsubscribe services, to register a user or a list of users authorised to enjoy these services, and also to set the limits relative to the use that associated users make of these services.

**tariff period:** part of one (calendar) day during which a particular tariff is applied. Defined by the time at which the period commences (the switch-over time) and the tariff to be applied after switch-over.

tariff: set of parameters defining the network utilisation charges for the use of a particular bearer / session / service.

**UMTS only:** qualifier indicating that this clause or paragraph applies only to a UMTS system. For multi-system cases this is determined by the current serving radio access network.

**user:** An entity, not part of the 3GPP System, that uses network resources by means of a subscription. The user may or may not be identical to the subscriber holding that subscription.

**User Equipment (UE):** A device allowing a user access to network services. For the purpose of 3GPP specifications the interface between the UE and the network is the radio interface. A User Equipment can be subdivided into a number of domains, the domains being separated by reference points. Currently defined domains are the USIM and ME Domains. The ME Domain can further be subdivided into several components showing the connectivity between multiple functional groups. These groups can be implemented in one or more hardware devices. An example of such a connectivity is the TE – MT interface. Further, an occurrence of a User Equipment is an MS for GSM as defined in GSM TS 04.02.

# 3.2 Symbols

Ge

For the purposes of the present document the following symbols apply:

| A  | Interface between an MSC and a BSC.   |
|----|---|
| Bp | Reference point for the CDR file transfer from the GPRS CGF to the BD.          |
| C  | Interface between a HLR and a SMSC.   |
| D  | Interface between a MSC and a HLR.  |
| E  | Interface between a MSC and a SMSC.   |
| Ga | Reference point between a CDF and the CGF for CDR transfer.                     |
| Gb | Interface between an SGSN and a BSC.  |
| Gc | Interface between an GGSN and an HLR.   |
| Gd | Interface between an SMS-GMSC and an SGSN, and between a SMS-IWMSC and an SGSN. |

Interface between a SGSN and a CAMEL GSM SCF

Gf Interface between an SGSN and an EIR.

Gi Interface between the Packet-Switched domain and an external packet data network.

Gn Interface between two GSNs within the same PLMN.
Gp Interface between two GSNs in different PLMNs.

Gr Interface between an SGSN and an HLR.

Gs Interface between an SGSN and an MSC/VLR.Iu Interface between the 3G SGSN and the UTRAN

Gy Online charging reference point between a TPF and an OCS.
Gz Offline charging reference point between a TPF and a CGF.

kbit/s Kilobits per second. 1 kbit/s =  $2^{10}$  bits per second. Mbit/s Megabits per second. 1 Mbit/s =  $2^{20}$  bits per second.

R Reference point between a non-ISDN compatible TE and MT. Typically this reference point

supports a standard serial interface.

Ro Online Charging Reference Point between a 3G network element and the OCS.
Um Interface between the Mobile Station (MS) and the GSM fixed network part.
Uu Interface between the Mobile Station (MS) and the UMTS fixed network part.

#### 3.3 Abbreviations

For the purposes of the present document, the abbreviations defined in 3GPP TR 21.905 [50] and the following apply:

3G 3<sup>rd</sup> Generation
AoC Advice of Charge
APN Access Point Name
BD Billing Domain

CAMEL Customized Applications for Mobile network Enhanced Logic

CCA Credit Control Answer
CCR Credit Control Request
CDF Charging Data Function
CDR Charging Data Record
CG Charging Gateway

CGF Charging Gateway Function

CI Cell Identity
CS Circuit Switched

CSE CAMEL Service Environment

DCCA Diameter Credit Control Application

ECUR Event Charging with Unit Reservation

eG-CDR enhanced G-CDR (enhanced by FBC)

FBC Flow Based bearer Charging
FQPC Fully Qualified Partial CDR
G-CDR GGSN generated - CDR
GGSN Gateway GPRS Support Node
GPRS General Packet Radio Service

GSM Global System for Mobile communication
GSN GPRS Support Node (either SGSN or GGSN)

GTP GPRS Tunnelling Protocol

GTP' The GPRS protocol used for CDR transport. It is derived from GTP with enhancements to improve

transport reliability necessary for CDRs.

HLR Home Location Register

HPLMN Home PLMN

IEC Immediate Event Charging
IETF Internet Engineering Task Force

IHOSS:OSP Internet Hosted Octet Stream Service: Octet Stream Protocol

IMEI International Mobile Equipment Identity
IMSI International Mobile Subscriber Identity

IP Internet Protocol

IPv4 Internet Protocol version 4 IPv6 Internet Protocol version 6

ISDN Integrated Services Digital Network

ITU-T International Telecommunication Union - Telecommunications standardization sector

LAC Location Area Code LR Location Request M-CDR Mobility management generated - Charging Data Record

MCC Mobile Country Code (part of IMSI)

ME Mobile Equipment
MLC Mobile Location Center
MMS Multimedia Messaging Service
MNC Mobile Network Code (part of IMSI)

MO Mobile Originated

MO-LR Mobile Originated - Location Request

MS Mobile Station

MSISDN Mobile Station ISDN number

MT Mobile Terminated

MT-LR Mobile Terminated - Location Request

NE Network Element

NI Network Identifier (part of the APN)
NI-LR Network Induced - Location Request

OCF Online Charging Function
OCS Online Charging System

OI Operator Identifier (part of the APN)

PDN Packet Data Network

PDP Packet Data Protocol (e.g. IP)

PDU Packet Data Unit

PLMN Public Land Mobile Network
PPP Point-to-Point Protocol
PS Packet Switched
QoS Quality of Service
RAB Radio Access Bearer
RAC Routing Area Code

RANAP Radio Access Network Application Part

RNC Radio Network Controller RPC Reduced Partial CDR SAC Service Area Code

S-CDR SGSN (PDP context) generated - CDR SCUR Session Charging with Unit Reservation

SGSN Serving GPRS Support Node SMS Short Message Service

S-SMO-CDR SGSN delivered Short message Mobile Originated - CDR S-SMT-CDR SGSN delivered Short message Mobile Terminated - CDR

TR Technical Report
TS Technical Specification

UMTS Universal Mobile Telecommunications System

USIM Universal Subscriber Identity Module
UTRAN UMTS Terrestrial Radio Access Network

# 4 Architecture considerations

This clause describes the functional entities involved in the PS domain charging architecture. It also presents the mappings of the ubiquitous offline and online charging architecture specified in TS 32.240 [1] onto physical implementations that are standardised for the 3GPP PS domain.

# 4.1 High level GPRS architecture

Figure 4.1 shows a high level overview of the GPRS system architecture. Each PLMN has two access points to GPRS services, the radio interface (labelled Um in A/Gb mode and Uu in Iu mode) used for mobile access and the R reference point used for origination or reception of messages. There is an inter PLMN interface labelled Gp that connects two independent GPRS packet domain networks for message exchange.

There is also a PLMN to packet data network reference point called Gi. Gi is defined in 3GPP TS 29.061 [205].

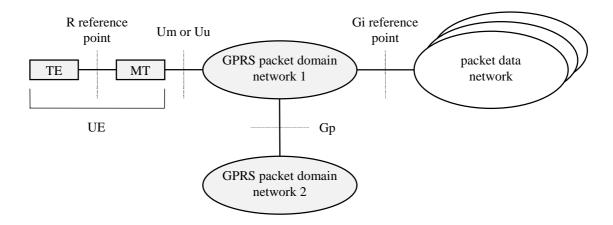


Figure 4.1: GPRS Access Interfaces and Reference Points

There may be more than a single network interface to several different packet data networks. These networks may both differ in ownership as well as in communications protocol (e.g. TCP/IP etc.). The network operator defines and negotiates interconnection with each interconnected packet data network.

Figure 4.2 depicts the logical GPRS architecture in more detail. The GPRS Core Network functionality is logically implemented on two network elements, the Serving GPRS Support Node (SGSN) and the Gateway GPRS Support Node (GGSN), connected by a number of new interfaces between each others and the rest of the network. No inference should be drawn about the physical configuration of any network element or interface from Figure 4.2.

Note that a visited GMLC may be connected to the SGSN via the Lg reference point, this is not shown in figure 4.2. Refer to TS 32.271 [31] for further information.

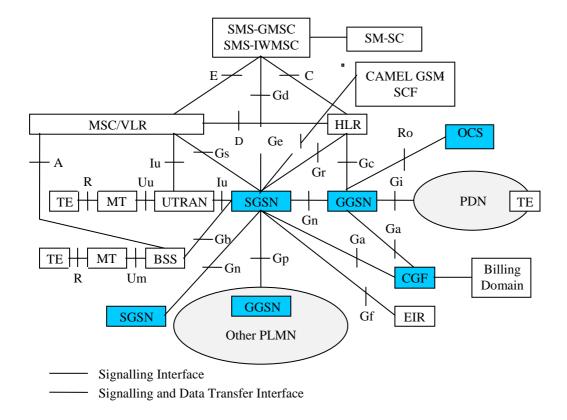


Figure 4.2: Overview of the GPRS Logical Architecture

The elements that are involved in PS domain charging are specially colorised in figure 4.2.

For offline charging the following nodes may generate accounting metrics sets for PS domain CDRs:

- the SGSN, to record a user's access to PLMN resources, mobility management activities, SMS and LCS usage;
- the GGSN, to record a user's access to external networks.

The GSNs send the CDRs to the CGF in near real-time. The responsibility of the CGF is to provide non-volatile CDR storage and the Bp interface towards the Billing Domain. Further details on the CGF can be found in TS 32.240 [1] and TS 32.297 [52].

For more information about online charging in PS domains see clause 4.3.

# 4.2 PS domain offline charging architecture

As described in TS 32.240 [1], the CTF (an integrated component in each charging relevant NE) generates charging events and forwards them to the CDF. The CDF, in turn, generates CDRs which are then transferred to the CGF. Finally, the CGF creates CDR files and forwards them to the Billing Domain.

In GPRS, each GSN has an integrated CDF, hence a physical GSN can produce CDRs. The CGF may also be integrated in the GSN, or it may exist as a physically separate entity. If the CGF is external to the GSN, then the CDF forwards the CDRs to the CGF across the Ga interface. In this case, the relationship between GSN/CDF and CGF is m:1. If the CGF is integrated in the GSN, then there is only an internal interface between the CDF and the CGF. In this case, the relationship between GSN/CDF and CGF is 1:1. An integrated CGF may support the Ga interface from other GSN/CDFs.

When an external CGF is used, this CGF may also be used by other, i.e. non-GPRS, network elements, according to network design and operator decision. It should be noted that the CGF may also be an integrated component of the BD – in this case, the Bp interface does not exist and is replaced by a proprietary solution internal to the BD.

Figure 4.3. depicts the architectural options described above.

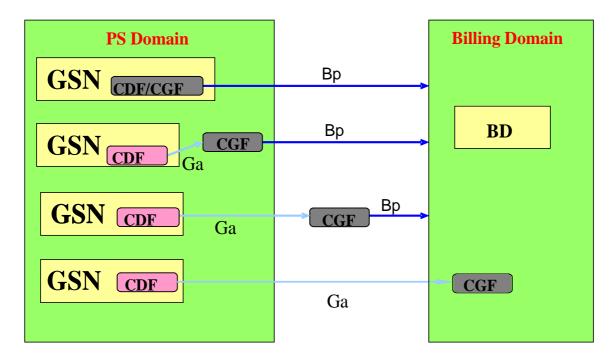


Figure 4.3: PS domain offline charging architecture

The GGSN may include a Traffic Plane Function (TPF) to support Flow Based Bearer Charging (FBC) functionality; this is not depicted in figure 4.3. The Ga and Bp reference points include the required functionality, e.g. defined for the Gz reference point in TS 23.125 [70]. Refer to clause 5.2.1.3, TS 32.240 [1] and TS 23.125 [70] for further details on FBC.

The GSNs may provide inter-operator charging functionality for Multimedia Broadcast/Multicast Services (MBMS) defined in TS 23.246 [207] and TS 32.273 [32], not for subscriber charging.

In addition to the standardised approaches depicted in figure 4.3, vendors may implement the CDF separately from the GSN for the PS domain. In that case, the interface between the GSN (CTF) and the CDF should comply with the definition of the Rf interface specified in 3GPP TS 32.299 [50].

# 4.3 PS domain online charging architecture

PS domain online charging based on SGSN functions is implemented by CAMEL techniques as described in 3GPP TS 23.078 [206] and 3GPP TS 29.078 [202], i.e. outside the scope of the 32 series of charging TSs. Note, however, that the CDRs described in the present document do contain CAMEL information. This is because some of that information is relevant to offline charging in case of CAMEL control of (part of) the session, and thus needs to be captured in the offline charging information. However, this is not related to the online charging functions for the PS domain.

PS domain online charging based on GGSN functions with included TPF is specified in the present document, utilising the Ro interface and application as specified in TS 32.299 [50]. The reason for this alternative solution is that operators may enforce the use of HPLMN GGSNs in the case of roaming, hence GGSN service control and charging can be executed in the HPLMN in all circumstances. The GGSN based PS domain online charging architecture is depicted in figure 4.4.

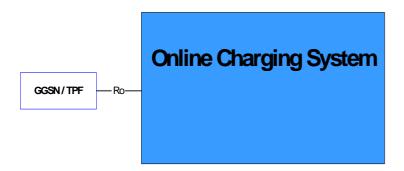


Figure 4.4: PS domain GGSN based online charging architecture

The Ro reference point includes the functionality defined for the Gy reference point (required for the TPF) in TS 23.125 [70]. Refer to clause 5.2.1.3, TS 32.240 [1] and TS 23.125 [70] for further details on FBC.

Details on the interfaces and functions can be found in TS 32.240 [1] for the general architecture components, TS 32.296 [53] for the OCS, and 32.299 [50] for the Ro application.

# 5 PS domain charging principles and scenarios

# 5.1 PS charging principles

The charging functions specified for the PS domain relate to

- mobility management, refer to TS 23.060 [201];
- SMS transmissions / receptions, refer to TS 23.060 [201];
- PDP contexts, refer to TS 23.060 [201];
- LCS events, refer to TS 32.271 [31];
- individual service data flows within a PDP context, refer to TS 23.125 [72].
- MBMS bearer contexts, refer to TS 23.246 [207] and TS 32.273 [32].

## 5.1.1 Requirements

The following are high-level charging requirements specific to the packet domain, derived from the requirements in 3GPP TS 22.115 [102], 23.060 [201] and TS 23.125 [72].

- 1) Every PDP context shall be assigned a unique identity number for billing purposes. (i.e. the charging id).
- 2) Data volumes on both the uplink and downlink direction shall be counted separately. The data volumes shall reflect the data as delivered to and from the user.
- 3) The charging mechanisms shall provide the duration of the PDP context with date and time information.
- 4) The network operator may define a subset of the charging information specified by Packet-Switched domain charging standards. This means that it shall be possible to configure the SGSN and GGSN for the CDR information generated.
- 5) The GSNs shall be capable of handling the charging characteristics. Charging characteristics can be specific for a subscription or for subscribed PDP contexts (i.e. per APN), see annex A for details.
- 6) The SGSN shall support charging of CAMEL services.
- 7) The SGSN shall support charging for location requests.
- 8) The SGSN may support online charging using CAMEL techniques.
- 9) The GGSN may support online charging using IETF based techniques.
- 10) The GGSN may be capable of identifying data volumes for individual service data flows (flow based bearer charging).

These requirements apply equally to PS domain online charging and offline charging.

# 5.1.2 Charging information

Charging information in the PS domain network is collected for each MS/UE by the SGSNs and GGSNs, which are serving that MS/UE. The SGSN collects charging information for each MS/UE related with the radio network usage, while the GGSN collects charging information for each MS related with the external data network usage. Both GSNs also collect charging information on usage of the PS domain network resources. For MBMS, charging information in the PS domain network is collected for each MBMS bearer context. The following paragraphs list the charging information to be collected by the GSNs for both online and offline charging.

For PDP contexts, the GSNs shall collect the following charging information:

- 1. usage of the radio interface: the charging information shall describe the amount of data transmitted in MO and MT directions categorized with QoS and user protocols;
- 2. usage duration: duration of PDP context is counted as the time interval from PDP Context activation to PDP Context Deactivation;
- 3. usage of the general PS domain resources: the charging information shall describe the usage of other PS domain-related resources and the MSs PS domain network activity (e.g. mobility management);
- 4. destination and source: the charging information shall provide the actual source addresses used by the subscriber for the PDP context. The charging information shall describe the destination addresses with a level of accuracy as determined by the Access Point Name (APN);
- 5. usage of the external data networks: the charging information shall describe the amount of data sent and received to and from the external data network. External networks can be identified by the Access Point Name (APN).
- 6. location of MS/UE: HPLMN, VPLMN, plus optional higher-accuracy location information. The highest accuracy location information available in a GGSN is a SGSN address.

For service data flows defined for FBC, the GGSN shall collect the following charging information:

- 1. the information described above for PDP context charging;
- 2. the amount of data transmitted in MO and MT directions categorized by service data flow;
- 3. usage duration: duration of service data flows is counted as the time interval from service data flow activation to service data flow deactivation.

For non-PDP context related activities, the SGSN shall collect the following charging information:

- 1. mobility management actions for GPRS attached UEs/MSs;
- 2. short messages passing through the SGSN in MO and MT directions;
- 3. location requests passing through the SGSN, triggered by the UE/MS, by an external source, or by the network.

For MBMS bearer contexts, the GSNs shall collect the following charging information:

- 1. usage of the radio interface: the charging information shall describe the amount of data transmitted categorized with QoS and MBMS specific information defined in TS 32.273 [72];
- 2. usage duration: duration of MBMS bearer context is counted as the time interval from the local creation of the MBMS bearer context to the local deletion of the MBMS bearer context;
- 3. source: the charging information shall provide the source address used by the MBMS bearer service for the MBMS bearer context. The charging information may describe the destination addresses with a level of accuracy as determined by the Access Point Name (APN);
- 4. location information: the charging information shall describe a list of the downstream nodes being sent the MBMS bearer service.

# 5.2 PS domain offline charging scenarios

### 5.2.1 Basic principles

In order to provide the data required for the management activities outlined in TS 32.240 [1] (billing, accounting, statistics etc.), the SGSN and GGSN shall be able to produce CDRs for each of the following:

- Charging Data related to PDP contexts in the SGSN (S-CDR) and the GGSN (G-CDR);
- Charging Data related to service data flows in the GGSN (eG-CDR);
- Charging Data related to MM contexts (Mobile Station Mobility Management Data) in SGSN (M-CDR);
- SMS Mobile Originated Data (S-SMO-CDR) and SMS Mobile Terminated Data (SMS-SMT-CDR) in the SGSN;
- Charging Data related to mobile originated location requests (LCS-MO-CDR), mobile terminated location request (LCS-MT-CDR), and network induced location request (LCS-NI-CDR) passing through the SGSN;
- Charging Data related to MBMS bearer contexts (S-MB-CDR and G-MB-CDR).

The contents and purpose of each of these CDRs, as well as the chargeable events that trigger CDR creation, information addition, or closure are described in the following clauses. A detailed formal description of the CDR parameters defined in the present document is to be found in 3GPP TS 32.298 [51].

#### 5.2.1.1 PDP context charging

SGSN and GGSN collect charging information per user per PDP context. PDP context charging allows the GSNs to collect charging information related to data volumes sent to and received by the UE/MS, categorised by the QoS applied to the PDP context. The user can be identified by MSISDN and/or IMSI, while the PDP context can be determined by a unique identifier generated by the GGSN when creating a PDP context. This identifier is also forwarded to the SGSN so as to allow correlation of SGSN PDP context CDRs with the matching GGSN CDRs in the BD.

The main collected information items are duration of the PDP context and data volume transferred during the lifetime of the PDP context. The following chargeable events are defined for PDP context charging:

- Start of PDP context. Upon encountering this event, a new CDR for this PDP context is created and the data volume is captured for the PDP context.
- End of PDP context in the SGSN/GGSN. For the SGSN only, this trigger includes inter-SGSN routing area update (e.g. PDP context being moved from a 2G SGSN to a 3G SGSN). The PDP context CDR is closed upon encountering this trigger.
- Intra-SGSN intersystem change (change of radio interface from GSM to UMTS or vice versa). This event applies to the SGSN only and closes the PDP context CDR in the SGSN. A new one is opened if the PDP context is still active.
- Expiry of an operator configured time limit per PDP context. This event closes the PDP context CDR, and a new one is opened if the PDP context is still active.
- Expiry of an operator configured data volume limit per PDP context. This event closes the PDP context CDR, and a new one is opened if the PDP context is still active.
- Change of charging condition: QoS change, tariff time change. When this event is encountered, the current volume count is captured and a new volume count is started.
- Expiry of an operator configured change of charging condition limit per PDP context. This event closes the PDP context CDR, and a new one is opened if the PDP context is still active.

Management intervention may also force trigger a chargeable event.

#### 5.2.1.2 MM context charging

The SGSN collects charging information for mobility management actions per attached UE/MS, i.e. per user. The user can be identified by MSISDN and/or IMSI. There can be only one MM context per UE/MS at a time, and only the SGSN is involved. Therefore there is no need for special MM context identifiers. The main information items collected are changes of location pertaining to the UE/MS. The following chargeable events are defined for MM context charging:

- Start of MM context (UE/MS attaches to a SGSN). A new M-CDR is created upon encountering this event.
- End of MM context: explicit or implicit GPRS detach, including SGSN change (inter-SGSN routing area update including intersystem change). This event triggers the closure of the M-CDR.
- Mobility Change, i.e. a change in the Routing Area. The new location information is captured for the M-CDR.
- Expiry of an operator configured time limit. This event triggers the closure of the M-CDR.
- Expiry of an operator configured mobility change limit. This event triggers the closure of the M-CDR.
- Intra-SGSN intersystem change (change of radio interface from GSM to UMTS or vice versa). This event triggers the closure of the M-CDR.

Management intervention may also force trigger a chargeable event.

#### 5.2.1.3 Flow Based bearer Charging (FBC)

PDP context charging allows the GGSN to collect charging information related to data volumes sent to and received by the UE/MS, categorised by the QoS applied to the PDP context. FBC may be supported by the GGSN by the integration of a TPF. When the TPF is present, the normal PDP context charging is enhanced by the capability to categorise the PDP context data volume by specific service data flows. I.e., while there is only one uplink an one downlink data volume count per PDP context in PDP context charging, FBC provides multiple service data flow counts, one each per defined service data flow. The default service data flow is the wildcard, i.e. all traffic for all IP addresses, all TCP ports, etc.; when no other, specific service data flows are configured, the behaviour of FBC is identical to the normal PDP context charging on the GGSN. When further service data flows are specified, traffic is categorised, and counted, according to the service data flow specification), and the wildcard service data flow applies to all traffic that does not match any of the specific service data flows. Details of this functionality are specified in TS 23.125 [70] and TS 32.240 [1].

The following chargeable events are defined for FBC:

- Start of PDP context. Upon encountering this event, a new eG-CDR for this context is created and the data volume is captured per service data flow for the PDP context.
- Start of service data flow. A new volume count and time stamp for this service data flow is started.
- Termination of service data flow. The service data flow volume counter and time stamp is closed and added to the eG-CDR. For information on how the termination of service data flows is detected, refer to TS 23.125 [70].
- End of PDP context in the GGSN. The eG-CDR is closed upon encountering this trigger.
- Expiry of an operator configured time limit per PDP context. This event closes the eG-CDR, and a new one is opened if the PDP context is still active.
- Expiry of an operator configured time limit per service data flow. The service data flow volume counter and time stamp is closed and added to the eG-CDR. A new service data flow container is opened if the service data flow is still active.
- Expiry of an operator configured data volume limit per PDP context. This event closes the eG-CDR, and a new one is opened if the PDP context is still active.
- Expiry of an operator configured data volume limit per service data flow. The service data flow volume counter and time stamp is closed and added to the eG-CDR. A new service data flow container is opened if the service data flow is still active.

- Change of charging condition: GTP modification (e.g. QoS change, SGSN change, PLMN Id change, RAT change), tariff time change. When this event is encountered, all current volume counts and time stamps are captured and new volume counts and time stamps for all active service data flows are started.
- Expiry of an operator configured report of service flow data limit per PDP context. This event closes the eG-CDR, and a new one is opened if the PDP context is still active.

NOTE: The specific chargeable events may be configured per charging key and may be different from those used for the G-CDR.

Management intervention may also force trigger a chargeable event.

Relevant service data flows for a certain PDP context are determined when FBC is applied. Charging rules are used for this determination. One charging rule identifies service data flow to be measured but it can also include certain characteristics related to that service data flow.

Charging rule can contain e.g.:

- service data flow filters to identify packets belonging to certain service data flow,
- whether online/offline charging interface is used,
- rating group for that service data flow and/or
- precedence to the situations where two or more service data flows are overlapping.

And charging rules can be:

- pre-defined in GGSN,
- dynamically provisioned by the Charging Rules Function over Gx interface or
- mixture of those two.

This is specified in TS 23.125 [70] and TS 29.210 [71]

#### 5.2.1.4 SMS charging

The SGSN collects charging information for each Short Message sent to, or received by, a MS/UE. There are two chargeable events for SMS charging in the SGSN:

- the transfer of a SM through the SGSN in MO direction;
- the transfer of a SM through the SGSN in MT direction.

Management intervention may also force trigger a chargeable event.

#### 5.2.1.5 LCS charging

The SGSN collects charging information for each Location Request for a MS/UE. The following chargeable events are specified for LCS.

- A location request for a MS/UE triggered by that MS/UE (LCS-MO);
- A location request for a MS/UE triggered by an external entity (LCS-MT);
- A location request for a MS/UE triggered by the network (LCS-NI).

Management intervention may also force trigger a chargeable event.

#### 5.2.1.6 MBMS context charging

The SGSN and GGSN collects charging information for each MBMS bearer service activated. The following chargeable events are specified for MBMS.

- Start of MBMS bearer context. Upon encountering this event, a new CDR for this MBMS bearer context is created and the data volume is captured for the MBMS bearer context.
- End of MBMS bearer context in the SGSN/GGSN. For the SGSN only, this trigger includes inter-SGSN routing area update (e.g. the last UE using the MBMS bearer context leaves the routeing area). The MBMS bearer context CDR is closed upon encountering this trigger.
- Expiry of an operator configured time limit per MBMS bearer context. This event closes the MBMS bearer context CDR, and a new one is opened if the MBMS bearer context is still active.
- Expiry of an operator configured data volume limit per MBMS bearer context. This event closes the MBMS bearer context CDR, and a new one is opened if the MBMS bearer context is still active.
- Change of charging condition: tariff time change. When this event is encountered, the current volume count is captured and a new volume count is started.
- Expiry of an operator configured change of charging condition limit per MBMS bearer context. This event closes the MBMS bearer context CDR, and a new one is opened if the MBMS bearer context is still active.

Management intervention may also force trigger a chargeable event.

### 5.2.2 Rf message flows

Not applicable, as the separation of the CTF and CDF is not in the scope of the 3GPP PS domain charging standards. Refer to clause 4.2 for further information.

Vendors may nevertheless implement a separate CTF and CDF for PS domain charging. In this case, the approach chosen shall conform to the principles and protocol applications specified in TS 32.299 [50].

# 5.2.3 CDR generation

The S-CDR, M-CDR, S-SMO-CDR, S-SMT-CDR, LCS-MO-CDR, LCS-MT-CDR, LCS-NI-CDR, S-MB-CDR and G-MB-CDR are generated by the SGSN and the G-CDR and eG-CDR by the GGSN to collect charging information that they subsequently transfer to the Charging Gateway Function (CGF).

The GSNs shall use the Charging Characteristics to determine whether to activate or deactivate CDR generation. The Charging Characteristics are also used to set the coherent chargeable event conditions (e.g. time/volume limits that trigger CDR generation or information addition). Multiple Charging Characteristics 'profiles' may be configured on the GSNs to allow different sets of trigger values. Further details of this functionality, including the mechanism of conveying the charging characteristics data item (HLR -> SGSN -> GGSN), are specified in annex A. Charging Characteristics are not applicable to MBMS CDR generation.

If CDR generation is activated, it shall be possible to define separate trigger conditions values per Charging Characteristics profile for the following triggers:

- data volume limit;
- time (duration limit);
- maximum number of charging conditions changes (QoS change, Tariff Time change).

The following clauses describe the trigger conditions for the chargeable events described in clause 5.2.1.1 - 5.2.1.5. In GPRS offline charging, these chargeable events correspond to the triggers for collection of charging information and CDR generation by the SGSN/GGSN.

#### 5.2.3.1 Triggers for S-CDR charging information collection

An S-CDR is used to collect charging information related to the PDP context data information for a MS/UE in the SGSN.

If according to the Charging Characteristics, CDR generation is activated an S-CDR shall be opened at PDP context activation, and the volume for the context is counted separately in uplink and downlink direction. When a change of charging condition occurs, the volume count is added to the S-CDR and a new count is started. The S-CDR includes details such as Record Type, Served IMSI, Sequence Number etc. Not all of the charging information to be collected is static, and other charging information is directly depending on dynamic Packet-Switched service usage.

The subsequent clauses identify in detail the conditions for adding information to, and closing the S-CDR for generation towards the CGF.

#### 5.2.3.1.1 Triggers for S-CDR Charging Information Addition

The "List of Traffic Volumes" attribute of the S-CDR consists of a set of containers, which are added when specific trigger conditions are met, and identify the volume count per PDP context, separated for uplink and downlink traffic, on encountering that trigger condition. Table 5.1 identifies which conditions are supported to trigger S-CDR charging information addition.

Table 5.1: Triggers for S-CDR charging information addition

| Trigger Conditions | Description/Behaviour   |
|--------------------|---|
| QoS Change         | A change in the QoS shall result in a "List of Traffic Data Volumes" container being added to the |
|                    | CDR.  |
| Tariff Time Change | On reaching the Tariff Time Change a "List of Traffic Data Volumes " container shall be added     |
|                    | to the CDR.   |
| CDR Closure        | A list of "List of Traffic Data Volumes" container shall be added to the S-CDR.                   |

Details of the tariff times are ffs. (The following requirements are under discussion: 'The SGSN shall support at least 24 tariff time changes per calendar day, separately configured per day of the week.')

The first volume container of a PDP context identifies the uplink/downlink volume since the PDP context was opened. Subsequent volume containers store the volume count accrued since the closure of the last container.

#### 5.2.3.1.2 Triggers for S-CDR closure

The S-CDR shall be closed on encountering some trigger conditions. Table 5.2 identifies which conditions are supported to permit closure of the S-CDR.

Table 5.2: Triggers for S-CDR closure

| Closure Conditions                    | Description/Behaviour  |
|---------------------------------------|--|
| End of PDP Context<br>within the SGSN | Deactivation of the PDP context in the SGSN shall result in the CDR being closed. The trigger condition covers:  - termination of PDP context;  - SGSN change (inter-SGSN routing area update including intersystem change);  - any abnormal release.  |
| Partial Record Reason                 | O&M reasons permit the closure of the CDR for internal reasons. The trigger condition covers:  - data volume limit;  - time (duration) limit;  - maximum number of charging condition changes (QoS/tariff time change);  - management intervention;  - Intra-SGSN intersystem change (change of radio interface from GSM to UMTS or vice versa). |

Details of the volume limits, time limits and limits of charging condition changes are ffs. (The following requirements are under discussion: 'The following requirements pertain to the above trigger conditions:

- The SGSN shall support at least data volume limits per S-CDR between 100kbytes and 100Mbytes in 1kbyte increments. A S-CDR shall be closed by the first data packet that causes to total CDR volume count to exceed the configured data volume limit.
- The SGSN shall support at least time limits per S-CDR of 5 minutes to 24 hours in 1 minute increments.
- The SGSN shall support at least 10 charging condition changes per S-CDR.')

The Partial Record generation trigger thresholds are those associated with the Charging Characteristics. The Partial Record generation trigger thresholds are GSN configuration parameters defined per charging characteristics profile by the operator through O&M means, as specified in annex A.

In the event that the S-CDR is closed and the PDP context remains active, a further S-CDR shall be opened with an incremented Sequence Number in the SGSN.

#### 5.2.3.2 Triggers for M-CDR charging information collection

An M-CDR is used to collect charging information related to the mobility management of a mobile in the SGSN.

An M-CDR shall be opened for each mobile upon GPRS Attach, indicating the current location information for that MS/UE. When a location change occurs for the attached MS/UE, the new location information is added to the M-CDR. The M-CDR records details such as Record Type, Served IMSI, Sequence Number etc. Not all of the charging information to be collected is static, and other charging information is directly dependent on the mobility of the MS as provided by the Radio Access Network (RAN). Subsequent partial records may be opened if the M-CDR is closed and the MS is still attached to the network.

The subsequent clauses identify in detail the conditions for adding information to, and closing of the M-CDR for generation towards the CGF.

#### 5.2.3.2.1 Triggers for M-CDR charging information addition

The "Change of Location" attribute of the M-CDR consists of a set of containers, which are added when specific trigger conditions are met, and identify the time stamped routing area on encountering that trigger condition. Table 5.3 identifies which conditions are supported to trigger M-CDR charging information addition.

Table 5.3: Triggers for M-CDR charging information addition

| Trigger Conditions | Description/Behaviour  |
|--------------------|--|
|                    | The first 'Change of Location' container shall be captured when the MM context is created. Subsequent changes in the Routing Area shall result in a "Change of Location" container being added to the M-CDR. |

#### 5.2.3.2.2 Triggers for M-CDR closure

The M-CDR shall be closed on encountering some trigger conditions. Table 5.4 identifies which conditions are supported to permit closures of the M-CDR.

Table 5.4: Triggers for M-CDR closure

| Closure Conditions       | Description/Behaviour   |
|--------------------------|---|
| End of MM Context within | Deactivation of the MM context in the SGSN shall result in the CDR being closed. The  |
| SGSN                     | trigger condition covers:   |
|                          | - SGSN change (inter-SGSN routing area update including intersystem change);          |
|                          | - GPRS detach;  |
|                          | - any abnormal release.   |
| Partial Record Reason    | O&M reasons permit the closure of the CDR for internal reasons. The trigger condition |
|                          | covers:   |
|                          | - time (duration) limit;  |
|                          | - maximum number of mobility changes; and   |
|                          | - Management intervention;  |
|                          | - Intra-SGSN intersystem change (change of radio interface from GSM to UMTS or vice   |
|                          | versa).   |

Details of the time and mobility change limits are ffs. (The following requirements are under discussion: 'The following requirements pertain to the above trigger conditions:

- The SGSN shall support at least time limits per M-CDR of 5 minutes to 24 hours in 1 minute increments.
- The SGSN shall support at least 10 mobility changes per M-CDR.')

The Partial Record generation trigger thresholds are those associated with the Charging Characteristics. The Partial Record generation trigger thresholds are GSN configuration parameters defined per charging characteristics profile by the operator through O&M means, as specified in annex A.

In the event that the M-CDR is closed and the mobile is still known to the SGSN, a further M-CDR shall be opened with an incremented Sequence Number in the SGSN.

### 5.2.3.3 Triggers for G-CDR charging information collection

A G-CDR is used to collect charging information related to the PDP context data information for a UE/MS in the GGSN.

If, according to the Charging Characteristics, CDR generation is activated a G-CDR shall be opened at PDP context activation, and the volume for the context is counted separately in uplink and downlink direction. When a change of charging condition occurs, the volume count is added to the G-CDR and a new count is started. The G-CDR includes details such as Record Type, Served IMSI, Sequence Number etc. Not all of the charging information to be collected is static, and other charging information is directly dependent on dynamic Packet-Switched service usage.

The subsequent clauses identify in detail the conditions for adding information to, and closing the G-CDR for generation towards the CGF.

#### 5.2.3.3.1 Triggers for G-CDR Charging Information Addition

The "List of Traffic Volumes" attribute of the G-CDR consists of a set of containers, which are added when specific trigger conditions are met, and identify the volume count per PDP context, separated for uplink and downlink traffic, on encountering that trigger condition. Table 5.5 identifies which conditions are supported to trigger G-CDR charging information addition.

Table 5.5: Triggers for G-CDR charging information addition

| Trigger Conditions | Description/Behaviour   |
|--------------------|---|
| QoS Change         | A change in the QoS shall result in a "List of Traffic Data Volumes" container being added to the |
| -                  | CDR.  |
| Tariff Time Change | On reaching the Tariff Time Change a "List of Traffic Data Volumes " container shall be added     |
|                    | to the CDR.   |
| CDR Closure        | A list of "List of Traffic Data Volumes" container shall be added to the G-CDR.                   |

Details of the tariff times are ffs. (The following requirements are under discussion: 'The GGSN shall support at least 24 tariff time changes per calendar day, separately configured per day of the week.')

The first volume container of a PDP context identifies the uplink/downlink volume since the PDP context was opened. Subsequent volume containers store the volume count accrued since the closure of the last container.

#### 5.2.3.3.2 Triggers for G-CDR closure

The G-CDR shall be closed on encountering some trigger conditions. Table 5.6 identifies which conditions are supported to permit closure of the G-CDR.

Table 5.6: Triggers for G-CDR closure

| Closure Conditions    | Description/Behaviour   |
|-----------------------|---|
| End of PDP Context    | Deactivation of the PDP context in the GGSN shall result in the CDR being closed. The trigger |
| within the GGSN       | condition covers:   |
|                       | - termination of PDP context;   |
|                       | - any abnormal release.   |
| Partial Record Reason | O&M reasons permit the closure of the CDR for internal reasons. The trigger condition covers: |
|                       | - data volume limit;  |
|                       | - time (duration) limit;  |
|                       | - maximum number of charging condition changes (QoS/tariff time change);                      |
|                       | - management intervention;  |
|                       | - MS time zone change;  |
|                       | - inter-PLMN SGSN change;   |
|                       | - radio access technology change (RAT Type).  |

Details of the volume limits, time limits and limits of charging condition changes are ffs. (The following requirements are under discussion: 'The following requirements pertain to the above trigger conditions:

- The GGSN shall support at least data volume limits per G-CDR between 100kbytes and 100Mbytes in 1kbyte increments. A G-CDR shall be closed by the first data packet that causes to total CDR volume count to exceed the configured data volume limit.
- The GGSN shall support at least time limits per G-CDR of 5 minutes to 24 hours in 1 minute increments.
- The GGSN shall support at least 10 charging condition changes per G-CDR.')

The Partial Record generation trigger thresholds are those associated with the Charging Characteristics. The Partial Record generation trigger thresholds are GSN configuration parameters defined per charging characteristics profile by the operator through O&M means, as specified in annex A.

In the event that the G-CDR is closed and the PDP context remains active, a further G-CDR is opened with an incremented Sequence Number in the GGSN.

#### 5.2.3.4 Triggers for eG-CDR charging information collection

An eG-CDR is used to collect charging information related to the PDP context data information for a UE/MS in the GGSN, where the data volumes within each eG-CDR are separately counted per defined service data flow.

The eG-CDR is produced instead of a G-CDR if FBC is active in the GGSN. I.e. the GGSN either creates G-CDRs or eG-CDRs per PDP context, depending on whether FBC is enabled (eG-CDR) or disabled (G-CDR). In contrast to the G-CDR, where only one volume container (uplink/downlink) can be active per PDP context, many service data flow containers per PDP context can be active simultaneously when FBC is enabled. A service data flow container is activated when traffic for a defined service data flow (including the wildcard for 'all (other) traffic') is detected; a service data flow container is closed when the termination of the service data flow is detected by the GGSN. Details on FBC can be found in TS 23.125 [70] and TS 32.240 [1].

If, according to the Charging Characteristics, CDR generation is activated an eG-CDR shall be opened at PDP context activation, and the volume for the context is counted per defined service data flow, separately in uplink and downlink direction. When a change of charging condition occurs, all service data flow volume counts are added to the eG-CDR and new counts are started for the service data flows. The eG-CDR includes details such as Record Type, Served IMSI, Sequence Number etc. as defined in the G-CDR and the FBC specific charging data. Not all of the charging information to be collected is static, and other charging information is directly dependent on dynamic Packet-Switched service usage.

The subsequent clauses identify in detail the conditions for adding information to, and closing the eG-CDR for generation towards the CGF.

#### 5.2.3.4.1 Triggers for eG-CDR Charging Information Addition

The "List of Traffic Volumes" attribute of the eG-CDR are as defined for the G-CDR. The triggers for adding new containers to the "List of Traffic Volumes" are defined as follows:

Trigger Conditions

Description/Behaviour

A change in the QoS shall result in a "List of Traffic Data Volumes" container being added to the CDR.

Tariff Time Change
On reaching the Tariff Time Change a "List of Traffic Data Volumes" container shall be added to the CDR.

Failure-Handling procedure triggering.
When the Failure-Handling mechanism is triggered and the Failure Action is set to "Continue", a 'List of Traffic Data Volumes' container shall be added to the CDR.

CDR Closure

A list of "List of Traffic Data Volumes" container shall be added to the G-CDR.

Table 5.2.3.4.1.1: Triggers for eG-CDR charging information addition

The "List of Service Data" attribute of the eG-CDR consists of a set of containers, each with a service data flow, which are added when specific trigger conditions are met. Each container identifies the volume count per service data flow within the same PDP context, separated for uplink and downlink on encountering that trigger condition. Table 5.7 identifies which conditions are supported to trigger eG-CDR charging information addition.

Table 5.7: Triggers for eG-CDR charging information addition

| Trigger Conditions                     | Description/Behaviour   |
|--|---|
| PDP context                            | A change of PDP context conditions (e.g. QoS change, SGSN change, PLMN ld change, RAT   |
| modification                           | change) shall result in a set of "List of Service Data" containers, i.e. all active service data flow   |
|  | containers, being added to the CDR as described in clause 5.2.1.3.  |
| Tariff Time Change                     | On reaching the Tariff Time Change a set of "List of Service Data" containers, i.e. all active  |
|  | service data flow containers, shall be added to the CDR.  |
| Failure-Handling procedure triggering. | When the Failure-Handling mechanism is triggered and the Failure Action is set to "Continue", a "List of Service Data", i.e. all active service data flow containers shall be added to the CDR. |
| Service data flow report               | A "List of Service Data" container for the service data flow is added when expiry of time limit,  |
|  | expiry of volume limit or termination is detected for this service data flow.   |
| CDR Closure                            | All active "List of Service Data" containers shall be added to the eG-CDR.  |

The first volume container identifies the uplink/downlink volume since the PDP context was opened. Subsequent volume containers store the volume count accrued since the closure of the last container.

The triggers for adding new containers to the "List of Traffic Data Volumes" and "List of Service Data Flow Volumes" may be independent. Therefore there may be a different number of containers being added when a trigger condition is met.

#### 5.2.3.4.2 Triggers for eG-CDR closure

The eG-CDR shall be closed on encountering same trigger conditions as specified for the G-CDR.

#### 5.2.3.5 Triggers for SMS-CDR charging information collection

The generation of the SMS related CDRs is based on the observation and capture of simple events, i.e. the transfer of Short Messages through the SGSN in MO or MT direction.

A S-SMO-CDR is used to collect charging information related to the transmission of a SM in MO direction via the SGSN. If, according to the Charging Characteristics, CDR generation is activated a S-SMO-CDR shall be created when the SGSN has successfully forwarded a SM to the SMSC on behalf of the UE/MS. The S-SMO-CDR includes details such as Record Type, Served IMSI, Sequence Number etc.

A S-SMT-CDR is used to collect charging information related to the transmission of a SM in MT direction via the SGSN. If, according to the Charging Characteristics, CDR generation is activated a S-SMT-CDR shall be created when the SGSN has successfully forwarded a SM to the UE/MS. The S-SMT-CDR includes details such as Record Type, Served IMSI, Sequence Number etc.

Note that the above CDR types only capture the SMS events when transferred through the SGSN. Equivalent charging functionality for the CS domain is specified in TS 32.250 [10]. 3GPP standards do not define service specific charging functionality for SMS.

#### 5.2.3.6 Triggers for LCS-CDR charging information collection

The generation of the LCS related CDRs is based on the observation and capture of simple events, i.e. the invocation of location requests from the UE/MS (LCS-MO-CDR), an external entity (LCS-MT-CDR) or the network (LCS-NI-CDR).

A LCS-MO-CDR is used to collect charging information related to the transmission of a location request, originating from the UE/MS to be located, via the SGSN. If, according to the Charging Characteristics, CDR generation is activated a LCS-MO-CDR shall be created when the SGSN has received the RANAP "Location report" message from the RNC. The LCS-MO-CDR includes details such as Record Type, Served IMSI, Sequence Number etc.

A LCS-MT-CDR is used to collect charging information related to the transmission of a location request for a UE via the SGSN where the location request originates from an external entity. If, according to the Charging Characteristics, CDR generation is activated a LCS-MT-CDR shall be created when the SGSN has received the RANAP "Location report" message from the RNC. The LCS-MT-CDR includes details such as Record Type, Served IMSI, Sequence Number etc.

A LCS-NI-CDR is used to collect charging information related to the transmission of a network induced location request via the SGSN. If, according to the Charging Characteristics, CDR generation is activated a LCS-NI-CDR shall be created when the SGSN has received the RANAP "Location report" message from the RNC. The LCS-MO-CDR includes details such as Record Type, Served IMSI, Sequence Number etc.

Note that the above CDR types only capture the LCS events when transferred through the SGSN. Equivalent charging functionality for the CS domain is specified in TS 32.250 [10]. Service specific charging functionality for LCS is specified in TS 32.271 [31].

#### 5.2.3.7 Triggers for S-MB-CDR and G-MB-CDR charging information collection

#### 5.2.3.7.1 Triggers for S-MB-CDR and G-MB-CDR Charging Information Creation

S-MB-CDR and G-MB-CDR are used to collect charging information related to the MBMS bearer context data information for a MBMS bearer service in the GSN. The triggers for both S-MB-CDR and G-MB-CDR to start collecting charging information are the same.

S-MB-CDR and G-MB-CDR shall be opened at MBMS bearer context creation. Not all of the charging information to be collected is static, and other charging information is directly dependent on dynamic Packet-Switched service usage.

The subsequent clauses identify in detail the conditions for adding information to, and closing the S-MB-CDR and G-MB-CDR for generation towards the CGF.

#### 5.2.3.7.2 Triggers for S-MB-CDR and G-MB-CDR Charging Information Addition

The "List of Traffic Volumes" attribute consists of a set of containers, which are added when specific trigger conditions are met, and identify the volume count per MBMS bearer context, for downlink traffic, on encountering that trigger condition. Table 5.9 identifies which conditions are supported to trigger S-MB-CDR and G-MB-CDR charging information addition.

Table 5.9: Triggers for S-MB-CDR and G-MB-CDR charging information addition

| Trigger Conditions | Description/Behaviour   |
|--------------------|---|
| Tariff Time Change | On reaching the Tariff Time Change a "List of Traffic Data Volumes " container shall be added |
| _                  | to the CDR.   |
| CDR Closure        | A list of "List of Traffic Data Volumes" container shall be added to the relevant CDR.        |

The first volume container of a MBMS bearer context identifies the volume since the record was opened. Subsequent volume containers store the volume count accrued since the closure of the last container.

#### 5.2.3.7.3 Triggers for S-MB-CDR and G-MB-CDR closure

The S-MB-CDR and G-MB-CDR shall be closed on encountering the trigger conditions identified in Table 5.10.

Table 5.10: Triggers for S-MB-CDR and G-MB-CDR closure

| Closure Conditions     | Description/Behaviour  |
|------------------------|--|
| End of MBMS Bearer     | Deactivation of the MBMS bearer context in the GSN shall result in the CDR being closed. |
| Context within the GSN | The trigger condition covers:  |
|                        | - termination of MBMS bearer context;  |
|                        | - any abnormal release.  |
| Partial Record Reason  | O&M reasons permit the closure of the CDR for internal reasons. The trigger condition    |
|                        | covers:  |
|                        | - data volume limit;   |
|                        | - time (duration) limit;   |
|                        | - change in list of downstream nodes;  |
|                        | - management intervention.   |

## Details of the volume limits, time limits and limits of charging condition changes are ffs.

The Partial Record generation trigger thresholds are those associated with GSN configured information. In the event that the CDR is closed and the MBMS bearer context remains active, a further CDR is opened with an incremented Sequence Number in the GSN.

### 5.2.4 Usage scenarios

This clause contains a number of example scenarios illustrating the purpose and practical usage of the various CDR types defined in the previous clauses. These examples are by no means exhaustive.

For the purpose of these examples the following assumptions have been made:

- the CDRs are sent to a CGF;
- the generation of all of the CDR types has been enabled.

The following conventions have been used for the figures 5.9 to 5.12 below:

- 1) Network connections and signalling transactions are illustrated by means of solid lines and referenced by number e.g. (1).
- 2) Operation & Maintenance actions, such as the transfer of CDRs, are represented by means of dotted lines and referenced by letter e.g. (A).

NOTE: Visiting scenarios are excluded.

#### 5.2.4.1 Mobile to PDN Context

Figure 5.9 illustrates a simple outgoing Packet-Switched context from a PLMN Packet-Switched service subscriber "A" to a mainframe "B" via a PDN (1).

The respective PDP context is activated in the SGSN and GGSN and PDP PDUs are routed in MO and MT direction. The SGSN shall create an S-CDR and the GGSN shall create a G-CDR for subscriber "A".

The records generated are subsequently transferred to the CGF (A). The CGF transfers the CDRs to the BS.

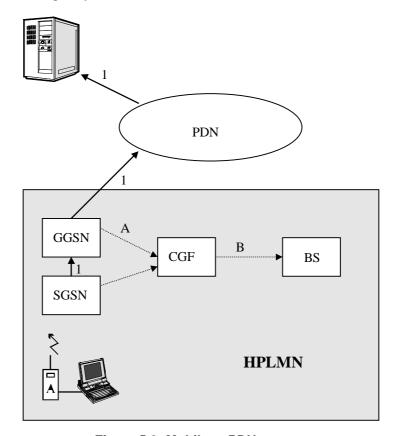


Figure 5.9: Mobile to PDN context

#### 5.2.4.2 Mobile to mobile context

Figure 5.10 illustrates a simple Packet-Switched mobile-to-mobile context within the same HPLMN.

The respective A-party related PDP context is activated in the SGSN-A and the GGSN (1).

After the location of subscriber "B" is determined, the B party related PDP context is activated (2) in the SGSN-B and the GGSN and PDP PDUs are routed in MO and MT direction. The SGSN-A shall create an S-CDR and the GGSN shall create a G-CDR for subscriber A, the SGSN-B shall create an S-CDR and the GGSN shall create a G-CDR for subscriber "B".

If subscriber "A" and subscriber "B" use the same GGSN, both G-CDRs are produced at that GGSN.

If session leg (2) requires a PDP context activation the respective PDP records will contain a network initiated PDP context activation-flag.

The records generated are subsequently transferred to the CGF (A). The CGF transfers the CDRs to the BS.

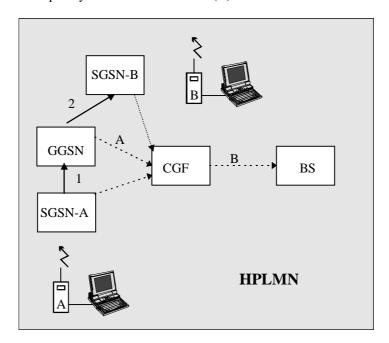


Figure 5.10: Packet-switched mobile to mobile context

#### 5.2.4.3 PDN to mobile context

Figure 5.11 illustrates a simple incoming Packet-Switched domain context from a mainframe "A" to mobile subscriber "B" via a PDN (1). After the location of subscriber "B" is determined, the PDP context is activated (2).

The GGSN receiving the PDUs shall generate a G-CDR whereas the SGSN currently serving subscriber "B" creates an S-CDR. These records contain a flag that the PDP context is activated due to network request.

The records generated are subsequently transferred to the CGF (A). The CGF transfers the CDRs to the BS.

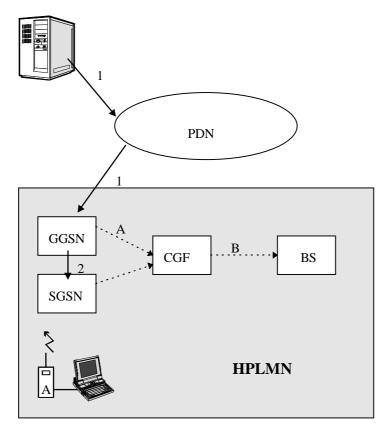


Figure 5.11: PDN to mobile context

#### 5.2.4.4 Mobile to PDN context while roaming, GGSN in HPLMN

Figure 5.12 illustrates an outgoing Packet-Switched context from a roaming mobile subscriber "A" to mainframe "B" via Boarder Gateway, inter PLMN backbone and GGSN of the HPLMN (1).

The respective a-party related PDP context is activated in the SGSN and GGSN and PDUs are routed in MO and MT direction. The SGSN shall create an S-CDR (VPLMN) and a G-CDR is generated at the used GGSN (HPLMN) for subscriber "A". From the GGSN the packets are sent via the PDN to the mainframe "B".

The records generated in the HPLMN and the VPLMN are subsequently transferred to the CGFs (A). The CGFs transfer the CDRs to the BS. (B)

Later on the records created in the VPLMN are transferred from the BS to the BS of the HPLMN via TAP procedure (C).

Note that this scenario is an example, representing only one case of roaming CDR generation.

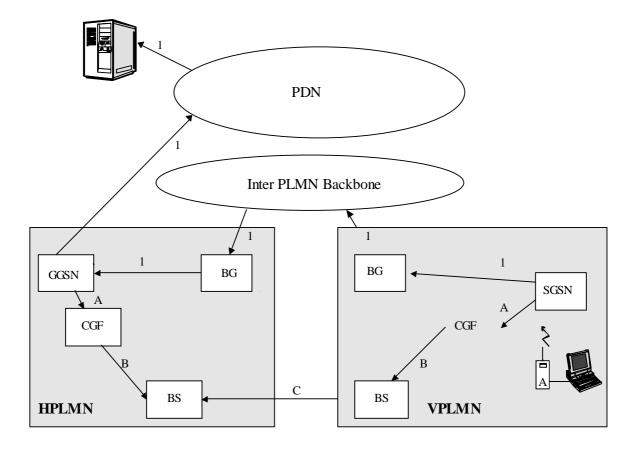


Figure 5.4: Mobile to PDN Context whilst roaming via BG

#### 5.2.5 Ga record transfer flows

In GPRS, both fully qualified partial CDRs (FQPC) and reduced partial CDRs (RPC), as specified in TS 32.240 [1] may be supported on the Ga interface. In line with TS 32.240 [13], the support of FQPCs is mandatory, the support of RPCs is optional. For further details on the Ga protocol application refer to TS 32.295 [54].

# 5.2.6 Bp CDR file transfer

In GPRS, both fully qualified partial CDRs (FQPC) and reduced partial CDRs (RPC), as specified in TS 32.240 [1] may be supported on the Bp interface. In line with TS 32.240 [13], the support of FQPCs is mandatory, the support of RPCs is optional. For further details on the Bp protocol application refer to TS 32.297 [52].

# 5.3 PS domain online charging scenarios

### 5.3.1 Basic principles

PS domain online charging may be performed in the SGSN using CAMEL techniques. This functionality is specified in TS 23.078 [206] and TS 29.078 [202] and is outside the scope of the present document.

PS domain online charging may be performed by the TPF in the GGSN using the common Ro based credit control application specified in TS 32.299 [50]. In order to provide the data required for the management activities outlined in TS 32.240 [1] (credit control, accounting, statistics etc.), the TPF shall be able to perform online charging for each of the following:

- Charging Data related to PDP contexts;
- Charging Data related to service data flows.

The above items both pertain to sessions (PDP contexts), hence only session based online charging (SCUR) is required in the TPF. The Credit Control Requests (CCR) and Credit Control Answers (CCA) specified for SCUR in TS 32.299 [50] (intial/update/termination) are issued towards the OCS / received from the OCS when certain conditions (chargeable events) are met. The PS domain specific contents and purpose of each of these messages, as well as the chargeable events that trigger them, are described in the following subclauses. A detailed formal description of the online charging parameters defined in the present document is to be found in 3GPP TS 32.299 [50]. Further information on the general principles of the common 3GPP online charging application can also be found in TS 32.299 [50] and TS 32.240 [1].

#### 5.3.1.1 PDP context charging

PDP context online charging is achieved by FBC online charging, see clause 5.3.1.2. When the PDP Context is online charged by means of FBC, the quota handling shall also be based on the use of a Rating Group/Service Identifier. The value of this PDP Context specific Rating Group/Service Identifier shall be vendor specific.

#### 5.3.1.2 Flow Based Bearer Charging

PDP context charging allows the GGSN to collect charging information related to data volumes sent to and received by the UE/MS, categorised by the QoS applied to the PDP context. FBC is supported by the GGSN by the integrated TPF. When the TPF is present, the normal PDP context charging is enhanced by the capability to categorise the PDP context data volume by specific service data flows. I.e., while there is only one uplink an one downlink data volume count per PDP context in PDP context charging, FBC provides multiple service data flow counts, one each per defined service data flow. The default service data flow is the wildcard, i.e. all traffic for all IP addresses, all TCP ports, etc.; when no other, specific service data flows are configured, the behaviour of FBC is identical to the normal PDP context charging on the GGSN. When further service data flows are specified, traffic is categorised, and counted, according to the service data flow specification, and the wildcard service data flow applies to all traffic that does not match any of the specific service data flows. Details of this functionality are specified in TS 23.125 [70] and TS 32.240 [1].

The following chargeable events are defined for FBC:

- Start of PDP context. Upon encountering this event, a CCR initial, indicating the start of the PDP context, is sent towards the OCS, and the data volume and/or elapsed time is captured per PDP Context or service data flow for the PDP context.
- Start of service data flow. A CCR update is generated for the PDP context, indicating the start of a new service data flow, and a new volume count for this service data flow is started.
- Termination of service data flow. The service data flow volume counter is closed, and a CCR update is generated towards the OCS, indicating the end of the service data flow and the final volume count for this service data flow. For information on how the termination of service data flows is detected, refer to TS 23.125 [70].
- End of PDP context. Upon encountering this event, a CCR termination, indicating the end of the PDP context, is sent towards the OCS together with the final volume counts for the PDP context and all service data flows.

- Expiry of an operator configured time limit per PDP context. This event triggers the emission of a CCR update, indicating the elapsed time and the accrued data volume for the PDP context since the last report.
- Expiry of an operator configured time limit per service data flow. The service data flow volume counter is closed and a CCR update is sent to the OCS, indicating the elapsed time and the accrued data volume since the last report for that service data flow. A new service data flow container is opened if the service data flow is still active.
- Expiry of an operator configured data volume limit per PDP context. This event triggers the emission of a CCR update, indicating the elapsed time and the accrued data volume for the PDP context since the last report.
- Expiry of an operator configured data volume limit per service data flow. The service data flow volume counter is closed and a CCR update is sent to the OCS, indicating the elapsed time and the accrued data volume since the last report for that service data flow. A new service data flow container is opened if the service data flow is still active.
- Change of charging condition: e.g. QoS change, SGSN change or RAT change. When this event is encountered and the corresponding trigger is armed, all current volume counts are captured and sent towards the OCS with a CCR update. New volume counts for PDP Context or all active service data flows are started.
- Change of charging condition: tariff time change. When this event is encountered, all current volume counts are captured and a new volume counts are started. The volume counts are sent to the OCS in next CCR.

Management intervention may also force trigger a chargeable event.

#### 5.3.1.3 PS Furnish Charging Information procedure

The OCS online charging function may use this procedure to add online charging session specific information to the eG-CDR. The information can be sent per online session and in case FBC is enabled for a specific APN, the OCS online charging faction may also sent specific information per each online charged service by means this procedure.

#### 5.3.1.4 Support of Failure Situations

In case the OCS fails, the GGSN shall support the Failure Handling procedure and Failover mechanism described in [50]. These mechanisms give flexibility to have different failure handling scenarios when the OCS fails.

Three different actions are described in [402]. In PS domain GGSN shall support the following actions when the failure handling mechanism is executed:

- Terminate: The online session is finished. The associated PDP Context is released (ongoing sessions) or not established (new sessions). Failover for ongoing sessions is not supported. Failover for new sessions is always supported.
- Retry&Terminate: The online session is finished. The associated PDP Context is released (ongoing sessions) or not established (new sessions). Failover for ongoing sessions is supported. Failover for new sessions is always supported.
- Continue: The online session is finished. The associated PDP Context is established (new sessions) or not released (ongoing sessions). Failover for ongoing sessions is supported. Failover for new sessions is always supported.

In both cases, if the user is simultaneously online and offline charged, the failure situation shall be registered in the eGCDR. When the user is only online charged, the execution of the Failure Handling mechanism with value equal to Continue shall imply that a new eG-CDR is opened.

# 5.3.2 Ro message flows

CCR initial, update and termination, as defined in TS 32.299 [50], are used by the GGSN to transfer the collected charging information towards the OCS. CCA is used by the OCS to assign quotas for the PDP context or service data flows, and to instruct the GGSN whether to continue or terminate a service data flow or PDP context.

CCA is also used to communicate to the TPF the Termination Action, i.e. the GGSN behaviour when the user has consumed the final granted units. The Termination Action is specified in TS 32.299 [50].

The GGSN uses FBC to determine whether to activate or deactivate online charging. Further details of this functionality, including the mechanism of conveying the charging characteristics data item (HLR -> SGSN -> GGSN), are specified in annex A.

The following clauses describe the trigger conditions for the chargeable events described in clause 5.3.1.1 and 5.3.1.2. In FBC online charging, these chargeable events correspond to the triggers for collection of charging information and CCR emission towards the OCS. The responses from the OCS and the detailed behaviour of the TPF upon receiving those responses are also specified in the subclauses below.

#### 5.3.2.1 Triggers for PDP context Online Charging

PDP context online charging is achieved by FBC online charging, see clause 5.3.2.2 below.

5.3.2.1.1 Void

5.3.2.1.2 Void

#### 5.3.2.2 Triggers for FBC Online Charging

CCR initial / update / termination is used to convey charging information related to the PDP context or service data flow data information collected for a UE/MS in the TPF. CCA is used by the OCS to return quotas for the PDP context or service data flows or to instruct the TPF on the further handling of the PDP context (terminate, continue, reroute, etc.).

FBC online charging is employed if FBC is active in the GGSN. Many service data flow volume containers per PDP context can be active simultaneously when FBC is enabled. A service data flow volume container is activated when traffic for a defined service data flow (including the wildcard for 'all (other) traffic') is detected; a service data flow volume count is closed when the termination of the service data flow is detected by the GGSN. Details on FBC can be found in TS 23.125 [70] and TS 32.240 [1].

If online charging is activated, a CCR initial is sent to the OCS and the OCS supplies a PDP context authorisation together with time and / or volume quotas for the PDP context or the service data flows, based on the information provided by the TPF, e.g. QoS, APN. The TPF monitors the quotas with respect to the actual volume or time used on the PDP context or the service data flows. The quota supervision mechanism is further described in TS 32.240 [1].

When a change of charging condition occurs, all volume counters are reported to the OCS with a CCR update, and when new quotas for the changed charging condition is authorised, new volume counts are started for the PDP context and / or service data flows. If only partial quotas are authorised by the OCS (e.g. due to insufficient credit), the service data flows for which no quota was authorised are closed. If no new quota at all is authorised by the OCS (e.g. when the subscriber"s account on the OCS expires) along with an appropriate result code, the PDP context is closed. The OCS may also restrict the continuation of the session, such as to allow only charge free traffic, or to reroute the user to an account recharge site. See TS 32.240 [1] and TS 32.299 [50] for further information.

The CCR includes details such as CCR Type, Served IMSI, Sequence Number etc. The CCA includes details such as credit control quotas and session management instructions (continue, terminate, interim interval, etc). Not all of the charging information to be collected is static, and other charging information is directly dependent on dynamic Packet-Switched service usage.

TS 23.125 [70] specifies that it shall be possible to request online charging quotas for each charging key. Each quota allocated to a Diameter CC session has a unique Rating-Group value. TS23.125 [70] also specifies that GGSN shall report charging information for each charging key and/or service identifier when service identifier level reporting is present. As defined in TS 23.125 [70] the service identifier is a piece of information which provides the most detailed identification, specified for flow based charging, of a service data flow. The charging key is a piece of information used for rating purposes as defined in TS 23.125 [70]. The charging key and Service Identifier are mapped into the Rating-Group AVP and the Service-Identifier AVP respectively as defined in DCCA [402].

The subsequent clauses identify in detail the conditions for reporting online charging information, management of user and credit control sessions and PS domain quota supervision.

#### 5.3.2.2.1 Triggers for starting and stopping an FBC Credit Control session

CCR init is sent to OCS when PDP context is activated

CCR terminate is sent to OCS when:

- PDP context is deactivated
- Session termination is indicated by the OCS (e.g. Credit Limit Reached)
- Abort-Session-Request is received from the OCS, this also results in network initiated PDP context deactivation.

#### 5.3.2.2.2 Triggers for providing interim information for an FBC Credit Control session

CCR update is sent to OCS when:

- User starts to use certain service
- Active service is removed from the allowed services (e.g. charging rule is removed)
- Granted quota runs out
- Validity time for granted quota expires
- Update is requested by the OCS
- Change of charging conditions occur and according re-authorisation trigger re-authorisation is needed
- Management intervention
- Provision of a charging rule
- Quota Holding Timer is expired.

#### 5.3.2.3 PS Furnish Charging Information procedure

The OCS online charging function may use this procedure to add online charging session specific information to the eG-CDR by means of the Diameter Credit Control Application in the Ro interface. The data can be sent either in one Diameter Credit Control *Credit-Control-Answer* message or several Diameter Credit Control *Credit-Control-Answer* messages with append indicator.

The OCS online charging function can send multiple concatenated PS Furnish Charging Information elements per online charging session in the Ro interface. The OCS online charging function can also send multiple concatenated PS Furnish Information Element per each online charged service data flow.

The total maximum of free format data is 160 octets per service so the total maximum of free format data per online session is n\*160 octets, where n indicates the number of services activated per online session.

In the OCS online charging function a PS online charging session shall be identified by the GGSN-C address and the ChargingId. In the GGSN, the PS online charging session and the PS offline charging session shall be identified by the same ChargingId. Therefore the ChargingId shall allow the GGSN to correlate an online charging session with an offline charging session. According TS23.125 [70] the service data flow shall be identified by the charging key and, optionally, the service identifier.

This procedure shall only apply when online and offline charging is performed simultaneously for the same session (PDP Context) or service. In any other case, the GGSN shall discard the additional charging information sent by the OCS in the Diameter Credit Control *Credit-Control-Answer* messages.

When the OCS sends session specific charging information, it must send the 'PS-Furnish-Charging-Information' AVP at command level in the *Credit-Control-Answer* message. In this case, the information is added to the main body of the eG-CDR. When the OCS sends service specific charging information, it must send the 'PS-Furnish-Charging-Information' AVP at service level in the *Credit-Control-Answer* message. In this case, the information is added to the specific service container in the eG-CDR.

The PS Furnish Charging Information AVP is described in TS 32.299 [50].

#### 5.3.2.4 Support of Failure Situations

In case the OCS fails the GGSN must support the Failure Handling procedure and Failover mechanism described in 3GPP TS 32.299 [50].

The Failure Handling Procedure affects the whole online session so in case FBC is enabled, the procedure shall affect all services activated during the PDP Context triggering the online charging session.

According to 3GPP TS 32.299 [50], timer Tx determines the maximum interval the GGSN shall wait for an answer to each credit control request sent to the OCS. In case FBC is enabled, it is possible that several concurrent Credit Control Request messages are triggered for the same online charging session. In this case, each Credit Control Request message shall reset the Tx timer. When Tx expires, GGSN shall execute the Failover and Failure Handling mechanisms according to the behaviour described in Annex B.

Three different actions are described in [402]. In PS domain GGSN shall support the following actions when the failure handling mechanism is executed:

- Terminate: The online session is finished. The associated PDP Context is released (ongoing sessions) or not
  established (new sessions). Failover for ongoing sessions is not supported. Failover for new sessions is always
  supported.
- Retry&Terminate: The online session is finished. The associated PDP Context is released (ongoing sessions) or not established (new sessions). Failover for ongoing sessions is supported. Failover for new sessions is always supported.
- Continue: The online session is finished. The associated PDP Context is established (new sessions) or not released (ongoing sessions). Failover for ongoing sessions is supported. Failover for new sessions is always supported. It shall be operator configurable to limit the maximum duration of the PDP Context in this situation.

In case the user is simultaneously online and offline charged, the failure situation must be registered in the eG-CDR. When the user is only online charged, the execution of the Failure Handling mechanism with value equal to Continue shall imply that a new eG-CDR is opened.

## 6 Definition of charging information

### 6.1 Data description for PS offline charging

The tables in the subsequent parts of clause x specify the Mandatory (M), Conditional (C) and Operator optional ( $O_M$  or  $O_C$ ) designations. The category of a CDR parameter can have one of two primary values:

- M This parameter is Mandatory and shall always be present in the CDR.
- C This parameter shall be present in the CDR only when certain Conditions are met. These Conditions are specified as part of the parameter definition.

All other parameters are designated as Operator (**O**) provisionable, which replaced the "Optional" category, specified in earlier releases. Using network management functions or specific tools provided by an equipment vendor, operators may choose if they wish to include or omit the parameter from the CDR. Once omitted, this parameter is not generated in a CDR. To avoid any potential ambiguity, a CDR generating element MUST be able to provide all these parameters. Only an operator can choose whether or not these parameters should be generated in their system.

Those parameters that the operator wishes to be present are further divided into a mandatory and conditional categories:

- $\mathbf{O_M}$  This is a parameter that, if provisioned by the operator to be present, shall always be included in the CDRs. In other words, an  $\mathbf{O_M}$  parameter that is provisioned to be present is a mandatory parameter.
- $\mathbf{O}_{\mathbf{C}}$  This is a parameter that, if provisioned by the operator to be present, shall be included in the CDRs when the required conditions are met. In other words, an  $\mathbf{O}_{\mathbf{C}}$  parameter that is configured to be present is a conditional parameter.

The following tables provide a brief description of each CDR parameter. Full definitions of the parameters, sorted by the parameter name in alphabetical order, are provided in 3GPP TS 32.298 [51].

## 6.1.1 PDP context charging data in SGSN (S-CDR)

If the collection of CDR data is enabled then the SGSN data specified in the following table shall be available for each PDP context.

Table 6.1.1 : SGSN PDP context data (S-CDR)

| Field                                      | Category       | Description   |
|--|----------------|---|
| Record Type                                | М              | SGSN PDP context record.  |
| Network Initiated PDP<br>Context           | O <sub>C</sub> | A flag that is present if this is a network initiated PDP context.  |
| Served IMSI                                | M              | IMSI of the served party.   |
| Served IMEI                                | O <sub>C</sub> | The IMEI of the ME, if available.   |
| SGSN Address                               |                | The IP address of the current SGSN.   |
|  | O <sub>M</sub> |   |
| MS Network Capability                      | O <sub>M</sub> | The mobile station Network Capability.  |
| Routing Area Code (RAC)                    | O <sub>M</sub> | RAC at the time of "Record Opening Time".   |
| Location Area Code (LAC)                   | $O_{M}$        | LAC at the time of "Record Opening Time".   |
| Cell Identifier                            | O <sub>M</sub> | Cell identity for GSM or Service Area Code (SAC) for UMTS at the time of "Record Opening Time".   |
| Charging ID                                | M              | PDP context identifier used to identify this PDP context in different records created by GSNs.  |
| GGSN Address Used                          | M              | The control plane IP address of the GGSN currently used. The GGSN address is always the same for an activated PDP context.  |
| Access Point Name<br>Network Identifier    | O <sub>M</sub> | The logical name of the connected access point to the external packet data network (network identifier part of APN).  |
| PDP Type                                   | O <sub>M</sub> | PDP type, i.e. IP, PPP, IHOSS:OSP.  |
| Served PDP Address                         | O <sub>C</sub> | PDP address of the served IMSI, i.e. IPv4 or IPv6. This parameter shall be present except when both the PDP type is PPP and dynamic PDP address assignment is used.   |
| List of Traffic Data Volumes               | O <sub>M</sub> | A list of changes in charging conditions for this PDP context, each change is time stamped. Charging conditions are used to categorize traffic volumes, such as per tariff period. Initial and subsequently changed QoS and corresponding data volumes are also listed. |
| Record Opening Time                        | М              | Time stamp when PDP context is activated in this SGSN or record opening time on subsequent partial records.   |
| Duration                                   | М              | Duration of this record in the SGSN.  |
| SGSN Change                                | С              | Present if this is first record after SGSN change.  |
| Cause for Record Closing                   | М              | The reason for closure of the record from this SGSN.  |
| Diagnostics                                | $O_{M}$        | A more detailed reason for the release of the connection.   |
| Record Sequence Number                     | С              | Partial record sequence number in this SGSN. Only present in case of partial records.   |
| Node ID                                    | O <sub>M</sub> | Name of the recording entity.   |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.  |
| Local Record Sequence<br>Number            |                | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.   |
| APN Selection Mode                         | _              | An index indicating how the APN was selected.   |
| Access Point Name                          | O <sub>M</sub> | The Operator Identifier part of the APN.  |
| Operator Identifier                        | M              | The operator lacrimor part of the 7th 1th   |
| Served MSISDN                              | $O_{M}$        | The primary MSISDN of the subscriber.   |
| Charging Characteristics                   | M              | The Charging Characteristics applied to the PDP context.  |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type, e.g. UTRAN or GERAN, currently used by the Mobile Station as defined in TS 29.060 [204].   |
| CAMEL Information                          | O <sub>C</sub> | Set of CAMEL information related to PDP context. For more information see Description of Record Fields. This field is present if CAMEL service is activated.  |
| RNC Unsent Downlink<br>Volume              | O <sub>C</sub> | The downlink data volume, which the RNC has not sent to MS. This field is present when the RNC has provided unsent downlink volume count at RAB release.  |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.   |
| Dynamic Address Flag                       | O <sub>C</sub> | Indicates whether served PDP address is dynamic, which is allocated during PDP context activation. This field is missing if address is static.  |

## 6.1.2 PDP context charging data in GGSN (G-CDR)

If FBC is disabled and the collection of CDR data is enabled then the GGSN data specified in the following table shall be available for each PDP context.

Table 6.1.2: GGSN PDP context data (G-CDR)

| Field                                      | Category       | Description  |
|--|----------------|--|
| Record Type                                | М              | GGSN PDP context record.   |
| Network initiated PDP context              | O <sub>C</sub> | A flag that is present if this is a network initiated PDP context.   |
| Served IMSI                                | М              | IMSI of the served party.  |
| Served IMEISV                              | O <sub>C</sub> | IMEISV of the ME, if available.  |
| GGSN Address used                          | М              | The control plane IP address of the GGSN used.   |
| Charging ID                                | M              | PDP context identifier used to identify this PDP context in different records created by GSNs  |
| SGSN Address                               | М              | List of SGSN addresses used during this record.  |
| Access Point Name<br>Network Identifier    | O <sub>M</sub> | The logical name of the connected access point to the external packet data network (network identifier part of APN).   |
| PDP Type                                   | $O_{M}$        | PDP type, i.e. IP, PPP, or IHOSS:OSP.  |
| Served PDP Address                         | O <sub>C</sub> | PDP address, i.e. IPv4 or IPv6. This parameter shall be present except when both the PDP type is PPP and dynamic PDP address assignment is used.   |
| Dynamic Address Flag                       | O <sub>C</sub> | Indicates whether served PDP address is dynamic, which is allocated during PDP context activation. This field is missing if address is static.   |
| List of Traffic Data Volumes               | O <sub>M</sub> | A list of changes in charging conditions for this PDP context, each change is time stamped. Charging conditions are used to categorize traffic volumes, such as per tariff period. Initial and subsequently changed QoS and corresponding data values are also listed. |
| Record Opening Time                        | M              | Time stamp when PDP context is activated in this GGSN or record opening time on subsequent partial records.  |
| MS Time Zone                               | o <sub>C</sub> | This field contains the MS Time Zone the MS is currently located as defined in TS 29.060 [203], if provided by SGSN.   |
| Duration                                   | М              | Duration of this record in the GGSN.   |
| Cause for Record Closing                   | М              | The reason for the release of record from this GGSN.   |
| Diagnostics                                | O <sub>M</sub> | A more detailed reason for the release of the connection.  |
| Record Sequence Number                     | С              | Partial record sequence number, only present in case of partial records.   |
| Node ID                                    | $O_{M}$        | Name of the recording entity.  |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.   |
| Local Record Sequence<br>Number            | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.  |
| APN Selection Mode                         | $O_{M}$        | An index indicating how the APN was selected.  |
| Served MSISDN                              | O <sub>M</sub> | The primary MSISDN of the subscriber.  |
| User Location Information                  | OC             | This field contains the User Location Information of the MS as defined in TS 29.060 [203], if provided by SGSN.  |
| Charging Characteristics                   | М              | The Charging Characteristics applied to the PDP context.   |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.  |
| IMS Signalling Context                     | O <sub>C</sub> | Included if the IM-CN Subsystem Signalling Flag is set, see [201]PDP context is used for IMS signalling.   |
| External Charging Identifier               | O <sub>C</sub> | Holds a Charging Identifier and is present only if it is received from a non-GPRS, external network entity   |
| SGSN PLMN Identifier                       | $O_{M}$        | SGSN PLMN Identifier (MCC and MNC) used during this record.  |
| CAMEL Information                          | O <sub>C</sub> | Set of CAMEL information related to PDP context. This field is present if CAMEL Charging Information is received by the GGSN in the GTP Create PDP context request.  |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type currently used by the Mobile Station as defined in TS 29.060 [204]. The field is present in the G-CDR if provided by SGSN.   |

## 6.1.3 FBC enhanced PDP context charging data in GGSN (eG-CDR)

If FBC is enabled and the collection of CDR data is enabled then the GGSN data specified in the following table shall be available for each PDP context. The details of the "List of service Data Flow" parameter is ffs. The need for eG-CDR as opposed to expanding the existing G-CDR for FBC is ffs.

Table 6.1.3: GGSN FBC enhanced PDP context data (eG-CDR)

| Field                        | Category       | Description   |
|------------------------------|----------------|---|
| Record Type                  | М              | Enhanced GGSN PDP context record.   |
| Network initiated PDP        | O <sub>C</sub> | A flag that is present if this is a network initiated PDP context.  |
| context                      | Ů              |   |
| Served IMSI                  | М              | IMSI of the served party.   |
| Served IMEISV                | $o_c$          | IMEISV of the ME, if available.   |
| GGSN Address used            | М              | The control plane IP address of the GGSN used.  |
| Charging ID                  | М              | PDP context identifier used to identify this PDP context in different records   |
|                              |                | created by GSNs   |
| SGSN Address                 | М              | List of SGSN addresses used during this record.   |
| Access Point Name            | O <sub>M</sub> | The logical name of the connected access point to the external packet data  |
| Network Identifier           |                | network (network identifier part of APN).   |
| PDP Type                     | $O_{M}$        | PDP type, i.e. IP, PPP, or IHOSS:OSP.   |
| Served PDP Address           | OC             | PDP address, i.e. IPv4 or IPv6. This parameter shall be present except when   |
|                              |                | both the PDP type is PPP and dynamic PDP address assignment is used.  |
| Dynamic Address Flag         | $o_c$          | Indicates whether served PDP address is dynamic, which is allocated during PDP  |
|                              |                | context activation. This field is missing if address is static.   |
| List of Traffic Data Volumes | $O_{M}$        | A list of changes in charging conditions for this PDP context, each change is time  |
|                              |                | stamped. Charging conditions are used to categorize traffic volumes, such as per tariff period. Initial and subsequently changed QoS and corresponding data |
|                              |                | values are also listed.   |
|                              |                | Failure-Handling: This field shall be present in case GGSN triggers the Failure-  |
|                              |                | Handling procedure. It shall indicate the Failure Handling scenario and the instant   |
|                              |                | the Failure Action is triggered (see annex B). Scenarios: Continue/New Session;   |
|                              |                | Continue/Ongoing Session; Retry&Terminate/Ongoing Session;  |
|                              |                | Terminate/Ongoing Session.  |
| List of Service Data         | $O_{M}$        | A list of changes in charging conditions for all service data flows within this PDP   |
| Volumes                      |                | context, each change is time stamped. Charging conditions are used to   |
|                              |                | categorize traffic volumes, such as per tariff period and per service data flow.  |
|                              |                | Initial and subsequently changed QoS and corresponding data values are also   |
|                              |                | listed.<br>Online charging information (PS Furnish Charging Information) may be added per   |
|                              |                | each service data flow container in case it is sent by the OCS.   |
|                              |                | Failure-Handling: This field shall be present in case GGSN triggers the Failure-  |
|                              |                | Handling procedure. It shall indicate the Failure Handling scenario and the instant   |
|                              |                | the Failure Action is triggered (see annex B). Scenarios: Continue/New Session;   |
|                              |                | Continue/Ongoing Session; Retry&Terminate/Ongoing Session;  |
|                              |                | Terminate/Ongoing Session   |
| Record Opening Time          | M              | Time stamp when PDP context is activated in this GGSN or record opening time  |
| MC Time Zone                 |                | on subsequent partial records.  |
| MS Time Zone                 | ОС             | This field contains the MS Time Zone the MS is currently located as defined in TS 29.060 [203], if provided by SGSN.  |
| Duration                     | М              | Duration of this record in the GGSN.  |
| Cause for Record Closing     | М              | The reason for the release of record from this GGSN.  |
| Diagnostics                  |                | A more detailed reason for the release of the connection.   |
| Record Sequence Number       | C              | Partial record sequence number, only present in case of partial records.  |
| Node ID                      |                | Name of the recording entity.   |
| Record Extensions            |                | A set of network operator/manufacturer specific extensions to the record.   |
| Record Exterisions           |                | Conditioned upon the existence of an extension.   |
| Local Record Sequence        | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated   |
| Number                       | - М            | sequentially including all CDR types.   |
| APN Selection Mode           |                | An index indicating how the APN was selected.   |
| Served MSISDN                | O <sub>M</sub> | The primary MSISDN of the subscriber.   |
| User Location Information    |                | This field contains the User Location Information of the MS as defined in   |
|                              | ОС             | TS 29.060 [203], if provided by SGSN.   |
| Charging Characteristics     | М              | The Charging Characteristics applied to the PDP context.  |

| Field                                      | Category       | Description  |
|--|----------------|--|
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.  |
| IMS Signalling Context                     | O <sub>C</sub> | Included if the PDP context IM-CN Subsystem Signalling Flag is set, see [201]is used for IMS signalling.   |
| External Charging Identifier               | O <sub>C</sub> | A Charging Identifier received from a non-GPRS, external network entity.   |
| SGSN PLMN Identifier                       | O <sub>M</sub> | SGSN PLMN Identifier (MCC and MNC) used during this record.  |
| PS Furnish Charging Information            | o <sub>C</sub> | Online charging session specific information   |
| CAMEL Information                          | o <sub>C</sub> | Set of CAMEL information related to PDP context. This field is present if CAMEL Charging Information is received by the GGSN in the GTP Create PDP context request.                    |
| RAT Type                                   | o <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type currently used by the Mobile Station as defined in TS 29.060 [204]. The field is present in the eGCDR if provided by SGSN. |

## 6.1.4 Mobile Station mobility management data in SGSN (M-CDR)

If the collection of MS mobility management data is enabled then the SGSN shall start collecting the information specified in the following table each time the mobile is attached to the SGSN.

Table 6.1.4: SGSN Mobile Station mobility management data (M-CDR)

| Field                                      | Category       | Description  |
|--|----------------|--|
| Record Type                                | М              | SGSN mobility management record.   |
| Served IMSI                                | М              | IMSI of the MS.  |
| Served IMEI                                | $o_c$          | The IMEI of the ME, if available.  |
| SGSN Address                               | O <sub>M</sub> | The IP address of the current SGSN.  |
| MS Network Capability                      | $O_{M}$        | The mobile station network capability.   |
| Routing Area Code                          | $O_{M}$        | Routing Area at the time of the Record Opening Time.   |
| Local Area Code                            | $O_{M}$        | Location Area Code at the time of Record Opening Time.   |
| Cell Identifier                            | O <sub>M</sub> | The Cell Identity for GSM or Service Area Code (SAC) for UMTS at the time of the Record Opening Time.  |
| Change of Location                         | O <sub>C</sub> | A list of changes in Routing Area Code, each with a time stamp. This field is not required if partial records are generated when the location changes.                 |
| Record Opening Time                        | M              | Timestamp when MS is attached to this SGSN or record opening time on following partial record.   |
| Duration                                   | $O_{M}$        | Duration of this record.   |
| SGSN Change                                | С              | Present if this is first record after SGSN change.   |
| Cause for Record Closing                   | М              | The reason for the closure of the record in this SGSN.   |
| Diagnostics                                | $O_{M}$        | A more detailed reason for the release of the connection.  |
| Record Sequence Number                     | С              | Partial record sequence number in this SGSN; only present in case of partial records.  |
| Node ID                                    | O <sub>M</sub> | Name of the recording entity.  |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.   |
| Local Record Sequence<br>Number            | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.  |
| Served MSISDN                              | O <sub>M</sub> | The primary MSISDN of the subscriber.  |
| Charging Characteristics                   | М              | The Charging Characteristics used by the SGSN.   |
| CAMEL Information                          | O <sub>C</sub> | Set of CAMEL information related to Attach/Detach session. For more information see Description of Record Fields. This field is present if CAMEL service is activated. |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type, e.g. UTRAN or GERAN, currently used by the Mobile Station as defined in TS 29.060 [204].                  |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.  |

## 6.1.5 SMS-MO data in SGSN (S-SMO-CDR)

If enabled, an S-SMO-CDR SGSN Mobile originated SMS record shall be produced for each short message sent by a mobile subscriber via the SGSN. The fields in the record are specified in the following table.

Table 6.1.5: SGSN Mobile originated SMS record (S-SMO-CDR)

| Field                                      | Category       | Description  |
|--|----------------|--|
| Record Type                                | М              | SGSN Mobile Originated SMS.  |
| Served IMSI                                | M              | The IMSI of the subscriber.  |
| Served IMEI                                | O <sub>C</sub> | The IMEI of the ME, if available.  |
| Served MSISDN                              | O <sub>M</sub> | The primary MSISDN of the subscriber.  |
| MS Network Capability                      | O <sub>M</sub> | The mobile station network capability.   |
| Service Centre                             | O <sub>M</sub> | The address (E.164) of the SMS-service centre.   |
| Recording Entity                           | $O_{M}$        | The E.164 number of the SGSN.  |
| Location Area Code                         | $O_{M}$        | The Location Area Code from which the message originated.  |
| Routing Area Code                          | O <sub>M</sub> | The Routing Area Code from which the message originated.   |
| Cell Identifier                            | O <sub>M</sub> | The Cell Identity for GSM or Service Area Code (SAC) for UMTS from which the message originated.   |
| Message Reference                          | M              | A reference provided by the MS uniquely identifying this message.  |
| Event Time Stamp                           | M              | The time at which the message was received by the SGSN from the subscriber.  |
| SMS Result                                 | С              | The result of the attempted delivery if unsuccessful.  |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/ manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.                                  |
| Node ID                                    | O <sub>M</sub> | Name of the recording entity.  |
| Local Record Sequence<br>Number            | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.  |
| Charging Characteristics                   | M              | The Charging Characteristics flag set used by the SGSN.  |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type, e.g. UTRAN or GERAN, currently used by the Mobile Station as defined in TS 29.060 [204].        |
| Destination Number                         | O <sub>M</sub> | The destination short message subscriber number.   |
| CAMEL Information                          | O <sub>C</sub> | Set of CAMEL information related to SMS session. For more information see Description of Record Fields. This field is present if CAMEL service is activated. |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.  |

## 6.1.6 SMS-MT data in SGSN (S-SMT-CDR)

If enabled, an SGSN Mobile terminated SMS record shall be produced for each short message received by a mobile subscriber via the SGSN. The fields in the record are specified in the following table.

Table 6.1.6: SGSN Mobile terminated SMS record (S-SMT-CDR)

| Field                                      | Category       | Description  |
|--|----------------|--|
| Record Type                                | М              | SGSN Mobile Terminated SMS.  |
| Served IMSI                                | М              | The IMSI of the subscriber.  |
| Served IMEI                                | O <sub>C</sub> | The IMEI of the ME, if available.  |
| Served MSISDN                              | O <sub>M</sub> | The primary MSISDN of the subscriber.  |
| MS Network Capability                      | $O_{M}$        | The mobile station network capability.   |
| Service Centre                             | O <sub>M</sub> | The address (E.164) of the SMS-service centre.   |
| Recording Entity                           | $O_{M}$        | The E.164 number of the SGSN.  |
| Location Area Code                         | $O_{M}$        | The Location Area Code to which the message was delivered.   |
| Routing Area Code                          | $O_{M}$        | The Routing Area Code to which the message was delivered.  |
| Cell Identifier                            | O <sub>M</sub> | The Cell Identity for GSM or Service Area Code (SAC) for UMTS to which the message was delivered.  |
| Event Time Stamp                           | М              | Delivery time stamp, time at which message was sent to the MS by the SGSN.   |
| SMS Result                                 | С              | The result of the attempted delivery if unsuccessful.  |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.                                   |
| Node ID                                    | $O_{M}$        | Name of the recording entity.  |
| Local Record Sequence<br>Number            | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.  |
| Charging Characteristics                   | М              | The Charging Characteristics flag set used by the SGSN.  |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type, e.g. UTRAN or GERAN, currently used by the Mobile Station as defined in TS 29.060 [204].        |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.  |
| CAMEL Information                          | O <sub>C</sub> | Set of CAMEL information related to SMS session. For more information see Description of Record Fields. This field is present if CAMEL service is activated. |

## 6.1.7 Mobile terminated location request (LCS-MT-CDR)

If enabled, an SGSN Mobile terminated LCS record shall be produced for each mobile terminated location request that is performed via the SGSN. The fields in the record are specified in the following table.

Table 6.1.7: SGSN Mobile terminated LCS record (SGSN-LCS-MT)

| Field                                      | Category       | Description   |
|--|----------------|---|
| Record Type                                | М              | SGSN Mobile Terminated LCS.   |
| Recording Entity                           | М              | The E.164 number of the SGSN.   |
| LCS Client Type                            | М              | The type of the LCS client that invoked the LR.   |
| LCS Client Identity                        | M              | Further identification of the LCS client.   |
| Served IMSI                                | M              | The IMSI of the subscriber.   |
| Served MSISDN                              | O <sub>M</sub> | The primary MSISDN of the subscriber.   |
| SGSN Address                               | $O_{M}$        | The IP address of the current SGSN.   |
| Location Type                              | M              | The type of the estimated location.   |
| LCS QoS                                    | С              | QoS of the LR, if available.  |
| LCS Priority                               | С              | Priority of the LR, if available.   |
| MLC Number                                 | M              | The E.164 address of the requesting GMLC.   |
| Event Time stamp                           | M              | The time at which the Perform_Location_Request is sent by the SGSN.   |
| Measurement Duration                       | $O_{M}$        | The duration of proceeding the location request.  |
| Notification To MS User                    | С              | The privacy notification to MS user that was applicable when the LR was invoked, if available.  |
| Privacy Override                           | С              | This parameter indicates the override MS privacy by the LCS client, if available.   |
| Location                                   | $O_{M}$        | The LAC and CI when the LR is received.   |
| Routing Area Code                          | O <sub>M</sub> | The Routing Area Code to which the LCS terminated.  |
| Location Estimate                          | O <sub>C</sub> | The location estimate for the subscriber if contained in geographic position and the LR was successful.   |
| Positioning Data                           | С              | The positioning method used or attempted, if available.   |
| LCS Cause                                  | O <sub>C</sub> | The result of the LR if any failure or partial success happened as known at radio interface.  |
| Cause for Record Closing                   | М              | The reason for closure of the record from this SGSN.  |
| Diagnostics                                | С              | A more detailed information about the Cause for Record Closing if any failure or partial success happened.  |
| Node ID                                    | $O_{M}$        | Name of the recording entity.   |
| Local Record Sequence<br>Number            | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.   |
| Charging Characteristics                   | М              | The Charging Characteristics used by the SGSN. (always use the subscribed CC).  |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected. (only subscribed/home default/visited default).                                   |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type, e.g. UTRAN or GERAN, currently used by the Mobile Station as defined in TS 29.060 [204]. |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.                            |

## 6.1.8 Mobile originated Location request (LCS-MO-CDR)

If enabled, an SGSN Mobile originated LCS record shall be produced for each mobile originated location request that is performed via the SGSN. The fields in the record are specified in the following table.

Table 6.1.8: SGSN Mobile originated LCS record (SGSN-LCS-MO)

| Field                                      | Category       | Description   |
|--|----------------|---|
| Record Type                                | М              | SGSN Mobile Originated LCS.   |
| Recording Entity                           | М              | The E.164 number of the SGSN.   |
| LCS Client Type                            | С              | The type of the LCS client that invoked the LR, if available.   |
| LCS Client Identity                        | С              | Further identification of the LCS client, if available.   |
| Served IMSI                                | M              | The IMSI of the subscriber.   |
| Served MSISDN                              | $O_{M}$        | The primary MSISDN of the subscriber.   |
| SGSN Address                               | $O_{M}$        | The IP address of the current SGSN.   |
| Location Method                            | М              | The type of the location request.   |
| LCS QoS                                    | С              | QoS of the LR, if available.  |
| LCS Priority                               | O <sub>c</sub> | Priority of the LR, if available.   |
| MLC Number                                 | С              | The E.164 address of the involved GMLC, if applicable.  |
| Event Time stamp                           | M              | The time at which the Perform_Location_Request is sent by the SGSN.   |
| Measurement Duration                       | O <sub>M</sub> | The duration of proceeding the location request.  |
| Location                                   | O <sub>M</sub> | The LAC and CI when the LR is received.   |
| Routing Area Code                          | O <sub>M</sub> | The Routing Area Code from which the LCS originated.  |
| Location Estimate                          | O <sub>C</sub> | The location estimate for the subscriber if contained in geographic position and the LR was successful.   |
| Positioning Data                           | С              | The positioning method used or attempted, if available.   |
| LCS Cause                                  | O <sub>C</sub> | The result of the LR if any failure or partial success happened as known at radio interface.  |
| Cause for Record Closing                   | М              | The reason for closure of the record from this SGSN.  |
| Diagnostics                                | С              | A more detailed information about the Cause for Record Closing if any failure or partial success happened.  |
| Node ID                                    | O <sub>M</sub> | Name of the recording entity.   |
| Local Record Sequence<br>Number            | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.   |
| Charging Characteristics                   | М              | The Charging Characteristics flag set used by the SGSN.   |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.   |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type, e.g. UTRAN or GERAN, currently used by the Mobile Station as defined in TS 29.060 [204]. |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.                            |

## 6.1.9 Network induced Location request (LCS-NI-CDR)

If enabled, an SGSN Network induced LCS record shall be produced for each network induced location request that is performed via the SGSN. The fields in the record are specified in the following table.

Table 6.1.9: SGSN Network induced LCS record (SGSN-LCS-NI)

| Field                                      | Category       | Description   |
|--|----------------|---|
| Record Type                                | М              | SGSN Network Induced LCS.   |
| Recording Entity                           | M              | The E.164 number of the SGSN.   |
| LCS Client Type                            | С              | The type of the LCS client that invoked the LR, if available.   |
| LCS Client Identity                        | С              | Further identification of the LCS client, if available.   |
| Served IMSI                                | С              | The IMSI of the subscriber if supplied.   |
| Served MSISDN                              | С              | The primary MSISDN of the subscriber if supplied.   |
| SGSN Address                               | $O_{M}$        | The IP address of the current SGSN.   |
| Served IMEI                                | O <sub>C</sub> | The IMEI of the ME, if available.   |
| LCS QoS                                    | С              | QoS of the LR, if available.  |
| LCS Priority                               | С              | Priority of the LR, if available.   |
| MLC Number                                 | С              | The E.164 address of the involved GMLC, if applicable.  |
| Event Time stamp                           | M              | The time at which the Perform_Location_Request is sent by the SGSN.   |
| Measurement Duration                       | O <sub>M</sub> | The duration of proceeding the location request.  |
| Location                                   | O <sub>M</sub> | The LAC and CI when the LR is received.   |
| Routing Area Code                          | O <sub>M</sub> | The Routing Area Code from which the LCS originated.  |
| Location Estimate                          | O <sub>C</sub> | The location estimate for the subscriber if contained in geographic position and the LR was successful.   |
| Positioning Data                           | С              | The positioning method used or attempted, if available.   |
| LCS Cause                                  | O <sub>C</sub> | The result of the LR if any failure or partial success happened as known at radio interface.  |
| Cause for Record Closing                   | М              | The reason for closure of the record from this SGSN.  |
| Diagnostics                                | С              | A more detailed information about the Cause for Record Closing if any failure or partial success happened.  |
| Node ID                                    | $O_{M}$        | Name of the recording entity.   |
| Local Record Sequence<br>Number            | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.   |
| Charging Characteristics                   | М              | The Charging Characteristics flag set used by the SGSN.   |
| Charging Characteristics<br>Selection Mode | O <sub>M</sub> | Holds information about how Charging Characteristics were selected.   |
| RAT Type                                   | O <sub>C</sub> | This field indicates the Radio Access Technology (RAT) type, e.g. UTRAN or GERAN, currently used by the Mobile Station as defined in TS 29.060 [204]. |
| Record Extensions                          | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.                            |

## 6.1.10 MBMS bearer context charging data in SGSN (S-MB-CDR)

If the collection of CDR data is enabled then the SGSN data specified in the following table shall be available for each MBMS bearer context.

Table 6.1.10: SGSN MBMS bearer context data (S-MB-CDR)

| Field                                   | Category       | Description   |
|---|----------------|---|
| Record Type                             | М              | SGSN MBMS bearer context record.  |
| GGSN Address used                       | М              | The control plane IP address of the GGSN used.  |
| Charging ID                             | M              | Bearer context identifier used to identify this MBMS bearer context in different records created by GSNs  |
| List of RAs                             | Oc             | List of routeing areas receiving data used during this record. equivalent to the list of RAs defined in TS 23.246 [207].  |
| Access Point Name<br>Network Identifier | O <sub>M</sub> | The logical name of the connected access point to the BM-SC (network identifier part of APN).   |
| Served PDP Address                      | O <sub>C</sub> | Indicates the IP Multicast address used for the MBMS bearer context   |
| List of Traffic Data Volumes            | O <sub>M</sub> | A list of changes in charging conditions for this MBMS bearer context, each change is time stamped. Charging conditions are used to categorize traffic volumes, such as per tariff period. It shall include the required bearer capabilities (QoS Negotiated) |
| Record Opening Time                     | M              | Time stamp when MBMS bearer context is activated in this SGSN or record opening time on subsequent partial records.   |
| Duration                                | М              | Duration of this record in the SGSN.  |
| Cause for Record Closing                | М              | The reason for the release of record from this SGSN.  |
| Diagnostics                             | $O_{M}$        | A more detailed reason for the release of the connection.   |
| Record Sequence Number                  | С              | Partial record sequence number, only present in case of partial records.  |
| Node ID                                 | $O_{M}$        | Name of the recording entity.   |
| Record Extensions                       | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.  |
| Local Record Sequence<br>Number         | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.   |
| Number of receiving UEs                 | O <sub>C</sub> | Indicates the number of UEs receiving the MBMS bearer service   |
| MBMS Information                        | Ом             | MBMS related information related to MBMS bearer context being charged, defined in TS 32.273 [32].   |

## 6.1.11 MBMS bearer context charging data in GGSN (G-MB-CDR)

If the collection of CDR data is enabled then the GGSN data specified in the following table shall be available for each MBMS bearer context.

Table 6.1.11: GGSN MBMS bearer context data (G-MB-CDR)

| Field                                   | Category       | Description  |
|---|----------------|--|
| Record Type                             | М              | GGSN MBMS bearer context record.   |
| GGSN Address used                       | М              | The control plane IP address of the GGSN used.   |
| Charging ID                             | M              | Bearer context identifier used to identify this MBMS bearer context in different records created by GSNs   |
| List of Downstream Nodes                | M              | List of SGSN addresses used during this record. equivalent to the list of downstream nodes defined in TS 23.246 [207].   |
| Access Point Name<br>Network Identifier | $O_{M}$        | The logical name of the connected access point to the BM-SC (network identifier part of APN).  |
| Served PDP Address                      | $o_c$          | Indicates the IP Multicast address used for the MBMS bearer context.   |
| List of Traffic Data Volumes            | O <sub>M</sub> | A list of changes in charging conditions for this MBMS bearer context, each change is time stamped. Charging conditions are used to categorize traffic volumes, such as per tariff period. It shall include the required bearer capabilities (QoS Negotiated). |
| Record Opening Time                     | M              | Time stamp when MBMS bearer context is activated in this GGSN or record opening time on subsequent partial records.  |
| Duration                                | М              | Duration of this record in the GGSN.   |
| Cause for Record Closing                | М              | The reason for the release of record from this GGSN.   |
| Diagnostics                             | $O_{M}$        | A more detailed reason for the release of the connection.  |
| Record Sequence Number                  | С              | Partial record sequence number, only present in case of partial records.   |
| Node ID                                 | $O_{M}$        | Name of the recording entity.  |
| Record Extensions                       | O <sub>C</sub> | A set of network operator/manufacturer specific extensions to the record.  Conditioned upon the existence of an extension.   |
| Local Record Sequence<br>Number         | O <sub>M</sub> | Consecutive record number created by this node. The number is allocated sequentially including all CDR types.  |
| MBMS Information                        | Ом             | MBMS related information related to MBMS bearer context being charged, defined in TS 32.273 [32].  |

### 6.2 Data description for PS Online Charging

### 6.2.1 Diameter message contents

#### 6.2.1.1 Summary of Online Charging Message Formats

The PS Online Charging uses the Credit-Control-Request (CCR) and Credit-Control-Answer (CCA) messages defined in TS 32.299 [50].

The following table describes the use of these messages for PDP context based online charging.

Table 6.2.1.1.1: Online Charging Messages Reference Table for PDP context

| Command-Name           | Source | Destination | <b>Abbreviation</b> |
|------------------------|--------|-------------|---------------------|
| Credit-Control-Request | GGSN   | ocs         | CCR                 |
| Credit-Control-Answer  | ocs    | GGSN        | CCA                 |

The following table describes the use of these messages for Flow based online charging.

Table 6.2.1.1.2: Online Charging Messages Reference Table for FBC

| Command-Name           | Source | Destination | Abbreviation |
|------------------------|--------|-------------|--------------|
| Credit-Control-Request | TPF    | ocs         | CCR          |
| Credit-Control-Answer  | ocs    | TPF         | CCA          |

#### 6.2.1.2 Structure for the Credit Control Message Formats

This clause describes the AVPs used in the credit control messages.

#### 6.2.1.2.1 Credit-Control-Request Message

Table 6.2.1.2.1 illustrates the basic structure of a Diameter CCR message from the TPF as used for PS online charging.

Table 6.2.1.2.1: Credit-Control-Request (CCR) Message Contents

| AVP                      | Category       | Description  |
|--------------------------|----------------|--|
| Session-Id               | М              | Described in TS 32.299 [50]  |
| Origin-Host              | M              | Described in TS 32.299 [50]  |
| Origin-Realm             | М              | Described in TS 32.299 [50]  |
| Destination-Realm        | М              | Described in TS 32.299 [50]  |
| Auth-Application-Id      | M              | Described in TS 32.299 [50]  |
| Service-Context-Id       | М              | Described in TS 32.299 [50]  |
| CC-Request-Type          | М              | Described in TS 32.299 [50]  |
| CC-Request-Number        | М              | Described in TS 32.299 [50]  |
| Destination-Host         | O <sub>M</sub> | Described in TS 32.299 [50]  |
| User-Name                | Ом             | The content of the field corresponds to the Protocol Configuration Options (PCO) field |
|                          |                | of the Create PDP Context Request message.   |
| Origin-State-Id          | Oc             | Described in TS 32.299 [50]  |
| Event-Timestamp          | Oc             | Described in TS 32.299 [50]  |
| Subscription-Id          | O <sub>M</sub> | Described in TS 32.299 [50].   |
|                          |                | As a minimum the IMSI and the MSISDN have to be included.                              |
| User-Equipment-Info      | Oc             | Described in TS 32.299 [50].   |
|                          |                | Contains the IMEISV.   |
| Termination-Cause        | Oc             | Described in TS 32.299 [50]  |
| Multiple-Services-       | Oc             | Described in TS 32.299 [50]  |
| Indicator                |                |  |
| Multiple-Services-Credit | Oc             | Described in TS 32.299 [50]  |
| Control                  |                |  |
| Route-Record             | O <sub>C</sub> | Described in TS 32.299 [50]  |
| AVP                      | O <sub>M</sub> | Described in TS 32.299 [50]  |
| Service-Information      | Oc             | Described in TS 32.299 [50]  |
| PS-Information           | Oc             | Described in clause 6.2.2.1  |
| IMS-Information          | Oc             | Described in clause 6.2.2.2  |
|                          |                | IS-Information AVPs are inside the Service-Information AVP and not directly at CCR     |
| command level            |                |  |

The full description of the AVPs is specified in TS 32.299 [50].

#### 6.2.1.2.2 Credit-Control-Answer Message

Table 6.2.1.2.2 illustrates the basic structure of a DCCA message as used for the TPF. This message is always used by the OCS as specified below, independent of the receiving TPF and the CCR request type that is being replied to.

Table 6.2.1.2.2 : Credit-Control-Answer (CCA) Message Contents

| AVP                              | Category       | Description              |
|----------------------------------|----------------|--------------------------|
| Session-Id                       | M              | Described in 32.299 [50] |
| Result-Code                      | M              | Described in 32.299 [50] |
| Origin-Host                      | M              | Described in 32.299 [50] |
| Origin-Realm                     | M              | Described in 32.299 [50] |
| Auth-Application-Id              | M              | Described in 32.299 [50] |
| CC-Request-Type                  | M              | Described in 32.299 [50] |
| CC-Request-Number                | M              | Described in 32.299 [50] |
| Multiple-Services-Credit-Control | O <sub>M</sub> | Described in 32.299 [50] |
| CC-Session-Failover              | Oc             | Described in 32.299 [50] |
| Credit-Control-Failure-Handling  | Oc             | Described in 32.299 [50] |
| Redirect-Host                    | O <sub>C</sub> | Described in 32.299 [50] |
| Redirect-Host-Usage              | Oc             | Described in 32.299 [50] |
| Redirect-Max-Cache-Time          | Oc             | Described in 32.299 [50] |
| Failed-AVP                       | Oc             | Described in 32.299 [50] |
| Route-Record                     | Oc             | Described in 32.299 [50] |
| Service-Information              | Oc             | Described in 32.299 [50] |
| AVP                              | O <sub>M</sub> | Described in 32.299 [50] |

#### 6.2.2 AVPs for PS Online Charging on the Ro interface

AVPs that are used for PS online charging are provided in the Service-Information AVP.

The use of the Attribute Value Pairs (AVPs) that are defined in the Diameter Base [401] and DCCA [402] is available in the Diameter application specification TS 32.299 [50].

#### 6.2.2.1 Definition of the PS-Information AVP

The detailed structure of the PS-Information AVP can be found in the following table.

The AVP header bit denoted as 'M', indicates whether support of the AVP is required. The AVP header bit denoted as 'V', indicates whether the optional Vendor-ID field is present in the AVP header. For further details, see Diameter Base [401].

Table 6.2.2.1: Structure of the PS-Information AVP

|                                  |      |         |            |      | AVP | Flag rules |      |
|----------------------------------|------|---------|------------|------|-----|------------|------|
| AVP Name                         | AVP  | Defined | Value Type | Must | May | Should     | Must |
|                                  | Code |         |            |      |     | not        | not  |
| 3GPP-Charging-Id                 | 2    | [205]   |            |      |     |            |      |
| 3GPP-PDP-Type                    | 3    | [205]   |            |      |     |            |      |
| PDP-Address                      |      | [50]    | Address    |      |     |            |      |
| 3GPP-GPRS-Negotiated-QoS-Profile | 5    | [205]   |            |      |     |            |      |
| SGSN-Address                     |      | [50]    | Address    |      |     |            |      |
| GGSN-Address                     |      | [50]    | Address    |      |     |            |      |
| CG-Address                       |      | [50]    | Address    |      |     |            |      |
| 3GPP-IMSI-MCC-MNC                | 8    | [205]   |            |      |     |            |      |
| 3GPP-GGSN- MCC-MNC               | 9    | [205]   |            |      |     |            |      |
| 3GPP-NSAPI                       | 10   | [205]   |            |      |     |            |      |
| Called-Station-Id [See Note]     | 30   | [50]    | UTF8String |      |     |            |      |
| 3GPP-Session-Stop-Indicator      | 11   | [205]   |            |      |     |            |      |
| 3GPP-Selection-Mode              | 12   | [205]   |            |      |     |            |      |
| 3GPP-Charging-Characteristics    | 13   | [205]   |            |      |     |            |      |
| 3GPP-SGSN-MCC-MNC                | 18   | [205]   |            |      |     |            |      |
| 3GPP-MS-TimeZone                 | 23   | [205]   |            |      |     |            |      |
| Charging-Rule-Base-Name          | 1004 | [71]    |            |      |     |            |      |
| 3GPP-User-Location-Info          | 22   | [205]   |            |      |     |            |      |
| 3GPP-RAT-Type                    | 21   | [205]   |            |      |     |            |      |
| PS-Furnish-Charging-Information  | 865  | [50]    |            |      |     |            |      |
| PDP-Context-Type                 | 1247 | [50]    | Enumerated |      |     |            |      |

NOTE: This AVP contains the identifier of the access point (APN) the user is connected to.

#### 6.2.2.2 Definition of the IMS Information AVP

The full definition of the IMS-Information AVP can be found in 3GPP TS 32.299 [50]. The detailed structure of the IMS-Information AVP applicable to PS online charging only can be found in the following table.

The AVP header bit denoted as 'M', indicates whether support of the AVP is required. The AVP header bit denoted as 'V', indicates whether the optional Vendor-ID field is present in the AVP header. For further details, see Diameter Base [401].

Table 6.2.2.2: Structure of the IMS-Information AVP

|                         |                 |                |            |      | A۱  | VP Flag ı | rule | S      |    |
|-------------------------|-----------------|----------------|------------|------|-----|-----------|------|--------|----|
| AVP Name                | <b>AVP Code</b> | <b>Defined</b> | Value Type | Must | May | Should    | not  | Must r | ot |
| IMS-Charging-Identifier |                 | [50]           | UTF8String |      |     |           |      |        |    |

# Annex A (normative): Charging characteristics

Editor"s note: investigate changes needed for FBC, e.g. the use of the 'Prepaid' flag.

Charging Characteristics can be supplied by the HLR to the SGSN as part of the subscription information. A subscriber may have charging characteristics assigned to his subscription and/or his subscribed APNs. The SGSN applies a pre-configured default if no charging characteristics are supplied by the HLR. The SGSN shall support three different charging characteristics default configurations:

- the home default profile for subscribers of the SGSN's PLMN;
- the visiting default profile for visitors using a GGSN belonging to the same PLMN as the SGSN;
- the roaming default profile for visitors using a GGSN belonging to their home PLMN.

The SGSN can determine the GGSN PLMN from the operator identifier part of the APN. Optionally the SGSN may support several visiting and roaming default profiles based on the MNC/MCC combination of the subscriber.

In the case of a home subscriber, the charging characteristics are selected by the SGSN according to the following procedures. For PDP context specific charging characteristics (i.e. those used for the S-CDRs that are generated for this PDP context):

- If the MS requests a particular APN then:
  - \* If the SGSN accepts this request (i.e. it has been verified against the subscription) then:
    - If it has been matched against the wildcard APN then:
      - If charging characteristics for the wildcard APN are present in the subscription information then they shall be used;
      - If no charging characteristics are present for the wildcard APN but subscription related charging characteristics are present, then they shall be chosen;
      - If neither of the two are present then the SGSN home default shall be applied.
    - If it has been matched against a specific subscribed APN then:
      - If charging characteristics for this APN are present in the subscription information then they shall be used;
      - If no charging characteristics are present for the APN but subscription related charging characteristics are present, then they shall be chosen;
      - If neither of the two are present then the SGSN home default shall be applied.
  - \* If the SGSN rejects the request then charging characteristics selection does not apply.
- If the MS does not request an APN then:
  - \* If the SGSN chooses a subscribed APN then:
    - If charging characteristics for this APN are present in the subscription information then they shall be used:
    - If no charging characteristics are present for the APN but subscription related charging characteristics are present, then they shall be chosen;
    - If neither of the two are present then the SGSN home default shall be applied.

- \* If only the wildcard APN is present in the subscription, i.e. the SGSN applies its configured default APN then:
  - If charging characteristics for the wildcard APN are present in the subscription information then they shall be used;
  - If no charging characteristics are present for the wildcard APN but subscription related charging characteristics are present, then they shall be chosen;
  - If neither of the two are present then the SGSN home default shall be applied.

For the non-PDP context specific CDR types, i.e. the M-CDRs and the SMS CDRs, the SGSN applies the subscription specific charging characteristics, or, if not supplied, it shall choose the home default profile as defined above.

In case of subscribers from other PLMNs, the SGSN may be configured to either apply the "home subscriber case" charging characteristics selection procedure defined above, or to ignore charging characteristics provided by the subscriber's HLR, and apply a default configuration instead. If default charging characteristics are selected for the foreign subscriber, then the SGSN shall choose either the visiting or roaming default profile for the PDP context specific charging characteristics, according to the roaming or visiting scenario, as described above. For M-CDRs and SMS CDRs, the operator can configure if the roaming or the visiting profile shall be applied, since no GGSN is involved.

Upon activation of a PDP context, the SGSN forwards the charging characteristics to the GGSN according to the following rules:

- if charging characteristics were received from the HLR, then they shall be sent as provided by the HLR, regardless of the home, visiting, or roaming case, and regardless of whether the SGSN applies the HLR supplied charging characteristics or chooses to ignore them;
- if no charging characteristics were received from the HLR, then the SGSN does not forward any charging characteristics to the GGSN.

The above procedure implies that no explicit transfer of the Charging Characteristics Selection Mode (see 3GPP TS 23.060 [201]) to the GGSN is necessary, because it is implicitly given as "subscribed" when the GGSN receives charging characteristics from the SGSN, and "non-subscribed" otherwise.

The GGSN shall also apply charging characteristics to its PDP contexts. It shall either apply the SGSN supplied parameters, or it may be configured to ignore the SGSN supplied charging characteristics in any combination of the following cases:

- visiting case, i.e. the subscriber belongs to a different PLMN;
- roaming case, i.e. the SGSN belongs to a different PLMN;
- home case, i.e. the subscriber belongs to the same PLMN as the GGSN; or
- unconditionally, i.e. it always ignores the SGSN supplied parameters.

If the GGSN ignores the parameters supplied by the SGSN, it shall nevertheless accept the PDP context request. It shall then apply its own pre-configured charging characteristics as appropriate, i.e. the home, visiting or roaming profile. The GGSN shall support the configuration of one set of default charging characteristics (i.e. home, visiting, roaming) for each of its supported APNs.

Charging Characteristics consists of a string of 16 bits designated as Profile (P) and Behaviour (B), shown in 3GPP TS 32.298 [41]. The first four bits (P) shall be used to select different charging trigger profiles, where each profile consists of the following trigger sets:

- **S-CDR:** activate/deactivate CDRs, time limit, volume limit, maximum number of charging conditions, tariff times;
- G-CDR: same as SGSN, plus maximum number of SGSN changes;
- M-CDR: activate/deactivate CDRs, time limit, and maximum number of mobility changes;
- **SMS-MO-CDR:** activate/deactivate CDRs;

- **SMS-MT-CDR:** active/deactivate CDRs.
- LCS-MO-CDR.
- LCS-MT-CDR.
- LCS-NI-CDR.

In addition to these trigger sets, the profile may also specify an optional charging gateway address. If this CGF address is configured in the GGSN's selected trigger profile, the GGSN shall apply it for the G-CDRs and send this charging gateway address in its GTP message exchange with the SGSN (overriding any other GGSN configured CGF address). In the home or visiting case, the SGSN shall apply the received CGF address to the S-CDRs pertaining to this PDP context. In the roaming case, or if no address is received from the GGSN, then the SGSN shall use the CGF address from its own selected charging characteristics trigger profile, or, if it does not exist, use the default CGF address. For M-CDRs and SMS CDRs, the SGSN shall use the CGF address configured in the charging characteristics that it applies to the respective CDRs, or if no such address is configured then the default CGF shall be used.

Table A.1 is an informative example intended for clarification.

S-CDR G-CDR **Profile Index bits CGF Address** Active Time Volume Change Tariff Active limit 0 - 3limit cond times 0-7, 7-12, 100 K 0 Yes 30 min 2 1 100.128.35.20 No 2 Yes 10 min 50 K 0 - 24

Table A.1: Example of charging characteristics profiles

The remaining 12 (B) bits can be freely assigned to particular charging behaviours that the GSNs support. Examples of those behaviours are:

- Selection of the applicable idle context purge timer, i.e. use global value or use special value. This feature could be used to distinguish between customers and/or APNs whose PDP contexts should be purged after short (e.g. 30 minutes) or long (e.g. 12 hours) periods of inactivity.
- Use specific charging gateway address (override all other configured/selected CG addresses).
- Deactivate SMS-MO-CDRs for customers of the own PLMN using pre-configured SMSC addresses.
- Disable G-CDRs for roamers that use the HPLMN GGSN.
- Allow or inhibit the use of own GGSNs by visitors.
- Allow or inhibit network triggered QoS change (upgrade and/or downgrade).

The SGSN selects the charging characteristics for M-CDR generation upon the creation of a MM context. Both SGSN and GGSN select the charging characteristics for PDP context CDR generation (i.e. S-CDR and G-CDR, respectively) upon creation of a PDP context or secondary PDP context. Once selected, the charging characteristics shall be maintained throughout the lifetime of the MM or PDP contexts. If the SGSN receives modified subscriber information from the HLR (e.g. execution of a stand-alone Insert Subscriber Data procedure) which includes changes to the charging characteristics, they shall be applied only to new MM, PDP and secondary PDP contexts, this implies that the SGSN shall not send PDP context modifications for the existing PDP contexts to the GGSN.

## Annex B (normative):

## Tx expiration; Failure Handling procedure and session failover mechanism description

| DIAMETER<br>CREDIT-               | Initial CCR, Tx<br>expiration  | Initial CCR, Tx<br>expiration   | Update CCR, Tx<br>expiration  | Update CCR, Tx expiration                          | Initial CCR, Tx<br>expiration for | UpdaTe CCR, Tx expiration for second             |
|-----------------------------------|--|---------------------------------|---|--|-----------------------------------|--|
| CONTROL<br>FAILURE<br>HANDLING    | Session failover enabled   | Session failover disabled       | Session failover enabled  | Session failover disabled                          | second OCS                        | ocs  |
| Failure Action = TERMINATE        | PDP Context is not established.  | PDP Context is not established. | PDP Context is terminated.<br>The eG-CDR is marked.   | PDP Context is terminated<br>The eG-CDR is marked. | N/A                               | N/A  |
| Failure Action= RETRY & TERMINATE | After Tx expiration, the GGSN sends the Initial CCR to the secondary OCS. The next considerations also apply:  - Tx is restarted.  - Service is not granted yet, i.e. PDP context is not accepted yet.  - If an answer from the first OCS is received after the first Tx expiration, it is ignored.  In case the secondary OCS is not available, the behaviour is described in the column:  "Initial CCR, Tx expiration for second OCS". | PDP Context is not established. | After Tx expiration, the GGSN will move all pending update CCRs for the online session to the secondary OCS. The next considerations also apply:  Tx is restarted Service is only granted until the quota is exhausted.  If an answer from the first OCS is received after first Tx expiration, it is ignored.  In case the secondary OCS is not available, the behaviour is described in the column: "Update CCR, Tx expiration for second OCS". | PDP Context is terminated. The eG-CDR is marked.   | PDP Context is not established.   | PDP Context is terminated. The eG-CDR is marked. |

| DIAMETER CREDIT- CONTROL FAILURE HANDLING | Initial CCR, Tx expiration Session failover enabled   | Initial CCR, Tx<br>expiration<br>Session failover<br>disabled   | Update CCR, Tx<br>expiration<br>Session failover enabled   | Update CCR, Tx<br>expiration<br>Session failover<br>disabled  | Initial CCR, Tx<br>expiration for<br>second OCS   | UpdaTe CCR, Tx<br>expiration for second<br>OCS  |
|---|---|---|--|---|---|---|
| Failure Action=<br>CONTINUE               | After Tx expiration, the GGSN sends the Initial CCR to the secondary OCS. The next considerations also apply:  Tx is restarted Service is only granted until the quota is exhausted If an answer from the first OCS is received after the first Tx expiration, it is ignored.  In case the secondary OCS is not available, the behaviour is described in the column: "Initial CCR, Tx expiration for second OCS". | The PDP context is accepted and the credit-control session is considered closed for the GGSN. The operator can limit the duration of the PDP Context. The eG-CDR is marked. | After Tx expiration, the GGSN will move all pending update CCRs for the online session to the secondary OCS. The next considerations also apply:  Tx is restarted  Service is only granted until the quota is exhausted  If an answer from the first OCS is received after the first Tx expiration, it is ignored.  In case the secondary OCS is not available, the behaviour is described in the column:  "Update CCR, Tx expiration for second OCS". | After the Tx expiration, the failure handling mechanism is immediately triggered: The credit-control session is considered closed for the GGSN and access to all services is granted for an operator configurable period of time.  The eG-CDR is marked. All active service data flow containers shall be added to the CDR. | After the Tx expiration for the secondary OCS, the failure handling mechanism is immediately triggered: The PDP context is accepted and the credit-control session is considered closed for the GGSN. The operator can limit the duration of the PDP Context. The eG-CDR is marked. | After Tx expiration for the secondary OCS, the failure handling mechanism is immediately triggered: The credit-control session is considered closed for the GGSN and access to all services is granted for an operator configurable period of time. The eG-CDR is marked. All active service data flow containers shall be added to the CDR |

# Annex C (informative): Bibliography

#### a) The 3GPP charging specifications

- 3GPP TS 32.252: "Telecommunication management; Charging management; Wireless Local Area Network (WLAN) charging".
- 3GPP TS 32.260: "Telecommunication management; Charging management; IP Multimedia Subsystem (IMS) charging".

#### b) Common 3GPP specifications

- 3GPP TS 22.101: "Service aspects; Service principles".
- 3GPP TS 23.002: "Network Architecture".
- 3GPP TS 23.003: "Numbering, addressing and identification".
- 3GPP TS 27.001: "General on Terminal Adaptation Functions (TAF) for Mobile Stations (MS)".

#### c) other Domain and Service specific 3GPP / ETSI specifications

- 3GPP TS 49.031: "Location Services (LCS); Base Station System Application Part LCS extension (BSSAP-LE)".
- 3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
- 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
- 3GPP TS 32.215: "Telecommunication management; Charging management; Charging data description for the Packet Switched (PS) domain (Release 5)". Not propagated beyond Release 5.

#### d) Relevant ITU Recommendations

- ITU-T Recommendation D.93: "Charging and accounting in the international land mobile telephone service (provided via cellular radio systems)".
- ITU-T Recommendation E.164: "The international public telecommunication numbering plan".
- ITU-T Recommendation Q.767: "Application of the ISDN user part of CCITT signalling System No.7 for international ISDN interconnections".
- ITU-T Recommendation X.25: "Interface between Data Terminal Equipment (DTE) and Data Circuit-terminating Equipment (DCE) for terminals operating in the packet mode and connected to public data networks by dedicated circuit".
- ITU-T Recommendation X.121: "International numbering plan for public data networks".

#### e) Relevant IETF RFCs

- IETF RFC 959 (1985): "File Transfer Protocol".
- IETF RFC 1350: "The TFT Protocol (Revision 2)"

# Annex D (informative): Change history

| Change history |       |           |      |     |   |     |       |                        |
|----------------|-------|-----------|------|-----|---|-----|-------|------------------------|
| Date           | TSG#  | TSG Doc.  | CR   | Rev | Subject/Comment   | Cat | Old   | New                    |
| Sep 2003       | SA_21 | SP-030410 |      |     | Submitted to TSG SA#21 for Information  |     | 1.0.0 |                        |
| Sep 2004       | SA_25 | SP-040552 |      |     | Submitted to TSG SA#25 for Approval   |     | 2.0.0 | 6.0.0                  |
| Dec 2004       | SA_26 | SP-040775 | 0001 |     | Add 'Furnish Charging Information' procedure for GPRS   | В   |       | 6.1.0                  |
| Dec 2004       | SA_26 | SP-040775 | 0002 |     | Add data description for PS online charging   | F   | 6.0.0 | 6.1.0                  |
| Mar 2005       | SA_27 | SP-050027 | 0003 |     | Conditional criteria for the presence of the External Charging ID in the G-CDR – Align with SA2"s TS 23.228   | Α   | 6.1.0 | 6.2.0                  |
| Mar 2005       | SA 27 | SP-050027 | 0004 |     | Correction of online charging terminology   | F   | 6.1.0 | 6.2.0                  |
|                |       | SP-050027 | 0005 |     | Correct support of Termination action   | F   |       | 6.2.0                  |
|                |       | SP-050027 | 0006 |     | Addition of online charging CCR triggers – Align with TS 23.125 (Overall  | F   |       | 6.2.0                  |
|                |       |           |      |     | high level functionality and architecture impacts of flow based charging;<br>Stage 2)   |     |       |                        |
|                |       | SP-050027 | 0007 |     | Correct FBC Service Data Flow (SDF) determination with charging rules to align with SA2"s TS 23.125 and CN3"s TS 29.210   | F   |       | 6.2.0                  |
| Mar 2005       |       | SP-050027 | 8000 |     | Correction of Service Data Flow (SDF) specific usage duration in flow based charging  | F   | 6.1.0 | 6.2.0                  |
| Mar 2005       | SA_27 | SP-050027 | 0009 |     | Correction of the description of Charging Key – Align with SA2"s 23.125 (Overall high level functionality and architecture impacts of flow based charging; Stage 2) | F   | 6.1.0 | 6.2.0                  |
| Jun 2005       | SA_28 | SP-050275 | 0010 |     | Correction to scope   | F   | 6.2.0 | 6.3.0                  |
|                |       | SP-050275 | 0011 |     | Correction to references  | F   |       | 6.3.0                  |
| Sep 2005       | SA_29 | SP-050439 | 0012 |     | Add missing MBMS PS domain offline charging   | F   | 6.3.0 | 6.4.0                  |
| Sep 2005       | SA 29 | SP-050636 | 0013 |     | Correct inter-system change at the SGSN   | F   | 6.3.0 | 6.4.0                  |
|                |       | SP-050636 | 0014 |     | Correct eG-CDR  | F   |       | 6.4.0                  |
|                |       | SP-050636 | 0015 |     | Add the MS Time Zone to the eG- and G-CDR – Align with TS 29.060  | F   | 6.3.0 | 6.4.0                  |
| Sep 2005       |       | SP-050636 | 0016 |     | Add missing User Location Information to the eG- and G-CDR – Align with TS 29.060   | F   |       | 6.4.0                  |
| i i            | _     | SP-050636 | 0017 | 1   | Add the CAMEL Charging Information Container parameter to the eG- and G-CDR – Align with TS 29.060  | F   | 6.3.0 | 6.4.0                  |
| Sep 2005       | SA_29 | SP-050636 | 0018 | 1   | Correct effect of SGSN change on G-CDR  | F   | 6.3.0 | 6.4.0                  |
| Sep 2005       | SA_29 | SP-050636 | 0019 |     | Add missing served IMEISV in G-CDRs and online charging   | F   | 6.3.0 | 6.4.0                  |
| Sep 2005       | SA_29 | SP-050636 | 0020 |     | Add missing RAT Type to G-CDR/eG-CDR - Align with 29.060  | F   | 6.3.0 | 6.4.0                  |
| Sep 2005       | SA_29 | SP-050636 | 0021 |     |   | F   | 6.3.0 | 6.4.0                  |
| Sep 2005       | SA_29 | SP-050636 | 0022 |     | Correct PS-Furnish-Charging-Information   | F   | 6.3.0 | 6.4.0                  |
|                |       | SP-050639 | 0023 |     | Correct Flow Based bearer Charging (FBC) service data generation  | F   |       | 6.4.0                  |
|                |       | SP-050437 | 0024 |     | Add missing Credit Control Failure Handling and Failover Support  | F   |       | 6.4.0                  |
| Dec 2005       | SA_30 | SP-050696 | 0025 |     | Align Failure Handling procedure with new information added to eGCDRs   | F   | 6.4.0 | 6.5.0                  |
| Dec 2005       | SA_30 | SP-050697 | 0026 |     | Correct the List of Downstream nodes at the SGSN for MBMS charging - Align with 23.246 (MBMS stage 2)   | F   | 6.4.0 | 6.5.0                  |
| Dec 2005       | SA_30 | SP-050696 | 0027 |     | Add Abort Session Request as a trigger for starting and stopping PDP context and Flow Based Charging Credit Control sessions  | F   | 6.4.0 | 6.5.0                  |
| Dec 2005       | SA_30 | SP-050696 | 0028 |     | Align with common DCCA principles in 32.299   | F   |       | 6.5.0                  |
| Mar 2006       | SA_31 | SP-060081 | 0029 |     | Correction of User-Name AVP   | F   | 6.5.0 | 6.6.0                  |
| Mar 2006       | SA_31 | SP-060077 | 0030 |     | Correction of G-CDR and PDP context online charging   | F   | 6.5.0 | 6.6.0                  |
| Mar 2006       | SA_31 | SP-060077 | 0031 |     | Align reporting at Service Level with 23.125  | F   | 6.5.0 | 6.6.0                  |
| Mar 2006       | SA_31 | SP-060077 | 0032 |     | Correction to PS-Information AVP  | F   | 6.5.0 | 6.6.0                  |
|                |       | SP-060077 | 0033 |     | Triggering for Service Data flow reporting in FBC   | F   |       | 6.6.0                  |
| Jun 2006       | SA_32 | SP-060247 | 0034 |     | Correct information set used for PDP context related charging   | F   |       | 6.7.0                  |
|                |       | SP-060525 | 0037 |     | Correction on Flow Based Charging - Align with 23.125   | F   | 6.7.0 | 6.8.0                  |
| Mar 2007       |       | SP-070034 | 0042 |     | Correction of online charging errors  | F   |       | 6.9.0                  |
|                |       |           |      |     |   |     |       |                        |
|                |       |           |      |     |   |     |       | $\vdash \vdash \vdash$ |
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## History

|        | Document history |             |  |  |  |  |  |
|--------|------------------|-------------|--|--|--|--|--|
| V6.1.0 | December 2004    | Publication |  |  |  |  |  |
| V6.2.0 | March 2005       | Publication |  |  |  |  |  |
| V6.3.0 | June 2005        | Publication |  |  |  |  |  |
| V6.4.0 | September 2005   | Publication |  |  |  |  |  |
| V6.5.0 | December 2005    | Publication |  |  |  |  |  |
| V6.6.0 | March 2006       | Publication |  |  |  |  |  |
| V6.7.0 | June 2006        | Publication |  |  |  |  |  |
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| V6.9.0 | March 2007       | Publication |  |  |  |  |  |