

# ETSI TS 132 582 V9.3.0 (2011-06)

---

*Technical Specification*

**Universal Mobile Telecommunications System (UMTS);  
LTE;  
Telecommunication management;  
Home Node B (HNB) Operations, Administration,  
Maintenance and Provisioning (OAM&P);  
Information model for Type 1 interface  
HNB to HNB Management System (HMS)  
(3GPP TS 32.582 version 9.3.0 Release 9)**

---



---

**Reference**

RTS/TSGS-0532582v930

---

**Keywords**

LTE, UMTS

**ETSI**

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

---

**Important notice**

Individual copies of the present document can be downloaded from:

<http://www.etsi.org>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at

<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:

[http://portal.etsi.org/chaicor/ETSI\\_support.asp](http://portal.etsi.org/chaicor/ETSI_support.asp)

---

**Copyright Notification**

No part may be reproduced except as authorized by written permission.  
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2011.  
All rights reserved.

**DECT™**, **PLUGTESTS™**, **UMTS™**, **TIPHON™**, the TIPHON logo and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

**3GPP™** is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

**LTE™** is a Trade Mark of ETSI currently being registered

for the benefit of its Members and of the 3GPP Organizational Partners.

**GSM®** and the GSM logo are Trade Marks registered and owned by the GSM Association.

---

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://webapp.etsi.org/IPR/home.asp>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

---

## Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

---

# Contents

|   |           |
|---|-----------|
| Intellectual Property Rights .....                                  | 2         |
| Foreword.....   | 2         |
| Foreword.....   | 4         |
| Introduction .....  | 4         |
| 1 Scope .....   | 5         |
| 2 References .....  | 5         |
| 3 Definitions and abbreviations.....                                | 6         |
| 3.1 Definitions .....   | 6         |
| 3.2 Abbreviations .....   | 6         |
| 4 Purpose .....   | 6         |
| 5 Structure of HNB Information Model .....                          | 6         |
| 6 Information Model Definition .....                                | 7         |
| 6.1 Configuration Management .....                                  | 7         |
| 6.1.1 HNB Access Network.....                                       | 8         |
| 6.1.2 Core Network.....   | 10        |
| 6.1.3 Transport Network.....  | 11        |
| 6.2 Fault Management .....  | 11        |
| 6.2.1 Common Alarm Attributes .....                                 | 11        |
| 6.2.2 Current Alarms List .....                                     | 12        |
| 6.2.2.1 Alarm Indexing Parameters.....                              | 12        |
| 6.2.2.2 Alarm Content Parameters .....                              | 12        |
| 6.2.3 Alarm History List .....                                      | 13        |
| 6.2.3.1 Alarm Indexing Parameters.....                              | 13        |
| 6.2.3.2 Alarm Content Parameters .....                              | 13        |
| 6.2.4 Expedited and Queued Alarm Handling .....                     | 14        |
| 6.2.4.1 Alarm Indexing Parameters.....                              | 14        |
| 6.2.4.2 Alarm Content Parameters .....                              | 14        |
| 6.2.5 Supported Alarms and Reporting Mechanisms.....                | 14        |
| 6.2.6 Encoding.....   | 16        |
| 6.2.6.1 dateTime .....  | 16        |
| 6.2.6.2 Event Type .....  | 16        |
| 6.2.6.3 Probable Cause.....   | 16        |
| 6.2.6.4 PerceivedSeverity.....                                      | 16        |
| 6.3 Performance Management.....                                     | 16        |
| 6.3.1 Periodic Performance File Upload.....                         | 16        |
| 6.3.2 Periodic Statistics.....                                      | 18        |
| 6.3.2.1 Sample Set Management .....                                 | 18        |
| 6.3.2.2 Sample Set Statistic Parameters .....                       | 19        |
| 6.3.3 PM File Content description .....                             | 19        |
| <b>Annex A (normative): 3GPP Home Node B Type 1 Data Model.....</b> | <b>21</b> |
| <b>Annex B (informative): Change history .....</b>                  | <b>22</b> |
| History .....   | 23        |

---

## Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

---

## Introduction

The present document is part of a TS-family covering the 3<sup>rd</sup> Generation Partnership Project Technical Specification Group Services and System Aspects, Telecommunication Management; as identified below:

- 32.581: "Telecommunications management; Home Node B (HNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Concepts and requirements for Type 1 interface HNB to HNB Management System (HMS)".
- 32.582: "Telecommunications management; Home Node B (HNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Information model for Type 1 interface HNB to HNB Management System (HMS)".
- 32.583: "Telecommunications management; Home Node B (HNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Procedure flows for Type 1 interface HNB to HNB Management System (HMS)".
- 32.584: "Telecommunications management; Home Node B (HNB) Operations, Administration, Maintenance and Provisioning (OAM&P); XML definitions for Type 1 interface HNB to HNB Management System (HMS)".

---

## 1 Scope

The present document describes the architecture for Home NodeB (HNB) Management and Data class definition for Fault Management, Configuration Management and Performance Measurements. The stage 2 definitions captured in this document shall be met via type 1 interface between HNB and Domain Manager.

---

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".
- [3] 3GPP TS 32.102: "Telecommunication management; Architecture".
- [4] 3GPP TS 32.401: 'Telecommunication management; Performance Management (PM); Concept and requirements'
- [5] 3GPP TS 32.300: "Telecommunication management; Configuration Management (CM); Name convention for Managed Objects".
- [6] 3GPP TS 25.331: "Radio Resource Control (RRC); Protocol specification"
- [7] TR-069 Amendment 2, HNB WAN Management Protocol v1.1, Broadband Forum, viewable at <http://www.broadband-forum.org/technical/download/TR-069Amendment2.pdf>
- [8] 3GPP TR 25.820: "3G Home NodeB Study Item Technical Report"
- [9] 3GPP TS 25.413: "UTRAN Iu interface Radio Access Network Application Part (RANAP) signalling"
- [10] 3GPP TS 25.401 " " Radio Access Network UTRAN Overall Description"
- [11] 3GPP TS 25.433: "UTRAN Iub interface Node B Application Part (NBAP) signalling"
- [12] 3GPP TR 32.821: "Study of Self-Organizing Network(SON) related OAM for Home NodeB".
- [13] 3GPP TS 25.467: "UTRAN architecture for 3G Home NodeB, stage 2".
- [14] TR-106, "*DSLHome<sup>TM</sup> Data Model Template for TR-069-Enabled Devices*" Broadband Forum
- [15] TR-196, "*Femto Access Point Device Data Model*", Broadband Forum, 2009
- [16] 3GPP TS 32.432 "Telecommunication management; Telecommunication management; Performance measurement: File format definition".
- [17] 3GPP TS 32.111-2: "Telecommunication management; Fault Management; Part 2: Alarm Integration Reference Point (IRP): Information Service (IS)".
- [18] 3GPP TS 32.111-5: "Telecommunication management; Fault Management; Part 5: Alarm Integration Reference Point (IRP): eXtensible Markup Language (XML) definitions".

[19] 3GPP TS 33.320: "Security of Home Node B (HNB) / Home evolved Node B (HeNB)".

---

## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905

**Home NodeB, 3G Home NodeB and HNB:** These terms, their derivations and abbreviations are used synonymously throughout this document.

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

|       |  |
|-------|--|
| DM    | Domain Manager                             |
| EM    | Element Manager                            |
| FFS   | For Further Study                          |
| HMS   | Home NodeB Management System               |
| HNB   | Home NodeB                                 |
| IP    | Internet Protocol                          |
| LTE   | Long Term Evolution                        |
| MME   | Mobile Management Entity                   |
| NGMN  | Next Generation Mobile Networks            |
| OAM   | Operations, Administrator and Maintenance  |
| PnP   | Plug and Play                              |
| SAE   | System Architecture Evolution              |
| SON   | Self-Organising Networks                   |
| TBD   | To Be Discussed                            |
| UMTS  | Universal Mobile Telecommunications System |
| UTRAN | UMTS Radio Access Network                  |

---

## 4 Purpose

The purpose of this document is to specify the Information Model for Home NodeB Type 1 Interface for the remote management using the TR-069 CWMP Ref [7].

The strategy used to define the data model is as defined below :

- Configuration Management: An abstraction of the data model definition from Broadband Forum Ref [15]
- A complete information model is defined for Fault Management and Performance Management

---

## 5 Structure of HNB Information Model

Each of the three boxes represents the logical grouping of the parameters in the Information Model.

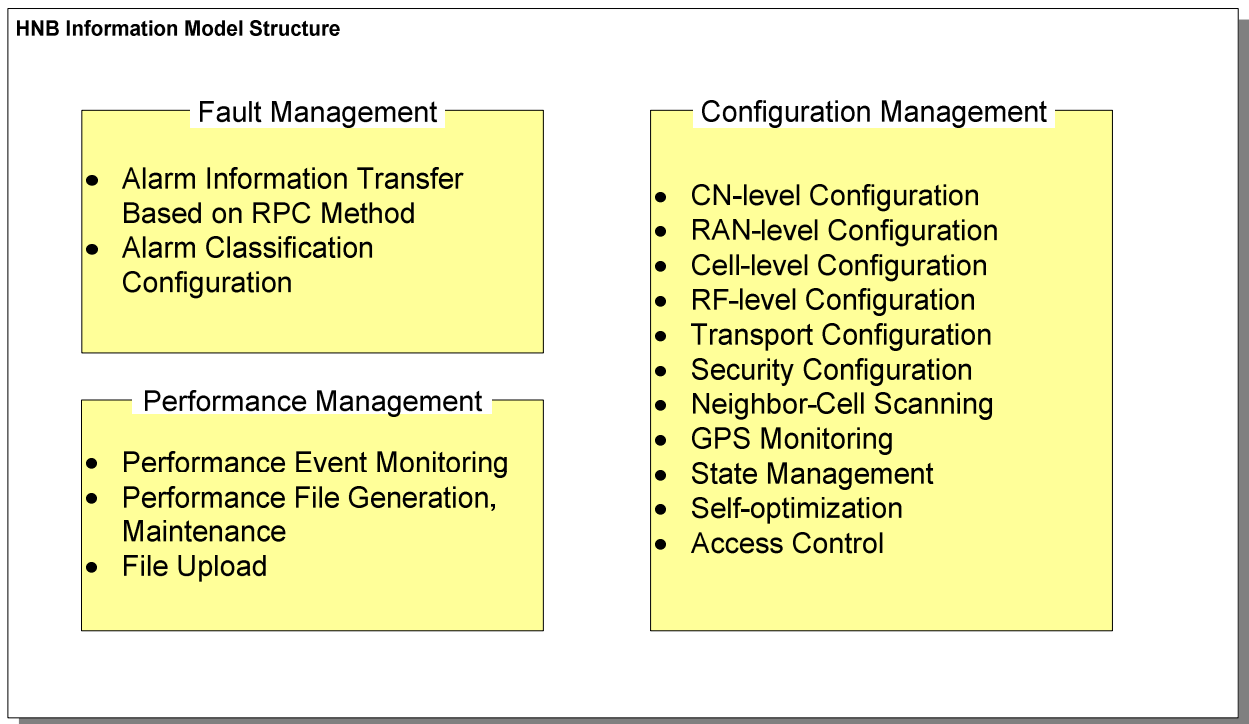


Figure 1. HNB Information Model Structure

---

## 6 Information Model Definition

### 6.1 Configuration Management

NOTE: State Management (Operational Status, Administrative status of the device) is covered as part of configuration management



## 6.1.1 HNB Access Network

**Table 6.1.1: HNB Access Network Related Parameter Types**

| Parameter Type                | Description   | Reference   |
|-------------------------------|---|---|
| HNB-GW Gateway Identity       | Identity of the HNB-GW the HNB connects to. The type of identity may be either 'name' or IP address.  | 25.467  |
| Security Gateway Identity     | Identity of the Security GW the HNB connects to. The type of identity may be either 'name' or IP address.   | 25.467  |
| Access Mode                   | Indicates the type of access mode the HNB is to operate; it can be either 'Open Access,' 'Closed Access,' or 'Hybrid Access.'   | 22.011  |
| ACL list                      | Defines the ACL, which consists of one or more IMSI of the UE that the access to the HNB is allowed. It is applicable to either 'Closed Access' and 'Hybrid Access.'  | 22.011  |
| CSG Identity                  | Defines the CSG ID to which the HNB is a member of. It consists of one or more than one CSG ID.   | 22.011  |
| CSG specific info             | Defines the CSG specific split information including Primary Scrambling Code (PSC) and UARFCN dedicated to the CSG. This information is intended in the BCCH SIB information for the UEs under the HNB.   | 25.331, 10.3.2.8,10.3.2.9, 10.2.48.8.14a                                |
| HNB ID                        | Home NodeB Identifier broadcasted when it operates as CSG cell and is to be displayed on the UE.  | 22.011  |
| Home Zone Name                | String with a length 48 Bytes encoded in UTF-8 that defines the 'home zone name' that is to be displayed to the UE that camps on to the HNB.  | 36.331 section 6.3.1  |
| URA list                      | List of one or more URA that the HNB belongs to.  | 25.331, sec.10.3.2.6  |
| RNC ID                        | RNC-ID of the HNB.  | 25.413, sec.9.2.1.39, 9.2.1.39a   |
| RANAP Timers                  | RANAP message related timers, typically named as 'T-xxx'  | 25.413, sec.9.5   |
| Cell ID                       | Cell Identity, bit string (28).   | 25.331, sec.10.3.2.2  |
| HSDPA related parameter       | HSDPA specific parameters including: 1) HSDPA is used in the cell or not, 2) number of codes at the defined spreading factor within the code tree, 3) number of HS-SCCHs used in the HNB.   | 32.642, sec.6.3.9   |
| Measurement related parameter | Measurement related parameters including: 1) measurement quantity, 2) minimum Rx signal level, 3) offset to the minimum Rx level, 4) cell re-selection hysteresis, 5) timers for re-selection, 6) threshold for re-selection. Note that separate sets of parameters exist for intra-frequency, inter-frequency, and inter-RAT frequency measurements. | 25.331, sec.10.3.2.3, 10.3.2.4  |
| HCS related parameter         | Measurement related parameters including: 1) timers, threshold, hysteresis for HCS to determine when the UE enters or exists the high-mobility state, 2) quality threshold level for HCS, 3) flag to indicate HCS is used or not in the HNB, 4) HCS priority value for the HNB if the HCS is used.  | 25.331, sec.10.3.2.3, 10.3.7.12, 10.3.7.47, 10.3.7.54a                  |
| RACH related parameter for UE | RACH related parameters for UE when accessing the PRACH, including: 1) Maximum Tx power level a UE may use, 2) initial Tx power, 3) ramp-up step, 4) maximum preamble retransmission value, 5) maximum RACH preamble cycles, 6) back-off period lowerbound/upperbound.  | 25.331, sec.10.3.2.3, 10.3.6.11, 10.3.6.54, 10.3.6.67 25.304, sec.5.2.3 |
| DRX related parameter         | DRX related paramters including: DRX cycle length coefficient.  | 25.331, sec.10.3.3.6, 10.3.3.49   |
| Cell Barred related parameter | Cell barred related parameters including: 1) Indicates whether the HNB is barred from service or not, 2) indicates whether the intra-frequency cell re-selection is allowed or not, 3) time period which the UE is barred from accessing the HNB, 4) list of  | 25.331, sec.10.3.2  |

|   |  |  |
|---|--|--|
|   | Access Class barred, 5) indicates whether the HNB is reserved for operator use or not.   |  |
| Intra-frequency measurement related parameter | Intra-frequency measurement related parameters including: 1) measurement quantity, 2) weighting factor, 3) hysteresis, 4) time-to-trigger, 5) threshold.   | 25.331, sec.10.3.7.38, 10.3.7.39, 10.3.7.64  |
| Inter-frequency measurement related parameter | Inter-frequency measurement related parameters including: 1) filter coefficient, 2) measurement quantity, 3) weighting factor, 4) hysteresis, 5) time-to-trigger, 6) threshold.  | 25.331, sec.10.3.7.9, 10.3.7.18, 10.3.7.19, 10.3.7.64,   |
| Inter-RAT measurement related parameter       | Inter-RAT measurement related parameters including: 1) filter coefficient, 2) BSIC verification required, 3) weighting factor, 4) hysteresis, 5) time-to-trigger, 6) threshold.  | 25.331, sec.10.3.7.9, 10.3.7.29, 10.3.7.30, 10.3.7.64,   |
| RRC timers and constants                      | Timers and constants used in RRC. Timers and constants have names designated as 'T3xx,' and 'N3xx,' respectively.  | 25.331, sec.13.1, 10.3.3.43, 10.3.3.44   |
| UE Internal Measurement related parameters    | UE-internal measurement related parameters including: 1) filter coefficient, 2) UE Tx power threshold and time-to-trigger.   | 25.331, 10.3.7.9, 10.3.7.79, 10.3.7.80,  |
| Radio physical layer related parameters       | Radio physical layer related parameters including: 1) UARFCN, 2) Primary Scrambling Code, 3) Max HNB Tx power, 4) Max UL Tx power, 5) P-CPICH power, 6) PDPDCH power, 7) P-SCH power, 8) S-SCH power, 9) PICH power, 10) PCH power, 11) FACH power, 12) BCH power, 13) AICH power. | 25.433, sec. 9.2.2.33, 9.2.2.34, 9.1.3.1, 9.1.6.1, 9.1.24, 9.2.1.21, 9.2.1.40, 9.2.1.49A, 9.2.1.65, 9.2.2.D.<br><br>25.331, sec. 10.3.6.3, 10.3.6.39, 10.3.6.50.<br><br>25.104.<br><br>32.642, sec.6.3.9, 6.3.11, 9.3.11 |
| Intra-frequency neighbour list                | Intra-frequency neighbour list parameters including: 1) PLMN-ID, 2) RNC ID, 3) LAC, 4) RAC, 5) URA, 6) P-CPICH Scrambling Code, 7) P-CPICH Tx power  | 25.413, 9.2.1.39, 9.2.1.39a, 9.2.3.6, 9.2.3.7<br><br>23.003, sec.4.1, 4.2<br><br>24.008<br><br>25.331, sec.10.3.2.6<br><br>25.433, sec.9.2.2.33<br><br>32.642, sec.6.3.11  |
| Inter-frequency neighbour list                | Inter-frequency neighbour list parameters including: 1) PLMN-ID, 2) RNC ID, 3) LAC, 4) RAC, 5) URA, 6) UARFCN, 7) P-CPICH Scrambling Code, 8) P-CPICH Tx power.  | 25.413, sec.9.2.3.7, 9.2.1.39, 9.2.1.39a, 9.2.3.6, 9.2.3.7<br><br>23.003, sec.4.1, 4.2<br><br>24.008,<br><br>25.331, sec.10.3.2.6<br><br>25.433, sec.9.2.2.33<br><br>32.642, sec.6.3.11                                  |
| Inter-RAT neighbour list                      | Inter-RAT neighbour list (GSM only) parameters including: 1) PLMN-ID, 2) LAC, 3) BSIC, 4) Band Indicator, BCCH ARFCN.  | 25.413, sec.9.2.3.33,<br><br>23.003 sec.4.1,51.011, sec10.3.42, 24.008   |

|                                     |   |        |
|-------------------------------------|---|--------|
| State management related parameters | State management related parameters such as: 1) enable/disable (operational state), 2) lock/unlock (administrative state) | 32.671 |
|-------------------------------------|---|--------|

## 6.1.2 Core Network

**Table 6.1.2: CN Related Parameter Types**

| Parameter Type                | Description  | Reference  |
|-------------------------------|--|--|
| PLMN information              | PLMN information includes parameters including: 1) PLMN type, 2) PLMN ID.              | 24.008<br>23.003   |
| Equivalent PLMN ID            | Zero or more of equivalent PLMN ID.  | 24.008, sec.10.5.1.13,<br>23.003   |
| LAC                           | Location Area Code.  | 23.003, sec.4.1<br>25.413, sec.9.2.3.6                                       |
| RAC                           | Routing Area Code  | 23.003, sec.4.2,<br>25.413, sec.9.2.3.7                                      |
| SAC                           | Service Area Code.   | 23.003   |
| Service Area For Broadcast    | String with a length of 2 bytes that specifies the 'Service Area For Broadcast'.       | 25.469, sec. 9.1.3   |
| CS domain specific parameters | CS domain specific CN parameters including: 1) T3212, 2) ATT (Attach/Detach flag)      | 24.008, sec.10.5.1.12.2  |
| PS domain specific parameters | PS domain specific CN parameters including: 1) RAC, 2) NMO (Network Mode of Operation) | 23.003, sec.4.2<br>25.413, sec.9.2.3.7<br>23.060,<br>24.008, sec.10.5.1.12.3 |

## 6.1.3 Transport Network

**Table 6.1.3:Transport Network Related Parameter Types**

| Parameter Type              | Description  | Reference      |
|-----------------------------|--|----------------|
| SCTP related parameters     | SCTP related parameters including: 1) heartbeat interval, 2) maximum number of retransmit, 3) retransmission timeout, 4) valid cookie life during the 4-way start up handshake procedure, 5) association peer status, 6) peer IP address and port number,  | n/a            |
| RTP/RTCP related parameters | DSCP values for CS traffic (Conversation, Streaming).<br>DSCP values for PS traffic (Conversation, Streaming, Interactive, Background)   | n/a            |
| IPsec usage indicator       | Indicates whether or not to use IPsec for subsequent connections. This parameter is writable.  | TS 33.320 [19] |
| Tunnel related parameters   | IKE specific information including: 1) status, 2) peer IP address, 3) virtual IP address and subnet mask assigned by IKEv2, 4) DNS and DHCP servers assigned by IKEv2.<br><br>Child SA specific information including: 1) Parent ID, 2) SPI, 3) direction, 4) creation time.   | n/a            |
| Security related parameters | Shared secret information including: 1) type, 2) status, 3) UICC card ID.<br><br>Public key information including: 1) last modification time, 2) serial number in X.509 certificate, 3) issuer of X.509 certificate, 4) valid period of X.509 certificate.<br><br>Crypto profile information including: 1) selector destination IP address and port number, 2) selector protocol, 3) IKEv2 encryption algorithm, 4) IKEv2 pseudo-random function, 5) IKEv2 integrity function, 6) IPsec encryption algorithm, 7) IPsec integrity function, 8) anti-replay window size, 9) rekey lifetime (in second, in byte), 10) DPD timeout, 11) NATT keepalive timer | n/a            |

## 6.2 Fault Management

### 6.2.1 Common Alarm Attributes

The HNB Fault Management utilises a common set of alarm parameters as follows:

| <u>Parameter Name</u> | <u>Description</u>  | <u>Valid values</u>   | <u>Traceback</u>    |
|-----------------------|---|---|---------------------|
| ManagedObjectInstance | It specifies the instance of the Informational Object Class in which the HNB event occurred by carrying the Distinguished Name (DN) of this object instance. This object may or may not be identical to the object instance actually emitting the notification to the HMS<br><br>It contains two components dnprefix and identifier of the Managed Object. The combination of both convey the uniqueness of all managed objects | Encode the Managed Objects representation in string format as defined in reference [4], 3GPP TS 32.300.   | REQ-OAMP_FM-FUN-004 |
| EventType             | It indicates the type of HNB Alarm  | See 3GPP TS32.111-2 Annex A for information on pre-defined alarm types from the 3GPP standards for alarm type and 3GPP TS32.111-5 for supported Event Type values | REQ-OAMP_FM-FUN-004 |
| ProbableCause         | It qualifies the alarm and provides further information than Alarm Type.  | See 3GPP TS32.111-2 Annex B for information on pre-defined Probable Causes from the 3GPP standards and  | REQ-OAMP_FM-FUN-004 |

|                       |  |   |                     |
|-----------------------|--|---|---------------------|
|                       |  | 3GPP TS32.111-5 for supported Probable Cause values.  |                     |
| SpecificProblem       | It provides further qualification on the alarm than ProbableCause<br>This identifies the specific alarm over and above the Probable Cause which occurred on the HNB which is vendor defined. | Vendor defined<br>This will be empty if the HNB doesn't support inclusion of this information for this particular alarm           | REQ-OAMP_FM-FUN-004 |
| PerceivedSeverity     | It indicates the relative level of urgency for operator attention for an alarm, please see ITU_T Recommendation X.733.   | See 3GPP TS32.111-2 for information on pre-defined Perceived Severity and 3GPP TS32.111-5 for supported Perceived Severity values | REQ-OAMP_FM-FUN-004 |
| AdditionalText        | This provides a textual string which is vendor defined.  | Vendor defined<br>This will be empty if the HNB doesn't support inclusion of this information for this particular alarm           | REQ-OAMP_FM-FUN-004 |
| AdditionalInformation | This contains a list of additional information about the alarm and is vendor defined   | Vendor defined<br>This will be empty if the HNB doesn't support inclusion of this information for this particular alarm           | REQ-OAMP_FM-FUN-004 |

## 6.2.2 Current Alarms List

The HNB maintains a list of current alarms not yet cleared on the HNB. Newly raised alarms events result in a new entry in the Current Alarms Table being added, any changes to the alarm as a result of an update event are updated in the table, and a clear event raised against an alarm results in the alarm being removed from this table, reference **REQ-OAMP\_FM-FUN-007**

### 6.2.2.1 Alarm Indexing Parameters

Entries in the Current Alarm Table shall be uniquely indexable using the following parameters:

| <u>Parameter Name</u> | <u>Description</u>   | <u>Valid values</u>              | <u>Traceback</u>                          |
|-----------------------|--|----------------------------------|---|
| AlarmIdentifier       | It identifies one Alarm Entry in the Alarm List.<br>This is the equivalent to the AlarmId identified in 3GPP TS32.111-2. The AlarmList assigns an identifier, called alarmId, to each AlarmInformation in the AlarmList. An alarmId unambiguously identifies one AlarmInformation in the AlarmList | value greater than or equal to 0 | REQ-OAMP_FM-FUN-007 & REQ-OAMP_FM-FUN-004 |

### 6.2.2.2 Alarm Content Parameters

The Alarms List table shall contain a list of entries which shall contain the parameters identified in 6.2.3.1, the common set of alarm parameters which are identified in clause 6.2.1, and the following additional information:

| <u>Parameter Name</u> | <u>Description</u>  | <u>Valid values</u> | <u>Traceback</u> |
|-----------------------|---|---------------------|------------------|
| AlarmRaisedTime       | It indicates the date and time when the alarm is first raised by the HNB. | dateTime            | REQ-OAMP_FM-     |

|                  |   |          |  |
|------------------|---|----------|--|
|                  |   |          | FUN-007 &<br>REQ-<br>OAMP_FM-<br>FUN-004                     |
| AlarmChangedTime | It indicates the last date and time when the Alarm Entry is changed by the alarm raising resource. Changes to the Alarm Entry caused by invocations of the HMS would not change this date and time. | dateTime | REQ-<br>OAMP_FM-<br>FUN-007 &<br>REQ-<br>OAMP_FM-<br>FUN-004 |

## 6.2.3 Alarm History List

The HNB maintains an alarm history list which contains the alarms raised by the HNB for each alarm that has appeared on the Alarms List as defined in **REQ-OAMP\_FM-FUN-007**.

### 6.2.3.1 Alarm Indexing Parameters

Entries in the Alarms History Alarm Table shall be uniquely indexable using the following parameters:

| <u>Parameter Name</u> | <u>Description</u>   | <u>Valid values</u>              | <u>Traceback</u>   |
|-----------------------|--|----------------------------------|--|
| EventTime             | It indicates the date and time when the alarm event is raised by the HNB   | dateTime                         | REQ-<br>OAMP_FM-<br>FUN-007 &<br>REQ-<br>OAMP_FM-<br>FUN-004 |
| AlarmIdentifier       | It identifies an Alarm Entry in the Alarms List.<br><br>This is the equivalent to the AlarmId identified in 3GPP TS32.111-2. The AlarmList assigns an identifier, called alarmId, to each AlarmInformation in the AlarmList. An alarmId unambiguously identifies one AlarmInformation in the AlarmList | value greater than or equal to 0 | REQ-<br>OAMP_FM-<br>FUN-007 &<br>REQ-<br>OAMP_FM-<br>FUN-004 |

### 6.2.3.2 Alarm Content Parameters

The Alarms Event History table shall contain a list of entries which shall contain the parameters identified in 6.2.3.1, the common set of alarm parameters which are identified in section 6.2.1, and the following additional information:

| <u>Parameter Name</u> | <u>Description</u>                                       | <u>Valid values</u>   | <u>Traceback</u>   |
|-----------------------|--|---|--|
| NotificationType      | It indicates the reason for sending the alarm to the HMS | Can be one of the following :<br>'NotifyNewAlarm'<br>'NotifyChangedAlarm'<br>'NotifyClearedAlarm' | REQ-<br>OAMP_FM-<br>FUN-007 &<br>REQ-<br>OAMP_FM-<br>FUN-004 |

## 6.2.4 Expedited and Queued Alarm Handling

The HNB maintains expedited and queued alarm handling lists which contains the alarms raised by the HNB for each alarm that has appeared on the Alarms List which are waiting to be delivered to the HMS within the TR-069 RPC Methods as either Active or Passive Notifications, as defined in **REQ-OAMP\_FM-FUN-007**

### 6.2.4.1 Alarm Indexing Parameters

Entries in the Expedited and Queued Alarm Handling Tables shall be uniquely indexable using the following parameters:

| <u>Parameter Name</u> | <u>Description</u>   | <u>Valid values</u>              | <u>Traceback</u>                          |
|-----------------------|--|----------------------------------|---|
| EventTime             | It indicates the date and time when the alarm event is raised by the HNB   | dateTime                         | REQ-OAMP_FM-FUN-007 & REQ-OAMP_FM-FUN-004 |
| AlarmIdentifier       | It identifies an Alarm Entry in the Alarms List.<br><br>This is the equivalent to the AlarmId identified in 3GPP TS32.111-2. The AlarmList assigns an identifier, called alarmId, to each AlarmInformation in the AlarmList. An alarmId unambiguously identifies one AlarmInformation in the AlarmList | value greater than or equal to 0 | REQ-OAMP_FM-FUN-007 & REQ-OAMP_FM-FUN-004 |

### 6.2.4.2 Alarm Content Parameters

The Expedited and Queued Event Delivery tablea shall contain a list of entries which shall contain the parameters identified in 6.2.5.1, the common set of alarm parameters which are identified in section 6.2.1, and the following additional information:

| <u>Parameter Name</u> | <u>Description</u>                                       | <u>Valid values</u>   | <u>Traceback</u>                          |
|-----------------------|--|---|---|
| NotificationType      | It indicates the reason for sending the alarm to the HMS | Can be one of the following :<br>'NotifyNewAlarm'<br>'NotifyChangedAlarm'<br>'NotifyClearedAlarm' | REQ-OAMP_FM-FUN-007 & REQ-OAMP_FM-FUN-004 |

## 6.2.5 Supported Alarms and Reporting Mechanisms

The HNB identifies which Alarm Events can be generated by the HNB and based on the reporting mechanism as defined in **REQ-OAMP\_FM-FUN-008** the HNB shall perform the identified actions.

The supported alarm table shall contain a list of entries containing the following information:

| <u>Parameter Name</u> | <u>Description</u> | <u>Valid values</u> | <u>Traceback</u> |
|-----------------------|--------------------|---------------------|------------------|
|-----------------------|--------------------|---------------------|------------------|

|                   |   |  |                            |
|-------------------|---|--|----------------------------|
| EventType         | It indicates the type of HNB alarm.   | See 3GPP TS32.111-2 Annex A for information on pre-defined alarm types from the 3GPP standards and 3GPP TS32.111-5 for supported Event Type values   | <b>REQ-OAMP_FM-FUN-008</b> |
| ProbableCause     | It qualifies the alarm and provides further information than Alarm Type.  | See 3GPP TS32.111-2 Annex B for information on pre-defined Probable Causes from the 3GPP standards and 3GPP TS32.111-5 for supported Probable Cause values.<br><br>This will be empty if the HNB doesn't support the distinguishing of different reporting mechanism per level of Probable Cause.<br><br>The can be set to '*' to indicate the default case if only a subset of Probable Causes are to be contained within the table | <b>REQ-OAMP_FM-FUN-008</b> |
| SpecificProblem   | It provides further qualification on the alarm than ProbableCause<br>This identifies the specific alarm over an above the Probable Cause which occurred on the HNB which is vendor ddefined.<br><br>If the HNB specifies more than one event for a particular combination of alarm type and probable cause, the Specific Problems parameter may be used to uniquely identify the event. | This is vendor defined.<br><br>This will be empty if the HNB doesn't support the distinguishing of different reporting mechanisms per level of Specific Problem<br>The can be set to '*' to indicate the default case if only a subset of Specific Problems are to be contained within the table.  | <b>REQ-OAMP_FM-FUN-008</b> |
| PerceivedSeverity | It indicates the relative level of urgency for operator attention for an alarm,.  | See 3GPP TS32.111-2 for information on pre-defined Perceived Severity and 3GPP TS32.111-5 for supported Perceived Severity values<br><br>This will be empty if the HNB doesn't want to distinguish a different reporting mechanism per level of Perceived Severity<br>Can be set to '*' to indicate the default case   | <b>REQ-OAMP_FM-FUN-008</b> |



|                     |  |  |   |
|---------------------|--|--|---|
|                     |  | if only a subset of PerceivedSeverity are to be contained within the table.  |   |
| Reporting Mechanism | <p>Expedited Handling – the HNB connects to the HMS immediately to raise the alarm and logs the alarm in the Alarm History.</p> <p>Queued Handling – the HNB queues the alarm internally pending connection to the HMS, logs the alarm in the Alarm History, and delivers the alarm on the next connection to the HMS</p> <p>Logged Handling – the HNB does not send the alarm to the HMS and logs the alarm in the Alarm History.</p> <p>Disabled – the HNB does not send the alarm to the HMS and will not log the alarm in the Alarm History.</p> | <p>Indicates the reporting mechanism setting of the alarm. One of:</p> <ul style="list-style-type: none"> <li>'0 – Expedited'</li> <li>'1 – Queued'</li> <li>'2 – Logged'</li> <li>'3 – Disabled'</li> </ul> | <p><b>REQ-OAMP_FM-FUN-008 &amp; REQ-OAMP_FM-FUN-009 &amp; REQ-OAMP_FM-FUN-013</b></p> |

## 6.2.6 Encoding

### 6.2.6.1 dateTime

See Broadband Forum TR-069 HNB WAN Management Protocol Amendment 2, Table 9, for a definition of the dateTime and supported values.

### 6.2.6.2 Event Type

See 3GPP TS32.111-2 Annex A for information on pre-defined alarm types from the 3GPP standards and 3GPP TS32.111-5 for supported alarm type values

### 6.2.6.3 Probable Cause

See 3GPP TS32.111-2 Annex B for information on pre-defined Probable Causes from the 3GPP standards and 3GPP TS32.111-5 for supported Probable Cause values.

### 6.2.6.4 PerceivedSeverity

See 3GPP TS32.111-2 for information on pre-defined Perceived Severity from the 3GPP standards and 3GPP TS32.111-5 for supported Perceived Severity values

Although 'Indeterminate' is defined in TS32.111-2 it shouldn't be used by the HNB as a Perceived Severity.

## 6.3 Performance Management

### 6.3.1 Periodic Performance File Upload

The HNB can be configured to send periodic performance files to a designated File Server as defined in **REQ-OAMP-PM-FUN-003**

The File Management table shall contain the following information:

| <u>Parameter Name</u>  | <u>Description</u>  | <u>Valid values</u>   | <u>Traceback</u>   |
|------------------------|---|---|--|
| PeriodicUploadEnable   | Enables or disables the ability to send HNB information periodically to a designated File Server.   | Can be one of the following:<br><br>FALSE - Disabled<br><br>TRUE - Enabled  | <b>REQ-OAMP-PM-FUN-003</b>                                   |
| URL                    | URL specifying the destination file location.<br><br>This argument specifies only the destination file location, and does not indicate in any way the name or location of the local file to be uploaded.  | A valid URL which also indicates the mechanism to be used for file transfer   | <b>REQ-OAMP-PM-FUN-003</b><br><br><b>REQ-OAMP-PM-FUN-004</b> |
| Username               | Username to be used by the HNB to authenticate with the file server.  | This string is set to the empty string if no authentication is required.  | <b>REQ-OAMP-PM-FUN-003</b>                                   |
| Password               | Password to be used by the HNB to authenticate with the file server.  | This string is set to the empty string if no authentication is required.  | <b>REQ-OAMP-PM-FUN-003</b>                                   |
| PeriodicUploadInterval | The duration in seconds of the interval for which the HNB shall create an Event History File and attempt to upload the file to the designated destination File location if PeriodicUploadEnable is true.  | Integer value greater than or equal to 0  | <b>REQ-OAMP-PM-FUN-003</b>                                   |
| PeriodicUploadTime     | An absolute time reference in UTC to determine when the HNB will initiate the periodic file upload. Each file upload shall occur at this reference time plus or minus an integer multiple of the PeriodicUploadInterval.<br><br>PeriodicUploadTime is used only to set the 'phase' of the periodic Uploads. The actual value of PeriodicUploadTime can be arbitrarily far into the past or future.<br><br>For example, if PeriodicUploadInterval is 86400 (a day) and if PeriodicUploadTime is set to UTC midnight on some day (in the past, present, or future) then periodic file uploads will occur every day at UTC midnight. | An absolute time reference in UTC<br><br>The Unknown Time value defined as 0001-01-01T00:00:00Z indicates that no particular time reference is specified. That is, the HNB MAY locally choose the time reference, and is required only to adhere to the specified PeriodicUploadInterval. | <b>REQ-OAMP-PM-FUN-003</b>                                   |

|  |  |  |  |
|--|--|--|--|
|  | <p>These shall begin on the very next midnight, even if PeriodicUploadTime refers to a day in the future.</p> <p>If absolute time is not available to the HNB, its periodic file upload behavior shall be the same as if the PeriodicUploadTime parameter was set to the Unknown Time value.</p> |  |  |
|--|--|--|--|

## 6.3.2 Periodic Statistics

### 6.3.2.1 Sample Set Management

The HNB contains a collection of sample sets which consist of a collection of periodic statistics for the HNB. Each configured sample set shall contain the following Management Parameters:

| <u>Parameter Name</u> | <u>Description</u>  | <u>Valid values</u>   | <u>Traceback</u>           |
|-----------------------|---|---|----------------------------|
| Enable                | Enables or disables collection of periodic statistics for this sample set.<br>When collection of periodic statistics is enabled, any stored samples are discarded, and the first sample interval begins immediately.  | True - Enabled<br>False - Disabled  | <b>REQ-OAMP-PM-FUN-001</b> |
| Name                  | The name of this sample set, which uniquely distinguishes each sample set on the HNB.   | A value which uniquely distinguishes each defined sample set on the HNB.  | <b>REQ-OAMP-PM-FUN-001</b> |
| SampleInterval        | The sample interval in <i>seconds</i> . Each statistic is measured over this sample interval.<br>The SampleInterval is equivalent to the granularity period referenced in 3GPP TS 32.401 which is the time between the initiation of two successive gatherings of measurement data. | Numerical value in seconds<br>Granularity Period referenced in 3GPP TS 32.401 specifies that the only valid values for the sample interval are 5 minutes, 15 minutes, 30 minutes, 1 hour.<br><br>The sample interval cannot be modified if the Sample Set Enable Flag is set to False | <b>REQ-OAMP-PM-FUN-001</b> |
| ReportSamples         | The number of samples that the HNB will store for each statistic.   | Numerical value<br>If the File Upload PeriodicUploadInterval is greater than 0 then the ReportSamples value shall be calculated by dividing the File Upload PeriodicUploadInterval by the SampleInterval value. If the calculation is fractional then the value will be set to 1.     | <b>REQ-OAMP-PM-FUN-001</b> |
| TimeReference         | A time used to determine when sample intervals will be completed. Each sample interval  | An absolute time reference in UTC<br>If the SampleInterval is set to 5  | <b>REQ-OAMP-PM-FUN-001</b> |

|                 |  |   |                     |
|-----------------|--|---|---------------------|
|                 | shall complete at this reference time plus or minus an integer multiple of <i>SampleInterval</i> .       | mins then the mins/secs portions of the Time Reference can be set to one of the following 00/00, 05/00, 10/00, 15/00, 20/00, 25/00, 30/00, 35/00, 40/00, 45/00, 50/00 55/00<br><br>If the SampleInterval is set to 15 mins then the mins/secs portions of the Time Reference can be set to one of the following 00/00, 15/00, 30/00, 45/00<br><br>If the SampleInterval is 30 mins then the mins/secs portions of the Time Reference can be set to one of the following 00/00, 30/00<br><br>If the SampleInterval is 1 hour then the mins/secs portions of the Time Reference can be set to the following 00/00 |                     |
| ReportStartTime | The time at which the sample interval for the first stored sample (for each statistic) started.          | An absolute time reference in UTC   | REQ-OAMP-PM-FUN-001 |
| ReportEndTime   | The absolute time at which the sample interval for the last stored sample (for each statistic) ended.    | An absolute time reference in UTC   | REQ-OAMP-PM-FUN-001 |
| SampleSeconds   | List of time interval values for the sample set indicating the time period between each sample interval. | List of numerical values in seconds   | REQ-OAMP-PM-FUN-001 |

### 6.3.2.2 Sample Set Statistic Parameters

Each Sample set shall include a collection of periodic statistics in a table whose values are to be sampled and each periodic statistic shall contain the following:

| <u>Parameter Name</u> | <u>Description</u>  | <u>Valid values</u>  | <u>Traceback</u>    |
|-----------------------|---|--|---------------------|
| Enable                | Enables or disables the sampling of the specific statistic parameter                  | True - Enabled<br>False - Disabled                                       | REQ-OAMP-PM-FUN-001 |
| Reference             | This is the statistic parameter being monitored by the Periodic Statistics mechanism. | A value which uniquely distinguishes the statistic parameter on the HNB. | REQ-OAMP-PM-FUN-001 |

Note: The support of table 6.3.2.1 and 6.3.2.2 is optional by HNB.

### 6.3.3 PM File Content description

Table below lists all the PM file content items. It also provides a description of the individual items.

#### PM File Content Description

| File Content Item  | Description            |
|--------------------|------------------------|
| measDataCollection | See Table 4.1 of [16]. |
| measFileHeader     | See Table 4.1 of [16]. |
| measData           | See Table 4.1 of [16]. |
| measFileFooter     | See Table 4.1 of [16]. |

| File Content Item   | Description            |
|---------------------|------------------------|
| fileFormatVersion   | See Table 4.1 of [16]. |
| senderName          | See Table 4.1 of [16]. |
| senderType          | See Table 4.1 of [16]. |
| vendorName          | See Table 4.1 of [16]. |
| collectionBeginTime | See Table 4.1 of [16]. |
| neId                | See Table 4.1 of [16]. |
| neUserName          | See Table 4.1 of [16]. |
| neDistinguishedName | See Table 4.1 of [16]. |
| neSoftwareVersion   | See Table 4.1 of [16]. |
| measInfo            | See Table 4.1 of [16]. |
| measInfoId          | See Table 4.1 of [16]. |
| measTimeStamp       | See Table 4.1 of [16]. |
| jobId               | See Table 4.1 of [16]. |
| granularityPeriod   | See Table 4.1 of [16]. |
| reportingPeriod     | See Table 4.1 of [16]. |
| measTypes           | See Table 4.1 of [16]. |
| measValues          | See Table 4.1 of [16]. |
| measObjInstId       | See Table 4.1 of [16]. |
| measResults         | See Table 4.1 of [16]. |
| suspectFlag         | See Table 4.1 of [16]. |
| timestamp           | See Table 4.1 of [16]. |

---

## Annex A (normative): 3GPP Home Node B Type 1 Data Model

For 3GPP Home Node B Type 1 Data Model the following specification shall be used:

- TR-196 [15].

The following exception shall apply for this release:

- All parameters and objects under TR-196 .FAPService.{i}.AccessMgmt.LocalIPAccess is not applicable for 3GPP and shall not be required to be used nor implemented.

---

## Annex B (informative): Change history

| Change history |       |           |     |     |   |       |       |
|----------------|-------|-----------|-----|-----|---|-------|-------|
| Date           | TSG # | TSG Doc.  | CR  | Rev | Subject/Comment   | Old   | New   |
| Mar 2009       | SP-43 | SP-090068 | --  | --  | Presentation to SA for information and approval                               | 1.0.0 | 8.0.0 |
| Dec 2009       | SP-46 | SP-090718 | 001 | --  | Delete LIPA definition from 32.582  | 8.0.0 | 8.1.0 |
| Dec 2009       | -     | -         | -   | --  | Update to Rel-9 version   | 8.1.0 | 9.0.0 |
| Mar 2010       | SP-47 | SP-100035 | 002 | --  | Clarify 'Home Zone Name' definition   | 9.0.0 | 9.1.0 |
| Dec 2010       | SP-50 | SP-100747 | 004 | --  | Adding Service Area For Broadcast parameter - Align with RAN3 TS 25.469       | 9.1.0 | 9.2.0 |
| May 2011       | SP-52 | SP-110288 | 010 | 1   | Correction of information model for HNB non-IPsec usage-alignment with 33.320 | 9.2.0 | 9.3.0 |

---

## History

| <b>Document history</b> |               |             |
|-------------------------|---------------|-------------|
| V9.0.0                  | February 2010 | Publication |
| V9.1.0                  | April 2010    | Publication |
| V9.2.0                  | January 2011  | Publication |
| V9.3.0                  | June 2011     | Publication |
|                         |               |             |