ETSI TS 132 594 V11.0.0 (2012-10)



LTE;

Telecommunication management; Home enhanced Node B (HeNB) Operations, Administration, Maintenance and Provisioning (OAM&P); XML definitions for Type 1 interface HeNB to HeNB Management System (HeMS) (3GPP TS 32.594 version 11.0.0 Release 11)



Reference RTS/TSGS-0532594vb00

Keywords

LTE

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from: http://www.etsi.org

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at http://portal.etsi.org/tb/status/status.asp

If you find errors in the present document, please send your comment to one of the following services: http://portal.etsi.org/chaircor/ETSI_support.asp

Copyright Notification

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

> © European Telecommunications Standards Institute 2012. All rights reserved.

DECTTM, PLUGTESTSTM, UMTSTM and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**[™] and **LTE**[™] are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://ipr.etsi.org).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <u>http://webapp.etsi.org/key/queryform.asp</u>.

Contents

Intelle	ectual Property Rights2
Forev	vord2
Forev	vord4
Introc	luction4
1	Scope
2	References
3 3.1 3.2	Definitions and abbreviations
4 4.1 4.2 4.2.1 4.2.2 4.2.3 5 5.1 5.2 5.2.1 5.2.2	CM data format definition .7 File content description .7 XML schema based CM data file format definition .8 CM data file XML diagram .8 CM data file XML schema .8 CM data file XML schema .8 CM data file XML header .8 PM data format definition .9 Mapping table .9 XML schema based PM data file format definition .10 PM data file XML diagram .10 PM data file XML diagram .10 PM data file XML diagram .10 PM data file XML schema .10 PM data file XML diagram .10 PM data file XML schema .10
5.2.3 Anne	PM data file XML header
A.1	XML schema based CM data file
A.2	XML schema based PM data file
Anne	x B (informative): Change history12
Histo	ry13

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Introduction

The present document is part of a TS-family covering the 3rd Generation Partnership Project Technical Specification Group Services and System Aspects, Telecommunication Management; as identified below:

- 32.591: 'Concepts and requirements for Type 1 interface HeNB to HeNB Management System (HeMS)'
 32.592: 'Information model for Type 1 interface HeNB to HeNB Management System (HeMS)'
- 32.593: 'Procedure flows for Type 1 interface HeNB to HeNB Management System (HeMS)'

32.594: 'Data definitions for Type 1 interface HeNB to HeNB Management System (HeMS)'

1 Scope

The present document describes the data format for Configuration Management, Fault Management, and Performance Management for Home eNodeB (HeNB). The Stage 3 definitions captured in this document shall be met via type 1 interface between HeNB and Home eNodeB Management System (HeMS).

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] Void.
- [3] Void.
- [4] 3GPP TS 32.300: "Telecommunication management; Configuration Management (CM); Name convention for Managed Objects".
- [5] [7] Void.
- [8] 3GPP TS 32.435: 'Telecommunication management; Performance measurement: eXtensible Markup Language (XML) file format definition'
- [9] 3GPP TS 32.592: 'Information model for Type 1 interface HeNB to HeNB Management System (HeMS)'
- [10]-[12] Void.
- [13] W3C REC-xmlschema-2-20010502: "XML Schema Part 2: Datatypes".
- [14] Void.
- [15] WT-157, Component Objects for CWMP, Broadband Forum
- [16] TR-098 Amendment 2, 'Internet Gateway Device Data Model for TR-069', Broadband Forum
- [17] Void.
- [18] TR-196 Amendment 1, 'Femto Access Point Service Data Model', Broadband Forum.
- [19] ISO 8601:2004(E) "Data elements and interchange formats Information interchange Representation of dates and times", ISO.

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Home eNodeB, 3G Home eNodeB: These terms, their derivations and abbreviations are used synonymously throughout this document.

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

СМ	Configuration Management
DM	Domain Manager
DTD	Document Type Definition
EM	Element Manager
HMS	Home NodeB Management System
HeMS	Home eNodeB Management System
HNB	Home NodeB
HeNB	Home eNodeB
IP	Internet Protocol
LTE	Long Term Evolution
MME	Mobility Management Entity
NGMN	Next Generation Mobile Networks
OAM	Operations, Administration and Maintenance
PM	Performance Management
PnP	Plug and Play
SAE	System Architecture Evolution
SON	Self-Organising Networks
UMTS	Universal Mobile Telecommunications System
UTC	Universal Time Coordinated
UTRAN	UMTS Radio Access Network
XML	eXtensible Markup Language

4 CM data format definition

This clause describes the format of Configuration Management data.

4.1 File content description

Table 4.1 lists all the file content items, provides and explanation of the individual items, and maps the file content items to those used in the XML schema based file format definitions. XML tag attributes are useful where data values bind tightly to its parent element. They have been used where appropriate.

File Content Item	XML schema based XML tag	Description
configDataCollection	configDataFile	This is the top-level tag, which identifies the file as a collection of config data. The file content is made up of a header ("configFileHeader"), the collection of configuration items ("configData"), and a configfile footer ("configFileFooter").
configFileHeader	fileHeader	This is the configuration data file header to be inserted in each file. It includes a version indicator, the sender name, and vendor name of the sending network node.
configData	configData	The "configData" construct represents the sequence of zero or more configuration parameter items contained in the file. Each "configData" element contains the name of the NE ("nEId") and the list of parameters to be created,modified or deleted which pertaining to that NE The "configData" consists of DeviceData, DiagnosticsData, and FAPServiceData
configFileFooter	fileFooter	The configuration data file footer to be inserted in each file. It includes a time stamp, which refers to the time when the file is closed for sending to the NE.
fileFormatVersion	fileHeader fileFormatVersion	This parameter identifies the file format version applied by the sender. The format version defined in the present document shall be the abridged number and version of this 3GPP document (see below). The abridged number and version of a 3GPP document is constructed from its version specific full reference "3GPP [] (yyyy-mm)" by: - removing the leading "3GPP TS" - removing the leading "3GPP TS" - removing everything including and after the version third digit, representing editorial only changes, together with its preceding dot character - from the resulting string, removing leading and trailing white space, replacing every multi character white space by a single space character and changing the case of all characters to uppercase. e.g. "32.594 V9.0"
senderName	fileHeader senderName	The senderName uniquely identifies the NE or EM that assembled this alarm reporting file by its Distinguished Name (DN), according to the definitions in 3GPP TS 32.300 [4]. In the case of the NE-based approach, it is identical to the sender's "nEDistinguishedName".
vendorName	fileHeader vendorName	The "vendorName" identifies the vendor of the equipment that provided the measurement file. The string may be empty (i.e. string size =0) if the "vendorName" is not configured in the sender. For the XML schema based XML format, XML attribute specification "vendorName" may be absent in case the "vendorName" is not configured in the sender.
neld	managedElement	

Table 4.1 File Content Description and Mapping of File Content Items to XML tags

File Content Item	XML schema based XML tag	Description
neUserName	managedElement userLabel	"userLabel" may be absent in case the "nEUserName" is not configured in the CM applications.
neDistinguishedName	managedElement localDn	The DN is split into the DN prefix and the Identifier of the Managed Object (see 3GPP TS 32.592 [9]). "IocalDn" may be absent in case the Identifier of the Managed Object is not configured in the CM applications
neSoftwareVersion	managedElement swVersion	"swVersion" may be absent in case the "nESoftwareVersion" is not configured in the CM applications.
Modifier	configData modifier	This element is present if the HMS is required to inform the NE whether the parameter information should be used to create, update or delete an specific object instance on the HNB If not present the NE will assume the modification action is update
HNBDataParameters	configData DeviceInfo configData ManagementServer configData Time FAPService DNPrefix FAPService FAPControl FAPService AccessManagementParameters FAPService CellConfig FAPService TransportParameters FAPService LTEREMParameters FAPService GPS FAPService SecurityParameters FAPService LocationManagementParameters	These elements are present if the HMS requires to modify the specific configuration parameters The XML file format definitions implement the configuration structure and parameter definitions defined in 3GPP TS 32.592 [9] and broadband forum TR-098 Amendment 2 [16].
timestamp	fileFooter dataTime	

A vendor MAY extend the standardized parameter list with vendor-specific parameters and objects. Vendor-specific parameters and objects MAY be defined either in a separate naming hierarchy or within the standardized naming hierarchy of the XML File Format.

The name of a vendor-specific parameter or object not contained within another vendor-specific object MUST have the following form to align with the Vendor Specific Parameter Definition of TR-098 Amendment 2 [16].

• X_<VENDOR>_VendorSpecificName

4.2 XML schema based CM data file format definition

4.2.1 CM data file XML diagram

For the purposes of the present document XML diagram in TR-196 Amendment 1 [18] applies.

4.2.2 CM data file XML schema

For the purposes of the present document XML schema in TR-196 Amendment 1 [18] applies.

4.2.3 CM data file XML header

For the purposes of the present document XML header in TR-196 Amendment 1 [18] applies.

5 PM data format definition

5.1 Mapping table

Table 5.1 maps the PM file content items in the 3GPP TS 32.592 [9] document to those used in the XML schema based file format definitions. XML tag attributes are useful where data values bind tightly to its parent element. They have been used where appropriate.

File Content Item	XML schema	Description
	based XML tag	
measDataCollection	measCollecFile	
measFileHeader	fileHeader	
measData	measData	
measFileFooter	fileFooter	
fileFormatVersion	fileHeader	
	fileFormatVersion	
senderName	fileHeader	For the XML schema based XML format, the DN is split into the DN prefix and
	dnPrefix	the Identifier of the Managed Object (see 3GPP TS 32.592 [9]). XML attribute
	and	specification "dnPrefix" may be absent in case the DN prefix is not configured
	fileSender	in the sender. XML attribute specification "localDn" may be absent in case the
	localDn	Identifier of the Managed Object is not configured in the sender.
senderType	fileSender	For the XML schema based XML format, XML attribute specification
	elementType	"elementType" may be absent in case the "senderType" is not configured in the sender.
vendorName	fileHeader	For the XML schema based XML format, XML attribute specification
	vendorName	"vendorName" may be absent in case the "vendorName" is not configured in
		the sender.
collectionBeginTime	measCollec	3GPP TS 32.592 [9] clause 6.3.2.1 Periodic Statistics 'ReportStartTime'
5	beginTime	
neld	managedElement	
neUserName	managedElement	For the XML schema based XML format, XML attribute specification
	userLabel	"userLabel" may be absent in case the "nEUserName" is not configured in the
		CM applications.
		Not used in HeNB PM file
neDistinguishedName	fileHeader	For the XML schema based XML format, the DN is split into the DN prefix and
	dnPrefix	the Identifier of the Managed Object (see 3GPP TS 32.592 [9]). XML attribute
	and	specification "localDn" may be absent in case the Identifier of the Managed
	managedElement	Object is not configured in the CM applications.
	localDn	
neSoftwareVersion	managedElement	For the XML schema based XML format, XML attribute specification
	swVersion	"swVersion" may be absent in case the "nESoftwareVersion" is not
		configured in the CM applications.
measInfo	measInfo	Not used in HeNB PM file
measInfold	measInfold	
measTimeStamp	granPeriod	Calculated from the 3GPP TS 32.592 [9] clause 6.3.2.1 Periodic Statistics
measimeotamp	endTime	ReportStartTime' + accumulation of the 3GPP TS 32.592 [9] clause 6.3.2.1
		Periodic Statistics 'SampleSeconds'
jobld	Job jobld	Not used in HeNB PM file
granularityPeriod	granPeriod	For the XML schema based XML format, the value of XML attribute
grandantyr onod	duration	specification "duration" shall use the truncated representation "PTnS" (see
		[13]).
		3GPP TS 32.592 [9] clause 6.3.2.1 Periodic Statistics 'SampleInterval'
reportingPeriod	repPeriod	For the XML schema based XML format, the value of XML attribute
	duration	specification "duration" shall use the truncated representation "PTnS" (see
		[13]).
		3GPP TS 32.592 [9] clause 6.3.1 Periodic Statistics 'PeriodicUploadInterval'
measTypes	measTypes	For the XML schema based XML format, depending on sender's choice for
	or	optional positioning presence, either XML element "measTypes" or XML
	measType	elements "measType" will be used.
		3GPP TS 32.592 [9] clause 6.3.2.2 Periodic Statistics 'Reference'

Table 5.1 Mapping of File Content Items to XML tag	S
--	---

File Content Item	XML schema based XML tag	Description
measValues	measValue	
measObjInstId	measValue	Identifier of the Managed Object (see 3GPP TS 32.592 [9])
	measObjLdn	
measResults	measResults	For the XML schema based XML format, depending on sender's choice for
	or	optional positioning presence, either XML element "measResults" or XML
	r	elements "r" will be used.
		Broadband Forum data Model WT-157 [15] PeriodicStatistics.SampleSet.{i}.
_		Parameter.{i}.Values
suspectFlag	Suspect	Not used in HeNB PM file
timeStamp	measCollec	3GPP TS 32.592 [9] clause 6.3.2.1 Periodic Statistics 'ReportEndTime'
	endTime	
There is no	measType p	An optional positioning XML attribute specification of XML element
corresponding File		"measType" (XML schema based), used to identify a measurement type for
Content Item.		the purpose of correlation to a result. The value of this XML attribute
		specification is expected to be a non-zero, non-negative integer value that is
		unique for each instance of XML element "measType" that is contained within
		the measurement data collection file. Not used in HeNB PM file
There is no		
	rp	An optional positioning XML attribute specification of XML element "r", used
corresponding File Content Item.		to correlate a result to a measurement type. The value of this XML attribute specification should match the value of XML attribute specification "p" of the
		corresponding XML element "measType" (XML schema based).
		Not used in HeNB PM file

The representation of all timestamps in PM files shall follow the representations allowed by the ISO 8601 [19].

The precise format for timestamp representation shall be determined by the technology used for encoding the PM file (e.g. XML DTD, XML Schema). The choice of technology should ensure that this representation is derived from ISO 8601 [19]. Based on the representation used, the timestamp shall refer to either UTC time or local time or local time with offset from UTC.

5.2 XML schema based PM data file format definition

5.2.1 PM data file XML diagram

For the purposes of the present document XML diagram in TS 32.435 [8] section 4.2.1 applies.

5.2.2 PM data file XML schema

For the purposes of the present document XML schema in TS 32.435 [8] section 4.2.2 applies.

5.2.3 PM data file XML header

For the purposes of the present document XML header in TS 32.435 [8] section 4.2.3 applies.

Annex A (informative): Examples

A.1 XML schema based CM data file

For the purposes of the present document the examples in TR-196 Amendment 1 [18] apply.

A.2 XML schema based PM data file

For the purposes of the present document the examples in TS 32.435 [8] Annex A apply.

Annex B (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2010-03	SA#47	SP-100059			Presentation to SA for information and approval		1.0.0
2010-03					Publication of SA approved version	1.0.0	9.0.0
2010-06	SA#48	SP-100264	001		Remove unused reference and wrong keyword, and modify editorial errors	9.0.0	10.0.0
2012-09	-	-	-	-	Update to Rel-11 version (MCC)	10.0.0	11.0.0

History

Document history					
V11.0.0	V11.0.0 October 2012 Publication				