ETSI TS 136 302 V13.6.0 (2017-07)



LTE;

Evolved Universal Terrestrial Radio Access (E-UTRA); Services provided by the physical layer (3GPP TS 36.302 version 13.6.0 Release 13)





Reference RTS/TSGR-0236302vd60 Keywords LTE

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1 Scope

The present document is a technical specification of the services provided by the physical layer of E-UTRA to upper layers.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non specific.
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[1]	Void
[2]	Void
[3]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[4]	Void
[5]	Void
[6]	Void
[7]	Void
[8]	3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation".
[9]	Void
[10]	Void
[11]	3GPP TS 36.214: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer; Measurements".
[12]	3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".
[13]	3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".
[14]	3GPP TS 23.303: "Technical Specification Group Services and System Aspects; Proximity-based services (ProSe)".
[15]	Void

3 Definitions and abbreviations

3.1 **Definitions**

For the purposes of the present document, the terms and definitions given in TR 21.905 [3] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [3].

Carrier frequency: center frequency of the cell.

Frequency layer: set of cells with the same carrier frequency.

NB-IoT: NB-IoT allows access to network services via E-UTRA with a channel bandwidth limited to 200 kHz.

Sidelink: UE to UE interface for sidelink communication and sidelink discovery. The sidelink corresponds to the PC5 interface as defined in TS 23.303 [14].

Sidelink communication: AS functionality enabling ProSe Direct Communication as defined in TS 23.303 [14], between two or more nearby UEs, using E-UTRA technology but not traversing any network node.

Sidelink discovery: AS functionality enabling ProSe Direct Discovery as defined in TS 23.303 [14], using E-UTRA technology but not traversing any network node.

Timing Advance Group: See the definition in [12].

3.2 **Abbreviations**

For the purposes of the present document, the abbreviations given in TR 21.905 [3] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [3].

For the purposes of the present document, the following abbreviations apply:

ACK Acknowledgement Automatic Repeat Request ARO **Broadcast Control Channel BCCH BCH Broadcast Channel**

Bandwidth reduced Low complexity BL

Block Error Rate BLER Cell Group CG

Commercial Mobile Alert System **CMAS**

CP Cyclic Prefix C-plane Control Plane

CRC Cyclic Redundancy Check **Channel State Information CSI** DC

Dual Connectivity

DCCH Dedicated Control Channel

Downlink DL

DRX Discontinuous Reception DTCH **Dedicated Traffic Channel** Discontinuous Transmission DTX

eNB E-UTRAN NodeB

Enhanced Interference Management and Traffic Adaptation eIMTA

EPDCCH Enhanced physical downlink control channel

Evolved UTRA E-UTRA **Evolved UTRAN** E-UTRAN

FDD Frequency Division Duplex **FDM** Frequency Division Multiplexing

FS Frame Structure

GERAN GSM EDGE Radio Access Network Global System for Mobile communication GSM

HARQ Hybrid ARQ

LAA Licensed-Assisted Access
LTE Long Term Evolution
MAC Medium Access Control

MBMS Multimedia Broadcast Multicast Service

MBSFN Multimedia Broadcast multicast service Single Frequency Network

MCCH Multicast Control Channel

MCH Multicast Channel

MCS Modulation and Coding Scheme
MIMO Multiple Input Multiple Output
MTCH Multicast Traffic Channel
NACK Negative Acknowledgement
NB-IoT Narrow Band Internet of Things

NPBCH Narrow Band Physical Broadcast Channel

NPDCCH Narrow Band Physical Downlink Control Channel NPDSCH Narrow Band Physical Downlink Shared Channel NPRACH Narrow Band Physical Random Access Channel NPUSCH Narrow Band Physical Uplink Shared Channel OFDM Orthogonal Frequency Division Multiplexing OFDMA Orthogonal Frequency Division Multiple Access

PBCH Physical broadcast channel
PDCCH Physical downlink control channel
PDSCH Physical downlink shared channel

PHY Physical layer

PMCH Physical multicast channel
PRACH Physical random access channel
PRB Physical Resource Block
ProSe Proximity based Services

PSBCH Physical Sidelink Broadcast CHannel PSCCH Physical Sidelink Control Channel

PSCell Primary SCell

PSDCH Physical Sidelink Discovery Channel
PSSCH Physical Sidelink Shared CHannel
PUCCH Physical uplink control channel
PUSCH Physical uplink shared channel
QAM Quadrature Amplitude Modulation

RACH Random Access Channel
RF Radio Frequency
RRC Radio Resource Control
SAP Service Access Point

SBCCH Sidelink Broadcast Control CHannel

SC-FDMA Single Carrier – Frequency Division Multiple Access

SCell Secondary Cell

Single Cell Point to Multipoint SC-PTM Sidelink Broadcast Channel SL-BCH **SL-DCH** Sidelink Discovery Channel SL-SCH Sidelink Shared Channel **SRS** Sounding Reference Symbol **STCH** Sidelink Traffic Channel Timing Advance Group **TAG** Transport Block TB **TDD** Time Division Duplex TTI Transmission Time Interval

UE User Equipment

UL Uplink

UMTS Universal Mobile Telecommunication System

U-plane User plane

UTRA Universal Terrestrial Radio Access

UTRAN Universal Terrestrial Radio Access Network

4 Void

4.1 Void

4.2 Void

5 Services and functions of the physical layer

5.1 General

The physical layer offers data transport services to higher layers.

The access to these services is through the use of transport channels via the MAC sub-layer.

A transport block is defined as the data delivered by MAC layer to the physical layer and vice versa. Transport blocks are delivered once every TTI.

5.2 Overview of L1 functions

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers
- Multiple Input Multiple Output (MIMO) antenna processing
- Transmit Diversity (TX diversity)
- Beamforming
- RF processing.
- L1 functions are modelled for each transport channel in subclauses 6.1, 6.2 and 6.3.

5.3 Void

6 Model of physical layer of the UE

The E-UTRA physical-layer model captures those characteristics of the E-UTRA physical-layer that are relevant from the point-of-view of higher layers. More specifically, the physical-layer model captures:

- The structure of higher-layer data being passed down to or up from the physical layer;
- The means by which higher layers can configure the physical layer;
- The different indications (error indications, channel-quality indications, etc.) that are provided by the physical layer to higher layers;
- Other (non-transport-channel-based) higher-layer peer-to-peer signalling supported by the physical layer.

6.1 Uplink model

6.1.1 Uplink Shared Channel

The physical-layer model for Uplink Shared Channel transmission is described based on the corresponding physical-layer-processing chain, see Figure 6.1.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue. It should be noted that, in the cases of PUSCH and NPUSCH, the scheduling decision is fully done at the network side. The uplink transmission control in the UE then configures the uplink physical-layer processing, based on uplink transport-format and resource-assignment information received on the downlink.

- Higher-layer data passed to/from the physical layer
- One transport block of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Physical layer model support of HARQ: in case of Incremental Redundancy, the corresponding Layer 2 Hybrid-ARQ process controls what redundancy version is to be used for the physical layer transmission for each TTI.
- Interleaving
- No control of interleaving by higher layers.
- Data modulation
- Modulation scheme is decided by MAC Scheduler (QPSK, 16QAM and 64QAM; for NB-IoT, supported modulation schemes are Pi/4-QPSK and Pi/2-BPSK for single-tone allocation, and QPSK for multi-tone allocation).
- Mapping to physical resource
- L2-controlled resource assignment.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks to the available number of antenna ports.

- Support of L1 control signalling
- Transmission of ACK/NACK and CSI feedback related to DL data transmission

The model of Figure 6.1.1-1 also captures

- Transport via physical layer of Hybrid-ARQ related information associated with the PUSCH, to the peer HARQ process at the transmitter side;
- Transport via physical layer of corresponding HARQ acknowledgements to PUSCH transmitter side.

If a UE is configured with one or more SCells, the physical-layer-processing chain in Figure 6.1.1-1 is repeated for every UL Serving Cell.

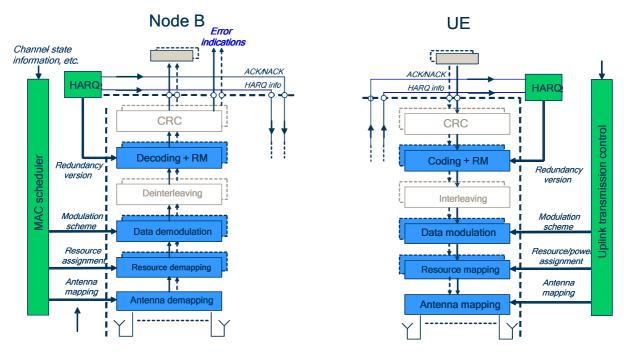


Figure 6.1.1-1: Physical-layer model for UL-SCH transmission

6.1.2 Random-access Channel

The physical-layer model for RACH transmission is characterized by a random access burst that consists of a cyclic prefix, a preamble, and a guard time during which nothing is transmitted.

The random access preambles are generated from Zadoff-Chu sequences with zero correlation zone (ZC-ZCZ), generated from one or several root Zadoff-Chu sequences. For NB-IoT, the random access preambles are generated from single-subcarrier frequency-hopping symbol groups. A symbol group consists of a cyclic prefix followed by five identical symbols, whose value is constant across symbol groups during each NPRACH transmission.

6.2 Downlink model

6.2.1 Downlink-Shared Channel

The physical-layer model for Downlink Shared Channel transmission is described based on the corresponding PDSCH or NPDSCH physical-layer-processing chain, see Figure 6.2.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure.

- Higher-layer data passed to/from the physical layer
- N (up to two) transport blocks of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication

- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Physical layer model support of HARQ: in case of Incremental Redundancy, the corresponding Layer 2 Hybrid-ARQ process controls what redundancy version is to be used for the physical layer transmission for each TTI.
- Data modulation
- Modulation scheme is decided by MAC Scheduler (QPSK, 16QAM, 64 QAM and 256QAM; for NB-IoT, only QPSK is supported).

Multi-antenna processing

- MAC Scheduler partly configures mapping from modulated code words (for each stream) to the available number of antenna ports.
- Mapping to physical resource
- L2-controlled resource assignment.
- Support of L1 control signalling
- Transmission of scheduler related control signals.
- Support for Hybrid-ARQ-related signalling

The model of Figure 6.2.1-1 also captures:

- Transport via physical layer of Hybrid-ARQ related information associated with the PDSCH, to the peer HARQ process at the receiver side;
- Transport via physical layer of corresponding HARQ acknowledgements to PDSCH transmitter side.

If a UE is configured with one or more SCells, the physical-layer-processing chain in Figure 6.2.1-1 is repeated for every DL Serving Cell.

NOTE: The signalling of transport-format and resource-allocation is not captured in the physical-layer model. At the transmitter side, this information can be directly derived from the configuration of the physical layer. The physical layer then transports this information over the radio interface to its peer physical layer, presumably multiplexed in one way or another with the HARQ-related information. On the receiver side, this information is, in contrast to the HARQ-related information, used directly within the physical layer for PDSCH demodulation, decoding etc., without passing through higher layers.

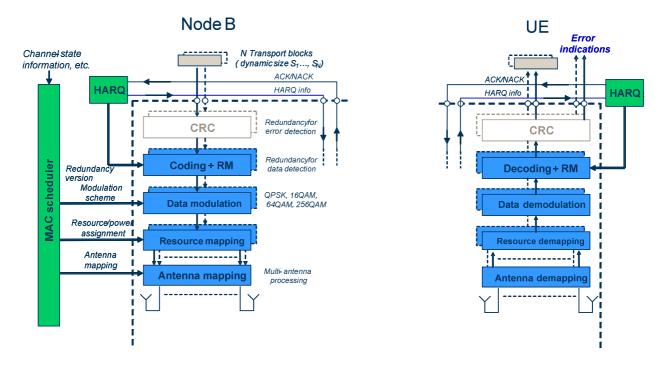


Figure 6.2.1-1: Physical-layer model for DL-SCH transmission

6.2.2 Broadcast Channel

The physical-layer model for BCH transmission is characterized by a fixed pre-defined transport format. The TTI (repetition rate) of the BCH is 40 ms except for NB-IoT and 640 ms for NB-IoT. The BCH physical-layer model is described based on the corresponding BCH physical-layer-processing chain, see Figure 6.2.2-1:

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No BCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- Fixed pre-determined transport format and resource allocation, i.e. no higher-layer control.
- Multi-antenna processing
 - Fixed pre-determined processing, i.e. no higher-layer control.
- Support for Hybrid-ARQ-related signalling
- No Hybrid ARQ.

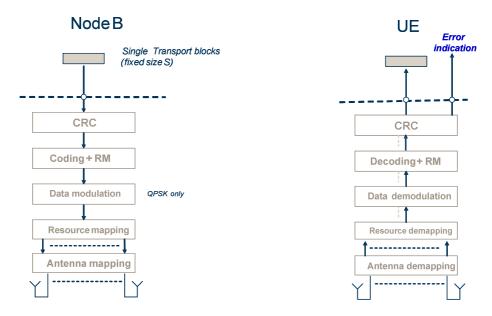


Figure 6.2.2-1: Physical-layer model for BCH transmission

NOTE: For NB-IoT, the BCH transport block of 40 bits is truncated to 34 bits by the NodeB when provided to the physical layer for BCH transmission. The BCH transport block of 34 bits is padded to 40 bits when delivered by the UE physical layer to the upper layer.

6.2.3 Paging Channel

The physical-layer model for PCH transmission is described based on the corresponding PCH physical-layer-processing chain, see Figure 6.2.3-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure.

- Higher-layer data passed to/from the physical layer
- A single transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No PCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Modulation scheme is decided by MAC Scheduler.
- Mapping to physical resource
- L2 controlled resource assignment;
- Possible support of dynamic transport format and resource allocation.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks to the available number of antenna ports.
- Support for Hybrid-ARQ-related signalling

No Hybrid ARQ.

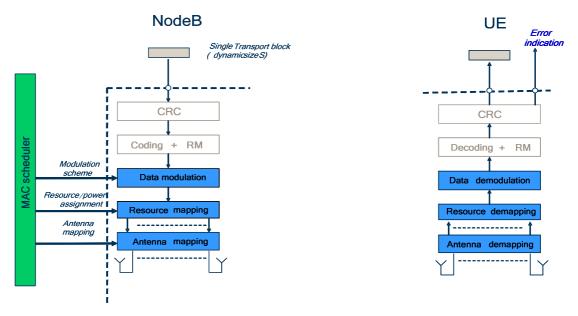


Figure 6.2.3-1: Physical-layer model for PCH transmission

6.2.4 Multicast Channel

The physical-layer model for MCH transmission is characterized by the support for multi-cell reception at the UE (a.k.a. "MBSFN" transmission). This implies that only semi-static configuration of the MCH transport format and resource assignment is possible. The MCH physical-layer model is described based on the corresponding MCH physical-layer-processing chain, see Figure 6.2.4-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue.

- Higher-layer data passed to/from the physical layer
- One transport block delivered to physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No MCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Modulation scheme is configured by RRC layer.
- Mapping to physical resource
- L2 controlled semi-static resource assignment.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks (for each stream) to the available number of antenna ports.
- Support for Hybrid-ARQ-related signalling
- No Hybrid ARQ.

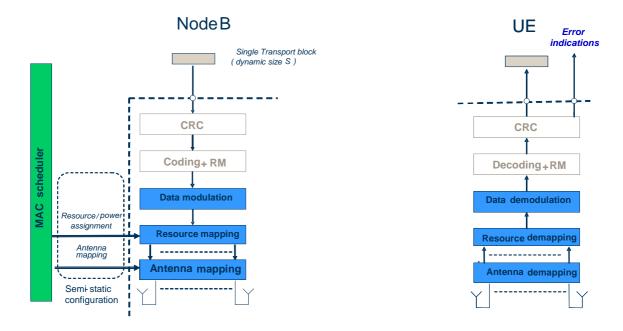


Figure 6.2.4-1: Physical-layer model for MCH transmission

6.3 Sidelink model

6.3.1 Sidelink Broadcast Channel

The physical-layer model for Sidelink Broadcast Channel transmission is characterized by a fixed pre-defined transport format. The TTI (repetition rate) of the SL-BCH is 40ms if a UE is configured to transmit on SL-BCH. The SL-BCH physical-layer model is described based on the corresponding SL-BCH physical-layer-processing chain, see Figure 6.3.1-1.

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- No SL-BCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- Fixed pre-determined transport format i.e. no higher-layer control.
- RRC controlled semi-static resource assignment.
- Multi-antenna processing
- Single antenna port is used.
- Support for Hybrid-ARQ-related signalling

- No Hybrid ARQ.

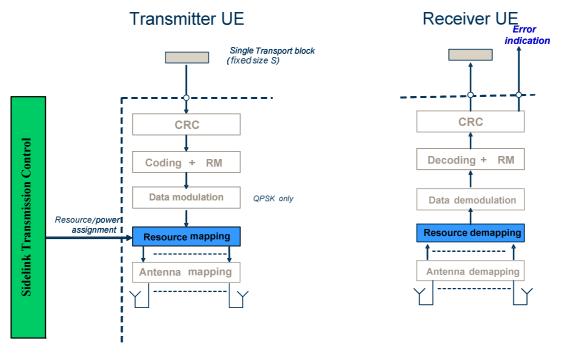


Figure 6.3.1-1: Physical-layer model for SL-BCH transmission

6.3.2 Sidelink Discovery Channel

The physical-layer model for Sidelink Discovery Channel transmission is characterized by a fixed pre-defined transport format. The SL-DCH physical-layer model is described based on the corresponding SL-DCH physical-layer-processing chain, see Figure 6.3.2-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue. It should be noted that, in case scheduled resource allocation of SL-DCH, the scheduling decision is fully done by network side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on sidelink transport-format and resource-assignment information received on the downlink. In case UE autonomous resource selection of SL-DCH, the scheduling decision is done by UE side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on pre-defined sidelink transport-format and UE randomly selected resource-assignment.

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layer.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Support for soft combining, but no support for ACK/NACK feedback.
- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- RRC controlled semi-static resource assignment;
- Multi-antenna processing

- Single antenna port is used.

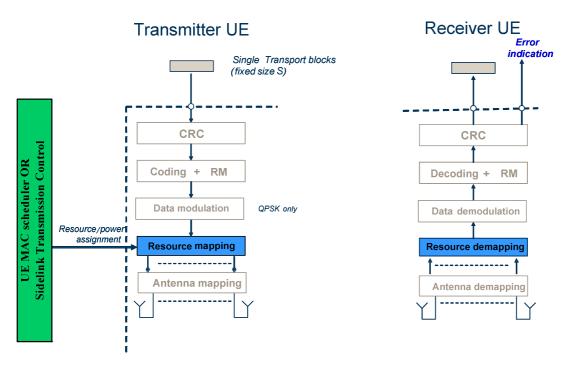


Figure 6.3.2-1: Physical-layer model for SL-DCH transmission

6.3.3 Sidelink Shared Channel

The physical-layer model for Sidelink Shared Channel transmission is described based on the corresponding SL-SCH physical-layer-processing chain, see Figure 6.3.3-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure. It should be noted that, in case of scheduled resource allocation, the SL-SCH scheduling decision is done by network side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on sidelink transport-format and resource-assignment information received on the downlink. In case of UE autonomous resource selection, the SL-SCH scheduling decision is done by UE side, and the MAC scheduler in the UE configures the sidelink physical-layer processing, based on the sidelink transport-format autonomously decided by the UE and randomly selected resource-assignment.

- Higher-layer data passed to/from the physical layer
- One transport block of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Support for soft combining, but no support for ACK/NACK feedback.
- Data modulation
- For scheduled resource allocation, modulation scheme is decided by higher layer signaling from eNB.
- For UE autonomous resource selection, modulation scheme is decided by MAC scheduler (QPSK, 16QAM) in transmitter UE.
- Mapping to physical resource

- L2-controlled resource assignment.
- Multi-antenna processing
- Single antenna port is used.

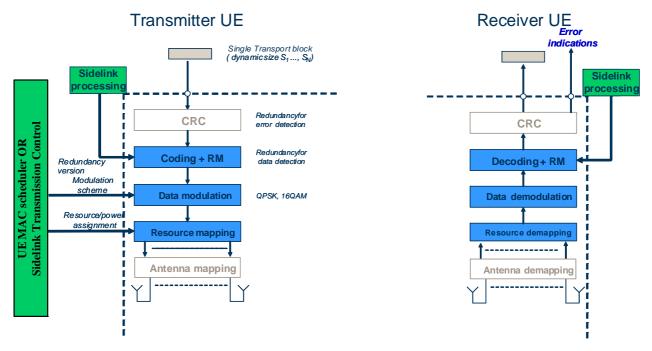


Figure 6.3.3-1: Physical-layer model for SL-SCH transmission

7 Void

8 Parallel transmission of simultaneous Physical Channels and SRS

This clause describes the requirements from the UE to send and receive on multiple Physical and Transport Channels and SRS simultaneously depending on the service capabilities and requirements.

8.1 Uplink

The table 8.1-1 describes the possible combinations of physical channels that can be sent in parallel in the uplink within the same subframe. For NB-IoT, see Table 8.1-1a.

Table 8.1-1: Uplink

	Physical Channel	Transport Channel	Mandatory dependent on UE radio access	Comment
	Combination	Combination	capabilities	
1	q x PUSCH	UL-SCH	Mandatory	Note 1, Note 2
2	k x PRACH	RACH	Mandatory	Note 4
3	j x k x PUCCH	N/A	Mandatory	CSI and Scheduling Requests are provided to Layer 2. Note 4, Note5
4	q x PUSCH + j x k x PUCCH	UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH	Note1, Note 2, Note 4, Note5
5	k x PRACH +(q-k) x PUSCH	RACH UL-SCH	Mandatory for UEs supporting multiple TAGs	Note 1, Note 2, Note 3, Note 4
6	k x PRACH + j x k x PUCCH	RACH	Mandatory for UEs supporting multiple TAGs	Note 3, Note 4, Note5
7	k x PRACH +(q-k) x PUSCH + j x k x PUCCH	RACH UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH and multiple TAGs	Note 1, Note 2, Note 3, Note 4, Note5

Note 1: One PUSCH per UL CC.

Note 2: q is the number of UL CCs supported by the UE. q = 1 implies non-CA capable UE.

Note 3: PRACH and PUSCH/PUCCH are from cells in different Timing Advance Groups [12].

Note 4: k is the number of CGs supported by the UE. k = 1 implies non-DC capable UE. k = 2 implies DC capable UE, and one PUCCH and one PRACH per CG.

Note 5: *j* is the number of PUCCH groups supported by the UE. *j* = 1 implies PUCCH transmission is supported only on PCell. *j* = 2 implies PUCCH transmission is supported on PCell and an SCell.

Table 8.1-1a: Uplink for NB-IoT

	Physical Channel Combination	Transport Channel Combination	Mandatory dependent on UE radio access capabilities	Comment
1	NPUSCH	UL-SCH	Mandatory	
2	NPRACH	RACH	Mandatory	

The table 8.1-2 describes the possible combinations of SRS and physical channels that can be sent in parallel in uplink in the last symbol within the same subframe by one UE. Table 8.1-2 is not applicable for NB-IoT.

Table 8.1-2: Uplink in combinations with SRS

	Physical	Transport Channel	Mandatory dependent on	Comment
	Channel and SRS	Cnannel	UE radio access capabilities	
	Combination	Combination	Capabilities	
1	q x SRS	N/A	Mandatory	Note 2, Note 4
2	k x PRACH +(q-k) x SRS	RACH	Mandatory for UEs supporting multiple TAGs	Note 2, Note 3, Note 4, Note 7
3	n x PUSCH + (q-n) x SRS	UL-SCH	Mandatory for UEs supporting multiple TAGs	Note 1, Note 2, Note 4, Note 5, Note 6
4	j x k x PUCCH + (q- j x k) x SRS	N/A	Mandatory for UEs supporting multiple TAGs	Note 2, Note 4, Note 6, Note 7, Note 8
5	n x PUSCH + j x k x PUCCH + (q-n) x SRS	UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH and multiple TAGs	Note 1, Note 2, Note 4, Note 5, Note 6, Note 7, Note 8
6	k x PRACH + n x PUSCH + (q-n-k) x SRS	RACH UL-SCH	Mandatory for UEs supporting multiple TAGs	Note 1, Note 2, Note 3, Note 4, Note 5, Note 6, Note 7
7	k x PRACH + j x k x PUCCH + (q-(j+1) x k) x SRS	RACH	Mandatory for UEs supporting multiple TAGs	Note 2, Note 3, Note 4, Note 6, Note 7, Note 8
8	k x PRACH + n x PUSCH + j x k x PUCCH + (q-n-k) x SRS	RACH UL-SCH	Mandatory for UEs supporting simultaneous transmission of PUSCH and PUCCH and multiple TAGs	Note 1, Note 2, Note 3, Note 4, Note 5, Note 6, Note 7, Note 8

- Note 1: One PUSCH per UL CC.
- Note 2: q is the number of UL CCs supported by the UE. q = 1 implies non-CA capable UE.
- Note 3: PRACH and PUSCH/PUCCH/SRS are from cells in different TAGs [12].
- Note 4: One SRS per UL CC.
- Note 5: n = 1, 2, ..., q-k.
- Note 6: If UE is not configured with multiple TAGs, then SRS and PUSCH/PUCCH are not transmitted in parallel; otherwise, if UE is configured with multiple TAGs, then SRS and PUSCH/PUCCH are transmitted in parallel from different serving cells of the same TAG or different TAGs.
- Note 7: k is the number of CGs supported by the UE. k = 1 implies non-DC capable UE. k = 2 implies DC capable UE, and one PUCCH and one PRACH per CG.
- Note 8: j is the number of PUCCH groups supported by the UE. j = 1 implies PUCCH transmission is supported only on PCell. j = 2 implies PUCCH transmission is supported on PCell and an SCell.

8.2 Downlink

The tables describe the possible combinations of physical channels that can be received in parallel in the downlink in the same subframe by one UE. In one subframe, the UE shall be able to receive all TBs according to the indication on

PDCCH. Tables 8.2-1, 8.2-1a, 8.2-2 and 8.2-2a are applicable to LTE; Tables 8.2-1b and 8.2-2b are applicable to NB-IoT.

Table 8.2-1: Downlink "Reception Types" except for NB-IoT UEs, BL UEs and UEs in enhanced coverage

"Reception Type"	Physical Channel(s)	Monitored RNTI	Associated Transport Channel
Α	PBCH	N/A	ВСН
В	PDCCH+PDSCH	SI-RNTI	DL-SCH
С	PDCCH+PDSCH	P-RNTI	PCH
D	PDCCH+PDSCH	RA-RNTI (Note 3)	DL-SCH
		Temporary C-RNTI (Note 3) (Note 4)	DL-SCH
	(PDCCH/EPDCCH) +PDSCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH
D1	(PDCCH/EPDCCH) +PDSCH (Note 9)	C-RNTI	DL-SCH
D2	PDCCH+PDSCH	SC-RNTI	DL-SCH
		G-RNTI	DL-SCH
D3	(PDCCH/EPDCCH) +PDSCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH
	PDCCH+PDSCH	SC-RNTI	DL-SCH
		G-RNTI	DL-SCH
Е	PDCCH/EPDCCH (Note 1)	C-RNTI	N/A
F	PDCCH	Temporary C-RNTI (Note 5)	UL-SCH
	PDCCH/EPDCCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	UL-SCH
F1	PDCCH/EPDCCH (Note 9)	C-RNTI	UL-SCH
G	PDCCH	TPC-PUCCH-RNTI	N/A
Н	PDCCH	TPC-PUSCH-RNTI	N/A
I	PDCCH/EPDCCH	Semi-Persistent Scheduling C-RNTI (Note 6)	N/A
J	PDCCH/EPDCCH	Semi-Persistent Scheduling C-RNTI (Note 7)	N/A
K	PDCCH	M-RNTI (Note 8)	N/A
K1	PDCCH	SC-N-RNTI	N/A
L	PMCH	N/A (Note 8)	MCH
M	PDCCH	elMTA-RNTI	N/A
N	PDCCH/EPDCCH	SL-RNTI	N/A
0	PDCCH	CC-RNTI	N/A

Note 1: PDCCH or EPDCCH is used to convey PDCCH order for Random Access.

Note 2: Void.

Note 3: RA-RNTI and Temporary C-RNTI are mutually exclusive and only applicable during Random Access procedure.

Note 4: Temporary C-RNTI is only applicable when no valid C-RNTI is available.

Note 5: Temporary C-RNTI is only applicable during contention-based Random Access procedure.

Note 6: Semi-Persistent Scheduling C-RNTI is used for DL Semi-Persistent Scheduling release.

Note 7: Semi-Persistent Scheduling C-RNTI is used for UL Semi-Persistent Scheduling release.

Note 8: In MBSFN subframes only

Note 9: DL-SCH reception corresponding to D1, and UL-SCH transmission corresponding to F1, are only applicable to SCells.

Note 5:

Note 6:

Table 8.2-1a: Downlink "Reception Types" for BL UEs and UEs in enhanced coverage

"Reception Type"	Physical Channel(s)	Monitored RNTI	Associated Transport Channel	
A	PBCH	N/A	ВСН	
В	MPDCCH (Note 1)	C-RNTI	N/A	
С	MPDCCH	TPC-PUCCH-RNTI	N/A	
D	MPDCCH	TPC-PUSCH-RNTI	N/A	
Е	MPDCCH	Semi-Persistent Scheduling C-RNTI (Note 2)	N/A	
F	MPDCCH	Semi-Persistent Scheduling C-RNTI (Note 3)	N/A	
	MPDCCH (Note 4)	RA-RNTI	DL-SCH	
G		Temporary C-RNTI	UL-SCH	
		Temporary C-RNTI	DL-SCH	
		P-RNTI	PCH	
	PDSCH (Note 5)	SI-RNTI	DL-SCH	
Н		P-RNTI	PCH	
		Temporary C-RNTI	DL-SCH	
		RA-RNTI	DL-SCH	
	MPDCCH	Temporary C-RNTI (Note 6)	UL-SCH	
I		C-RNTI and Semi-Persistent Scheduling C-RNTI	UL-SCH	
J	MPDCCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH	
К	PDSCH (Note 5)	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH	
Note 1: MPDCCH is used to convey PDCCH order for Random Access. Note 2: Semi-Persistent Scheduling C-RNTI is used for DL Semi-Persistent Scheduling release. Note 3: Semi-Persistent Scheduling C-RNTI is used for UL Semi-Persistent Scheduling release. Note 4: RA-RNTI, P-RNTI, and Temporary C-RNTI are not required to be simultaneously monitored.				

Table 8.2-1b: Downlink "Reception Types" for NB-IoT UEs

Temporary C-RNTI is only applicable during contention-based Random Access procedure.

"Reception Type"	Physical Channel(s)	Monitored RNTI	Associated Transport Channel	
Α	NPBCH	N/A	ВСН	
В	NPDCCH (Note 2)	C-RNTI	N/A	
С	NPDCCH	P-RNTI	PCH	
D	NPDCCH	RA-RNTI (Note 1)	DL-SCH	
		Temporary C-RNTI (Note 1)		
Е	NPDSCH	N/A	DL-SCH	
F	NPDCCH	C-RNTI	DL-SCH	
G	NPDCCH	C-RNTI	UL-SCH	
Note 1: RA-RNTI and Temporary C-RNTI are mutually exclusive and only applicable during Random Access		applicable during Random Access		
proced	procedure.			
Note 2: NPDCCH is used to convey PDCCH order for Random Access.				

Table 8.2-2: Downlink "Reception Type" Combinations except for NB-IoT UEs, BL UEs and UEs in enhanced coverage

The "Reception Type" used in this table refers to the "Reception Type" in Table 8.2-1.

All RNTIs listed in the reception type are mutually exclusive.

	PCell	PSCell	SCell	Non-serving cell
1. RRC_IDLE				
1.1 All UEs	A + B + C + D			
		ation for Random Acces	s procedure is only requ	ired, related to D.
1.2 UEs supporting MBMS	K+L			
1.3 UEs supporting SC-PTM	K1 + D2			
2. RRC_CONNECTED)			_
2.1 All UEs	A + B + (D or E or G or I) + (F or H or J) + M	A + (D or E or G or I) + (F or H or J) + M	(E or D1) + F1	
	Remarks: Combination EPDCCH.	n involving EPDCCH is o	optional and required onl	y for UE supporting
2.2 UEs supporting FS2	A + B + (D or E or G or I) + (F or H or J) + F + M	A + (D or E or G or I) + (F or H or J) + F + M	(E or D1) + F1	
		JDL configuration 0, two SCH in two different up		can be received in the
	Remarks: Combination EPDCCH.	n involving EPDCCH is	· · ·	only for UE supporting
2.2a UEs supporting			D1 + O	
FS3	Remarks: Combination EPDCCH.	n involving EPDCCH is	optional and required	T
2.3 UEs supporting MBMS	((E or G or I) + L + K) or (A + B + D) + (F or H or J) + M	((E or G or I) + L + K) or (A + B + D) + (F or H or J) + M	(E + L + K) or (D1 + B) + F1	(A + B) or (L + K)
	Remarks: Combination EPDCCH.	n involving EPDCCH is	optional and required	only for UE supporting
	other cell. r is the num	ation is the requirement ber of DL CCs on which cation. The number of La	the UE supports MBMS	reception according to
	Remarks: It is not requ	uired to simultaneously r	eceive EPDCCH and PN	ICH on the same cell.
2.4 MBMS UEs supporting FS2	((E or G or I) + L + K) or (A + B + D) + 1x(F or H or J) + F + M	((E or G or I) + L + K) or (A + B + D) + 1x(F or H or J) + F + M	(E + L + K) or (D1 + B) + F1	(A + B) or (L + K)
		JDL configuration 0, two		can be received in the
	other cell. r is the num	ation is the requirement ber of DL CCs on which cation. The number of L	the UE supports MBMS	reception according to
	Remarks: Combination EPDCCH.	n involving EPDCCH is	optional and required	only for UE supporting
		uired to simultaneously r	eceive EPDCCH and PN	MCH on the same cell.
2.5 UEs supporting ETWS and CMAS	A + B + C + (D or E or G or I) + (F or H or J) + M	A + (D or E or G or I) + (F or H or J) + M	(E or D1) + F1	
		n involving EPDCCH is o	optional and required on	y for UE supporting
2.6 ETWS and CMAS UEs supporting FS2	A + B + C + (D or E or G or I) + (F or H or J) + F + M	A + (D or E or G or I) + (F or H or J) + F + M	(E or D1) + F1	
	same subframe for UL	JDL configuration 0, two SCH in two different up n involving EPDCCH is o	link subframes.	
2.7 UEs supporting sidelink communication	A + B + (D or E or G or I) + (F or H or J) + M + N			

	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.				
	Remarks: The combination is the requirement when the UE is configured in scheduled resource allocation mode.		ed in scheduled		
2.8 UEs supporting SC-PTM	A + B + (D or (K1 + D2) or (K1 + D3) or E or G or I) + (F or H or J) + M	A + B + (D or (K1 + D2) or (K1 + D3) or E or G or I) + (F or H or J) + M	B + (D1 or (K1 + D2) or (K1 + D3) or E) + F1	A + B + K1+ D2	
	Remarks: Combination EPDCCH.	n involving EPDCCH is o	optional and required onl	y for UE supporting	
	any other cell. r is the	ation is the requirement number of DL CCs on wh SInterestIndication. The	nich the UE supports SC	-PTM reception	
2.9 SC-PTM UEs supporting FS2	A + B + (D or (K1 + D2) or (K1 + D3) or E or G or I) + (F or H or J) + F + M	A + B + (D or (K1 + D2) or (K1 + D3) or E or G or I) + (F or H or J) + F + M	B + (D1 or (K1 + D2) or (K1 + D3) or E) + F1	A + B + K1+ D2	
	Remarks: For TDD UL/DL configuration 0, two PDCCHs or EPDCCHs can be received in the same subframe for UL-SCH in two different uplink subframes.				
	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.				
	Remarks: The combination is the requirement when SC-PTM reception is on PCell and/or any other cell. r is the number of DL CCs on which the UE supports SC-PTM reception according to the MBMSInterestIndication. The number of K1 and the number of D2 $\leq r$.				
NOTE: p is the number of DL CCs supported by the UE. The number of D1 is $\leq (p-1)$. q is the number of UL CCs supported by the UE. For UE not supporting FS2, the number of F1 is $\leq (q-1)$. For UE supporting FS2, the number of F1 is $\leq 2x(q-1)$. $q = p = 1$ implies non-CA capable UE. Only 1xE is possible at any subframe over all serving cells. 1xM is included if UE supports eIMTA.			E supporting FS2, the		
NOTE: The UE is only required to receive one PDSCH, pertaining to D or D1 or D2 (if the UE supports SC-PD3 (if the UE supports the parallel reception of unicast and SC-PTM), per DL CC.		E supports SC-PTM) or			
NOTE: If a UE indicating category 0 is scheduled with PDSCH transmissions exceeding its processing capability as specified in TS 36.306 [13], the prioritization between these PDSCH transmissions is up to the UE implementation.					

Table 8.2-2a: Downlink "Reception Type" Combinations for BL UEs and UEs in enhanced coverage

The "Reception Type" used in this table refers to the "Reception Type" in Table 8.2-1a.

	PCell		
1. RRC_IDLE			
1.1 All UEs	A or G or H		
2. RRC_CONNECTED			
2.1 All UEs	A or ((J or C or E or B) + (I or D or F) + K) or G or H		
	Remarks: The combination for Random Access procedure is only required, related to G and H.		
2.2 UEs supporting	A or ((J or C or E or B) + (I or D or F) + I + K) or G or H		
FS2	Remarks: For TDD UL/DL configuration 0, two MPDCCHs can be received in the same subframe for UL-SCH in two different uplink subframes, which is only applicable for UEs configured with CE mode A with no repetitions.		
	Remarks: The combination for Random Access procedure is only required, related to G and H.		

NOTE: Any subset of the combinations specified in table 8.2-2 and 8.2-2a are also supported.

The "reception type" names in Table 8.2-2b refer to the "reception types" from Table 8.2-1b.

Table 8.2-2b: Downlink "Reception Type" Combinations for NB-IoT UEs

	PCell	
1. RRC_IDLE		
1.1 All UEs A or C or D or E		
	Remarks: The combination for Random Access procedure is only required, related to D.	
2. RRC_CONNECTED		
2.1 All UEs	A or B or D or F or G or E	

8.3 Sidelink

The table 8.3-1 describes the possible combinations of physical channels that can be sent in parallel from UE perspective in the sidelink within the same subframe. Table 8.3-2 describes the possible combinations of physical channels that can be received in parallel from UE perspective in the sidelink within the same subframe.

Table 8.3-1: Sidelink transmission

	Physical Channel Combination	Transport Channel Combination	Mandatory dependent on UE radio access capabilities	Comment				
1	PSDCH	SL-DCH	Mandatory for UE supporting sidelink discovery	The UE supporting sidelink discovery transmits sidelink discovery messages on the camped cell (idle) or PCell (connected).				
2	PSBCH	SL-BCH	Mandatory for UE supporting sidelink communication	The UE supporting sidelink communication transmits MasterInformationBlock-SL messages in PSBCH on one preconfigured frequency.				
3	PSSCH	SL-SCH	Mandatory for UE supporting sidelink communication	The UE supporting sidelink communication transmits sidelink data in PSSCH on one preconfigured frequency.				
4	PSCCH	N/A	Mandatory for UE supporting sidelink communication	The UE supporting sidelink communication transmits sidelink control information in PSCCH on one preconfigured frequency.				
NOT	transmissions are prioritized E: Depending o transmissions unable to per	Depending on the UE capability, the UE may be able to perform simultaneous Uplink and Sidelink transmissions. If the UE is unable to perform simultaneous Uplink and Sidelink transmissions, transmissions are prioritized according to [12]. Depending on the UE capability, the UE may be able to perform simultaneous sidelink communication transmissions (PSBCH or PSCCH) and sidelink discovery transmission (PSDCH). If the UE is unable to perform simultaneous transmission of sidelink communication and discovery, transmissions are prioritized according to [12].						

Table 8.3-2: Sidelink reception

	Physical	Transport	Mandatory dependent	Comment					
	Channel	Channel	on UE radio access						
	Combination	Combination	capabilities						
1	PSDCH	SL-DCH	Mandatory for UE						
			supporting sidelink						
			discovery						
2	PSBCH	SL-BCH	Mandatory for UE						
			supporting sidelink						
			communication						
3	PSSCH	SL-SCH	Mandatory for UE						
			supporting sidelink						
			communication						
4	PSCCH	N/A	Mandatory for UE						
			supporting sidelink						
			communication						
NOT	E: For sidelink communication, the UE shall be able to perform simultaneous Downlink and sidelink								
communication reception. For sidelink discovery, depending on the UE capability, the UE may be									
		Itaneous Downlink and sidelink discovery receptions. If the UE is unable to perform							
	eptions are prioritized according to [12]								
NO	ΓE: If the configu	If the configured resources for reception of sidelink communication and sidelink discovery are overlapped,							
	receptions ar	e prioritized according	to [12].	· · ·					

9 Measurements provided by the physical layer

9.1 Void

9.2 UE Measurements

The list and detailed definition of UE measurements is provided in [11].

9.3 E-UTRAN Measurements

The list and detailed definition of E-UTRAN measurements is provided in [11].

Annex A (informative): Change history

						Change history	
Date	TSG#	TSG Doc.	CR	Rev	Cat	Subject/Comment	New version
11/2006	RP-34	RP-060795	-			First version : presented at TSG-RAN #34 and TSG-RAN WG2 #56 (11/2006) 0.	
05/2007	RP-36	RP-xyztu				Update including physical layer modelling: submitted at TSG-RAN WG2 #58 (05/2006)	
06/2007	RP-37	R2-072502				Update including physical Services and functions of the Physical Layer: (presented and TSG-RAN WG2 #58bis (06/2006)	
06/2007	RP-37	R2-072931				Update after presentation at TSG-RAN WG2 #58bis : physical channel channel terminology used	
09/2007	RP-37	RP-070686				Removal of editor's notes. Presented at TSG-RAN #37 for information	1.0.0
10/2007	R2- 59bis	R2-074579				Agreements in RAN1 LS received at RAN2#59 have to be implemented in the specification (by RAN2#59bis): Parallel reception of Physical Broadcast Channel (PBCH) and DL-SCH in the same TTI is feasible; 2 new measurements were introduced for LTE, UE measurement "Reference Signal Received Quality (RSRQ)" and eNode B measurement "DL RS TX power".	1.0.2
10/2007	R2- 59bis	R2-074584				Removal of incorrect Parallel reception of physical channels	1.0.3
11/2007	RP-38	RP-070914				Submission to RAN for RAN#38 approval	2.0.0
12/2007	RP-38	-				Apprpved at TSG RAN-38 and placed under change control	8.0.0
03/2009		RP-090124	0002	-		Proposed CR on Parallel reception in LTE	8.1.0
	RP-43	RP-090124	0004	-		Correction of out-of-date information	8.1.0
06/2009	RP-44	RP-090509	0005	1		Correction of MBMS	8.2.0
	RP-44	RP-090509	0006	I -		Downlink reception types	8.2.0
		RP-090509	0009	-		Simultaneous reception of transport channels in the LTE	8.2.0
	RP-44	RP-090509	0010	I -		Clarification on the parallel receptions for PDSCHs	8.2.0
12/2009	RP-46	RP-091341	0011	-		Addition of MBMS reception types	9.0.0
	RP-46	RP-091346	0012	I -		Remove FFSs from RAN2 specifications	9.0.0
		RP-091345	0014	-		Proposed CR to 36.302 on Introduction of CMAS	9.0.0
03/2010		RP-100308	0019	1		Correction to RSRP and RSRQ definition with Receiver Diversity to align with TS 36.214	9.1.0
06/2010	RP-48	RP-100556	0020	-		Correction to RSRQ definition to align with TS 36.214	9.2.0
12/2010	RP-50	RP-101226	0021	3		Introduction of CA to TS36.302	10.0.0
03/2011	RP-51	RP-110289	0022	1		Correction to parallel reception and transmission for CA	10.1.0
	RP-51	RP-110270	0025	I -		Corrections to TS36.302 on MBMS	10.1.0
	RP-51	RP-110289	0026	-		Update and correction to TS36.302 for CA	10.1.0
06/2011	RP-52	RP-110839	0028	-		DL Assignment in MBSFN Subframe	10.2.0
12/2011	RP-54	RP-111716	0029	-		Corrections to channel model	10.3.0
03/2012		RP-120326	0030	1		Correction to the combination of physical uplink channels	10.4.0
		RP-121350	0031	-		Introduction of parallel PRACH and PUSCH/PUCCH/SRS transmission	11.0.0
12/2012		RP-121951	0036	-		Correction to parallel PRACH, SRS and PUSCH/PUCCH transmission	11.1.0
		RP-121956	0037	-		Introduction of EPDCCH in TS 36.302	11.1.0
		RP-130245	0041	-		Correction to parallel SRS and PUSCH/PUCCH transmission	11.2.0
06/2013		RP-130808	0043	-		Clarification on EPDCCH reception in MBSFN subframes	11.3.0
		RP-130808	0044	-		Correction on downlink reception type combinations for UEs supporting multiple TAGs	11.3.0
	RP-60	RP-130808	0045	-		Downlink Reception Type Combinations for MBMS capable UE	11.3.0
09/2013		RP-131311	0047	-		Miscellaneous correction to 36.302	11.4.0
		RP-140355	0049	-		MBMS reception on any configured or configurable SCell	11.5.0
06/2014		RP-140884	0050	<u> -</u>		Introduction of the Downlink Reception Types for TDD eIMTA	12.0.0
		RP-140892	0051	J		Correction on simultaneous DL physical channels for idle UE	12.0.0
09/2014		RP-141506	0054	1		Updates for low complexity UEs, and the improvements for the representation of the reception requirements	12.1.0
12/2014	RP-66	RP-142135	0056	_		Introduction of dual connectivity	12.2.0
03/2015	RP-67	RP-150376	0059	_		Removal of unnecessary requirement to receive MIB on SCell	12.3.0
		RP-150921	0060	-		Introduction of ProSe	12.4.0
		RP-151443	0061	1		TS36.302 rapporteur's cleanup	12.5.0
12/2015		RP-152053	0062	<u> - </u>		Corrections to Sidelink in TS 36.302	12.6.0
12/2015		RP-152071	0063	<u> - </u>		Introduction of PUCCH on SCell in CA	13.0.0
		RP-152080	0064	1		Introduction of SC-PTM	13.0.0
03/2016	RP-71	RP-160470	0065	1		Correction on CA enhancement	13.1.0

	Change history						
Date	TSG#	TSG Doc.	CR	Rev	Cat	Subject/Comment	New version
	RP-71	RP-160453	0066	2		The introduction of eMTC features	13.1.0
06/2016	RP-72	RP-161078	0070	-		Corrections on the data modulation of Downlink-Shared Channel	13.2.0
	RP-72	RP-161080	0071	1		Correction for sidelink	13.2.0
	RP-72	RP-161080	0072	1		Corrections on sidelink related description in TS36.302	13.2.0
	RP-72	RP-161080	0073	-		SC-PTM reception on non-Pcell	13.2.0
	RP-72	RP-161080	0074	-		Improvements for the representation of eMTC features	13.2.0
	RP-72	RP-161081	0076	1		Introduction of NB-IoT in 36.302	13.2.0
09/2016	RP-73	RP-161758	0078	-		Corrections to NB-IoT downlink reception type combinations	13.3.0
	RP-73	RP-161753	0079	1		Introduction of LAA	13.3.0
	RP-73	RP-161751	0082	1		Introduction of MBSFN measurements	13.3.0
	RP-73	RP-161762	0083	1		Introduction of RS-SINR measurement to 36.302	13.3.0
	RP-73	RP-161755	0084	-		Miscellaneous corrections on DL reception types	13.3.0
12/2016	RP-74	RP-162318	0085	-		Miscellaneous corrections to TS 36.302	13.4.0
	RP-74	RP-162315	8800	1		Clarification on Reception Type for SC-PTM	13.4.0
03/2017	RP-75	RP-170656	0093	1	F	Correction for MAC SDU and PDU for BCH in NB-IoT	13.5.0
	RP-75	RP-170655	0095	-	F	Correction on channel bandwidth definition for NB-IoT	13.5.0
06/2017	RP-76	RP-171244	0108	2	F	Correction to downlink reception types for BL UEs and UEs in CE	13.6.0

History

Document history				
V13.0.0	January 2016	Publication		
V13.1.0	April 2016	Publication		
V13.2.0	August 2016	Publication		
V13.3.0	October 2016	Publication		
V13.4.0	February 2017	Publication		
V13.5.0	April 2017	Publication		
V13.6.0	July 2017	Publication		